

# **Filing Receipt**

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Public Utility Commission of Texas 1701 N. Congress Avenue or P.O. Box 13326 Austin, Texas 78711-3326 512-936-7000 Web address: www.puc.texas.gov

## **Registration and Re-registration Form for Power Generation Companies (PGC) and Self-Generators**

(In accordance with 16 Texas Administrative Code (TAC) § 25.109)

Part A - Type of Registration Applicable to Every Registrant

Check only one of the following.				
New power generation company (PGC) registration	□ New self-generator registration			
□ Amendment of PGC registration	<ul> <li>Amendment of self-generator registration</li> <li>Self-generator re-registration</li> <li>Self-generator compliance update</li> </ul>			
□ PGC re-registration				
PGC compliance update				
2. Amendments				
If filing an amendment, check all applicable boxes and f	ill in only the sections of this form that are applicable to			
your amendment:	in in only the sections of this torin that no apprendict to			
	□ Facility output capacity change			
your amendment:				
your amendment:	□ Facility output capacity change			

#### 3. Biannual renewal of registration - This box is not applicable until February 2024.

If filing a re-registration, fill in the box below if the registrant's information has not changed from the previous registration.

No information has changed from the previous registration. The Affidavit is required to be completed and filed if this box is checked.  Compliance with Project No. 52796 – PGCs and self-generators already registered on, or that applied for registration before, April 26, 2023, must complete this box to come into compliance with16 Texas Administrative Code (TAC) § 25.109 on or before June 1, 2023.

If filing a compliance update, provide the registrant's registration number and check the box below once the form has been completed.

Registration number:

□ Registrant has completed the entire form, as applicable to its type of registration.

Part B – Registration Information Applicable to Every Registrant				
1. Registrant				
Legal business name: GAIA Solar, LLC				
Business address: 105 Eastern Avenue, Suite 203				
City: Annapolis	State: Maryland	ZIP: 21403		
susiness email: legalnotices@sunraycer.com Business phone: (410) 402-5505				
Physical address (i.e. not a P.O. box), if different than business address. If the physical address is the same as the business address, enter "N/A". A single physical address provided under this section may be used for the Primary and Secondary Emergency Contacts, as required by 16 TAC §25.109(c)(1)(B). N/A				
City:	State:	ZIP:		
2. Primary Emergency Contact Information		C. P. Bank Sugar Street		
Name: Nathan Krieger	Title: Senior Vice President of Commercial			
Business address: 105 Eastern Avenue	e, Suite 203			
City: Annapolis	State: Maryland	ZIP: 21403		
nail: nkrieger@sunraycer.com Phone: (470) 834-5690				
3. Secondary Emergency Contact Information				
Name: Ayesha Fareedi Title: Senior Transmission & Interconnection Manager				
Business address: 105 Eastern Avenue, Suite 203				
City: Annapolis	State: Maryland	ZIP: 21403		
Email: afareedi@sunraycer.com	Phone: 916-286-9875			
4. Regulatory Contact Information				
Name: David Lillefloren	Title: Chief Executive	Officer		
Email:dlillefloren@sunraycer.com	Phone:410-948-3148			
<ul> <li>Check the relevant box below indicating whether the registrant's regulatory contact is an internal staff member of the registrant.</li> <li>☑ Yes, the registrant's regulatory contact is an internal staff member of the registrant.</li> <li>□ No, the registrant's regulatory contact is not an internal staff member of the registrant.</li> </ul>				

5. Description of the types of services provided by the registrant that relate to the generation of electricity

Solar power generation and storage.

6. For qualified facilities and exempt wholesale generators, provide as an attachment a copy of any Federal Energy Regulatory Commission (FERC) registrations

□ Registrant is a qualified facility and has attached a copy of a FERC registration.

☑ Registrant is an exempt wholesale generator and has attached a copy of a FERC registration.

□ Registrant is not a qualified facility or exempt wholesale generator.

Part C - Applicable i	to Registration of Power Generation Companies
	nission registration of the registrant's corporate parent companies ommission registration then mark "N/A") (add additional pages as
Names of Corporate Parent: Sunraycer Renewables LLC	Type of Commission registration (if applicable): N/A
Names of Corporate Parent:	Type of Commission registration (if applicable):
Names of Corporate Parent:	Type of Commission registration (if applicable):
name that buy and sell electricity at w	and affiliates of the registrant's corporate parent identified by holesale in Texas, sell electricity at retail in Texas, or is an electric lity in Texas (add additional pages as attachments as necessary)
Affiliate Name: Midpoint Solar, LLC	Type of Commission registration (if applicable): PGC
Affiliate Name:	Type of Commission registration (if applicable):
Affiliate Name:	Type of Commission registration (if applicable):
9. Interchange Project Number where of filing	e registrant's Emergency Operation Plan is filed and Item Number
Project Number: 53385	
Item Number: 3551	

#### AFFIÐAVIT

(Must be notarized by a public notary) STATE OF Marylan COUNTY OF ş 8

BEFORE ME, the undersigned authority, on this day personally appeared the undersigned, who, after being duly sworn, stated on his or her oath that he or she is entitled to make this Affidavit, and that the statements contained below and in the foregoing are true and correct.

Check one of the following boxes:

D I am an authorized representative of the registrant, which is a self-generator and swear and affirm that either:

- ♦ (A) the company
  - (i) is not a power generation company; and
  - (ii) does not intend to generate electricity intended to be sold at wholesale; or
  - (B) if the company is a QF
    - (i) the company either does not sell electricity; or
    - (ii) provides electricity only to the purchaser of the facility's thermal output.

I am an authorized representative of the registrant, which is a power generation company and swear and affirm that the company:

- (A) Generates electricity that is intended to be sold at wholesale;
  - (B) Does not own a transmission or distribution facility in this state other than an essential interconnecting facility, a facility not dedicated to public use, or a facility otherwise excluded from the definition of "electric utility" under 16 Texas Administrative Code § 25.5; and
  - (C) Does not have a certificated service area.

I swear and affirm that I have personal knowledge that none of registrant's principals (1) were principals of a Commissionregulated person whose license was revoked by Commission order when the person was principal, (2) were principals of any party registered with the Electric Reliably Council of Texas (ERCOT) whose standard form market participant agreement (SFA) was terminated by ERCOT for misconduct within the prior six months of when they were a principal, or (3) are otherwise prohibited by Commission order from acting as a principal of a Commission-regulated entity.

I swear and affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this registration form on behalf of the registrant. I further swear and affirm that all statements made in the registration form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear and affirm that the registrant understands and will comply with all requirements of the applicable law and rules.

Signature of Authorized Representative

DAVIS Cilleflore

Printed Name

GAIA Solar, LLC

Name of Registrant

and subscribed before me this Dav Month

Notary Public Signature

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Notary Public in and for the State of  $\underline{J}$ 

CHRISTINE MUNNELL Notary Public - Maryland Anne Arundel County **Commission Expires** Μv 04/08/2028

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#### SPICE A Applicable in Free Registrant

All Registrants					Self-Generators Only		
Generating Unit's Name	Physical Address of Unit	County of Unit	Interconnecting Transmission Service Provider	Power Region	Total Capacity Rating in MW	Type(s) of Generation*	MW Consumption of Co-Located Load
Gaia Solar	1075 NE CR 3020, Kerens, TX	Navarro	Oncor Electric Delivery Company LLC	ERCOT	144.02	Solar	
Gaia Storage	1075 NE CR 3020, Kerens, TX	Navarro	Oncor Electric Delivery Company LLC	ERCOT	78.81	Storage	
			-				
				1			

Provide information for each generating unit. If more room is needed to list all generating units, attach additional copies of Part E.

\*i.e., biomass, wind, geothermal, solar, hydro, nuclear, landfill gas, energy storage, hydrogen, diesel, coal, natural gas, other (provide an explanation)

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Updated: 7/21/23

Registration Form for Power Generation Companies and Self-Generators

#### UNITED STATES OF AMERICA BEFORE THE FEDERAL ENERGY REGULATORY COMMISSION

GAIA Solar, LLC

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Docket No. EG25-\_\_\_-000

#### NOTICE OF SELF-CERTIFICATION OF EXEMPT WHOLESALE GENERATOR STATUS OF <u>GAIA SOLAR, LLC</u>

Pursuant to Section 1266 of the Public Utility Holding Company Act of 20051 and

section 366.7(a) of the regulations of the Federal Energy Regulatory Commission

("Commission"),<sup>2</sup> GAIA Solar, LLC ("GAIA") hereby submits this notice of self-certification of

its status as an exempt wholesale generator ("EWG"), as defined in section 366.1 of the

Commission's regulations.<sup>3</sup> In support of this notice, GAIA states as follows:

#### I. CORRESPONDENCE AND COMMUNICATIONS

All communications and correspondence regarding this notice should be sent to the

following persons who are authorized to receive service:

Tyler Hall	Chimera N. Thompson
Vice President, Legal	Kimberly B. Frank
Sunraycer Renewables LLC	K&L Gates LLP
105 Eastern Avenue, Suite 203	1601 K Street, NW
Annapolis, MD 21403	Washington, DC 20006
Tel: (410) 402-5505	Tel: (202) 778-9000
Email: thall@sunraycer.com	Email: <u>chimera.thompson@klgates.com</u>
	kimberly.frank@klgates.com

#### II. DESCRIPTION OF GAIA

GAIA is a Delaware limited liability company with its principal place of business in

<sup>&</sup>lt;sup>1</sup> Energy Policy Act of 2005, §§ 1261-77, Pub. L. No. 109-58, 119 Stat. 594 (2005).

<sup>&</sup>lt;sup>2</sup> 18 C.F.R. § 366.7(a) (2025).

<sup>&</sup>lt;sup>3</sup> *Id.* § 366.1.

Annapolis, Maryland. GAIA is developing, and will own and operate, an approximately 143.2 megawatt ("MW") (nameplate) solar-powered electric generating facility and a co-located 78.81 MWh battery energy storage system ("BESS"), to be located in Navarro County, Texas (the "Facility"). The Facility will include limited interconnection facilities necessary to effectuate wholesale power sales, and will interconnect with the transmission system owned by Oncor Electric Delivery Company, LLC within the Electric Reliability Council of Texas, Inc. ("ERCOT"). The Facility is expected to be placed in service on May 23, 2025.

GAIA Solar, LLC is a wholly owned subsidiary of Sunraycer Assets I LLC, a Delaware limited liability company. Sunraycer Assets I LLC is a wholly owned subsidiary of Sunraycer HPS Borrower LLC, which in turn, is a wholly owned subsidiary of Sunraycer HPS Parent LLC, which in turn, is a wholly owned subsidiary of Sunraycer Renewables LLC, a Delaware limited liability company. Sunraycer Renewables LLC is a wholly owned subsidiary of Sunraycer Holdings LLC, a Delaware limited liability company.

#### III. REPRESENTATIONS REGARDING EXEMPT WHOLESALE GENERATOR STATUS

In accordance with the Commission's regulations, GAIA makes the following

representations demonstrating that it meets the definition of an EWG under Section 366.1 of the

Commission's regulations:

1. GAIA will be engaged directly, or indirectly through one or more affiliates as described in Section 366.1 of the Commission's regulations, and exclusively in the business of owning or operating, or both owning and operating, all or part of one or more eligible facilities and selling electric energy at wholesale.<sup>4</sup>

<sup>&</sup>lt;sup>4</sup> The Commission has held that a BESS "can qualify as an 'cligible facility' for purposes of determining whether [an entity that owns or operates such a storage system] meets the requirements of EWG status" where such entity "will [own and/or] operate the [storage system] in such a manner that it will be engaged directly and exclusively in selling electric energy at wholesale." AES ES Westover, LLC, 131 FERC ¶ 61,008, at PP 5-7 (2010). In addition, several entities that own and operate generation plus battery storage facilities have previously self-certified their EWG status and

- 2. The Facility is an "eligible facility" because it will (i) be used for the generation of electric energy exclusively for sale at wholesale and (ii) include only those interconnecting transmission facilities that are necessary to effect a sale of electric energy at wholesale.
- 3. No rate or charge for, or in connection with, the construction of the Facility, or for electric energy produced by the Facility (other than any portion of a rate or charge which represents recovery of the cost of a wholesale rate or charge), was in effect under the laws of any state on October 24, 1992. Therefore, no determinations by a state commission are necessary for this self-certification to become effective.
- 4. There are no lease arrangements through which GAIA will lease the Facility to a public utility company or any other party.
- 5. GAIA will not sell electricity at retail to any customer.
- 6. No portion of the Facility will be owned or operated by an electric utility company that is an affiliate or associate company of GAIA, as defined in section 366.1 of the Commission's regulations other than an affiliate or associate company that is an EWG.

#### IV. OTHER POTENTIAL INCIDENTAL ACTIVITIES

In connection with operating the Facility, GAIA may engage in the following incidental

activities that the Commission has found to be permissible:

- the sale of ancillary services;<sup>5</sup>
- the resale or reassignment of excess transmission capacity;<sup>6</sup>
- the purchase and sale of congestion revenue rights needed to operate the Facility;<sup>7</sup>

<sup>6</sup> See, e.g., Compañia Hidroeléctrica Doña Julia S. De R.L., 85 FERC ¶ 61,336, at pp. 62,317-18 (1998); CNG Power Servs. Corp., 71 FERC ¶ 61,026, at pp. 61,103-04 (1995).

the Commission has allowed the EWG status of such entities to become effective by operation of 18 C.F.R. § 366.7(a). See, e.g., AES Lawa'i Solar, LLC, Notice of Effectiveness of Exempt Wholesale Generator Status, Docket No. EG19-74-000 (issued June 6, 2019); AES Lawa'i Solar, LLC, Notice of Self-Certification of Exempt Wholesale Generator Status, Docket No. EG19-74-000 (filed Mar. 15, 2019) (self-certifying EWG status of the owner and operator of a photovoltaic solar power generation facility with an approximate capacity of 28 MW (direct current), combined with a 100 MWh battery storage system direct current, coupled to a 20 MW (alternating current) power conversion system in Hawaii).

<sup>&</sup>lt;sup>5</sup> See, e.g., Duke Energy Oakland LLC, 83 FERC ¶ 61,304, at pp. 62,251-52 (1998); Sithe Framingham LLC, 83 FERC ¶ 61,106, at p. 61,504 (1998).

<sup>&</sup>lt;sup>7</sup> See Duquesne Power, L.P., 106 FERC ¶ 61,104, at PP 6-7 (2004).

- acquiring or trading emission allowances consistent with the Commission's limitation that an EWG may engage in such trading so long as the emission allowances were originally obtained in the normal course of operating the Facility;<sup>8</sup>
- engaging in project development and financing activities associated with the Facility, including but not necessarily limited to due diligence; site investigations; feasibility studies; preliminary design and engineering; licensing and permitting; negotiation of asset and land acquisitions; negotiation of contractual commitments with lenders, equity investors, governmental authorities, and other project participants; negotiation of power sales contracts or hedge agreements; equipment purchases; fuel supply; engineering, construction, interconnection, and related matters; and preparation and submission of bid proposals;<sup>9</sup>
- the sale of "green" power certificates or credits consistent with the Commission's limitation that an EWG may sell such certificates or credits where they are associated with power produced by the Facility;<sup>10</sup> and
- other activities incidental to the sale of electric energy at wholesale that are consistent with the Commission's EWG precedent.

### V. STATE COMMISSION NOTIFICATION

As required by section 366.7(a) of the Commission's regulations, a copy of this notice is

concurrently being filed with the Public Utility Commission of Texas, which is the state

regulatory authority in the state in which the Facility will be located.

<sup>&</sup>lt;sup>8</sup> See UGI Dev. Co., 89 FERC ¶ 61,192, at pp. 61,587-88 (1999).

<sup>&</sup>lt;sup>9</sup> See Empresa Valle Hermoso, S.A., 72 FERC ¶ 61,306, at p. 62,288 (1995).

<sup>&</sup>lt;sup>10</sup> See Madison Windpower, LLC, 93 FERC § 61,270, at p. 61,871 (2000).

#### VI. CONCLUSION

For the foregoing reasons, GAIA satisfies the requirements for EWG status and

respectfully requests that the Commission accept its notice of self-certification of EWG status.

Respectfully submitted,

#### /s/ Chimera Thompson

Chimera Thompson K&L Gates LLP 1601 K Street, N.W. Washington, DC 20006 chimera.thompson@klgates.com Tel: (202) 778-4371

Dated: March 13, 2025