

Filing Receipt

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Item Number - 1



Public Utility Commission of Texas 1701 N. Congress Avenue or P.O. Box 13326 Austin, Texas 78711-3326 512-936-7000

Web address: www.puc.texas.gov

Registration and Re-registration Form for Power Generation Companies (PGC) and Self-Generators

(In accordance with 16 Texas Administrative Code (TAC) § 25.109)

Part A - Type of Registration Applicable to Every Registrant

1. Type of registration			
Check only one of the following.			
√ New power generation company (PGC) registration	☐ New self-generator registration		
☐ Amendment of PGC registration	☐ Amendment of self-generator registration		
☐ PGC re-registration	☐ Self-generator re-registration		
☐ PGC compliance update	☐ Self-generator compliance update		
2. Amendments			
If filing an amendment, check all applicable boxes and fill in your amendment:	only the sections of this form that are applicable to		
☐ Name change amendment	☐ Facility output capacity change		
☐ Change in ownership/control	☐ New generating facility or unit of a current facility		
☐ Registration relinquishment	□ Other		
Registration number:			
Provide a brief explanation of amendment:			
3. Biannual renewal of registration – This box is not applicable until February 2024.			
If filing a re-registration, fill in the box below if the registran registration.	t's information has not changed from the previous		
□ No information has changed from the previous registration. T box is checked.	he Affidavit is required to be completed and filed if this		

4. Compliance with Project No. 52796 – PGCs and self-generators already registered on, or that applied for registration before, April 26, 2023, must complete this box to come into compliance with 16 Texas Administrative Code (TAC) § 25.109 on or before June 1, 2023.
If filing a compliance update, provide the registrant's registration number and check the box below once the
form has been completed.
Registration number:
☐ Registrant has completed the entire form, as applicable to its type of registration.
500 MA 5 SMA 50 SMA

Part B – Registration Information Applicable to Every Registrant

1. Registrant						
Legal business name: Crowned Heron, LLC	Legal business name: Crowned Heron, LLC					
Business address: 1401 E. 6th Street, Suite 400						
City: Austin	State: TX ZIP: 78702					
Business email: paul.varnado@rwe.com	Business phone: 312-358-9873					
Physical address (i.e. not a P.O. box), if different than business address. If the physical address is the same as the business address, enter "N/A". A single physical address provided under this section may be used for the Primary and Secondary Emergency Contacts, as required by 16 TAC §25.109(c)(1)(B). 2201 Lockwood Bypass Road						
City: Richmond	State: TX	ZIP: 77469				
2. Primary Emergency Contact Information						
Name: Tim Nelson	Title: Senior Vice President, Operations, Asset Management & Compliance					
Business address: 1401 E. 6th Street, Suite 400						
City: Austin	State: TX	ZIP: 78702				
Email: tim.nelson@rwe.com	Phone: 512-800-4671					
3. Secondary Emergency Contact Information						
Name: Jason Grizzle	Title: Vice President, Operations & Maintenance					
Business address: 1401 E. 6th Street, Suite 400						
City: Austin	State: TX	ZIP: 78702				
Email: jason.grizzle@rwe.com	Phone: 432-212-3179					
4. Regulatory Contact Information						
Name: Paul Varnado	Title: Assistant General Counsel					
Email: paul.varnado@rwe.com	Phone: 312-358-9873					
Check the relevant box below indicating whether the registrant's regulatory contact is an internal staff member of the registrant. ✓ Yes, the registrant's regulatory contact is an internal staff member of the registrant. □ No, the registrant's regulatory contact is not an internal staff member of the registrant.						

5. Description of the types of services provided by the registrant that relate to the generation of electricity
Energy storage
6. For qualified facilities and exempt wholesale generators, provide as an attachment a copy of any Federal Energy Regulatory Commission (FERC) registrations
 □ Registrant is a qualified facility and has attached a copy of a FERC registration. ✓ Registrant is an exempt wholesale generator and has attached a copy of a FERC registration. □ Registrant is not a qualified facility or exempt wholesale generator.

Part C - Applicable to Registration of Power Generation Companies

7. Names and, if applicable, the Commission registration of the registrant's corporate parent companies				
(if parent company does not have a Commission registration then mark "N/A") (add additional pages as				
attachments as necessary)				

Names of Corporate Parent: RWE US Holdings, LLC	Type of Commission registration (if applicable): N/A
Names of Corporate Parent: RWE Clean Energy, LLC	Type of Commission registration (if applicable): N/A
Names of Corporate Parent: RWE Investco Mgmt, LLC	Type of Commission registration (if applicable): N/A
Names of Corporate Parent: RWE Clean Energy Development, LLC	Type of Commission registration (if applicable): N/A
Names of Corporate Parent: RWE Investco EPC Mgmt, LLC	Type of Commission registration (if applicable): N/A

8. Names of the registrant's affiliates and affiliates of the registrant's corporate parent identified by name that buy and sell electricity at wholesale in Texas, sell electricity at retail in Texas, or is an electric cooperative or municipally owned utility in Texas (add additional pages as attachments as necessary)

Type of Commission registration (if applicable): PGC			
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Affiliate Name: Papalote Creek I, LLC	Type of Commission registration (if applicable): PGC				
Affiliate Name: Papalote Creek II, LLC	Type of Commission registration (if applicable): PGC				
Affiliate Name: Peyton Creek Wind Farm, LLC	Type of Commission registration (if applicable): PGC				
Affiliate Name: Peyton Creek Wind Farm II, LLC	Type of Commission registration (if applicable): PGC				
Affiliate Name: Pyron Wind Farm, LLC	Type of Commission registration (if applicable): PGC				
Affiliate Name: Raymond Wind Farm, LLC	Type of Commission registration (if applicable): PGC				
Affiliate Name: Roscoe Wind Farm, LLC	Type of Commission registration (if applicable): PGC				
Affiliate Name: Sand Bluff Wind Farm, LLC	Type of Commission registration (if applicable): PGC				
Affiliate Name: Stella Wind Farm, LLC	Type of Commission registration (if applicable): PGC				
Affiliate Name: Stoneridge Solar, LLC	Type of Commission registration (if applicable): PGC				
Affiliate Name: Texas Waves, LLC	Type of Commission registration (if applicable): PGC				
Affiliate Name: West of the Pecos Solar, LLC	Type of Commission registration (if applicable): PGC				
Affiliate Name: West Raymond Wind Farm, LLC	Type of Commission registration (if applicable): PGC				

9. Interchange Project Number where registrant's Emergency Operation Plan is filed and Item Number of filing

Project Number: 53385

Item Number: 2687

Part D - Applicable to Every Registrant

AFFIDAVIT

(Must be notarized by a public notary)

STATE OF TEXAS
COUNTY OF TRAVIS

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BEFORE ME, the undersigned authority, on this day personally appeared the undersigned, who, after being duly sworn, stated on his or her oath that he or she is entitled to make this Affidavit, and that the statements contained below and in the foregoing are true and correct.

Check one of the following boxes:

- ☐ I am an authorized representative of the registrant, which is a self-generator and swear and affirm that either:
 - ♦ (A) the company
 - (i) is not a power generation company; and
 - (ii) does not intend to generate electricity intended to be sold at wholesale; or
 - (B) if the company is a QF
 - (i) the company either does not sell electricity; or
 - (ii) provides electricity only to the purchaser of the facility's thermal output.
- ✓ I am an authorized representative of the registrant, which is a **power generation company** and swear and affirm that the company:
 - ♠ (A) Generates electricity that is intended to be sold at wholesale;
 - (B) Does not own a transmission or distribution facility in this state other than an essential interconnecting facility, a facility not dedicated to public use, or a facility otherwise excluded from the definition of "electric utility" under 16 Texas Administrative Code § 25.5; and
 - (C) Does not have a certificated service area.

I swear and affirm that I have personal knowledge that none of registrant's principals (1) were principals of a Commission-regulated person whose license was revoked by Commission order when the person was principal, (2) were principals of any party registered with the Electric Reliably Council of Texas (ERCOT) whose standard form market participant agreement (SFA) was terminated by ERCOT for misconduct within the prior six months of when they were a principal, or (3) are otherwise prohibited by Commission order from acting as a principal of a Commission-regulated entity.

I swear and affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this registration form on behalf of the registrant. I further swear and affirm that all statements made in the registration form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear and affirm that the registrant understands and will comply with all requirements of the applicable law and rules.

Signature of Authorized Representative

Printed Name

Crowned Heron, LLC

Name of Registrant

Sworn and subscribed before me this

01 VV

Month

Year

Notary Public Signature

Notary Public in and for the State of Texas

DIANA VASSAR
My Notary ID # 135197980
Expires December 10, 2028

Part E-Applicable to Every Registrant

Provide information for each generating unit. If more room is needed to list all generating units, attach additional copies of Part E.

All Registrants					Self-Generators Only		
Generating Unit's Name	Physical Address of Unit	County of Unit	Interconnecting Transmission Service Provider	Power Region	Total Capacity Rating in MW	Type(s) of Generation*	MW Consumption of Co-Located Load
Crowned Heron 1 BESS	2201 Lockwood Bypass Road, Richmond, TX 77469	Fort Bend	CenterPoint Energy, Inc.	ERCOT	150MW/300MWh	Energy Storage	N/A

^{*}i.e., biomass, wind, geothermal, solar, hydro, nuclear, landfill gas, energy storage, hydrogen, diesel, coal, natural gas, other (provide an explanation)

UNITED STATES OF AMERICA BEFORE THE FEDERAL ENERGY REGULATORY COMMISSION

Docket No. EG25-____-000

NOTICE OF SELF-CERTIFICATION OF EXEMPT WHOLESALE GENERATOR STATUS

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Pursuant to Section 366.7(a) of the Federal Energy Regulatory Commission's ("Commission") regulations, implementing the Public Utility Holding Company Act of 2005 ("PUHCA 2005"), Crowned Heron, LLC ("Crowned Heron") hereby submits this notice of self-certification of its status as an exempt wholesale generator ("EWG") as defined in Section 366.1 of the Commission's regulations.

I. COMMUNICATIONS

All correspondence and communications regarding this notice should be sent to the following persons who are authorized to receive service:

Paul Varnado RWE Clean Energy, LLC 353 N. Clark Street, 30th Floor Chicago, IL 60654 (312) 358-9873 paul.varnado@rwe.com Tomas Rodriguez
RWE Clean Energy, LLC
353 N. Clark Street, 30th Floor
Chicago, IL 60654
(312) 953-3745
tomas.rodriguez@rwe.com

II. DESCRIPTION OF CROWNED HERON

Seller is a Delaware limited liability company. Seller is a wholly-owned subsidiary of RWE Investco EPC Mgmt, LLC ("RWE Investco EPC"). RWE Investco EPC is 95% owned by RWE Investco Mgmt, LLC and 5% owned by RWE Clean Energy Development, LLC, both of

¹ 18 C.F.R. § 366.7(a) (2022).

² Enacted pursuant to the Energy Policy Act of 2005, §§ 1261-77, Pub. L. No. 109-58, 119 Stat. 594, 972-78 (2005).

^{3 18} C.F.R. § 366.1.

which are direct, wholly-owned subsidiaries of RWE Clean Energy, LLC ("RWECE"). RWECE is a wholly-owned direct subsidiary of RWE US Holdings, LLC, which is a wholly-owned direct subsidiary of RWE Renewables International Participations BV, which is a wholly-owned direct subsidiary of RWE Renewables Europe & Australia GmbH, which is a wholly-owned direct subsidiary of GBV Zweiunddreißigste Gesellschaft für Beteiligungsverwaltung GmbH, which is a wholly-owned direct subsidiary of RWE AG, a German company. RWE AG is a publicly-traded multi-national corporation engaged in the businesses of generation and trading of electricity from conventional and renewable energy sources.

Crowned Heron is constructing and will own and operate a facility comprised of: battery energy storage of approximately 150MW/300MWh nameplate capacity, and Interconnection Customer Interconnection Facilities ("ICIF") necessary to effectuate wholesale power sales and associated facilities and equipment necessary for the generation of power at wholesale (the "Crowned Heron Facility"). The Crowned Heron Facility will be located in Richmond, Texas. The Crowned Heron Facility is estimated to commence commercial operation in late 2025.

Crowned Heron will own and operate ICIF consisting of step-up transformers, substations and related equipment to the point of interconnection. The Crowned Heron Facility will be interconnected with the transmission system owned by CenterPoint Energy, Inc. and operated by the Electric Reliability Council of Texas ("ERCOT").

REPRESENTATIONS REGARDING EWG STATUS Ш.

The Commission has consistently granted EWG status to entities that were formed to own and/or operate all or part of one or more Eligible Facilities.⁴ Consistent with Section 366.1 of the

⁴ Section 366.1 of the Commission's regulations adopts by reference Section 32(a)(2) of the Public Utility Holding Company Act of 1935 (as amended, "PULICA 1935"), 15 U.S.C. § 79a-5a(a)(2), which defines the term eligible wholesale facilities. The term "Fligible Facilities" as used herein has the meaning ascribed to it in Section 32(a)(2) of PUHCA 1935.

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Commission's regulations, Crowned Heron makes the following representations in order to certify that it satisfies the requirements for EWG status:

- 1. Crowned Heron will be engaged directly, or indirectly through one or more affiliates as described in Section 366.1 of the Commission's regulations, and exclusively in the business of owning and/or operating the Crowned Heron Facility. Crowned Heron is an Eligible Facility, because it will be used for the generation of electric energy exclusively for sale at wholesale.
- 2. The Crowned Heron Facility will be interconnected with the transmission facilities of Oncor and does not include any transmission or distribution facilities other than the limited ICIF (described in Section II) necessary to effectuate the Crowned Heron Facility's wholesale power sales.
 - 3. Crowned Heron will not make any sales of power at retail.
- 4. No rate or charge for, or in connection with, the construction of the Crowned Heron Facility, or for electric energy produced by the Crowned Heron Facility, was in effect under the laws of any State on October 24, 1992. As such, no determination or certification by a state commission is necessary prior to certification of the Crowned Heron Facility as an EWG.
- 5. No portion of the Crowned Heron Facility will be owned or operated by an "electric utility company" that is an "affiliate" or "associate company" of Crowned Heron that is not itself an EWG, as defined in Section 366.1 of the Commission's regulations.
- 6. There are no leasing arrangements involving the Crowned Heron Facility and any public utility company or any affiliate or associate company of any public utility company.

IV. OTHER POTENTIAL INCIDENTAL ACTIVITIES

Crowned Heron may engage in activities incidental to its wholesale sale of electric generation from an Eligible Facility, which do not violate the EWG exclusivity requirement under Commission precedent, including some or all of the following:

- Sell ancillary services from the Crowned Heron Facility which are incidental to, and by-products of, the Crowned Heron Facility's operations as a wholesale power generator;⁵
- Reassign excess transmission capacity consistent with the Commission's requirement that such reassignment of excess transmission capacity be limited to transmission capacity Crowned Heron originally obtained for the purpose of affecting a specific wholesale sale of electric energy;⁶
- Purchase and sell congestion revenue rights that Crowned Heron needs for the Crowned Heron Facility's wholesale power sales;⁷
- Trade emission allowances consistent with the Commission's limitation that an EWG may engage in such trading so long as the emission allowances were originally obtained in the normal course of operating the Crowned Heron Facility; 8
- Sell "green" power certificates or renewable energy credits consistent with the Commission's limitation that an EWG may sell such certificates or credits where they are associated with power produced by the Crowned Heron Facility;⁹
- Lease or rent property to third parties, but to the extent a lease arrangement is not reasonably related to Crowned Heron's generation business and a rental fee is received above a nominal amount, Crowned Heron will, consistent with EWG precedent, donate or transfer such rental revenues to a non-affiliated entity; 10
- Engage in project development activities associated with the Crowned Heron Facility. Such project development activities may include: due diligence; site investigations; feasibility studies; preliminary design and engineering; licensing and permitting; negotiation of asset and land acquisitions; negotiation of contractual commitments with lenders, equity investors, governmental authorities and other project participants and such other activities as may be necessary to financially close on eligible facilities; negotiation of power sales contracts; equipment purchases; fuel supply; engineering, construction, interconnection, and related matters; preparation and submission of bid proposals; and development of financing programs related to owning or

⁵ The Commission has recognized that the sale of the following ancillary services are consistent with EWG status: reactive power and voltage support, regulation and frequency response services, load following, energy balancing services, spinning and supplemental reserves, and blackstart capability, and other ancillary services consistent with the Commission's rules or as otherwise permitted by the Commission. See, e.g., Duke Energy Oakland, LLC, 83 FERC ¶ 61,304 (1998); Sithe Framingham, LLC, 83 FERC ¶ 61,106 (1998).

⁶ See CNG Power Services Corp., 71 FERC ¶ 61,026 at 61,103-04 (1995).

⁷ See Duquesne Power, LP, 106 FERC ¶ 61,104 (2004).

⁸ See UGI Development Co., 89 FERC ¶ 61,192 (1999).

⁹ See Madison Windpower, LLC, 93 FERC ¶ 61,270 (2000).

¹⁰ See, e.g., Duke Energy Hot Spring, LLC, 98 FERC ¶ 61,287 (2002); PSEG Fossil, LLC, et al., 95 FERC ¶ 61,405 (2001).

operating the Crowned Heron Facility and/or additional electric generation facilities that satisfy the criteria for EWG status;¹¹

Pursuant to Section 366.7(a) of the Commission's regulations, ¹² a copy of this Notice of Self-Certification of Exempt Wholesale Generator Status is being concurrently served upon the Public Utilities Commission of Texas.

IV. CONCLUSION

For the reasons set forth in this notice of self-certification, Crowned Heron satisfies the Commission's requirements for EWG status.

Respectfully submitted,

/s/ Paul Varnado

Paul Varnado Counsel for RWE Clean Energy, LLC

¹¹ See, e.g., Empresa Valley Hermosa, S.A., 72 FERC ¶ 61,306 (1995). Crowned Heron will, to the extent required by the Commission, file a new notification of EWG status if it acquires ownership and/or operating interests in any additional Eligible Facilities or EWGs not described herein.

^{12 18} C.F.R. § 366.7(a).

Filed Date: 05/20/2025

UNITED STATES OF AMERICA FEDERAL ENERGY REGULATORY COMMISSION

Crowned Heron, LLC

Docket No. EG25- -000

NOTICE OF SELF-CERTIFICATION OF EXEMPT WHOLESALE GENERATOR STATUS

 $(May _, 2025)$

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Take notice that on May 20, 2025 Crowned Heron, LLC ("Applicant") filed a Notice of Self-Certification demonstrating that the Applicant is an exempt wholesale generator within the meaning of section 366.1 of the Commission's regulations.

Any person desiring to intervene or to protest this filing must file in accordance with Rules 211 and 214 of the Commission's Rules of Practice and Procedure (18 C.F.R. §§ 385.211 and 385.214). Protests will be considered by the Commission in determining the appropriate action to be taken, but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a notice of intervention or motion to intervene, as appropriate, pursuant to 18 C.F.R. § 385.214. Anyone filing an intervention or protest must serve a copy of that document on the Applicant. Anyone filing an intervention or protest on or before the intervention or protest date need not serve motions to intervene or protests on persons other than the Applicant.

The Commission encourages electronic submission of protests and interventions in lieu of paper using the "eFiling" link at http://www.ferc.gov. Persons unable to file electronically should submit an original and 14 copies of the protest or intervention to the Federal Energy Regulatory Commission, 888 First Street, N.E., Washington, D.C. 20426.

This filing is accessible on-line at http://www.ferc.gov, using the "eLibrary" link and is available for review in the Commission's Public Reference Room in Washington, D.C. There is an "eSubscription" link on the web site that enables subscribers to receive email notification when a document is added to a subscribed docket(s). For assistance with any FERC Online service, please email FERCOnlineSupport@ferc.gov, or call (866) 208-3676 (toll free). For TTY, call (202) 502-8659.

Debbie-Anne Reese Secretary

CERTIFICATE OF SERVICE

I hereby certify that the foregoing Notice of Self-Certification of Exempt Wholesale Generator Status was served this May 20, 2025, by electronic filing on the Public Utility Commission of Texas, 1701 N. Congress Ave., 7th Floor, Austin, TX 78701.

/s/ Paul Varnado

Paul Varnado Counsel for RWE Clean Energy, LLC