

SECTION 3.20 SPECIFIC UTILITY EXTENSION POLICY (Continued)

(3) If an exception is granted, the Utility shall establish a proportional cost plan for the specific extension or a rebate plan which may be limited to seven years to return the portion of the applicant's costs for oversizing as new customers are added to ensure that future applicants for service on the line pay at least as much as the initial service applicant.

For purposes of determining the costs that service applicants shall pay, commercial customers with service demands greater than residential customer demands in the certificated area, industrial, and wholesale customers shall be treated as developers.

**Attachment 05 - List of Customer Deposits
(to be filed under CONFIDENTIAL seal)**

**Attachment 06 - Proposed Accounting Entries
(to be filed under CONFIDENTIAL seal)**

Attachment 07 - Certificate of Account Status



Franchise Tax Account Status

As of : 06/30/2023 11:29:44

This page is valid for most business transactions but is not sufficient for filings with the Secretary of State

TEXAS WATER UTILITIES, L.P.

Texas Taxpayer Number 10303732514

Mailing Address 12535 REED RD C/O TAX DEPT (FSC) SUGAR LAND,
TX 77478-2837

Right to Transact Business in Texas ACTIVE

State of Formation TX

Effective SOS Registration Date 12/10/2001

Texas SOS File Number 0800034797

Registered Agent Name COGENCY GLOBAL INC.

Registered Office Street Address 1601 ELM STREET SUITE 4360 DALLAS, TX 75201



Franchise Tax Account Status

As of : 06/30/2023 11:28:28

This page is valid for most business transactions but is not sufficient for filings with the Secretary of State

SWWC UTILITIES, INC.

Texas Taxpayer Number 12602872199

Mailing Address 12535 REED RD ATTN: TAX DEPT (FSC) SUGAR LAND,
TX 77478-2837

Right to Transact Business in Texas ACTIVE

State of Formation DE

Effective SOS Registration Date 06/19/2007

Texas SOS File Number 0800832416

Registered Agent Name COGENCY GLOBAL INC.

Registered Office Street Address 1601 ELM STREET SUITE 4360 DALLAS, TX 75201

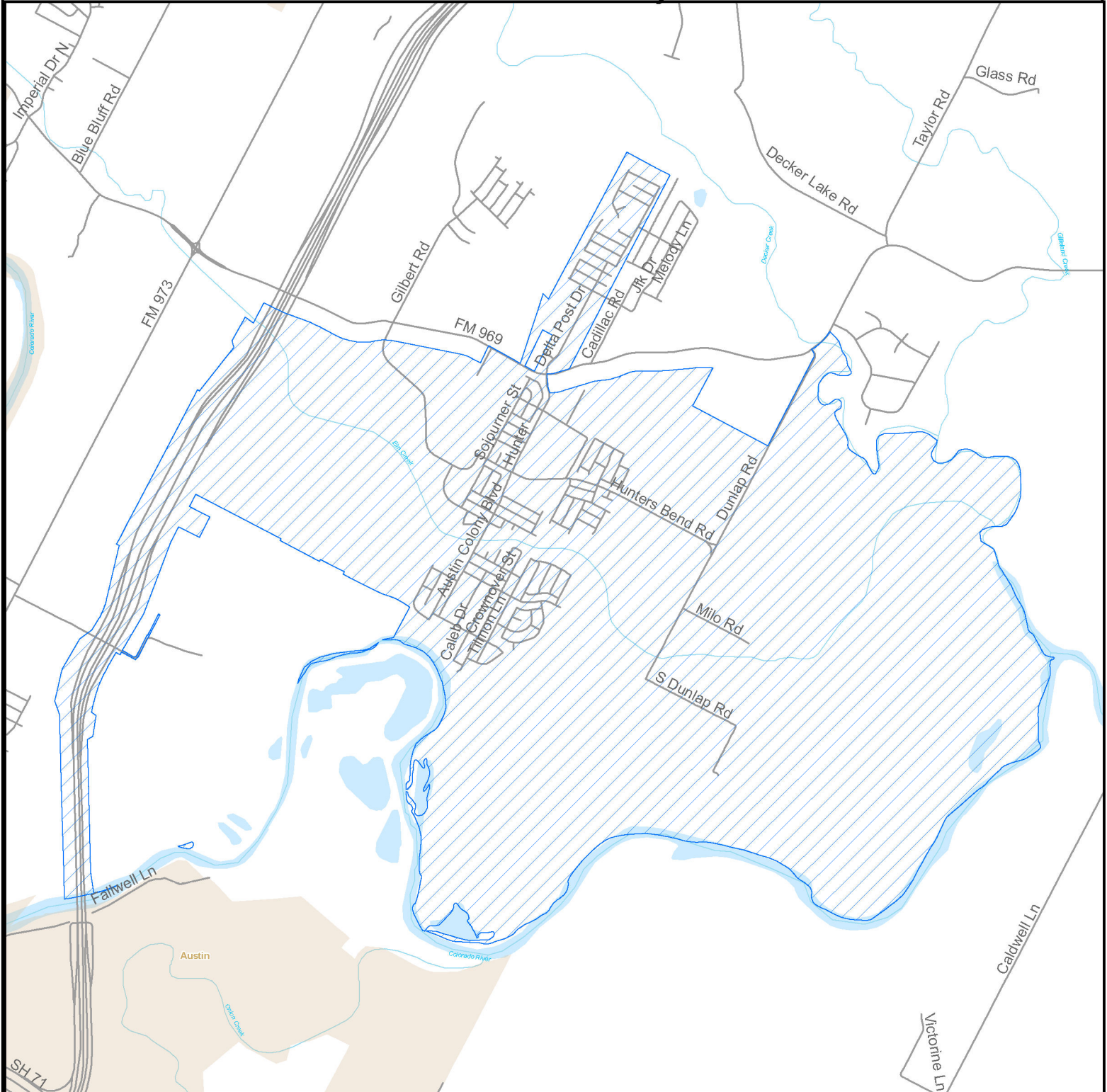
**Attachment 08 - Financial Audit
(to be filed under CONFIDENTIAL seal)**

**Attachment 09 - Disclosure of Affiliate Interests
(to be filed under CONFIDENTIAL seal)**

**Attachment 10 - List of Assets to be Transferred
(to be filed under CONFIDENTIAL seal)**

Attachment 11 - Detailed (Large Scale) Maps

**Transfer SWWC Utilities, Inc. dba Hornsby Bend Utility Company, Inc.
(CCN No. 11978) to Texas Water Utilities, L.P. (CCN No. 12983)
in Travis County**

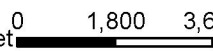


Detailed Map

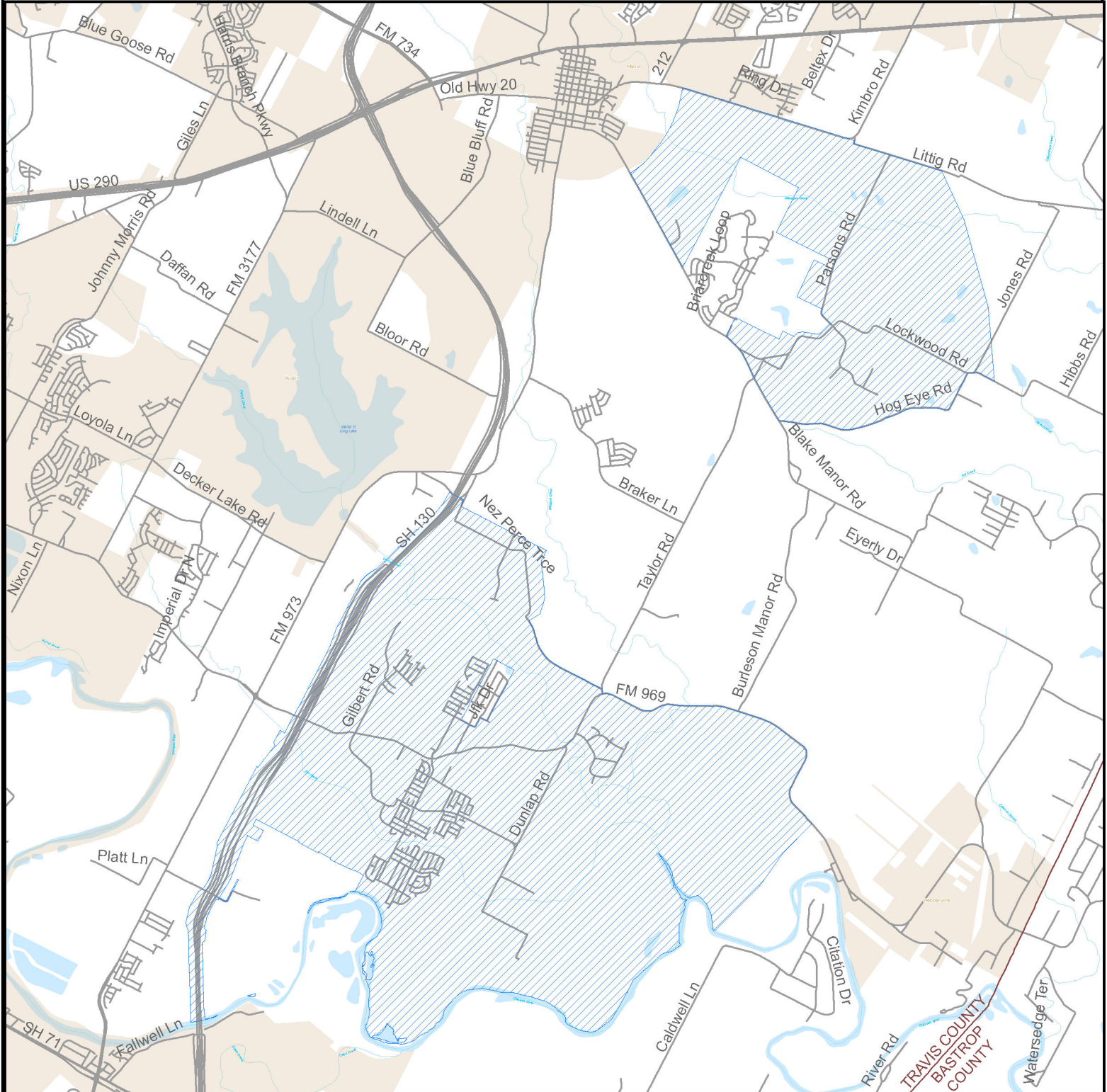


Legend

 Requested Area to Transfer

Feet  0 1,800 3,600

Transfer SWWC Utilities, Inc. dba Hornsby Bend Utility Company, Inc. (CCN No. 20650) to Texas Water Utilities, L.P. (CCN No. 20899) in Travis County

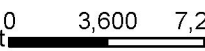


Detailed Map



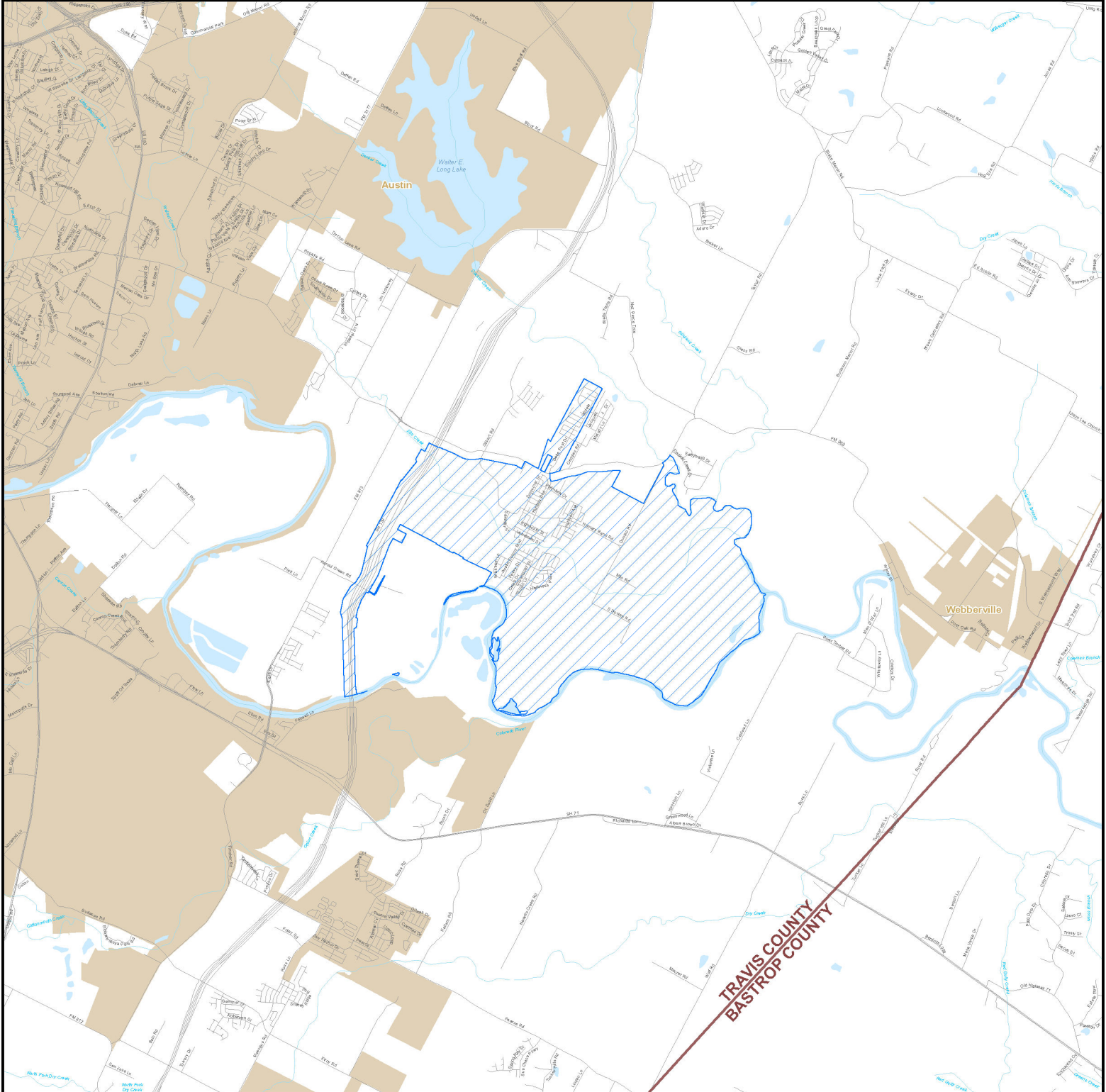
Legend

 Requested Area to Transfer

0 3,600 7,200
Feet 

Attachment 12 - General (Small Scale) Maps

Transfer SWWC Utilities, Inc. dba Hornsby Bend Utility Company, Inc. (CCN No. 11978) to Texas Water Utilities, L.P. (CCN No. 12983) in Travis County



General Location Map



Legend

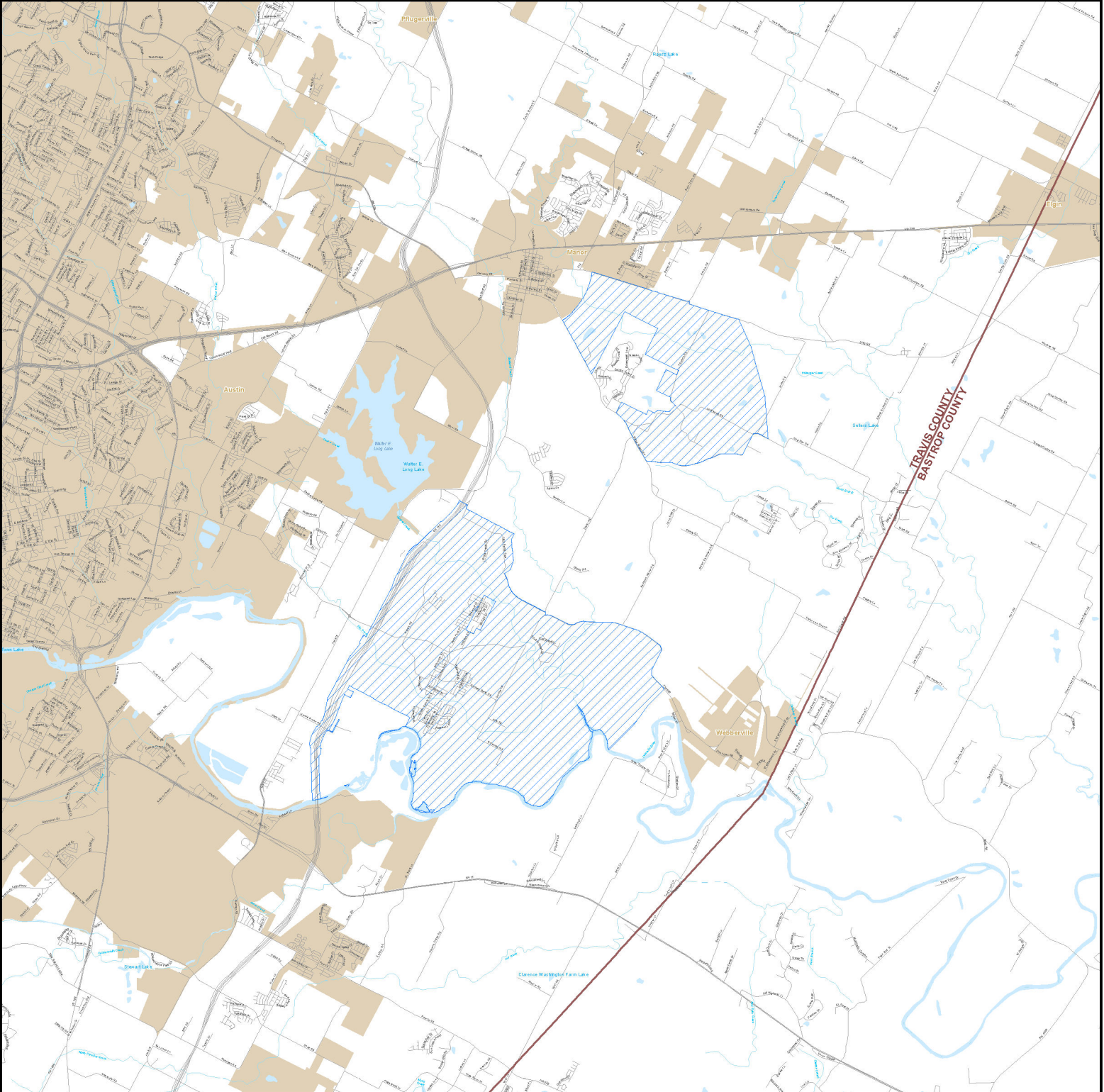


Requested Area to Transfer

Created by: Eric Le
Date Created: 06/28/2023

0 4,200 8,400
Feet

Transfer SWWC Utilities, Inc. dba Hornsby Bend Utility Company, Inc. (CCN No. 20650) to Texas Water Utilities, L.P. (CCN No. 20899) in Travis County



General Location Map



Legend



Requested Area to Transfer

Created by: Eric Le
Date Created: 06/28/2023

0 6,300 12,600
Feet

**Attachment 13 - Transferee Projected Financial
Information
(to be filed under CONFIDENTIAL seal)**

**Attachment 14 - Transferee Enforcement Action
Summary**

Utility	Area Office	System Name	System Type	PWS/WQ ID Numbr	Docket Number	Violation	Date Agreed Order Signed by TCEQ	Comments
Texas Water Utilities	Pottsboro	Ridgecrest	Water	0910035	2021-0550-PWS-E	HAA5s	9/15/2022	HAA5s concentration has returned to compliance. Waiting on NOC.
Texas Water Utilities	Conroe	Holiday Villages of Livingston	Wastewater	WQ0014056001	2022-0430-MWD-E	Effluent Violations		pending Effluent noncompliant in February 2022. Awaiting final approval of the SEP.
Texas Water Utilities	Mabank	Indian Hills Harbor	Water	1580063	2022-0888-PWS-E	TTHMs	4/17/2023	Agreed Order signed by the commission 04/17. TTHMs RRA is in compliance with the MCL. Waiting to receive NOC.
Texas Water Utilities	Conroe	Governors Point	Water	2040008	2020-1182-PWS-E	Plan Review	6/29/2021	Certification statement submitted to TCEQ. New approved PT in service Jan 2022. Waiting to receive NOC.

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



IN THE MATTER OF AN
ENFORCEMENT ACTION
CONCERNING
MONARCH UTILITIES I L.P.
RN101450286

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BEFORE THE
TEXAS COMMISSION ON
ENVIRONMENTAL QUALITY

AGREED ORDER
DOCKET NO. 2021-0550-PWS-E

On SEP 07 2022, the Texas Commission on Environmental Quality ("the Commission" or "TCEQ") considered this agreement of the parties, resolving an enforcement action regarding Monarch Utilities I L.P. (the "Respondent") under the authority of TEX. HEALTH & SAFETY CODE ch. 341. The Executive Director of the TCEQ, through the Enforcement Division, and the Respondent presented this Order to the Commission.

The Respondent understands that it has certain procedural rights at certain points in the enforcement process, including the right to formal notice of violations, notice of an evidentiary hearing, the right to an evidentiary hearing, and a right to appeal. By entering into this Order, the Respondent agrees to waive all notice and procedural rights.

It is further understood and agreed that this Order represents the complete and fully-integrated agreement of the parties. The provisions of this Order are deemed severable and, if a court of competent jurisdiction or other appropriate authority deems any provision of this Order unenforceable, the remaining provisions shall be valid and enforceable. The duties and responsibilities imposed by this Order are binding upon the Respondent.

The Commission makes the following Findings of Fact and Conclusions of Law:

I. FINDINGS OF FACT

1. The Respondent owns and operates a public water supply located at 366 Ridgecrest Road near Pottsboro, Grayson County, Texas (the "Facility"). The Facility provides water for human consumption, has approximately 527 service connections, and serves at least 25 people per day for at least 60 days per year. As such, the Facility is a public water system as defined in 30 TEX. ADMIN. CODE § 290.38(71).
2. During a record review conducted on March 15, 2021 through March 26, 2021, an investigator documented that the locational running annual average concentrations for haloacetic acids ("HAA5") for Stage 2 Disinfection Byproducts at Site 2 were 0.081 milligrams per liter ("mg/L") for the third quarter of 2020, 0.080 mg/L for the fourth quarter of 2020, and 0.083 mg/L for the first quarter of 2021.

II. CONCLUSIONS OF LAW

1. As evidenced by Finding of Fact No. 1, the Respondent is subject to the jurisdiction of the TCEQ pursuant to TEX. HEALTH & SAFETY CODE ch. 341 and the rules of the TCEQ.
2. As evidenced by Finding of Fact No. 2, the Respondent failed to comply with the maximum contaminant level ("MCL") of 0.060 mg/L for HAA5 based on the locational running annual average, in violation of 30 TEX. ADMIN. CODE § 290.115(f)(1) and TEX. HEALTH & SAFETY CODE § 341.0315(c).
3. Pursuant to TEX. HEALTH & SAFETY CODE § 341.049(a), the TCEQ has the authority to assess an administrative penalty against the Respondent for violations of state statutes within the TCEQ's jurisdiction, for violations of rules adopted under such statutes, or for violations of orders or permits issued under such statutes.
4. An administrative penalty in the amount of \$4,125 is justified by the facts recited in this Order, and considered in light of the factors set forth in TEX. HEALTH & SAFETY CODE § 341.049(b). The Respondent paid the \$4,125 penalty.

III. ORDERING PROVISIONS

NOW, THEREFORE, THE TEXAS COMMISSION ON ENVIRONMENTAL QUALITY ORDERS that:

1. The Respondent is assessed a penalty as set forth in Conclusion of Law No. 4 for violations of state statutes and rules of the TCEQ. The payment of this penalty and the Respondent's compliance with all the requirements set forth in this Order resolve only the matters set forth by this Order in this action. The Commission shall not be constrained in any manner from requiring corrective actions or penalties for violations that are not raised here. Penalty payments shall be made payable to "TCEQ" and shall be sent with the notation "Re: Monarch Utilities I L.P., Docket No. 2021-0550-PWS-E" to:

Financial Administration Division, Revenue Operations Section
Attention: Cashier's Office, MC 214
Texas Commission on Environmental Quality
P.O. Box 13088
Austin, Texas 78711-3088

2. The Respondent shall undertake the following technical requirements:
 - a. Within 365 days after the effective date of this Order, return to compliance with the MCL for HAA5, based on the locational running annual average, in accordance with 30 TEX. ADMIN. CODE § 290.115.
 - b. Within 380 days after the effective date of this Order, submit written certification, and include detailed supporting documentation including photographs, receipts, and/or other records to demonstrate compliance with

Ordering Provision No. 2.a. The certification shall be signed by the Respondent and shall include the following certification language:

"I certify under penalty of law that I have personally examined and am familiar with the information submitted and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations."

The certification shall be submitted to:

Order Compliance Team
Enforcement Division, MC 149A
Texas Commission on Environmental Quality
P.O. Box 13087
Austin, Texas 78711-3087

with a copy to:

Drinking Water Special Functions Section Manager
Water Supply Division, MC 155
Texas Commission on Environmental Quality
P.O. Box 13087
Austin, Texas 78711-3087

3. All relief not expressly granted in this Order is denied.
4. The duties and provisions imposed by this Order shall apply to and be binding upon the Respondent. The Respondent is ordered to give notice of this Order to personnel who maintain day-to-day control over the Facility operations referenced in this Order.
5. The Executive Director may grant an extension of any deadline in this Order or in any plan, report, or other document submitted pursuant to this Order, upon a written and substantiated showing of good cause. All requests for extensions by the Respondent shall be made in writing to the Executive Director. Extensions are not effective until the Respondent receives written approval from the Executive Director. The determination of what constitutes good cause rests solely with the Executive Director. Extension requests shall be sent to the Order Compliance Team at the address listed above.
6. The Executive Director may, without further notice or hearing, refer this matter to the Office of the Attorney General of the State of Texas ("OAG") for further enforcement proceedings if the Executive Director determines that the Respondent has not complied with one or more of the terms in this Order.

7. This Order shall terminate five years from its effective date or upon compliance with all the terms and conditions set forth in this Order, whichever is later.
8. This Order, issued by the Commission, shall not be admissible against the Respondent in a civil proceeding, unless the proceeding is brought by the OAG to: (1) enforce the terms of this Order; or (2) pursue violations of a statute within the Commission's jurisdiction, or of a rule adopted or an order or permit issued by the Commission under such a statute.
9. This Order may be executed in separate and multiple counterparts, which together shall constitute a single instrument. Any page of this Order may be copied, scanned, digitized, converted to electronic portable document format ("pdf"), or otherwise reproduced and may be transmitted by digital or electronic transmission, including but not limited to facsimile transmission and electronic mail. Any signature affixed to this Order shall constitute an original signature for all purposes and may be used, filed, substituted, or issued for any purpose for which an original signature could be used. The term "signature" shall include manual signatures and true and accurate reproductions of manual signatures created, executed, endorsed, adopted, or authorized by the person or persons to whom the signatures are attributable. Signatures may be copied or reproduced digitally, electronically, by photocopying, engraving, imprinting, lithographing, electronic mail, facsimile transmission, stamping, or any other means or process which the Executive Director deems acceptable. In this paragraph exclusively, the terms: electronic transmission, owner, person, writing, and written, shall have the meanings assigned to them under TEX. BUS. ORG. CODE § 1.002.
10. The effective date of this Order is the date it is signed by the Commission. A copy of this fully executed Order shall be provided to each of the parties.

SIGNATURE PAGE

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

 _____ For the Commission	<u>9/15/22</u> _____ Date
 _____ For the Executive Director	<u>7/19/2022</u> _____ Date

I, the undersigned, have read and understand the attached Order. I am authorized to agree to the attached Order, and I do agree to the terms and conditions specified therein. I further acknowledge that the TCEQ, in accepting payment for the penalty amount, is materially relying on such representation.

I also understand that failure to comply with the Ordering Provisions, if any, in this Order and/or failure to timely pay the penalty amount, may result in:

- A negative impact on compliance history;
- Greater scrutiny of any permit applications submitted;
- Referral of this case to the Attorney General's Office for contempt, injunctive relief, additional penalties, and/or attorney fees, or to a collection agency;
- Increased penalties in any future enforcement actions;
- Automatic referral to the Attorney General's Office of any future enforcement actions; and
- TCEQ seeking other relief as authorized by law.

In addition, any falsification of any compliance documents may result in criminal prosecution.

 _____ Signature	<u>June 13, 2022</u> _____ Date
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<u>Jeffrey L. McIntyre</u> _____ Name (Printed or typed) Authorized Representative of Monarch Utilities I L.P.	<u>President</u> _____ Title
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If mailing address has changed, please check this box and provide the new address below:

Jon Niermann, *Chairman*
Emily Lindley, *Commissioner*
Bobby Janecka, *Commissioner*
Toby Baker, *Executive Director*



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY
Protecting Texas by Reducing and Preventing Pollution

September 19, 2022

FIRST CLASS MAIL

Jeffrey L. McIntyre, President
Monarch Utilities I L.P.
12535 Reed Road
Sugar Land, Texas 77478

RE: Monarch Utilities I L.P.
TCEQ Docket No. 2021-0550-PWS-E; Registration No. 0910035
Agreed Order Assessing Administrative Penalties and Requiring Certain Actions

Enclosed is a copy of an order issued by the Commission.

Questions regarding the order should be directed to the Texas Commission on Environmental Quality's Enforcement Division at (512) 239-2545 or the Litigation Division at (512) 239-3400. If there are questions pertaining to the mailing of the order, then please contact Amy Davis of the Office of the Chief Clerk at (512) 239-3300.

Sincerely,

A handwritten signature in cursive script that reads "Laurie Gharis".

Laurie Gharis
Chief Clerk

LG/ad

Enclosure

cc: Samantha Duncan, Enforcement Coordinator, TCEQ Enforcement Division

Jon Niermann, *Chairman*
Emily Lindley, *Commissioner*
Bobby Janecka, *Commissioner*
Toby Baker, *Executive Director*



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

December 16, 2020

Charles W. Profilet, Jr., President
Texas Water Services Goup, LLC, General Partner
Monarch Utilities I, L.P.
12535 Reed Road
Sugar Land, Texas 77478

Re: Proposed Agreed Order
Monarch Utilities I, L.P.; RN102318532; Public Water Supply ID No. 2040008
Docket No. 2020-1182-PWS-E; Enforcement Case No. 59731
FOR SETTLEMENT PURPOSES ONLY

Dear Mr. Profilet:

The Executive Director of the Texas Commission on Environmental Quality ("Commission" or "TCEQ") is pursuing an enforcement action against Monarch Utilities I, L.P. for violations of the Texas Health & Safety Code and Commission Rules. These violations were discovered during a record review conducted on August 3, 2020, and documented in a letter dated August 13, 2020, from the TCEQ Beaumont Regional Office.

Please find enclosed a proposed agreed order which we have prepared in an attempt to expedite this enforcement action. The order assesses an administrative penalty of \$150. We are proposing a one-time offer to defer \$30 of the administrative penalty if you satisfactorily comply with all the ordering provisions within the time frames listed. Therefore, the administrative penalty to be paid is \$120. The order also identifies the violations that we are addressing and identifies specific technical requirements necessary to resolve them.

If you have any questions regarding this matter, we are available to discuss them in a conference in Austin or over the telephone. If we reach agreement in a timely manner, the TCEQ will then proceed with the remaining procedural steps to settle this matter. These steps include publishing notice of the proposed order in the *Texas Register*, and scheduling the matter for approval by the Commission. We believe that handling this matter expeditiously could save Monarch Utilities I, L.P. and the TCEQ a significant amount of time, as well as the expense associated with litigation.

Charles W. Proffitt Jr.
Page 2
December 16, 2020

Enclosed for your convenience is a return envelope. If you agree with the order as proposed, please sign and return the original order **and** the penalty payment (check payable to "TCEQ" and referencing Monarch Utilities I, L.P., Docket No. 2020-1182-PWS-E) to:

Financial Administration Division, Revenue Operations Section
Attention: Cashier's Office, MC 214
Texas Commission on Environmental Quality
P.O. Box 13088
Austin, Texas 78711-3088

Should you believe you are unable to pay the proposed administrative penalty, you may claim financial inability to pay part or all of the penalty amount. In order to qualify for financial inability to pay, the penalty must exceed \$3,600 and be greater than 1% of annual gross revenues. If this is the case, please contact us immediately to obtain a list of financial disclosure documents that must be submitted within 30 days of the receipt of this letter. These documents, once properly completed and submitted, will be thoroughly reviewed to determine if we agree with the claim of financial inability. Please be aware that if financial inability is proven to the satisfaction of staff, discussions pertaining to the penalty amount adjustment will focus only on deferral and not on waiver of the penalty amount.

You may be able to perform or contribute to a Supplemental Environmental Project ("SEP"), which is a project that benefits the environment, to offset a portion of your penalty. **If you are interested in performing an SEP, you must agree to the penalty amount and submit an SEP proposal within 30 days of receipt of this proposed order.**

For additional information about the types of SEPs available and eligibility criteria, please go to the TCEQ's web site link at <https://www.tceq.texas.gov/compliance/enforcement/sep> or contact the Enforcement Coordinator listed below.

Please note that any agreements we reach are subject to final approval in accordance with 30 TEX. ADMIN. CODE § 70.10(a).

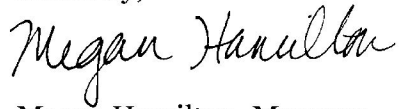
If we cannot reach a settlement of this enforcement action or you do not wish to participate in this expedited process, we will proceed with enforcement under the Commission's Enforcement Rules, 30 TEX. ADMIN. CODE ch. 70. Specifically, if the signed order and penalty are not mailed and postmarked within 60 days from the date of this letter, your case will be forwarded to the Litigation Division and this settlement offer, including the penalty deferral, will no longer be available. The enforcement process described in 30 TEX. ADMIN. CODE ch. 70 requires the staff to prepare and issue an Executive Director's Preliminary Report and Petition to the Commission. If you would like to obtain a copy of 30 TEX. ADMIN. CODE ch. 70, or any other TCEQ rules, the rules themselves and the agency brochure entitled *Obtaining TCEQ Rules* (GI-032) are located on our agency website at <http://www.tceq.texas.gov> for your reference. If you would like a hard copy of

Charles W. Profilet Jr.
Page 3
December 16, 2020

this brochure mailed to you, you may call and request one from the Central Office Publications Ordering Team at (512) 239-0028.

For any questions or comments about this matter or to arrange a meeting, please contact Ms. Samantha Duncan of my staff at (512) 239-2511 or samantha.duncan@tceq.texas.gov.

Sincerely,

A handwritten signature in cursive script that reads "Megan Hamilton".

Megan Hamilton, Manager
Enforcement Division
Texas Commission on Environmental Quality

MH/sd

Enclosures: Proposed Agreed Order, Return Envelope, Penalty Calculation Worksheet, Site Compliance History

Charles W. Profilet Jr.
Page 4
December 16, 2020

bcc: Manager, Water Section, Beaumont Regional Office
Ms. Samantha Duncan, Coordinator, Enforcement Division, MC 219
Central Records, MC 213, Building E, 1st Floor
PWS_2040008_CP_16122020_Enforcement
Enforcement Division Electronic Reader File

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



**IN THE MATTER OF AN
ENFORCEMENT ACTION
CONCERNING
MONARCH UTILITIES I, L.P.
RN102318532**

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**BEFORE THE
TEXAS COMMISSION ON
ENVIRONMENTAL QUALITY**

**AGREED ORDER
DOCKET NO. 2020-1182-PWS-E**

I. JURISDICTION AND STIPULATIONS

On _____, the Texas Commission on Environmental Quality ("the Commission" or "TCEQ") considered this agreement of the parties, resolving an enforcement action regarding Monarch Utilities I, L.P. (the "Respondent") under the authority of TEX. HEALTH & SAFETY CODE ch. 341. The Executive Director of the TCEQ, through the Enforcement Division, and the Respondent together stipulate that:

1. The Respondent owns and operates a public water supply located at 31 Governors Wood Drive in Point Blank, San Jacinto County, Texas (the "Facility"). The Facility provides water for human consumption, has approximately 157 service connections, and serves at least 25 people per day for at least 60 days per year. As such, the Facility is a public water system as defined in 30 TEX. ADMIN. CODE § 290.38(71).
2. The Executive Director and the Respondent agree that the TCEQ has jurisdiction to enter this Order pursuant to TEX. WATER CODE § 7.002 and TEX. HEALTH & SAFETY CODE § 341.049, and that the Respondent is subject to TCEQ's jurisdiction. The TCEQ has jurisdiction in this matter pursuant to TEX. WATER CODE § 5.013 and TEX. HEALTH & SAFETY CODE § 341.031 because it alleges violations of TEX. HEALTH & SAFETY CODE ch. 341 and the rules of the TCEQ.
3. The occurrence of any violation is in dispute and the entry of this Order shall not constitute an admission by the Respondent of any violation alleged in Section II ("Allegations"), nor of any statute or rule.
4. An administrative penalty in the amount of \$150 is assessed by the Commission in settlement of the violations alleged in Section II ("Allegations"). The Respondent paid \$120 of the penalty and \$30 is deferred contingent upon the Respondent's timely and satisfactory compliance with all the terms of this Order. The deferred amount shall be waived only upon full compliance with all the terms and conditions contained in this Order. If the Respondent fails to timely and satisfactorily comply with any of the terms or requirements contained in this Order, the Executive Director may demand payment of all or part of the deferred penalty amount.

5. The Executive Director and the Respondent agree on a settlement of the matters alleged in this enforcement action, subject to final approval in accordance with 30 TEX. ADMIN. CODE § 70.10(a). Any notice and procedures, which might otherwise be authorized or required in this action, are waived in the interest of a more timely resolution of the matter.
6. The Executive Director may, without further notice or hearing, refer this matter to the Office of the Attorney General of the State of Texas ("OAG") for further enforcement proceedings if the Executive Director determines that the Respondent has not complied with one or more of the terms or conditions in this Order.
7. This Order represents the complete and fully-integrated agreement of the parties. The provisions of this Order are deemed severable and, if a court of competent jurisdiction or other appropriate authority deems any provision of this Order unenforceable, the remaining provisions shall be valid and enforceable.
8. This Order shall terminate five years from its effective date or upon compliance with all the terms and conditions set forth in this Order, whichever is later.

II. ALLEGATIONS

During a record review conducted on August 3, 2020, an investigator documented that the Respondent failed to notify the Executive Director prior to making any significant change or addition to the system's production, treatment, storage, pressure maintenance, or distribution facilities, in violation of 30 TEX. ADMIN. CODE § 290.39(j) and TEX. HEALTH & SAFETY CODE § 341.0351. Specifically, a 5,000-gallon pressure tank was replaced with two 62-gallon bladder tanks without approval.

III. DENIALS

The Respondent generally denies each allegation in Section II ("Allegations").

IV. ORDERING PROVISIONS

NOW, THEREFORE, THE TEXAS COMMISSION ON ENVIRONMENTAL QUALITY ORDERS that:

1. The Respondent is assessed a penalty as set forth in Section I, Paragraph No. 4. The payment of this penalty and the Respondent's compliance with all of the requirements set forth in this Order resolve only the allegations in Section II. The Commission shall not be constrained in any manner from requiring corrective action or penalties for violations which are not raised here. Penalty payments shall be made payable to "TCEQ" and shall be sent with the notation "Re: Monarch Utilities I, L.P., Docket No. 2020-1182-PWS-E" to:

Financial Administration Division, Revenue Operations Section
Attention: Cashier's Office, MC 214
Texas Commission on Environmental Quality
P.O. Box 13088
Austin, Texas 78711-3088

2. The Respondent shall undertake the following technical requirements:

- a. Within 180 days after the effective date of this Order, submit accurate, up-to-date, and detailed as-built plans, specifications, and engineering reports for the significant changes made to the Facility's production and treatment, including but not limited to the addition of two 62-gallon bladder tanks, in accordance with 30 TEX. ADMIN. CODE § 290.39. The plans, specifications, and reports shall be submitted to:

Plan Review Team
Water Supply Division, MC 159
Texas Commission on Environmental Quality
P.O. Box 13087
Austin, Texas 78711-3087

Respond completely and adequately, as determined by the TCEQ, to all requests for information concerning plans and specifications within 15 days after the date of such requests, or by any other deadline specified in writing.

- b. Within 195 days after the effective date of this Order, submit written certification as described in Order Provision No. 2.d below, and include detailed supporting documentation including photographs, receipts, and/or other records to demonstrate compliance with Order Provision No. 2.a.
- c. Within 270 days after the effective date of this Order, obtain approval of the as-built plans and specifications for the significant changes made to the Facility from the Executive Director, in accordance with 30 TEX. ADMIN. CODE § 290.39.
- d. Within 285 days after the effective date of this Order, submit written certification, and include detailed supporting documentation including photographs, receipts, and/or other records to demonstrate compliance with Ordering Provision No. 2.c. The certification shall be signed by the Respondent and shall include the following certification language:

"I certify under penalty of law that I have personally examined and am familiar with the information submitted and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations."

The certification shall be submitted to:

Order Compliance Team
Enforcement Division, MC 149A
Texas Commission on Environmental Quality
P.O. Box 13087
Austin, Texas 78711-3087

with a copy to:

Water Section Manager
Beaumont Regional Office
Texas Commission on Environmental Quality
3870 Eastex Freeway
Beaumont, Texas 77703-1830

3. All relief not expressly granted in this Order is denied.
4. The duties and provisions imposed by this Order shall apply to and be binding upon the Respondent. The Respondent is ordered to give notice of this Order to personnel who maintain day-to-day control over the Facility operations referenced in this Order.
5. The Executive Director may grant an extension of any deadline in this Order or in any plan, report, or other document submitted pursuant to this Order, upon a written and substantiated showing of good cause. All requests for extensions by the Respondent shall be made in writing to the Executive Director. Extensions are not effective until the Respondent receives written approval from the Executive Director. The determination of what constitutes good cause rests solely with the Executive Director. Extension requests shall be sent to the Order Compliance Team at the address listed above.
6. This Order, issued by the Commission, shall not be admissible against the Respondent in a civil proceeding, unless the proceeding is brought by the OAG to: (1) enforce the terms of this Order; or (2) pursue violations of a statute within the Commission's jurisdiction, or of a rule adopted or an order or permit issued by the Commission under such a statute.
7. This Order may be executed in separate and multiple counterparts, which together shall constitute a single instrument. Any page of this Order may be copied, scanned, digitized, converted to electronic portable document format ("pdf"), or otherwise reproduced and may be transmitted by digital or electronic transmission, including but not limited to facsimile transmission and electronic mail. Any signature affixed to this Order shall constitute an original signature for all purposes and may be used, filed, substituted, or issued for any purpose for which an original signature could be used. The term "signature" shall include manual signatures and true and accurate reproductions of manual signatures created, executed, endorsed, adopted, or authorized by the person or persons to whom the signatures are attributable. Signatures may be copied or reproduced digitally, electronically, by photocopying, engraving, imprinting, lithographing, electronic mail, facsimile transmission, stamping, or any other means or process which the Executive Director deems acceptable. In this paragraph exclusively,

the terms: electronic transmission, owner, person, writing, and written, shall have the meanings assigned to them under TEX. BUS. ORG. CODE § 1.002.

8. The effective date of this Order is the date it is signed by the Commission. A copy of this fully executed Order shall be provided to each of the parties.

SIGNATURE PAGE

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

For the Commission

Date

For the Executive Director

Date

I, the undersigned, have read and understand the attached Order. I am authorized to agree to the attached Order, and I do agree to the terms and conditions specified therein. I further acknowledge that the TCEQ, in accepting payment for the penalty amount, is materially relying on such representation.

I also understand that failure to comply with the Ordering Provisions, if any, in this Order and/or failure to timely pay the penalty amount, may result in:

- A negative impact on compliance history;
- Greater scrutiny of any permit applications submitted;
- Referral of this case to the Attorney General's Office for contempt, injunctive relief, additional penalties, and/or attorney fees, or to a collection agency;
- Increased penalties in any future enforcement actions;
- Automatic referral to the Attorney General's Office of any future enforcement actions; and
- TCEQ seeking other relief as authorized by law.

In addition, any falsification of any compliance documents may result in criminal prosecution.

Signature

Date

Name (Printed or typed)
Authorized Representative of
Monarch Utilities I, L.P.

Title

If mailing address has changed, please check this box and provide the new address below:

Instructions: Send the original, signed Order with penalty payment to the Financial Administration Division, Revenue Operations Section at the address in Ordering Provision 1 of this Order.

Jon Niermann, *Chairman*
Emily Lindley, *Commissioner*
Bobby Janecka, *Commissioner*
Toby Baker, *Executive Director*



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

August 29, 2022

Mr. Jeffrey McIntyre, President
Texas Water Services Group, LLC, General Partner
Texas Water Utilities, L.P.
12535 Reed Road
Sugar Land, Texas 77478

Re: Proposed Agreed Order
Texas Water Utilities, L.P.
RN101255818; Public Water Supply ID No. 1580063
Docket No. 2022-0888-PWS-E; Enforcement Case No. 62648
FOR SETTLEMENT PURPOSES ONLY

Dear Mr. McIntyre:

The Executive Director of the Texas Commission on Environmental Quality ("Commission" or "TCEQ") is pursuing an enforcement action against Texas Water Utilities, L.P. for violations of the Texas Health & Safety Code and Commission Rules. These violations were discovered during a record review conducted on June 20, 2022 through July 8, 2022, and documented in a letter dated July 8, 2022, from the TCEQ Drinking Water Special Functions Section.

Please find enclosed a proposed agreed order which we have prepared in an attempt to expedite this enforcement action. The order assesses an administrative penalty of \$3,375, identifies the violations that we are addressing, and identifies specific technical requirements necessary to resolve them.

If you have any questions regarding this matter, we are available to discuss them in a conference in Austin or over the telephone. If we reach agreement in a timely manner, the TCEQ will then proceed with the remaining procedural steps to settle this matter. These steps include publishing notice of the proposed order in the *Texas Register*, and scheduling the matter for approval by the Commission. We believe that handling this matter expeditiously could save Texas Water Utilities, L.P. and the TCEQ a significant amount of time, as well as the expense associated with litigation.

Enclosed for your convenience is a return envelope. If you agree with the order as proposed, please sign and return the original order **and** the penalty payment (check payable to "TCEQ" and referencing Texas Water Utilities, L.P., Docket No. 2022-0888-PWS-E) to:

Mr. Jeffrey McIntyre
August 29, 2022
Page 2

Financial Administration Division, Revenue Operations Section
Attention: Cashier's Office, MC 214
Texas Commission on Environmental Quality
P.O. Box 13088
Austin, Texas 78711-3088

Should you believe you are unable to pay the proposed administrative penalty, you may claim financial inability to pay part or all of the penalty amount. In order to qualify for financial inability to pay, the penalty must exceed \$3,600 and be greater than 1% of annual gross revenues. If this is the case, please contact us immediately to obtain a list of financial disclosure documents that must be submitted within 30 days of the receipt of this letter. These documents, once properly completed and submitted, will be thoroughly reviewed to determine if we agree with the claim of financial inability. Please be aware that if financial inability is proven to the satisfaction of staff, discussions pertaining to the penalty amount adjustment will focus only on deferral and not on waiver of the penalty amount.

You may be able to perform or contribute to a Supplemental Environmental Project ("SEP"), which is a project that benefits the environment, to offset a portion of your penalty. **If you are interested in performing an SEP, you must agree to the penalty amount and submit an SEP proposal within 30 days of receipt of this proposed order.**

For additional information about the types of SEPs available and eligibility criteria, please go to the TCEQ's web site link at <https://www.tceq.texas.gov/compliance/enforcement/sep> or contact the Enforcement Coordinator listed below.

Please note that any agreements we reach are subject to final approval in accordance with 30 TEX. ADMIN. CODE § 70.10(a).

If we cannot reach a settlement of this enforcement action or you do not wish to participate in this expedited process, we will proceed with enforcement under the Commission's Enforcement Rules, 30 Tex. Admin. Code ch. 70. Specifically, if the signed order and penalty are not mailed and postmarked within 60 days from the date of this letter, your case will be forwarded to the Litigation Division and this settlement offer will no longer be available. The enforcement process described in 30 TEX. ADMIN. CODE ch. 70 requires the staff to prepare and issue an Executive Director's Preliminary Report and Petition to the Commission. If you would like to obtain a copy of 30 TEX. ADMIN. CODE ch. 70, or any other TCEQ rules, the rules themselves and the agency brochure entitled *Obtaining TCEQ Rules* (GI-032) are located on our agency website at <http://www.tceq.texas.gov> for your reference. If you

Mr. Jeffrey McIntyre
August 29, 2022
Page 3

would like a hard copy of this brochure mailed to you, you may call and request one from the Central Office Publications Ordering Team at (512) 239-0028.

For any questions or comments about this matter or to arrange a meeting, please contact Mr. Nick Lohret of my staff at (512) 239-4495 or nick.lohret@tceq.texas.gov.

Sincerely,



Megan Hamilton, Manager
Enforcement Division
Texas Commission on Environmental Quality

MH/nl

Enclosures: Proposed Agreed Order, Return Envelope, Penalty Calculation Worksheet,
Site Compliance History

cc: Mr. Chuck Barry, EHS Manager, Texas Water Utilities, L.P., 1620 Grand Avenue
Parkway Suite 140, Pflugerville, Texas 78660, tbarry@swwc.com

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



IN THE MATTER OF AN
ENFORCEMENT ACTION
CONCERNING
TEXAS WATER UTILITIES, L.P.
RN101255818

§
§
§
§
§

BEFORE THE
TEXAS COMMISSION ON
ENVIRONMENTAL QUALITY

AGREED ORDER DOCKET NO. 2022-0888-PWS-E

On _____, the Texas Commission on Environmental Quality ("the Commission" or "TCEQ") considered this agreement of the parties, resolving an enforcement action regarding Texas Water Utilities, L.P. (the "Respondent") under the authority of TEX. HEALTH & SAFETY CODE ch. 341. The Executive Director of the TCEQ, through the Enforcement Division, and the Respondent presented this Order to the Commission.

The Respondent understands that it has certain procedural rights at certain points in the enforcement process, including the right to formal notice of violations, notice of an evidentiary hearing, the right to an evidentiary hearing, and a right to appeal. By entering into this Order, the Respondent agrees to waive all notice and procedural rights.

It is further understood and agreed that this Order represents the complete and fully-integrated agreement of the parties. The provisions of this Order are deemed severable and, if a court of competent jurisdiction or other appropriate authority deems any provision of this Order unenforceable, the remaining provisions shall be valid and enforceable. The duties and responsibilities imposed by this Order are binding upon the Respondent.

The Commission makes the following Findings of Fact and Conclusions of Law:

I. FINDINGS OF FACT

1. The Respondent owns and operates a public water supply located approximately 500 feet east of the intersection of Dogwood Trail and Mims Chapel Road near Avinger, Marion County, Texas (the "Facility"). The Facility provides water for human consumption, has approximately 95 service connections, and serves at least 25 people per day for at least 60 days per year. As such, the Facility is a public water system as defined in 30 TEX. ADMIN. CODE § 290.38(71).
2. During a record review for the Facility conducted on June 20, 2022 through July 8, 2022, an investigator documented that the locational running annual average concentrations of total trihalomethanes ("TTHM") for Stage 2 Disinfection Byproducts at Site 1 were 0.101 milligrams per liter ("mg/L") for the fourth quarter of 2021, 0.129 mg/L for the first quarter of 2022, and 0.129 mg/L for the second quarter of 2022.

II. CONCLUSIONS OF LAW

1. As evidenced by Finding of Fact No. 1, the Respondent is subject to the jurisdiction of the TCEQ pursuant to TEX. HEALTH & SAFETY CODE ch. 341 and the rules of the TCEQ.
2. As evidenced by Finding of Fact No. 2, the Respondent failed to comply with the maximum contaminant level ("MCL") of 0.080 mg/L for TTHM, based on the locational running annual average, in violation of 30 TEX. ADMIN. CODE § 290.115(f)(1) and TEX. HEALTH & SAFETY CODE § 341.0315(c).
3. Pursuant to TEX. HEALTH & SAFETY CODE § 341.049(a), the TCEQ has the authority to assess an administrative penalty against the Respondent for violations of state statutes within the TCEQ's jurisdiction, for violations of rules adopted under such statutes, or for violations of orders or permits issued under such statutes.
4. An administrative penalty in the amount of \$3,375 is justified by the facts recited in this Order, and considered in light of the factors set forth in TEX. HEALTH & SAFETY CODE § 341.049(b). The Respondent paid the \$3,375 penalty.

III. ORDERING PROVISIONS

NOW, THEREFORE, THE TEXAS COMMISSION ON ENVIRONMENTAL QUALITY ORDERS that:

1. The Respondent is assessed a penalty as set forth in Conclusion of Law No. 4 for violations of state statutes and rules of the TCEQ. The payment of this penalty and the Respondent's compliance with all the requirements set forth in this Order resolve only the matters set forth by this Order in this action. The Commission shall not be constrained in any manner from requiring corrective actions or penalties for violations that are not raised here. Penalty payments shall be made payable to "TCEQ" and shall be sent with the notation "Re: Texas Water Utilities, L.P., Docket No. 2022-0888-PWS-E " to:

Financial Administration Division, Revenue Operations Section
Attention: Cashier's Office, MC 214
Texas Commission on Environmental Quality
P.O. Box 13088
Austin, Texas 78711-3088

2. The Respondent shall undertake the following technical requirements:
 - a. Within 365 days after the effective date of this Order, return to compliance with the MCL for TTHM, based on the locational running annual average, in accordance with 30 TEX. ADMIN. CODE § 290.115.
 - b. Within 380 days after the effective date of this Order, submit written certification, and include detailed supporting documentation including photographs, receipts, and/or other records to demonstrate compliance with Ordering Provision No. 2.a. The certification shall be signed by the Respondent and shall include the following certification language:

"I certify under penalty of law that I have personally examined and am familiar with the information submitted and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations."

The certification shall be submitted to:

Enforcement Division, MC 149A
Texas Commission on Environmental Quality
P.O. Box 13087
Austin, Texas 78711-3087

with a copy to:

Drinking Water Special Functions Section Manager
Water Supply Division, MS 155
Texas Commission on Environmental Quality
P.O. 13087
Austin, Texas 78711-3087

3. All relief not expressly granted in this Order is denied.
4. The duties and provisions imposed by this Order shall apply to and be binding upon the Respondent. The Respondent is ordered to give notice of this Order to personnel who maintain day-to-day control over the Facility operations referenced in this Order.
5. The Executive Director may grant an extension of any deadline in this Order or in any plan, report, or other document submitted pursuant to this Order, upon a written and substantiated showing of good cause. All requests for extensions by the Respondent shall be made in writing to the Executive Director. Extensions are not effective until the Respondent receives written approval from the Executive Director. The determination of what constitutes good cause rests solely with the Executive Director. Extension requests shall be sent to the Order Compliance Team at the address listed above.
6. The Executive Director may, without further notice or hearing, refer this matter to the Office of the Attorney General of the State of Texas ("OAG") for further enforcement proceedings if the Executive Director determines that the Respondent has not complied with one or more of the terms in this Order.
7. This Order shall terminate five years from its effective date or upon compliance with all the terms and conditions set forth in this Order, whichever is later.
8. This Order, issued by the Commission, shall not be admissible against the Respondent in a civil proceeding, unless the proceeding is brought by the OAG to: (1) enforce the terms of this Order; or (2) pursue violations of a statute within the Commission's

jurisdiction, or of a rule adopted or an order or permit issued by the Commission under such a statute.

9. This Order may be executed in separate and multiple counterparts, which together shall constitute a single instrument. Any page of this Order may be copied, scanned, digitized, converted to electronic portable document format ("pdf"), or otherwise reproduced and may be transmitted by digital or electronic transmission, including but not limited to facsimile transmission and electronic mail. Any signature affixed to this Order shall constitute an original signature for all purposes and may be used, filed, substituted, or issued for any purpose for which an original signature could be used. The term "signature" shall include manual signatures and true and accurate reproductions of manual signatures created, executed, endorsed, adopted, or authorized by the person or persons to whom the signatures are attributable. Signatures may be copied or reproduced digitally, electronically, by photocopying, engraving, imprinting, lithographing, electronic mail, facsimile transmission, stamping, or any other means or process which the Executive Director deems acceptable. In this paragraph exclusively, the terms: electronic transmission, owner, person, writing, and written, shall have the meanings assigned to them under TEX. BUS. ORG. CODE § 1.002.
10. The effective date of this Order is the date it is signed by the Commission. A copy of this fully executed Order shall be provided to each of the parties.

SIGNATURE PAGE

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

For the Commission

Date

For the Executive Director

Date

I, the undersigned, have read and understand the attached Order. I am authorized to agree to the attached Order, and I do agree to the terms and conditions specified therein. I further acknowledge that the TCEQ, in accepting payment for the penalty amount, is materially relying on such representation.

I also understand that failure to comply with the Ordering Provisions, if any, in this Order and/or failure to timely pay the penalty amount, may result in:

- A negative impact on compliance history;
- Greater scrutiny of any permit applications submitted;
- Referral of this case to the OAG for contempt, injunctive relief, additional penalties, and/or attorney fees, or to a collection agency;
- Increased penalties in any future enforcement actions;
- Automatic referral to the OAG of any future enforcement actions; and
- TCEQ seeking other relief as authorized by law.

In addition, any falsification of any compliance documents may result in criminal prosecution.

Signature

Date

Name (Printed or typed)
Authorized Representative of
Texas Water Utilities, L.P.

Title

If mailing address has changed, please check this box and provide the new address below:

Instructions: Send the original, signed Order with penalty payment to the Financial Administration Division, Revenue Operations Section at the address in Ordering Provision 1 of this Order.



Penalty Calculation Worksheet (PCW)

Policy Revision 5 (January 28, 2021)

PCW Revision February 11, 2021

DATES	Assigned	11-Jul-2022	Screening	18-Jul-2022	EPA Due	30-Sep-2022
	PCW	19-Jul-2022				

RESPONDENT/FACILITY INFORMATION			
Respondent	Texas Water Utilities, L.P.		
Reg. Ent. Ref. No.	RN101255818		
Facility/Site Region	5-Tyler	Major/Minor Source	Major

CASE INFORMATION			
Enf./Case ID No.	62648	No. of Violations	1
Docket No.	2022-0888-PWS-E	Order Type	Findings
Media Program(s)	Public Water Supply	Government/Non-Profit	No
Multi-Media		Enf. Coordinator	Nick Lohret
		EC's Team	Enforcement Team 8
Admin. Penalty \$ Limit Minimum	\$50	Maximum	\$5,000

Penalty Calculation Section

TOTAL BASE PENALTY (Sum of violation base penalties) **Subtotal 1**

ADJUSTMENTS (+/-) TO SUBTOTAL 1

Subtotals 2-7 are obtained by multiplying the Total Base Penalty (Subtotal 1) by the indicated percentage.

Compliance History Adjustment **Subtotals 2, 3, & 7**

Notes:

Culpability Enhancement **Subtotal 4**

Notes:

Good Faith Effort to Comply Total Adjustments **Subtotal 5**

Economic Benefit Enhancement* **Subtotal 6**

Total EB Amounts	\$713
Estimated Cost of Compliance	\$5,000

*Capped at the Total EB \$ Amount

SUM OF SUBTOTALS 1-7 **Final Subtotal**

OTHER FACTORS AS JUSTICE MAY REQUIRE Adjustment **Adjustment**

Reduces or enhances the Final Subtotal by the Indicated percentage.

Notes:

Final Penalty Amount

STATUTORY LIMIT ADJUSTMENT **Final Assessed Penalty**

DEFERRAL Reduction **Adjustment**

Reduces the Final Assessed Penalty by the indicated percentage.

Notes:

PAYABLE PENALTY

Screening Date 18-Jul-2022

Docket No. 2022-0888-PWS-E

PCW

Respondent Texas Water Utilities, L.P.

Policy Revision 5 (January 28, 2021)

Case ID No. 62648

PCW Revision February 11, 2021

Reg. Ent. Reference No. RN101255818

Media Public Water Supply

Enf. Coordinator Nick Lohret

Compliance History Worksheet

>> **Compliance History Site Enhancement (Subtotal 2)**

Component	Number of...	Number	Adjust.
NOVs	Written notices of violation ("NOVs") with same or similar violations as those in the current enforcement action (<i>number of NOVs meeting criteria</i>)	3	15%
	Other written NOVs	0	0%
Orders	Any agreed final enforcement orders containing a denial of liability (<i>number of orders meeting criteria</i>)	1	20%
	Any adjudicated final enforcement orders, agreed final enforcement orders without a denial of liability, or default orders of this state or the federal government, or any final prohibitory emergency orders issued by the commission	0	0%
Judgments and Consent Decrees	Any non-adjudicated final court judgments or consent decrees containing a denial of liability of this state or the federal government (<i>number of judgments or consent decrees meeting criteria</i>)	0	0%
	Any adjudicated final court judgments and default judgments, or non-adjudicated final court judgments or consent decrees without a denial of liability, of this state or the federal government	0	0%
Convictions	Any criminal convictions of this state or the federal government (<i>number of counts</i>)	0	0%
Emissions	Chronic excessive emissions events (<i>number of events</i>)	0	0%
Audits	Letters notifying the executive director of an intended audit conducted under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 (<i>number of audits for which notices were submitted</i>)	0	0%
	Disclosures of violations under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 (<i>number of audits for which violations were disclosed</i>)	0	0%
Other	Environmental management systems in place for one year or more	No	0%
	Voluntary on-site compliance assessments conducted by the executive director under a special assistance program	No	0%
	Participation in a voluntary pollution reduction program	No	0%
	Early compliance with, or offer of a product that meets future state or federal government environmental requirements	No	0%

Adjustment Percentage (Subtotal 2) 35%

>> **Repeat Violator (Subtotal 3)**

N/A

Adjustment Percentage (Subtotal 3) 0%

>> **Compliance History Person Classification (Subtotal 7)**

Satisfactory Performer

Adjustment Percentage (Subtotal 7) 0%

>> **Compliance History Summary**

Compliance History Notes

Enhancement for three NOVs with the same/similar violations and one agreed order containing a denial of liability.

Total Compliance History Adjustment Percentage (Subtotals 2, 3, & 7) 35%

>> **Final Compliance History Adjustment**

Final Adjustment Percentage *capped at 100% 35%

Screening Date 18-Jul-2022
Respondent Texas Water Utilities, L.P.
Case ID No. 62648

Docket No. 2022-0888-PWS-E

PCW

Policy Revision 5 (January 28, 2021)
 PCW Revision February 11, 2021

Reg. Ent. Reference No. RN101255818
Media Public Water Supply
Enf. Coordinator Nick Lohret

Violation Number 1

Rule Cite(s) 30 Tex. Admin. Code § 290.115(f)(1) and Tex. Health & Safety Code § 341.0315(c)

Violation Description

Failed to comply with the maximum contaminant level ("MCL") of 0.080 milligrams per liter ("mg/L") for total trihalomethanes ("TTHM"), based on the locational running annual average. Specifically, the locational running annual average concentrations of TTHM for Stage 2 Disinfection Byproducts at Site 1 were 0.101 mg/L for the fourth quarter of 2021, 0.129 mg/L for the first quarter of 2022, and 0.129 mg/L for the second quarter of 2022.

Base Penalty \$5,000

>> **Environmental, Property and Human Health Matrix**

OR	Release	Harm			Percent
		Major	Moderate	Minor	
	Actual		X		50.0%
	Potential				

>> **Programmatic Matrix**

Falsification	Major	Moderate	Minor	Percent
				0.0%

Matrix Notes

Exceeding the MCL for TTHM caused persons served by the Facility to be exposed to a significant amount of contaminants which did not exceed levels protective of human health.

Adjustment \$2,500

\$2,500

Violation Events

Number of Violation Events 1 272 Number of violation days

daily	
weekly	
monthly	
quarterly	
semiannual	
annual	X
single event	

Violation Base Penalty \$2,500

One annual event is recommended.

Good Faith Efforts to Comply

0.0%

Reduction

\$0

	Before NOE/NOV	NOE/NOV to EDPRP/Settlement Offer
Extraordinary		
Ordinary		
N/A	X	

Notes

The Respondent does not meet the good faith criteria for this violation.

Violation Subtotal \$2,500

Economic Benefit (EB) for this violation

Statutory Limit Test

Estimated EB Amount \$713

Violation Final Penalty Total \$3,375

This violation Final Assessed Penalty (adjusted for limits) \$3,375

Economic Benefit Worksheet

Respondent Texas Water Utilities, L.P.
Case ID No. 62648
Reg. Ent. Reference No. RN101255818
Media Public Water Supply
Violation No. 1

Percent Interest	Years of Depreciation
5.0	15

Item Description	Item Cost	Date Required	Final Date	Yrs	Interest Saved	Costs Saved	EB Amount
------------------	-----------	---------------	------------	-----	----------------	-------------	-----------

Delayed Costs

Equipment				0.00	\$0	\$0	\$0
Buildings				0.00	\$0	\$0	\$0
Other (as needed)	\$5,000	31-Dec-2021	14-Jan-2024	2.04	\$34	\$679	\$713
Engineering/Construction				0.00	\$0	\$0	\$0
Land				0.00	\$0	n/a	\$0
Record Keeping System				0.00	\$0	n/a	\$0
Training/Sampling				0.00	\$0	n/a	\$0
Remediation/Disposal				0.00	\$0	n/a	\$0
Permit Costs				0.00	\$0	n/a	\$0
Other (as needed)				0.00	\$0	n/a	\$0

Notes for DELAYED costs: The other (as needed) cost includes the estimated amount to investigate, identify, and implement the necessary corrective actions to return to compliance with the MCL for TTHM, calculated from the last day of the first quarter of noncompliance to the estimated date of compliance.

Avoided Costs

ANNUALIZE avoided costs before entering item (except for one-time avoided costs)

Disposal				0.00	\$0	\$0	\$0
Personnel				0.00	\$0	\$0	\$0
Inspection/Reporting/Sampling				0.00	\$0	\$0	\$0
Supplies/Equipment				0.00	\$0	\$0	\$0
Financial Assurance				0.00	\$0	\$0	\$0
ONE-TIME avoided costs				0.00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0

Notes for AVOIDED costs:

Approx. Cost of Compliance

\$5,000

TOTAL

\$713



Compliance History Report

Compliance History Report for CN602740706, RN101255818, Rating Year 2021 which includes Compliance History (CH) components from September 1, 2016, through August 31, 2021.

Customer, Respondent, or Owner/Operator:	CN602740706, Texas Water Utilities, L.P.	Classification:	SATISFACTORY	Rating:	2.03
Regulated Entity:	RN101255818, INDIAN HILLS HARBOR SUBDIVISION	Classification:	NOT APPLICABLE	Rating:	N/A
Complexity Points:	N/A	Repeat Violator:	N/A		
CH Group:	14 - Other				
Location:	APPROXIMATELY 500 FEET EAST OF THE INTERSECTION OF DOGWOOD TRAIL AND MIMS CHAPEL ROAD NEAR AVINGER, MARION COUNTY, TEXAS				
TCEQ Region:	REGION 05 - TYLER				
ID Number(s):	PUBLIC WATER SYSTEM/SUPPLY REGISTRATION 1580063				

Compliance History Period:	September 01, 2016 to August 31, 2021	Rating Year:	2021	Rating Date:	09/01/2021
Date Compliance History Report Prepared:	July 18, 2022				
Agency Decision Requiring Compliance History:	Enforcement				
Component Period Selected:	July 18, 2017 to July 18, 2022				
TCEQ Staff Member to Contact for Additional Information Regarding This Compliance History.					
Name:	Nick Lohret			Phone:	(512) 239-2545

Site and Owner/Operator History:

- 1) Has the site been in existence and/or operation for the full five year compliance period? YES
- 2) Has there been a (known) change in ownership/operator of the site during the compliance period? NO

Components (Multimedia) for the Site Are Listed in Sections A - J

- A. Final Orders, court judgments, and consent decrees:**
- 1 Effective Date: 11/05/2019 ADMINORDER 2019-0429-PWS-E (1660 Order-Agreed Order With Denial)
Classification: Major
Citation: 30 TAC Chapter 290, SubChapter D 290.39(j)(1)(A)
5A THSC Chapter 341, SubChapter A 341.0351
Description: Failure to notify the Executive Director and receive approval prior to making any significant change or addition to the system's production, treatment, storage, pressure maintenance capacity. Specifically, the pressure tank was removed and two service pumps were replaced which resulted in a significant decrease in the Facility's pressure tank capacity and service pump capacity without prior approval.
Classification: Major
Citation: 30 TAC Chapter 290, SubChapter D 290.45(b)(1)(C)(iv)
5A THSC Chapter 341, SubChapter A 341.0315(c)
Description: Failure to provide an elevated storage capacity of 100 gallons per connection or a pressure tank capacity of 20 gallons per connection. Specifically, the Facility had 92 connections requiring an elevated storage capacity of 9,200 gallons or a pressure tank capacity of 1,840 gallons. However, the Facility did not have either an elevated storage capacity or pressure tank capacity, indicating a 100% deficiency.

B. Criminal convictions:
N/A

C. Chronic excessive emissions events:
N/A

Jon Niermann, *Chairman*
Emily Lindley, *Commissioner*
Bobby Janecka, *Commissioner*
Toby Baker, *Executive Director*



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

May 3, 2022

Mr. Jeffrey McIntyre, President
Texas Water Services Group, LLC
12535 Reed Road
Sugar Land, Texas 77478

Re: Proposed Agreed Order
Monarch Utilities I L.P.; RN103015350; TPDES Permit No. WQ0014056001
Docket No. 2022-0430-MWD-E; Enforcement Case No. 62207
FOR SETTLEMENT PURPOSES ONLY

Dear Mr. McIntyre:

The Executive Director of the Texas Commission on Environmental Quality ("Commission" or "TCEQ") is pursuing an enforcement action against Monarch Utilities I L.P. for violations of the Texas Water Code and Commission Rules. These violations were discovered during a record review conducted on February 1, 2022, and documented in a letter dated April 4, 2022, from the TCEQ Compliance Monitoring Section.

Please find enclosed a proposed agreed order which we have prepared in an attempt to expedite this enforcement action. The order assesses an administrative penalty of \$43,875, identifies the violations that we are addressing, and identifies specific technical requirements necessary to resolve them.

If you have any questions regarding this matter, we are available to discuss them in a conference in Austin or over the telephone. If we reach agreement in a timely manner, the TCEQ will then proceed with the remaining procedural steps to settle this matter. These steps include publishing notice of the proposed order in the *Texas Register* and scheduling the matter for approval by the Commission. We believe that handling this matter expeditiously could save Monarch Utilities I L.P. and the TCEQ a significant amount of time, as well as the expense associated with litigation.

Enclosed for your convenience is a return envelope. If you agree with the order as proposed, please sign and return the original order **and** the penalty payment (check payable to "TCEQ" and referencing Monarch Utilities I L.P., Docket No. 2022-0430-MWD-E) to:

Mr. Jeffrey McIntyre
Page 2
May 3, 2022

Financial Administration Division, Revenue Operations Section
Attention: Cashier's Office, MC 214
Texas Commission on Environmental Quality
P.O. Box 13088
Austin, Texas 78711-3088

Should you believe you are unable to pay the proposed administrative penalty, you may claim financial inability to pay part or all of the penalty amount. In order to qualify for financial inability to pay, the penalty must exceed \$3,600 and be greater than 1% of annual gross revenues. If this is the case, please contact us immediately to obtain a list of financial disclosure documents that must be submitted within 30 days of the receipt of this letter. These documents, once properly completed and submitted, will be thoroughly reviewed to determine if we agree with the claim of financial inability. Please be aware that if financial inability is proven to the satisfaction of staff, discussions pertaining to the penalty amount adjustment will focus only on deferral and not on waiver of the penalty amount.

You may be able to perform or contribute to a Supplemental Environmental Project ("SEP"), which is a project that benefits the environment, to offset a portion of your penalty. **If you are interested in performing an SEP, you must agree to the penalty amount and submit an SEP proposal within 30 days of receipt of this proposed order.**

For additional information about the types of SEPs available and eligibility criteria, please go to the TCEQ's web site link at <https://www.tceq.texas.gov/compliance/enforcement/sep> or contact the Enforcement Coordinator listed below.

Please note that any agreements we reach are subject to final approval in accordance with 30 TEX. ADMIN. CODE § 70.10(a).

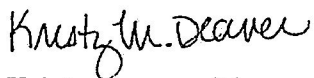
If we cannot reach a settlement of this enforcement action or you do not wish to participate in this expedited process, we will proceed with enforcement under the Commission's Enforcement Rules, 30 TEX. ADMIN. CODE ch. 70. Specifically, if the signed order and penalty are not mailed and postmarked within 60 days from the date of this letter, your case will be forwarded to the Litigation Division and this settlement offer will no longer be available. The enforcement process described in 30 TEX. ADMIN. CODE ch. 70 requires the staff to prepare and issue an Executive Director's Preliminary Report and Petition to the Commission. If you would like to obtain a copy of 30 TEX. ADMIN. CODE ch. 70, or any other TCEQ rules, the rules themselves and the agency brochure entitled *Obtaining TCEQ Rules* (GI-032) are located on our agency website at <http://www.tceq.texas.gov> for your reference. If you

Mr. Jeffrey McIntyre
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May 3, 2022

would like a hard copy of this brochure mailed to you, you may call and request one from the Central Office Publications Ordering Team at (512) 239-0028.

For any questions or comments about this matter or to arrange a meeting, please contact Ms. Harley Hobson of my staff at (512) 239-1337 or Harley.Hobson@tceq.texas.gov.

Sincerely,



Kristy Deaver, Manager
Enforcement Division
Texas Commission on Environmental Quality

KD/hh

Enclosures: Proposed Agreed Order, Return Envelope, Penalty Calculation Worksheet,
Site Compliance History, Effluent Violation Table

cc: Mr. Theodore Barry, EHS Manager, Monarch Utilities I L.P., 1620 Grand Avenue
Parkway, Suite 140, Pflugerville, Texas 78660

Mr. Jeffrey McIntyre
Page 4
May 3, 2022

bcc: Manager, Water Section, Beaumont Regional Office
Ms. Harley Hobson, Coordinator, Enforcement Division, MC 219
Central Records, MC 213, Building E, 1st Floor
MWD_WQ0014056001_CP_20220503_Proposed Agreed Order
Enforcement Division Electronic Reader File

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



IN THE MATTER OF AN
ENFORCEMENT ACTION
CONCERNING
MONARCH UTILITIES I L.P.
RN103015350

§
§
§
§
§

BEFORE THE
TEXAS COMMISSION ON
ENVIRONMENTAL QUALITY

AGREED ORDER DOCKET NO. 2022-0430-MWD-E

On _____, the Texas Commission on Environmental Quality ("the Commission" or "TCEQ") considered this agreement of the parties, resolving an enforcement action regarding Monarch Utilities I L.P. (the "Respondent") under the authority of TEX. WATER CODE chs. 7 and 26. The Executive Director of the TCEQ, through the Enforcement Division, and the Respondent presented this Order to the Commission.

The Respondent understands that it has certain procedural rights at certain points in the enforcement process, including the right to formal notice of violations, notice of an evidentiary hearing, the right to an evidentiary hearing, and a right to appeal. By entering into this Order, the Respondent agrees to waive all notice and procedural rights.

It is further understood and agreed that this Order represents the complete and fully-integrated agreement of the parties. The provisions of this Order are deemed severable and, if a court of competent jurisdiction or other appropriate authority deems any provision of this Order unenforceable, the remaining provisions shall be valid and enforceable. The duties and responsibilities imposed by this Order are binding upon the Respondent.

The Commission makes the following Findings of Fact and Conclusions of Law:

I. FINDINGS OF FACT

1. The Respondent owns and operates a wastewater treatment facility located approximately 0.4 mile northwest of the intersection of State Highway 190 crossing over the Trinity River and approximately 2.5 miles northeast of the intersection of State Highway 190 and Farm-to-Market Road 980 in San Jacinto County, Texas (the "Facility"). The Facility is near or adjacent to water in the state as defined in TEX. WATER CODE § 26.001(5).
2. During a record review conducted on February 1, 2022, an investigator documented that the Respondent did not to comply with permitted effluent limitations, as shown in the effluent violation table below:

Effluent Violation Table				
	Flow Daily Average	Ammonia Nitrogen Daily Average Concentration	Ammonia Nitrogen Single Grab Concentration	Ammonia Nitrogen Daily Average Loading
Monitoring Period	Limit = 0.05 MGD	Limit = 3 mg/L	Limit = 15 mg/L	Limit = 1.3 lbs/day
May 2021	0.069	c	c	c
July 2021	c	4.1	c	c
September 2021	c	14.78	21.3	4.46
October 2021	0.058	9.89	18.8	3.81
November 2021	c	4.81	c	1.59
December 2021	c	8.22	17	2.07

c = compliant MGD = million gallons per day mg/L = milligrams per liter
lbs/day = pounds per day

II. CONCLUSIONS OF LAW

1. As evidenced by Finding of Fact No. 1, the Respondent is subject to the jurisdiction of the TCEQ pursuant to TEX. WATER CODE ch. 26 and the rules of the TCEQ.
2. As evidenced by Finding of Fact No. 1, the Respondent failed to comply with permitted effluent limitations, in violation of 30 TEX. ADMIN. CODE § 305.125(1), TEX. WATER CODE § 26.121(a)(1), and Texas Pollutant Discharge Elimination System ("TPDES") Permit No. WQ0014056001, Final Effluent Limitations and Monitoring Requirements No. 1.
3. Pursuant to TEX. WATER CODE § 7.051, the TCEQ has the authority to assess an administrative penalty against the Respondent for violations of state statutes within the TCEQ's jurisdiction, for violations of rules adopted under such statutes, or for violations of orders or permits issued under such statutes.
4. An administrative penalty in the amount of \$43,875 is justified by the facts recited in this Order, and considered in light of the factors set forth in TEX. WATER CODE § 7.053. The Respondent paid the \$43,875 penalty.

III. ORDERING PROVISIONS

NOW, THEREFORE, THE TEXAS COMMISSION ON ENVIRONMENTAL QUALITY ORDERS that:

1. The Respondent is assessed a penalty as set forth in Conclusion of Law No. 4 for violations of state statutes and rules of the TCEQ. The payment of this penalty and the

Respondent's compliance with all the requirements set forth in this Order resolve only the matters set forth by this Order in this action. The Commission shall not be constrained in any manner from requiring corrective actions or penalties for violations that are not raised here. Penalty payments shall be made payable to "TCEQ" and shall be sent with the notation "Re: Monarch Utilities I L.P., Docket No. 2022-0430-MWD-E" to:

Financial Administration Division, Revenue Operations Section
Attention: Cashier's Office, MC 214
Texas Commission on Environmental Quality
P.O. Box 13088
Austin, Texas 78711-3088

2. The Respondent shall, within 130 days after the effective date of this Order, submit written certification of compliance with the permitted effluent limitations of TPDES Permit No. WQ0014056001, including specific corrective actions that were implemented at the Facility to achieve compliance and copies of the most current self-reported discharge monitoring reports, demonstrating at least three consecutive months of compliance with all permitted effluent limitations, and include detailed supporting documentation including photographs, receipts, and/or other records to demonstrate compliance. The certification shall be signed by the Respondent and shall include the following certification language:

"I certify under penalty of law that I have personally examined and am familiar with the information submitted and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations."

The certification shall be submitted to:

Water Section
Enforcement Division, MC 219
Texas Commission on Environmental Quality
P.O. Box 13087
Austin, Texas 78711-3087

with a copy to:

Water Section Manager
Beaumont Regional Office
Texas Commission on Environmental Quality
3870 Eastex Freeway
Beaumont, Texas 77703-1830

3. All relief not expressly granted in this Order is denied.

4. The duties and provisions imposed by this Order shall apply to and be binding upon the Respondent. The Respondent is ordered to give notice of this Order to personnel who maintain day-to-day control over the Facility operations referenced in this Order.
5. If the Respondent fails to comply with any of the Ordering Provisions in this Order within the prescribed schedules, and that failure is caused solely by an act of God, war, strike, riot, or other catastrophe, the Respondent's failure to comply is not a violation of this Order. The Respondent shall have the burden of establishing to the Executive Director's satisfaction that such an event has occurred. The Respondent shall notify the Executive Director within seven days after the Respondent becomes aware of a delaying event and shall take all reasonable measures to mitigate and minimize any delay.
6. The Executive Director may grant an extension of any deadline in this Order or in any plan, report, or other document submitted pursuant to this Order, upon a written and substantiated showing of good cause. All requests for extensions by the Respondent shall be made in writing to the Executive Director. Extensions are not effective until the Respondent receives written approval from the Executive Director. The determination of what constitutes good cause rests solely with the Executive Director. Extension requests shall be sent to the Order Compliance Team at the address listed above.
7. The Executive Director may, without further notice or hearing, refer this matter to the Office of the Attorney General of the State of Texas ("OAG") for further enforcement proceedings if the Executive Director determines that the Respondent has not complied with one or more of the terms in this Order.
8. This Order shall terminate five years from its effective date or upon compliance with all the terms and conditions set forth in this Order, whichever is later.
9. This Order, issued by the Commission, shall not be admissible against the Respondent in a civil proceeding, unless the proceeding is brought by the OAG to: (1) enforce the terms of this Order; or (2) pursue violations of a statute within the Commission's jurisdiction, or of a rule adopted or an order or permit issued by the Commission under such a statute.
10. This Order may be executed in separate and multiple counterparts, which together shall constitute a single instrument. Any page of this Order may be copied, scanned, digitized, converted to electronic portable document format ("pdf"), or otherwise reproduced and may be transmitted by digital or electronic transmission, including but not limited to facsimile transmission and electronic mail. Any signature affixed to this Order shall constitute an original signature for all purposes and may be used, filed, substituted, or issued for any purpose for which an original signature could be used. The term "signature" shall include manual signatures and true and accurate reproductions of manual signatures created, executed, endorsed, adopted, or authorized by the person or persons to whom the signatures are attributable. Signatures may be copied or reproduced digitally, electronically, by photocopying, engraving, imprinting, lithographing, electronic mail, facsimile transmission, stamping, or any other means or process which the Executive Director deems acceptable. In this paragraph exclusively, the terms: electronic transmission, owner, person, writing, and written, shall have the meanings assigned to them under TEX. BUS. ORG. CODE § 1.002.

11. The effective date of this Order is the date it is signed by the Commission. A copy of this fully executed Order shall be provided to each of the parties.

SIGNATURE PAGE

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

For the Commission

Date

For the Executive Director

Date

I, the undersigned, have read and understand the attached Order. I am authorized to agree to the attached Order, and I do agree to the terms and conditions specified therein. I further acknowledge that the TCEQ, in accepting payment for the penalty amount, is materially relying on such representation.

I also understand that failure to comply with the Ordering Provisions, if any, in this Order and/or failure to timely pay the penalty amount, may result in:

- A negative impact on compliance history;
- Greater scrutiny of any permit applications submitted;
- Referral of this case to the OAG for contempt, injunctive relief, additional penalties, and/or attorney fees, or to a collection agency;
- Increased penalties in any future enforcement actions;
- Automatic referral to the OAG of any future enforcement actions; and
- TCEQ seeking other relief as authorized by law.

In addition, any falsification of any compliance documents may result in criminal prosecution.

Signature

Date

Name (Printed or typed)
Authorized Representative of
Monarch Utilities I L.P.

Title

If mailing address has changed, please check this box and provide the new address below:

Instructions: Send the original, signed Order with penalty payment to the Financial Administration Division, Revenue Operations Section at the address in Ordering Provision 1 of this Order.

Monarch Utilities I L.P.
Docket No. 2022-0430-MWD-E
TPDES Permit No. WQ0014056001
Case No. 62207

Effluent Violation Table

	Flow Daily Average	Ammonia Nitrogen Daily Average Concentration	Ammonia Nitrogen Single Grab Concentration	Ammonia Nitrogen Daily Average Loading
Monitoring Period	Limit = 0.05 MGD	Limit = 3 mg/L	Limit = 15 mg/L	Limit = 1.3 lbs/day
May 2021	0.069	c	c	c
July 2021	c	4.1	c	c
September 2021	c	14.78	21.3	4.46
October 2021	0.058	9.89	18.8	3.81
November 2021	c	4.81	c	1.59
December 2021	c	8.22	17	2.07

c = compliant MGD = million gallons per day mg/L = milligrams per liter
lbs/day = pounds per day



Penalty Calculation Worksheet (PCW)

Policy Revision 5 (January 28, 2021)

PCW Revision February 11, 2021

DATES	Assigned	11-Apr-2022	Screening	13-Apr-2022	EPA Due	
	PCW	13-Apr-2022				

RESPONDENT/FACILITY INFORMATION	
Respondent	Monarch Utilities I L.P.
Reg. Ent. Ref. No.	RN103015350
Facility/Site Region	10-Beaumont
Major/Minor Source	Minor

CASE INFORMATION			
Enf./Case ID No.	62207	No. of Violations	2
Docket No.	2022-0430-MWD-E	Order Type	Findings
Media Program(s)	Water Quality	Government/Non-Profit	No
Multi-Media		Enf. Coordinator	Harley Hobson
		EC's Team	Enforcement Team 3
Admin. Penalty \$ Limit Minimum	\$0	Maximum	\$25,000

Penalty Calculation Section

TOTAL BASE PENALTY (Sum of violation base penalties)	Subtotal 1	\$32,500
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ADJUSTMENTS (+/-) TO SUBTOTAL 1

Subtotals 2-7 are obtained by multiplying the Total Base Penalty (Subtotal 1) by the indicated percentage.

Compliance History	35.0% Adjustment	Subtotals 2, 3, & 7	\$11,375
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Notes: Enhancement for seven months of self-reported effluent violations.

Culpability	No	0.0% Enhancement	Subtotal 4	\$0
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Notes: The Respondent does not meet the culpability criteria.

Good Faith Effort to Comply Total Adjustments	Subtotal 5	\$0
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Economic Benefit	0.0% Enhancement*	Subtotal 6	\$0
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Total EB Amounts	\$1,284
Estimated Cost of Compliance	#NAME?

*Capped at the Total EB \$ Amount

SUM OF SUBTOTALS 1-7	Final Subtotal	\$43,875
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OTHER FACTORS AS JUSTICE MAY REQUIRE	0.0%	Adjustment	\$0
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Reduces or enhances the Final Subtotal by the indicated percentage.

Notes:

Final Penalty Amount	\$43,875
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STATUTORY LIMIT ADJUSTMENT	Final Assessed Penalty	\$43,875
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DEFERRAL	0.0%	Reduction	Adjustment	\$0
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Reduces the Final Assessed Penalty by the indicated percentage.

Notes:

No deferral is recommended for Findings Orders.

PAYABLE PENALTY	\$43,875
------------------------	-----------------

Screening Date 13-Apr-2022
 Respondent Monarch Utilities I L.P.
 Case ID No. 62207

Docket No. 2022-0430-MWD-E

PCW

Policy Revision 5 (January 28, 2021)
 PCW Revision February 11, 2021

Reg. Ent. Reference No. RN103015350
 Media Water Quality
 Enf. Coordinator Harley Hobson

Violation Number 1

Rule Cite(s) 30 Tex. Admin. Code § 305.125(1), Tex. Water Code § 26.121(a)(1), and Texas Pollutant Discharge Elimination System ("TPDES") Permit No. WQ0014056001, Final Effluent Limitations and Monitoring Requirements No. 1

Violation Description Failed to comply with permitted effluent limitations, as shown in the attached effluent violation table.

Base Penalty \$25,000

>> Environmental, Property and Human Health Matrix

OR	Release	Harm			Percent
		Major	Moderate	Minor	
Actual	X				50.0%
Potential					

>> Programmatic Matrix

Falsification	Major	Moderate	Minor	Percent
				0.0%

Matrix Notes A simplified model was used to evaluate ammonia nitrogen to determine whether the discharged amounts of pollutants exceeded protective levels. Flow was also considered. Human health or the environment has been exposed to pollutants which exceed levels that are protective of human health or environmental receptors as a result of the violation.

Adjustment \$12,500

\$12,500

Violation Events

Number of Violation Events 2 Number of violation days 61

daily	
weekly	
monthly	X
quarterly	
semiannual	
annual	
single event	

Violation Base Penalty \$25,000

Two monthly events are recommended for the months of September and October 2021.

Good Faith Efforts to Comply

0.0%

Reduction \$0

	Before NOE/NOV	NOE/NOV to EDPRP/Settlement Offer
Extraordinary		
Ordinary		
N/A	X	

Notes The Respondent does not meet the good faith criteria for this violation.

Violation Subtotal \$25,000

Economic Benefit (EB) for this violation

Statutory Limit Test

Estimated EB Amount \$1,284

Violation Final Penalty Total \$33,750

This violation Final Assessed Penalty (adjusted for limits) \$33,750

Screening Date 13-Apr-2022
 Respondent Monarch Utilities I L.P.
 Case ID No. 62207

Docket No. 2022-0430-MWD-E

PCW

Policy Revision 5 (January 28, 2021)

PCW Revision February 11, 2021

Reg. Ent. Reference No. RN103015350
 Media Water Quality
 Enf. Coordinator Harley Hobson

Violation Number

Rule Cite(s) 30 Tex. Admin. Code § 305.125(1), Tex. Water Code § 26.121(a)(1), and TPDES Permit No. WQ0014056001, Final Effluent Limitations and Monitoring Requirements No. 1

Violation Description Failed to comply with permitted effluent limitations, as shown in the attached effluent violation table.

Base Penalty

>> Environmental, Property and Human Health Matrix

OR	Harm			Percent <input type="text" value="15.0%"/>	
	Release	Major	Moderate		Minor
	Actual	<input type="text"/>	<input type="text"/>		<input checked="" type="checkbox"/>
Potential	<input type="text"/>	<input type="text"/>	<input type="text"/>		

>> Programmatic Matrix

Falsification				Percent <input type="text" value="0.0%"/>
Major	Moderate	Minor		
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	

Matrix Notes

A simplified model was used to evaluate ammonia nitrogen to determine whether the discharged amounts of pollutants exceeded protective levels. Flow was also considered. Human health or the environment has been exposed to insignificant amounts of pollutants that do not exceed levels that are protective of human health or environmental receptors as a result of the violation.

Adjustment

Violation Events

Number of Violation Events Number of violation days

daily	<input type="text"/>
weekly	<input type="text"/>
monthly	<input type="text"/>
quarterly	<input checked="" type="checkbox"/>
semiannual	<input type="text"/>
annual	<input type="text"/>
single event	<input type="text"/>

Violation Base Penalty

Two quarterly events are recommended for the quarters containing the months of May, July, November, and December 2021.

Good Faith Efforts to Comply

Reduction

	Before NOE/NOV	NOE/NOV to EDPRP/Settlement Offer
Extraordinary	<input type="text"/>	<input type="text"/>
Ordinary	<input type="text"/>	<input type="text"/>
N/A	<input checked="" type="checkbox"/>	<input type="text"/>

Notes The Respondent does not meet the good faith criteria for this violation.

Violation Subtotal

Economic Benefit (EB) for this violation

Statutory Limit Test

Estimated EB Amount

Violation Final Penalty Total

This violation Final Assessed Penalty (adjusted for limits)

The TCEQ is committed to accessibility.
 To request a more accessible version of this report, please contact the TCEQ Help Desk at (512) 239-4357.



Compliance History Report

Compliance History Report for CN602740706, RN103015350, Rating Year 2021 which includes Compliance History (CH) components from September 1, 2016, through August 31, 2021.

Customer, Respondent, or Owner/Operator: CN602740706, Monarch Utilities I L.P. **Classification:** SATISFACTORY **Rating:** 1.94

Regulated Entity: RN103015350, HOLIDAY VILLAGES OF LAKE LIVINGSTON **Classification:** SATISFACTORY **Rating:** 3.05

Complexity Points: 4 **Repeat Violator:** NO

CH Group: 08 - Sewage Treatment Facilities

Location: Approximately 0.4 mile northwest of the intersection of State Highway 190 crossing over the Trinity River and approximately 2.5 miles northeast of the intersection of State Highway 190 and Farm-to-Market Road 980 in San Jacinto County, Texas

TCEQ Region: REGION 10 - BEAUMONT

ID Number(s):
WASTEWATER PERMIT WQ0014056001 **WASTEWATER EPA ID** TX0117331

Compliance History Period: September 01, 2016 to August 31, 2021 **Rating Year:** 2021 **Rating Date:** 09/01/2021

Date Compliance History Report Prepared: April 13, 2022

Agency Decision Requiring Compliance History: Enforcement

Component Period Selected: April 13, 2017 to April 13, 2022

TCEQ Staff Member to Contact for Additional Information Regarding This Compliance History.

Name: Harley Hobson **Phone:** (512) 239-1337

Site and Owner/Operator History:

- 1) Has the site been in existence and/or operation for the full five-year compliance period? YES
- 2) Has there been a (known) change in ownership/operator of the site during the compliance period? NO

Components (Multimedia) for the Site Are Listed in Sections A - J

A. Final Orders, court judgments, and consent decrees:
 N/A

B. Criminal convictions:
 N/A

C. Chronic excessive emissions events:
 N/A

D. The approval dates of investigations (CCEDS Inv. Track. No.):

Item 1	April 18, 2017	(1419348)	Item 17	October 19, 2018	(1535287)
Item 2	June 20, 2017	(1432981)	Item 18	November 17, 2018	(1543144)
Item 3	July 14, 2017	(1441547)	Item 19	December 20, 2018	(1546880)
Item 4	September 29, 2017	(1445229)	Item 20	March 20, 2019	(1564767)
Item 5	October 20, 2017	(1457680)	Item 21	April 18, 2019	(1573520)
Item 6	November 20, 2017	(1463118)	Item 22	May 20, 2019	(1586633)
Item 7	December 19, 2017	(1469546)	Item 23	June 20, 2019	(1586634)
Item 8	January 19, 2018	(1476251)	Item 24	July 19, 2019	(1594861)
Item 9	February 16, 2018	(1488413)	Item 25	September 20, 2019	(1608073)
Item 10	April 11, 2018	(1492091)	Item 26	October 18, 2019	(1614939)
Item 11	April 20, 2018	(1495374)	Item 27	November 21, 2019	(1620727)
Item 12	May 18, 2018	(1502307)	Item 28	December 19, 2019	(1628076)
Item 13	June 20, 2018	(1509420)	Item 29	January 20, 2020	(1635706)
Item 14	July 19, 2018	(1515745)	Item 30	February 20, 2020	(1642321)
Item 15	September 20, 2018	(1528965)	Item 31	March 18, 2020	(1648831)
Item 16	September 28, 2018	(1521776)	Item 32	April 19, 2020	(1655186)

Description: 30 TAC Chapter 305, SubChapter F 305.125(1)
Failure to meet the limit for one or more permit parameter

14 Date: 12/31/2021 (1800148)
Self Report? YES Classification: Moderate
Citation:
2D TWC Chapter 26, SubChapter A 26.121(a)
30 TAC Chapter 305, SubChapter F 305.125(1)
Description: Failure to meet the limit for one or more permit parameter

15 Date: 01/31/2022 (1807978)
Self Report? YES Classification: Moderate
Citation:
2D TWC Chapter 26, SubChapter A 26.121(a)
30 TAC Chapter 305, SubChapter F 305.125(1)
Description: Failure to meet the limit for one or more permit parameter

* NOVs applicable for the Compliance History rating period 9/1/2016 to 8/31/2021

Appendix B All Investigations Conducted During Component Period April 13, 2017 and April 13, 2022

Item 1*	April 18, 2017**	(1419348)
Item 2	May 19, 2017**	(1426988)
Item 3*	June 20, 2017**	(1432981)
Item 4*	July 14, 2017**	(1441547)
Item 5	September 19, 2017**	(1451818)
Item 6*	September 29, 2017**	(1445229)
Item 7*	October 20, 2017**	(1457680)
Item 8*	November 20, 2017**	(1463118)
Item 9*	December 19, 2017**	(1469546)
Item 10	January 05, 2018**	(1459175)
Item 11*	January 19, 2018**	(1476251)
Item 12*	February 16, 2018**	(1488413)
Item 13*	April 11, 2018**	(1492091)
Item 14*	April 20, 2018**	(1495374)
Item 15*	May 18, 2018**	(1502307)
Item 16*	June 20, 2018**	(1509420)
Item 17*	July 19, 2018**	(1515745)
Item 18*	September 20, 2018**	(1528965)
Item 19*	September 28, 2018**	(1521776)
Item 20*	October 19, 2018**	(1535287)
Item 21*	November 17, 2018**	(1543144)
Item 22*	December 20, 2018**	(1546880)
Item 23	January 28, 2019**	(1564768)
Item 24	February 20, 2019**	(1564766)
Item 25*	March 20, 2019**	(1564767)
Item 26*	April 18, 2019**	(1573520)
Item 27*	May 20, 2019**	(1586633)
Item 28*	June 20, 2019**	(1586634)
Item 29*	July 19, 2019**	(1594861)
Item 30*	September 20, 2019**	(1608073)

**Attachment 15 - Transferee vs Transferor Rates &
Average Bills**

WATER

	Texas Water Utilities	Hornsby Bend
base	48.37	47.03
0-2kgal	6.48	7.18
2-3kgal	7.98	7.18
3-10kgal	7.98	7.18
10-15kgal	9.05	7.18
15-20kgal	9.05	7.18
>20kgal	9.64	7.18

bill @ 5kgal*	85.27	82.93
bill @ 10kgal*	125.17	118.83

*excludes passthroughs, surcharges, etc

WASTEWATER

	Texas Water Utilities	Hornsby Bend
base	68.52	45.42
usage (kgal)*	2.39	7.20

bill @ 5kgal*	80.47	81.42
bill @ 10kgal*	92.42	117.42

*excludes passthroughs, surcharges, etc

Attachment 16 - TCEQ Compliance Correspondence

Jon Niermann, *Chairman*
Emily Lindley, *Commissioner*
Toby Baker, *Executive Director*



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

January 18, 2019

CERTIFIED MAIL 9489 0090 0027 6008 7014 88
RETURN RECEIPT REQUESTED

Tim Williford, Senior Environmental Health and Safety Manager
SouthWest Water Company
1620 Grand Avenue Parkway, Suite 140
Pflugerville, Texas 78660

Re: Notice of Violation for Wastewater Compliance Evaluation Investigation at:
Austin's Colony Wastewater Treatment Facility
14517 Lippincott Street, Austin, Travis County
Regulated Entity No.: RN101526226, TCEQ ID No.: WQ0013138001, EPA ID No.:
TX0098612

Dear Mr. Williford,

On November 09, 2018 and November 20, 2018, Michael Beatty of the Texas Commission on Environmental Quality (TCEQ) Austin Region Office conducted an investigation of the above-referenced regulated entity to evaluate compliance with applicable requirements for wastewater treatment. Enclosed is a summary which lists the investigation findings. During the investigation, concerns were noted which were an alleged noncompliances. Based on the information you have provided, the TCEQ has adequate documentation to resolve the alleged violation. Therefore, no further action is required. Additionally, self-reported effluent violations may be subject to formal enforcement, including penalties, upon review by the Enforcement Division.

In the listing of the alleged violations, we have cited applicable requirements, including TCEQ rules. Please note that both the rules themselves and the agency brochure entitled *Obtaining TCEQ Rules* (GI 032) are located on our agency website at <http://www.tceq.state.tx.us> for your reference. If you would like a hard copy of this brochure mailed to you, you may call and request one from either the Austin Region Office at (512) 339-2929 or the Central Office Publications Ordering Team at 512-239-0028. Copies of applicable federal regulations may be obtained by calling Environmental Protection Agency's Publications at 800-490-9198.

Tim Williford
Page 2
January 18, 2019

The TCEQ appreciates your assistance in this matter and your compliance efforts to ensure protection of the State's environment. If you or members of your staff have any questions regarding these matters, please feel free to contact Mr. Michael Beatty in the Austin Region Office at (512) 239-5118.

Sincerely,



Shawn Stewart, Water Program Manager
Austin Region Office
Texas Commission on Environmental Quality

SS/mb

Enclosure: Summary of Investigation Findings

Summary of Investigation Findings

AUSTINS COLONY WWTP

, TRAVIS COUNTY,

Additional ID(s): WQ0013138001
TX0098612

Investigation #
1537533
Investigation Date: 11/09/2018

ALLEGED VIOLATION(S) NOTED AND RESOLVED ASSOCIATED TO A NOTICE OF VIOLATION

Track No: 701431

2D TWC Chapter 26.121(a)(1)

30 TAC Chapter 305.125(1)

Alleged Violation:

Investigation: 1537533

Comment Date: 12/28/2018

Failed to prevent unauthorized discharges. One unauthorized discharges was reported between November 2016 through September 2018.

(1) On February 10, 2018, 500 gallons of sewage discharged at 3702 Sojourner Street due to a line blockage.

Recommended Corrective Action: Clean up spills, repair damaged pipe, unclog sewer lines, and report on actions taken to prevent a recurrence.

Resolution: Adequate compliance documentation was received for the unauthorized discharge that described the dates, volume, location, cause, corrective actions and description.

ADDITIONAL ISSUES

Description

Was the permittee compliant with the self-monitored effluent limits?

Additional Comments

The daily maximum E.coli limit of 399 MPN or cfu per 100 ml was exceeded in August 2017, November 2017, August 2018, and September 2018. The daily average E.coli limit of 126 MPN or cfu per 100 ml was exceeded in August 2017, August 2018, and September 2018.

The daily average flow limit of 0.5 MGD was exceeded in January 2018.

The daily maximum for total ammonia nitrogen limit of 10 mg per liter was exceeded in August 2018. The daily average for total ammonia nitrogen limit of 2 mg per liter was exceeded in July 2018, August 2018, and September 2018. The daily average for total ammonia nitrogen limit of 15 lbs per day was exceeded in August 2018 and September 2018.

Self-reported effluent may be subject to enforcement, including penalties, upon review by Enforcement Division.

Jon Niermann, *Chairman*
Emily Lindley, *Commissioner*
Bobby Janecka, *Commissioner*
Toby Baker, *Executive Director*



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JUL 12 2021
TXU-AP

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

July 2, 2021

Mr. Tim Williford, Environmental Health and Safety Manager
SWWC Utilities, Inc.
1620 Grande Avenue Parkway, Ste. 140
Pflugerville, Texas 78660

Re: Compliance Evaluation Investigation at:
Austin's Colony Wastewater Treatment Plant, 14517 Lippincott Street, Travis County,
Texas; Investigation No. 1736528

Dear Mr. Williford:

On March 30, 2021, Michael Tucker of the Texas Commission on Environmental Quality (TCEQ) Austin Region Office conducted an investigation of the above-referenced facility to evaluate compliance with applicable requirements for water quality. No violations are being alleged as a result of the investigation.

The TCEQ appreciates your assistance in this matter and your compliance efforts to ensure protection of the State's environment. If you or members of your staff have any questions regarding these matters, please feel free to contact Mr. Michael Tucker in the Austin Region Office at (512) 239-7095.

Sincerely,

Chad Ahlgren

For

Shawn Stewart
Water Section Manager
Austin Region Office

SS/mwt

Jon Niermann, *Chairman*
Emily Lindley, *Commissioner*
Bobby Janecka, *Commissioner*
Toby Baker, *Executive Director*



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

August 24, 2022

CERTIFIED MAIL 9489 0090 0027 6003 3356 02
RETURN RECEIPT REQUESTED

Mr. Chuck Barry, EHS Manager
SWWC Utilities Inc
1620 Grand Avenue Parkway, Suite 140
Pflugerville, Texas 78660

Re: Notice of Violation for Comprehensive Compliance Investigation at:
Austin's Colony Public Water System (PWS) – Travis County
RN:102678703; PWS ID No. 2270255; Investigation No. 1832179

Dear Mr. Barry:

On July 29, 2022, Devon Thomas and Jasmine Paredes of the Texas Commission on Environmental Quality (TCEQ) Austin Region Office conducted an investigation of the above-referenced Public Water System (PWS) to evaluate compliance with applicable requirements for Public Water Supply. Enclosed is a summary which lists the investigation findings. In addition, a certain alleged violation was identified for which compliance documentation is required. Please submit to this office by **October 24, 2022**, a written description of corrective action taken and the required documentation demonstrating that compliance has been achieved for the outstanding violation.

In the listing of the alleged violations, we have cited applicable requirements, including TCEQ rules. Please note that both the rules themselves and the agency brochure entitled *Obtaining TCEQ Rules* (GI 032) are located on our agency website at <http://www.tceq.texas.gov> for your reference. If you would like a hard copy of this brochure mailed to you, you may call and request one from either the Austin Region Office at 512-339-2929 or the Central Office Publications Ordering Team at 512-239-0028.

The TCEQ appreciates your assistance in this matter. Please note that the Legislature has granted TCEQ enforcement powers which we may exercise to ensure compliance with environmental regulatory requirements. If you have additional information that we are unaware of, you have the opportunity to contest the violation documented in this notice. Should you choose to do so, you must notify the Austin Region Office within 10 days from the date of this letter. At that time, Mr. Shawn Stewart will schedule a violation review meeting to be conducted within 21 days of this letter.

TCEQ Region 11 • P.O. Box 13087 • Austin, Texas 78711-3087 • 512-339-2929 • Fax 512-339-3795

Austin Headquarters: 512-239-1000 • tceq.texas.gov • How is our customer service? tceq.texas.gov/customer survey

printed on recycled paper

Mr. Chuck Barry
Page 2
August 24, 2022

However, please be advised that if you decide to participate in the violation review process, the TCEQ may still require you to adhere to the compliance schedule included in the enclosed Summary of Investigation Findings until an official decision is made regarding the status of any or all of the contested violations.

If you or members of your staff have any questions, please feel free to contact Mr. Devon Thomas in the Austin Region Office at 512-339-2929.

Sincerely,

A handwritten signature in cursive script, appearing to read "Chad Ahlgren".

Chad Ahlgren
Water Work Leader
Austin Region Office

CA/dt

Enclosure: Summary of Investigation Findings

Summary of Investigation Findings

AUSTINS COLONY	Investigation # 1832179
, TRAVIS COUNTY,	Investigation Date: 07/29/2022
Additional ID(s): 2270255	

OUTSTANDING ALLEGED VIOLATION(S) ASSOCIATED TO A NOTICE OF VIOLATION

Track No: 822034 Compliance Due Date: 10/24/2022
30 TAC Chapter 290.46(m)(4)

Alleged Violation:

Investigation: 1832179

Comment Date: 08/19/2022

Failure to keep all water treatment units, storage and pressure maintenance facilities, distribution system lines, and related appurtenances in a watertight condition and free of excessive solids or leaks. Specifically, the tank and pumps at Pump Station No. 1, Austin's Colony Boulevard EST, Delta Post Road EST, Hunters Bend Road EST, and Well No. 3 were either actively leaking or rusted during the inspection.

Recommended Corrective Action: Submit compliance documentation to the TCEQ Austin Region Office on or before the compliance due date showing all leaks have been repaired or provide a corrective action plan detailing how the leaks will be repaired.

ADDITIONAL ISSUES

Description

Additional Comments

Item #2

The system should ensure that failed Backflow Assemblies are repaired accordingly. One of the assembly reports appeared to have failed.

Item #3

A plant operations manual was provided but details were unable to be reviewed.

Item #4

Certain tank inspections were incorrectly labeled. Specifically the Austins Colony and Hunter's Bend Elevated Storage Tank inspection forms were labeled incorrectly.

**Attachment 17 - Transferor TCEQ Permit Transfers
(stamped cover pages only – additional portions of
applications available upon request)**



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY
APPLICATION TO TRANSFER A WASTEWATER PERMIT
OR CAFO PERMIT

If you have questions about completing this form please contact the Applications Review and Processing Team at 512-239-4671.

SECTION 1. CURRENT PERMIT INFORMATION

What is the Permit Number? WQ0013138001

What is the EPA I.D. Number? TX 0098612

What is the Current Name on the Permit?

SWWC Utilities, Inc.

What is the Customer Number (CN) for the current permittee? CN 603264763

What is the Regulated Entity Reference Number (RN): RN 101526226

For Publicly Owned Treatment Works (POTWs) Only:

- a) Does this permit require implementation of an approved pretreatment program by the POTW? Yes No
- b) Does this permit have a domestic reclaimed water authorization associated with it?
NOTE: **The domestic reclaimed water authorization associated with this permit will be cancelled on the same date the transfer took place. See instructions for more information.**
Yes No

RECEIVED

JUL 25 2023

TCEQ MAIL CENTER
CJ

SECTION 2. FACILITY OWNER (APPLICANT) INFORMATION

A. What is the Legal Name of the facility owner?

Texas Water Utilities, L.P.

B. What is the Customer Number (CN) issued to this entity? CN 602740706

C. Complete and attach a Core Data Form (TCEQ-10400) for this customer.

SECTION 3. CO-APPLICANT INFORMATION

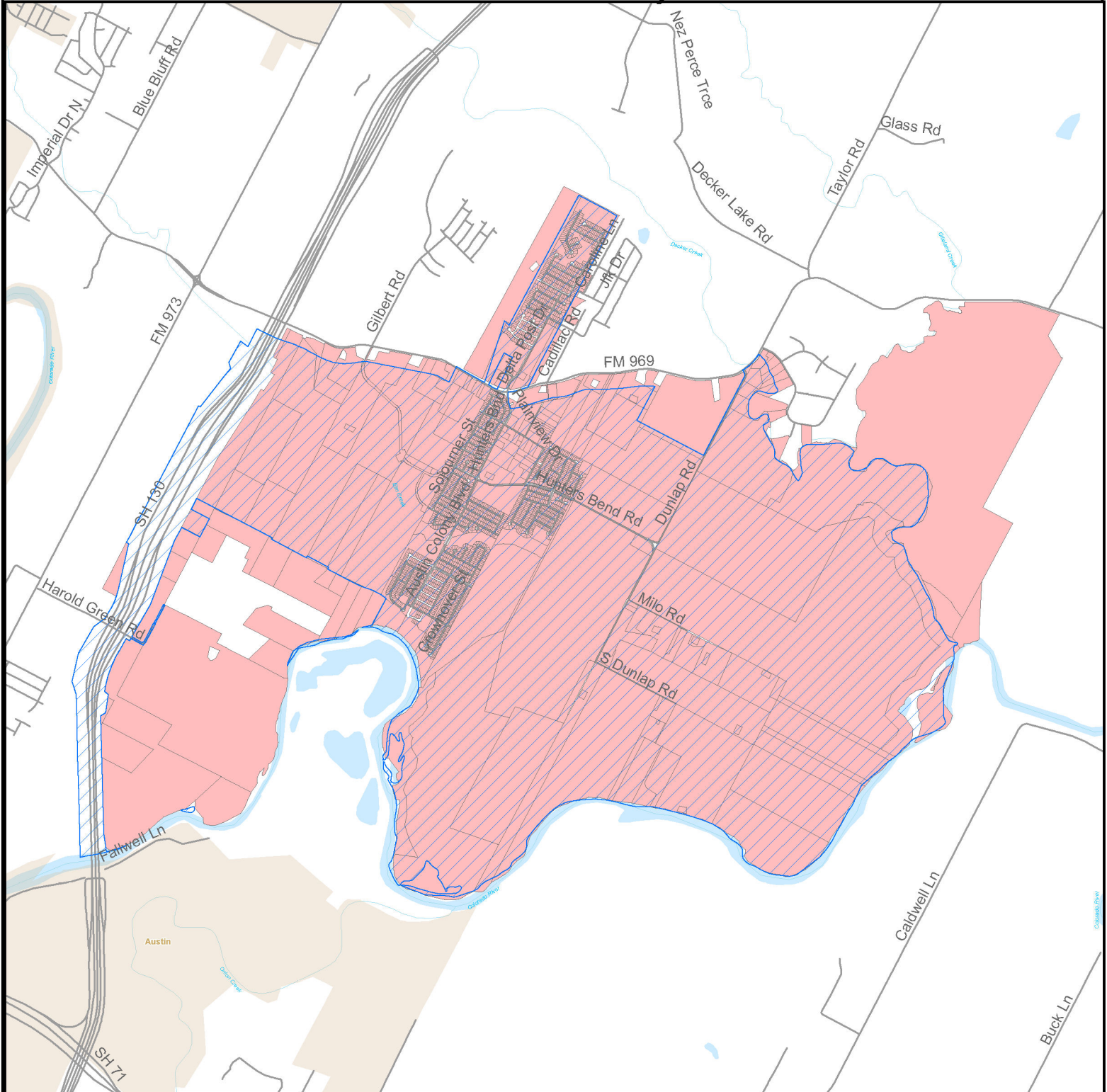
Complete this section only if another person or entity is required to apply as a co-permittee.

A. What is the Legal Name of the co-applicant applying for this permit?

**Attachment 18 - Purchased Water Contracts
(to be filed under CONFIDENTIAL seal)**

Attachment 19 – 25+ Acre Land Tract Identification and Maps

Transfer SWWC Utilities, Inc. dba Hornsby Bend Utility Company, Inc. (CCN No. 11978) to Texas Water Utilities, L.P. (CCN No. 12983) in Travis County

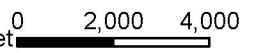


Detailed Map



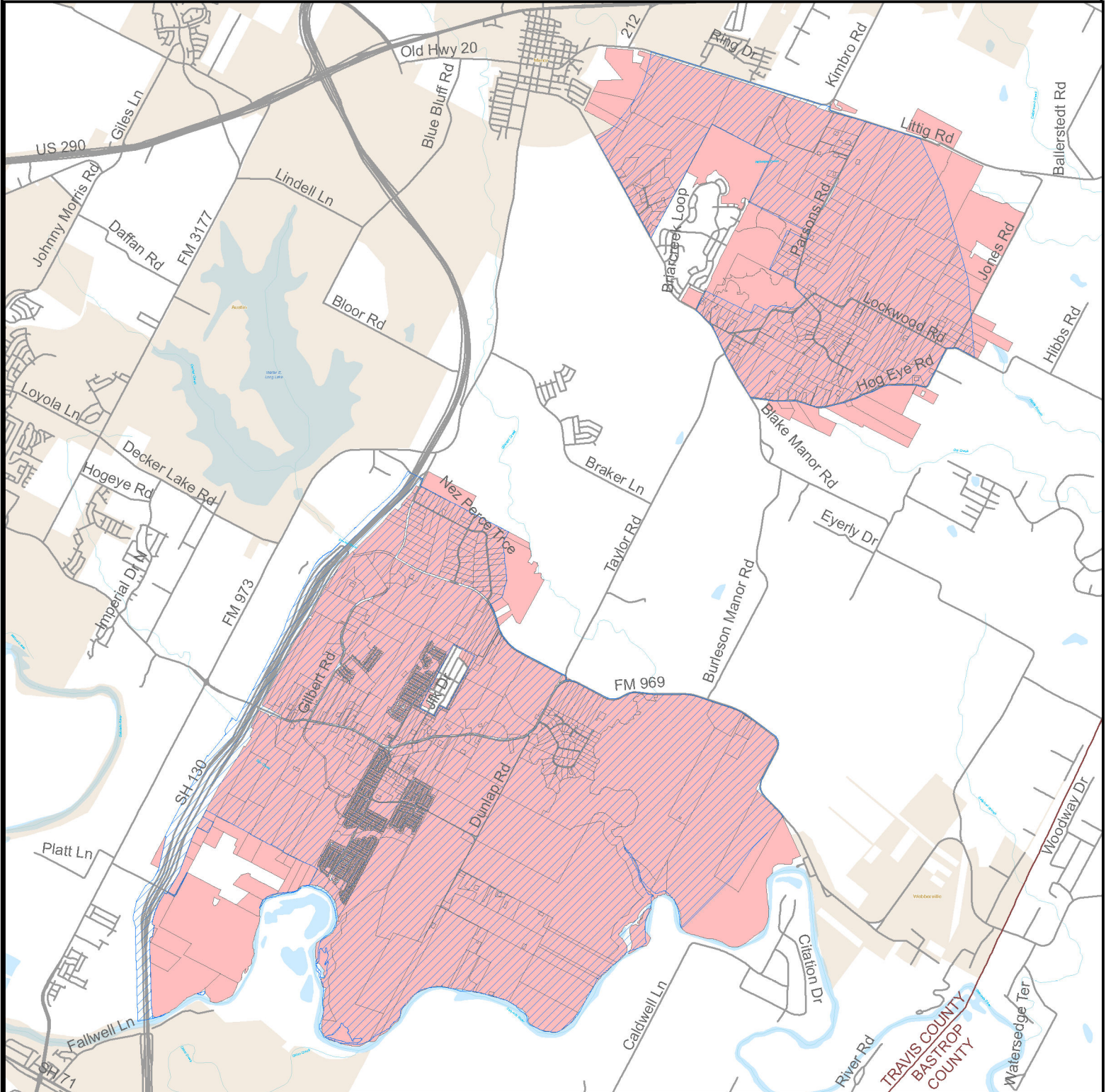
Legend

 Requested Area to Transfer

Feet 

Item	Description	Quantity	Unit	Price	Total	Notes
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**Transfer SWWC Utilities, Inc. dba Hornsby Bend Utility Company, Inc.
(CCN No. 20650) to Texas Water Utilities, L.P. (CCN No. 20899)
in Travis County**



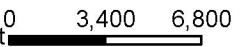
Detailed Map



Legend

 Requested Area to Transfer

Created by: Eric Le
Date Created: 06/28/2023

0 3,400 6,800
Feet 

Year	Month	Day	Event	Location	Participants	Remarks
1950	Jan	1
1950	Jan	2
1950	Jan	3
1950	Jan	4
1950	Jan	5
1950	Jan	6
1950	Jan	7
1950	Jan	8
1950	Jan	9
1950	Jan	10
1950	Jan	11
1950	Jan	12
1950	Jan	13
1950	Jan	14
1950	Jan	15
1950	Jan	16
1950	Jan	17
1950	Jan	18
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1950	Jan	26
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1950	Jan	29
1950	Jan	30
1950	Jan	31
1950	Feb	1
1950	Feb	2
1950	Feb	3
1950	Feb	4
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1950	Feb	6
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1950	Feb	28
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1950	Mar	1
1950	Mar	2
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1950	Mar	30
1950	Mar	31
1950	Apr	1
1950	Apr	2
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1950	Apr	24
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1950	Apr	27
1950	Apr	28
1950	Apr	29
1950	Apr	30
1950	Apr	30

Item	Unit	Quantity	Price	Total	Notes
10001	kg	1000	10.00	10000.00	
10002	kg	2000	15.00	30000.00	
10003	kg	3000	20.00	60000.00	
10004	kg	4000	25.00	100000.00	
10005	kg	5000	30.00	150000.00	
10006	kg	6000	35.00	210000.00	
10007	kg	7000	40.00	280000.00	
10008	kg	8000	45.00	360000.00	
10009	kg	9000	50.00	450000.00	
10010	kg	10000	55.00	550000.00	
10011	kg	11000	60.00	660000.00	
10012	kg	12000	65.00	780000.00	
10013	kg	13000	70.00	910000.00	
10014	kg	14000	75.00	1050000.00	
10015	kg	15000	80.00	1200000.00	
10016	kg	16000	85.00	1360000.00	
10017	kg	17000	90.00	1530000.00	
10018	kg	18000	95.00	1710000.00	
10019	kg	19000	100.00	1900000.00	
10020	kg	20000	105.00	2100000.00	
10021	kg	21000	110.00	2310000.00	
10022	kg	22000	115.00	2530000.00	
10023	kg	23000	120.00	2760000.00	
10024	kg	24000	125.00	3000000.00	
10025	kg	25000	130.00	3250000.00	
10026	kg	26000	135.00	3510000.00	
10027	kg	27000	140.00	3780000.00	
10028	kg	28000	145.00	4060000.00	
10029	kg	29000	150.00	4350000.00	
10030	kg	30000	155.00	4650000.00	
10031	kg	31000	160.00	4960000.00	
10032	kg	32000	165.00	5280000.00	
10033	kg	33000	170.00	5610000.00	
10034	kg	34000	175.00	5950000.00	
10035	kg	35000	180.00	6300000.00	
10036	kg	36000	185.00	6660000.00	
10037	kg	37000	190.00	7030000.00	
10038	kg	38000	195.00	7410000.00	
10039	kg	39000	200.00	7800000.00	
10040	kg	40000	205.00	8200000.00	
10041	kg	41000	210.00	8610000.00	
10042	kg	42000	215.00	9030000.00	
10043	kg	43000	220.00	9460000.00	
10044	kg	44000	225.00	9900000.00	
10045	kg	45000	230.00	10350000.00	
10046	kg	46000	235.00	10810000.00	
10047	kg	47000	240.00	11280000.00	
10048	kg	48000	245.00	11760000.00	
10049	kg	49000	250.00	12250000.00	
10050	kg	50000	255.00	12750000.00	
10051	kg	51000	260.00	13260000.00	
10052	kg	52000	265.00	13780000.00	
10053	kg	53000	270.00	14310000.00	
10054	kg	54000	275.00	14850000.00	
10055	kg	55000	280.00	15400000.00	
10056	kg	56000	285.00	15960000.00	
10057	kg	57000	290.00	16530000.00	
10058	kg	58000	295.00	17110000.00	
10059	kg	59000	300.00	17700000.00	
10060	kg	60000	305.00	18300000.00	
10061	kg	61000	310.00	18910000.00	
10062	kg	62000	315.00	19530000.00	
10063	kg	63000	320.00	20160000.00	
10064	kg	64000	325.00	20800000.00	
10065	kg	65000	330.00	21450000.00	
10066	kg	66000	335.00	22110000.00	
10067	kg	67000	340.00	22780000.00	
10068	kg	68000	345.00	23460000.00	
10069	kg	69000	350.00	24150000.00	
10070	kg	70000	355.00	24850000.00	
10071	kg	71000	360.00	25560000.00	
10072	kg	72000	365.00	26280000.00	
10073	kg	73000	370.00	27010000.00	
10074	kg	74000	375.00	27750000.00	
10075	kg	75000	380.00	28500000.00	
10076	kg	76000	385.00	29260000.00	
10077	kg	77000	390.00	30030000.00	
10078	kg	78000	395.00	30810000.00	
10079	kg	79000	400.00	31600000.00	
10080	kg	80000	405.00	32400000.00	
10081	kg	81000	410.00	33210000.00	
10082	kg	82000	415.00	34030000.00	
10083	kg	83000	420.00	34860000.00	
10084	kg	84000	425.00	35700000.00	
10085	kg	85000	430.00	36550000.00	
10086	kg	86000	435.00	37410000.00	
10087	kg	87000	440.00	38280000.00	
10088	kg	88000	445.00	39160000.00	
10089	kg	89000	450.00	40050000.00	
10090	kg	90000	455.00	40950000.00	
10091	kg	91000	460.00	41860000.00	
10092	kg	92000	465.00	42780000.00	
10093	kg	93000	470.00	43710000.00	
10094	kg	94000	475.00	44650000.00	
10095	kg	95000	480.00	45600000.00	
10096	kg	96000	485.00	46560000.00	
10097	kg	97000	490.00	47530000.00	
10098	kg	98000	495.00	48510000.00	
10099	kg	99000	500.00	49500000.00	
10100	kg	100000	505.00	50500000.00	

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Avg	
1980	120	130	140	150	160	170	180	190	200	210	220	230	240	250	176.7
1981	125	135	145	155	165	175	185	195	205	215	225	235	245	255	181.7
1982	130	140	150	160	170	180	190	200	210	220	230	240	250	260	186.7
1983	135	145	155	165	175	185	195	205	215	225	235	245	255	265	191.7
1984	140	150	160	170	180	190	200	210	220	230	240	250	260	270	196.7
1985	145	155	165	175	185	195	205	215	225	235	245	255	265	275	201.7
1986	150	160	170	180	190	200	210	220	230	240	250	260	270	280	206.7
1987	155	165	175	185	195	205	215	225	235	245	255	265	275	285	211.7
1988	160	170	180	190	200	210	220	230	240	250	260	270	280	290	216.7
1989	165	175	185	195	205	215	225	235	245	255	265	275	285	295	221.7
1990	170	180	190	200	210	220	230	240	250	260	270	280	290	300	226.7
1991	175	185	195	205	215	225	235	245	255	265	275	285	295	305	231.7
1992	180	190	200	210	220	230	240	250	260	270	280	290	300	310	236.7
1993	185	195	205	215	225	235	245	255	265	275	285	295	305	315	241.7
1994	190	200	210	220	230	240	250	260	270	280	290	300	310	320	246.7
1995	195	205	215	225	235	245	255	265	275	285	295	305	315	325	251.7
1996	200	210	220	230	240	250	260	270	280	290	300	310	320	330	256.7
1997	205	215	225	235	245	255	265	275	285	295	305	315	325	335	261.7
1998	210	220	230	240	250	260	270	280	290	300	310	320	330	340	266.7
1999	215	225	235	245	255	265	275	285	295	305	315	325	335	345	271.7
2000	220	230	240	250	260	270	280	290	300	310	320	330	340	350	276.7
2001	225	235	245	255	265	275	285	295	305	315	325	335	345	355	281.7
2002	230	240	250	260	270	280	290	300	310	320	330	340	350	360	286.7
2003	235	245	255	265	275	285	295	305	315	325	335	345	355	365	291.7
2004	240	250	260	270	280	290	300	310	320	330	340	350	360	370	296.7
2005	245	255	265	275	285	295	305	315	325	335	345	355	365	375	301.7
2006	250	260	270	280	290	300	310	320	330	340	350	360	370	380	306.7
2007	255	265	275	285	295	305	315	325	335	345	355	365	375	385	311.7
2008	260	270	280	290	300	310	320	330	340	350	360	370	380	390	316.7
2009	265	275	285	295	305	315	325	335	345	355	365	375	385	395	321.7
2010	270	280	290	300	310	320	330	340	350	360	370	380	390	400	326.7
2011	275	285	295	305	315	325	335	345	355	365	375	385	395	405	331.7
2012	280	290	300	310	320	330	340	350	360	370	380	390	400	410	336.7
2013	285	295	305	315	325	335	345	355	365	375	385	395	405	415	341.7
2014	290	300	310	320	330	340	350	360	370	380	390	400	410	420	346.7
2015	295	305	315	325	335	345	355	365	375	385	395	405	415	425	351.7
2016	300	310	320	330	340	350	360	370	380	390	400	410	420	430	356.7
2017	305	315	325	335	345	355	365	375	385	395	405	415	425	435	361.7
2018	310	320	330	340	350	360	370	380	390	400	410	420	430	440	366.7
2019	315	325	335	345	355	365	375	385	395	405	415	425	435	445	371.7
2020	320	330	340	350	360	370	380	390	400	410	420	430	440	450	376.7
2021	325	335	345	355	365	375	385	395	405	415	425	435	445	455	381.7
2022	330	340	350	360	370	380	390	400	410	420	430	440	450	460	386.7
2023	335	345	355	365	375	385	395	405	415	425	435	445	455	465	391.7
2024	340	350	360	370	380	390	400	410	420	430	440	450	460	470	396.7
2025	345	355	365	375	385	395	405	415	425	435	445	455	465	475	401.7
2026	350	360	370	380	390	400	410	420	430	440	450	460	470	480	406.7
2027	355	365	375	385	395	405	415	425	435	445	455	465	475	485	411.7
2028	360	370	380	390	400	410	420	430	440	450	460	470	480	490	416.7
2029	365	375	385	395	405	415	425	435	445	455	465	475	485	495	421.7
2030	370	380	390	400	410	420	430	440	450	460	470	480	490	500	426.7
2031	375	385	395	405	415	425	435	445	455	465	475	485	495	505	431.7
2032	380	390	400	410	420	430	440	450	460	470	480	490	500	510	436.7
2033	385	395	405	415	425	435	445	455	465	475	485	495	505	515	441.7
2034	390	400	410	420	430	440	450	460	470	480	490	500	510	520	446.7
2035	395	405	415	425	435	445	455	465	475	485	495	505	515	525	451.7
2036	400	410	420	430	440	450	460	470	480	490	500	510	520	530	456.7
2037	405	415	425	435	445	455	465	475	485	495	505	515	525	535	461.7
2038	410	420	430	440	450	460	470	480	490	500	510	520	530	540	466.7
2039	415	425	435	445	455	465	475	485	495	505	515	525	535	545	471.7
2040	420	430	440	450	460	470	480	490	500	510	520	530	540	550	476.7
2041	425	435	445	455	465	475	485	495	505	515	525	535	545	555	481.7
2042	430	440	450	460	470	480	490	500	510	520	530	540	550	560	486.7
2043	435	445	455	465	475	485	495	505	515	525	535	545	555	565	491.7
2044	440	450	460	470	480	490	500	510	520	530	540	550	560	570	496.7
2045	445	455	465	475	485	495	505	515	525	535	545	555	565	575	501.7
2046	450	460	470	480	490	500	510	520	530	540	550	560	570	580	506.7
2047	455	465	475	485	495	505	515	525	535	545	555	565	575	585	511.7
2048	460	470	480	490	500	510	520	530	540	550	560	570	580	590	516.7
2049	465	475	485	495	505	515	525	535	545	555	565	575	585	595	521.7
2050	470	480	490	500	510	520	530	540	550	560	570	580	590	600	526.7

Entity	Year	Revenue	Profit	Assets	Liabilities	Equity	Notes
Company A	2018	1000	100	500	300	200	...
Company A	2019	1100	110	550	330	220	...
Company A	2020	1200	120	600	360	240	...
Company B	2018	2000	200	1000	600	400	...
Company B	2019	2200	220	1100	660	440	...
Company B	2020	2400	240	1200	720	480	...
Company C	2018	3000	300	1500	900	600	...
Company C	2019	3300	330	1650	990	660	...
Company C	2020	3600	360	1800	1080	720	...
Company D	2018	4000	400	2000	1200	800	...
Company D	2019	4400	440	2200	1320	880	...
Company D	2020	4800	480	2400	1440	960	...
Company E	2018	5000	500	2500	1500	1000	...
Company E	2019	5500	550	2750	1650	1100	...
Company E	2020	6000	600	3000	1800	1200	...
Company F	2018	6000	600	3000	1800	1200	...
Company F	2019	6600	660	3300	1980	1320	...
Company F	2020	7200	720	3600	2160	1440	...
Company G	2018	7000	700	3500	2100	1400	...
Company G	2019	7700	770	3850	2310	1540	...
Company G	2020	8400	840	4200	2520	1680	...
Company H	2018	8000	800	4000	2400	1600	...
Company H	2019	8800	880	4400	2640	1760	...
Company H	2020	9600	960	4800	2880	1920	...
Company I	2018	9000	900	4500	2700	1800	...
Company I	2019	9900	990	5000	2970	1980	...
Company I	2020	10800	1080	5400	3240	2160	...
Company J	2018	10000	1000	6000	3600	2400	...
Company J	2019	11000	1100	6600	3960	2640	...
Company J	2020	12000	1200	7200	4320	2880	...
Company K	2018	11000	1100	7000	4200	2800	...
Company K	2019	12100	1210	7700	4620	3080	...
Company K	2020	13200	1320	8400	5040	3360	...
Company L	2018	12000	1200	8000	4800	3200	...
Company L	2019	13200	1320	8800	5280	3520	...
Company L	2020	14400	1440	9600	5760	3840	...
Company M	2018	13000	1300	9000	5400	3600	...
Company M	2019	14300	1430	9900	5940	3960	...
Company M	2020	15600	1560	10800	6480	4320	...
Company N	2018	14000	1400	10000	6000	4000	...
Company N	2019	15400	1540	11000	6600	4400	...
Company N	2020	16800	1680	12000	7200	4800	...
Company O	2018	15000	1500	11000	6600	4400	...
Company O	2019	16500	1650	12100	7260	4860	...
Company O	2020	18000	1800	13200	7920	5280	...
Company P	2018	16000	1600	12000	7200	4800	...
Company P	2019	17600	1760	13200	7920	5280	...
Company P	2020	19200	1920	14400	8640	5760	...
Company Q	2018	17000	1700	13000	7800	5200	...
Company Q	2019	18700	1870	14300	8580	5720	...
Company Q	2020	20400	2040	15600	9360	6240	...
Company R	2018	18000	1800	14000	8400	5600	...
Company R	2019	19800	1980	15400	9240	6160	...
Company R	2020	21600	2160	16800	10080	6720	...
Company S	2018	19000	1900	15000	9000	6000	...
Company S	2019	20900	2090	16500	9900	6600	...
Company S	2020	22800	2280	18000	10800	7200	...
Company T	2018	20000	2000	16000	9600	6400	...
Company T	2019	22000	2200	17600	10560	7040	...
Company T	2020	24000	2400	19200	11520	7680	...
Company U	2018	21000	2100	17000	10200	6800	...
Company U	2019	23100	2310	18700	11220	7480	...
Company U	2020	25200	2520	20400	12240	8160	...
Company V	2018	22000	2200	18000	10800	7200	...
Company V	2019	24200	2420	19800	11880	7920	...
Company V	2020	26400	2640	21600	12960	8640	...
Company W	2018	23000	2300	19000	11400	7600	...
Company W	2019	25300	2530	20900	12654	8246	...
Company W	2020	27600	2760	22800	13908	8892	...
Company X	2018	24000	2400	20000	12000	8000	...
Company X	2019	26400	2640	22000	13200	8800	...
Company X	2020	28800	2880	24000	14400	9600	...
Company Y	2018	25000	2500	21000	12600	8400	...
Company Y	2019	27500	2750	23100	13860	9240	...
Company Y	2020	30000	3000	25200	15120	10080	...
Company Z	2018	26000	2600	22000	13200	8800	...
Company Z	2019	28600	2860	24200	14520	9680	...
Company Z	2020	31200	3120	26400	15960	10440	...

Index	Name	Address	Date		Age	Sex	Occupation	Religion	Marital Status	Family Size	Income	Assets	Liabilities	Insurance	Notes
			Start	End											
1	John Doe	123 Main St	1950-01-01	1950-12-31	35	M	Engineer	Catholic	Married	3	\$50,000	\$100,000	\$20,000	Life Insurance	Notes
2	Jane Smith	456 Oak St	1951-03-15	1951-12-31	28	F	Teacher	Protestant	Married	2	\$30,000	\$50,000	\$10,000	Life Insurance	Notes
3	Robert Brown	789 Pine St	1948-07-20	1948-12-31	42	M	Doctor	Jewish	Married	4	\$70,000	\$150,000	\$30,000	Life Insurance	Notes
4	Mary White	101 Elm St	1952-02-10	1952-12-31	25	F	Nurse	Muslim	Married	2	\$25,000	\$40,000	\$8,000	Life Insurance	Notes
5	David Green	202 Maple St	1949-09-05	1949-12-31	38	M	Lawyer	Hindu	Married	3	\$60,000	\$120,000	\$25,000	Life Insurance	Notes
6	Elizabeth Black	303 Cedar St	1950-11-18	1950-12-31	30	F	Writer	Buddhist	Married	2	\$40,000	\$80,000	\$15,000	Life Insurance	Notes
7	Michael Gray	404 Birch St	1953-04-22	1953-12-31	22	M	Student	None	Single	1	\$15,000	\$30,000	\$5,000	Life Insurance	Notes
8	Sarah Lee	505 Walnut St	1954-06-30	1954-12-31	18	F	High School	None	Single	1	\$10,000	\$20,000	\$3,000	Life Insurance	Notes
9	Thomas King	606 Spruce St	1955-08-14	1955-12-31	15	M	Elementary	None	Single	1	\$8,000	\$15,000	\$2,500	Life Insurance	Notes
10	Laura Hill	707 Ash St	1956-10-01	1956-12-31	12	F	Kindergarten	None	Single	1	\$6,000	\$12,000	\$2,000	Life Insurance	Notes
11	Christopher Scott	808 Hickory St	1957-12-15	1957-12-31	9	M	Preschool	None	Single	1	\$4,000	\$8,000	\$1,500	Life Insurance	Notes
12	Amanda Adams	909 Cypress St	1958-02-28	1958-12-31	7	F	Kindergarten	None	Single	1	\$3,000	\$6,000	\$1,000	Life Insurance	Notes
13	William Taylor	1010 Redwood St	1959-05-10	1959-12-31	5	M	Preschool	None	Single	1	\$2,000	\$4,000	\$700	Life Insurance	Notes
14	Olivia Parker	1111 Sycamore St	1960-07-25	1960-12-31	4	F	Preschool	None	Single	1	\$1,500	\$3,000	\$500	Life Insurance	Notes
15	Benjamin Evans	1212 Dogwood St	1961-09-18	1961-12-31	3	M	Preschool	None	Single	1	\$1,000	\$2,000	\$400	Life Insurance	Notes
16	Isabella Young	1313 Magnolia St	1962-11-05	1962-12-31	2	F	Kindergarten	None	Single	1	\$800	\$1,500	\$300	Life Insurance	Notes
17	Lucas Green	1414 Tulip St	1963-12-20	1963-12-31	1	M	Kindergarten	None	Single	1	\$600	\$1,200	\$250	Life Insurance	Notes
18	Charlotte King	1515 Iris St	1964-02-12	1964-12-31	0	F	Kindergarten	None	Single	1	\$400	\$800	\$150	Life Insurance	Notes
19	Harvey Hill	1616 Rose St	1965-04-28	1965-12-31	0	M	Kindergarten	None	Single	1	\$300	\$600	\$100	Life Insurance	Notes
20	Amelia Scott	1717 Lily St	1966-06-15	1966-12-31	0	F	Kindergarten	None	Single	1	\$200	\$400	\$70	Life Insurance	Notes

