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Public Utility Commission of Texas
1701 N. Congress Avenue or P.O. Box 13326
Austin, Texas 78711-3326
512-936-7000
Web address: www.puc.texas.gov

Registration and Re-registration Form for Power Generation Companies (PGC) and Self-Generators

(In accordance with 16 Texas Administrative Code (TAC) § 25.109)

Part A – Type of Registration Applicable to Every Registrant

1. Type of registration

Check only one of the following.

- | | |
|--|---|
| <input type="checkbox"/> New power generation company (PGC) registration | <input type="checkbox"/> New self-generator registration |
| <input type="checkbox"/> Amendment of PGC registration | <input type="checkbox"/> Amendment of self-generator registration |
| <input type="checkbox"/> PGC re-registration | <input type="checkbox"/> Self-generator re-registration |
| <input checked="" type="checkbox"/> PGC compliance update | <input type="checkbox"/> Self-generator compliance update |

2. Amendments

If filing an amendment, check all applicable boxes and fill in only the sections of this form that are applicable to your amendment:

- | | |
|--|--|
| <input type="checkbox"/> Name change amendment | <input type="checkbox"/> Facility output capacity change |
| <input type="checkbox"/> Change in ownership/control | <input type="checkbox"/> New generating facility or unit of a current facility |
| <input type="checkbox"/> Registration relinquishment | <input type="checkbox"/> Other |

Registration number:

Provide a brief explanation of amendment:

3. Biannual renewal of registration – This box is not applicable until February 2024.

If filing a re-registration, fill in the box below if the registrant's information has not changed from the previous registration.

- ☐ No information has changed from the previous registration. The Affidavit is required to be completed and filed if this box is checked.

4. Compliance with Project No. 52796 – PGCs and self-generators already registered on, or that applied for registration before, April 26, 2023, must complete this box to come into compliance with 16 Texas Administrative Code (TAC) § 25.109 on or before June 1, 2023.

If filing a compliance update, provide the registrant's registration number and check the box below once the form has been completed.

Registration number: 20210

☒ Registrant has completed the entire form, as applicable to its type of registration.

Part B – Registration Information Applicable to Every Registrant

1. Registrant

Legal business name: Cap Ridge Wind IV, LLC

Business address: 533 Bird Lane

City: Sterling City

ZIP: 76951

Principal place of business: Texas

Email: Bmcompliance@nee.com

Phone: 561-348-4601

2. Primary Emergency Contact Information

Name: Amanda Merlino

Title: Business Manager

Address: 700 Universe Blvd

City: Juno Beach

State: FL

ZIP: 33408

Email: Bmcompliance@nee.com

Phone: 561-348-4601

3. Secondary Emergency Contact Information

Name: Peter Christmas

Title: Sr. Business Manager

Address: 700 Universe Blvd

City: Juno Beach

State: FL

ZIP: 33408

Email: Peter.Christmas@nee.com

Phone: 561-694-4777

4. Regulatory Contact Information

Name: Tracy Davis

Title: Sr Counsel

Email: Tracy.c.Davis@nee.com

Phone: 512-236-3141

Check the relevant box below indicating whether the registrant's regulatory contact is an internal staff member of the registrant.

☒ Yes, the registrant's regulatory contact is an internal staff member of the registrant.

☐ No, the registrant's regulatory contact is not an internal staff member of the registrant.

5. Description of the types of services provided by the registrant that relate to the generation of electricity

Wind Powered Electric Generating Facility

6. For qualified facilities, provide as an attachment a copy of any Federal Energy Regulatory Commission (FERC) registrations

Part C - Applicable to Registration of Power Generation Companies

7. Names, types of business, percentage of ownership, and Commission registration type of the registrant's corporate parent companies (if parent company does not have a Commission registration then mark "N/A") (add additional pages as attachments as necessary)

Names of Corporate Parent(s): ESI Energy LLC	Type of Business: Electric Generation	Percentage of Ownership: 100%	Type of Commission registration: None
Names of Corporate Parent(s): Nextera Energy Resources, LLC	Type of Business: Electric Generation	Percentage of Ownership: 100%	Type of Commission registration: None
Names of Corporate Parent(s):	Type of Business:	Percentage of Ownership:	Type of Commission registration:

8. Name and type of registrations of the registrant's affiliates who have a Commission registration (add additional pages as attachments as necessary)

Affiliate Name: See Attached	Type of Commission registration:
Affiliate Name:	Type of Commission registration:
Affiliate Name:	Type of Commission registration:

9. Interchange Project Number where registrant's Emergency Operation Plan is filed and Item Number of filing

Project Number: 53385	Item Number: 114, 116, 1109, 1116
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Part D – Applicable to Every Registrant

AFFIDAVIT

(Must be notarized by a public notary)

STATE OF
COUNTY OF

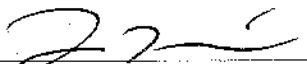
§
§
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BEFORE ME, the undersigned authority, on this day personally appeared the undersigned, who, after being duly sworn, stated on his or her oath that he or she is entitled to make this Affidavit, and that the statements contained below and in the foregoing are true and correct.

Check one of the following boxes:

- ☐ I am an authorized representative of the registrant, which is a **self-generator**.
- ☒ I am an authorized representative of the registrant, which is a **power generation company** and swear and affirm that the company:
- ◆ (A) Generates electricity that is intended to be sold at wholesale;
 - ◆ (B) Does not own a transmission or distribution facility in this state other than an essential interconnecting facility, a facility not dedicated to public use, or a facility otherwise excluded from the definition of "electric utility" under Public Utility Regulatory Act (PURA) § 31.002; and
 - ◆ (C) Does not have a certificated service area.
- ◆ Further, I swear and affirm that I have personal knowledge that none of registrant's principals (1) were principals of a Commission-regulated person whose license was revoked by Commission order when the person was principal, (2) were principals of any party registered with the Electric Reliability Council of Texas (ERCOT) whose standard form market participant agreement (SFA) was terminated by ERCOT when they were a principal, or (3) are otherwise prohibited by Commission order from acting as a principal of a Commission-regulated entity.

I swear and affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this registration form on behalf of the registrant. I further swear and affirm that all statements made in the registration form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear and affirm that the registrant understands and will comply with all requirements of the applicable law and rules.


Signature of Authorized Representative

Lane S. Witten

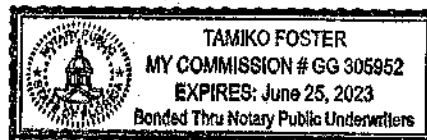
Printed Name

Cap Ridge Wind IV, LLC

Name of Registrant

Sworn and subscribed before me this 30 day of MAY 2023
Month ____ Year

Notary Public in and for the State of Tx



Part E – Applicable to Every Registrant

Provide information for each generating unit. If more room is needed to list all generating units, attach additional copies of Part E.

All Registrants							Self-Generators Only
Generating Unit's Name	Physical Address of Unit	County of Unit	Interconnecting Transmission Service Provider	Power Region	Total Capacity Rating in MW	Type(s) of Generation*	MW Consumption of Co-Located Load
Cap Ridge Wind IV, LLC	533 Bird Lane	Sterling	LCRA	ERCOT	121.5	Wind	

*i.e., biomass, wind, geothermal, solar, hydro, nuclear, landfill gas, energy storage, hydrogen, diesel, coal, natural gas, other (provide an explanation)

**UNITED STATES OF AMERICA
BEFORE THE
FEDERAL ENERGY REGULATORY COMMISSION**

Cap Ridge Wind IV, LLC

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Docket No. EG17-____-000

**NOTICE OF SELF-CERTIFICATION OF
EXEMPT WHOLESALE GENERATOR STATUS OF
CAP RIDGE WIND IV, LLC**

Pursuant to the Public Utility Holding Company Act of 2005 (“PUHCA 2005”), enacted pursuant to the Energy Policy Act of 2005, §§ 1261-77, Pub. L. No. 109-58, 119 Stat. 594 (2005), and Section 366.7, 18 C.F.R. § 366.7 (2015) of the regulations of the Federal Energy Regulatory Commission (“FERC” or “Commission”), Cap Ridge Wind IV, LLC, a Delaware limited liability company (“Applicant” or “Cap Ridge Wind IV”), hereby submits this notice of self-certification (“Notice”) of its status as an exempt wholesale generator (“EWG”), as defined in Section 366.1 of the Commission’s rules, 18 C.F.R. § 366.1 (2016). In support of this Notice, Applicant hereby states as follows:

I. Principal Office of Applicant

The principal office of Applicant is set forth below:

Cap Ridge Wind IV, LLC
700 Universe Blvd.
Juno Beach, FL 33408-0420

II. Communications

All communications regarding this Application should be provided to:

Joel D. Newton
Senior FERC Counsel
NextEra Energy Resources, LLC
801 Pennsylvania Ave., N.W., Ste. 220
Washington, D.C. 20004
(202) 347-7126
joel.newton@nee.com

III. Description of Applicant and Facility

Applicant is a wholly-owned direct subsidiary of ESI Energy, LLC (“ESI Energy”), a Delaware limited liability company. ESI Energy is a wholly-owned direct subsidiary of NextEra Energy Resources, LLC (“NextEra Resources”), a Delaware limited liability company. NextEra Resources is a wholly-owned direct subsidiary of NextEra Energy Capital Holdings, Inc., a Florida corporation, which in turn is a wholly-owned direct subsidiary of NextEra Energy, Inc., a Florida corporation publicly traded on the New York Stock Exchange.

Cap Ridge Wind IV will own a wind generation facility and associated interconnection facilities (the “Facility”), with a capability to produce up to approximately 112.5 MW. The Facility is located in Sterling and Coke Counties, Texas. The Facility’s interconnection facilities consist of a 34.5/345kV substation and approximately 4 miles of 345 kV transmission line to an existing 345 kV Lower Colorado River Authority Substation located in Coke County, Texas, approximately 4 miles Southeast of State Highway 158 at the Point of Interconnection on the existing Lower Colorado River Authority Morgan Creek to Twin Buttes 345 kV transmission line. The Facility, which is currently in operation, is owned by Cap Ridge Wind IV’s affiliate, Capricorn Ridge Wind II, LLC,¹ which will be transferring its facilities to Cap Ridge Wind IV as part of a contemplated tax equity transaction.

Applicant neither owns nor controls transmission, other than certain interconnecting facilities necessary to permit the Facility to engage in sales at wholesale. With respect to physical energy commodities, Applicant may engage in wholesale sales of electric energy, capacity, and ancillary services at market-based rates sales.

¹ See Notice of Effectiveness of Exempt Wholesale Generator Status of Capricorn Ridge Wind II, LLC, Docket No. EG08-34 -000, issued (May 8, 2008).

In connection with operating the Facility, Applicant also may engage in the following incidental activities that the Commission has found permissible EWG activities:

- Trade emission allowances consistent with the Commission's limitation that an EWG may engage in such trading so long as the emission allowances were originally obtained in the normal course of operating the Facility.²
- Sell "green" power certificates or credits consistent with the Commission's limitation that an EWG may sell such certificates or credits where they are associated with power produced by the Facility.³
- Engage in other activities incidental to the sale of electric energy at wholesale that are consistent with the Commission's EWG precedent.

IV. Representations Regarding Exempt Wholesale Generator Status

Consistent with Section 366.1 of the Commission's regulations, Applicant makes the following representations in order to certify that it satisfies the requirements for EWG status:

1. Applicant represents that it will be engaged directly and exclusively in the business of owning and operating the Facility and selling electric energy at wholesale. Consistent with the Commission's EWG precedent, the associated activities described in Part III above are incidental to the wholesale generation business and will not violate the EWG exclusivity requirement. The Facility, as described above, will satisfy the definition of Eligible Facilities as defined in Section 32(a)(2) of the Public Utility Holding Company Act of 1935 and as incorporated by reference in 18 C.F.R. § 366.1, because it will be used for the generation of electric energy exclusively for sale at wholesale.

2. The Facility includes no transmission or distribution facilities other than those interconnecting transmission facilities necessary to permit the Facility to engage in sales at wholesale.

² See *UGI Development Co.*, 89 FERC ¶ 61,192 (1999).

³ See *Madison Windpower, LLC*, 93 FERC ¶ 61,270 (2000).

3. Applicant will not make sales of power at retail.

4. No rate or charge for, or in connection with, the construction of the Facility, or for electric energy produced by the Facility, was in effect under the laws of any State on October 24, 1992. As such, no determination or certification by a state commission is necessary prior to certification of the Facility as an EWG.

5. No portion of the Facility will be owned or operated by an “electric utility company” that is an “affiliate” or “associate company” of Applicant that is not itself an EWG, as defined in Section 366.1 of the Commission’s regulations.

6. There are no leasing arrangements involving the Facility and any public utility company or any affiliate or associate company of any public utility company.

V. Conclusion

Based upon the foregoing, Applicant respectfully requests that the Commission accept its notice of self-certification of EWG status.

Respectfully submitted,

/s/ Joel D. Newton

Joel D. Newton
Senior FERC Counsel
NextEra Energy Resources, LLC
801 Pennsylvania Ave., N.W.
Suite 220
Washington, D.C. 20004
(202) 347-7126

Counsel for Cap Ridge Wind IV, LLC

Dated: July 28, 2017

CERTIFICATE OF SERVICE

I, Joel D. Newton, hereby certify that I have this day caused the foregoing Notice of Self-Certification of Exempt Wholesale Generator Status of Cap Ridge Wind IV, LLC to be served by first-class mail upon the Florida Public Service Commission and the Public Utility Commission of Texas.

Dated at Washington, D.C. this 28th day of July, 2017.

/s/ Joel D. Newton

Joel D. Newton
NextEra Energy Resources, LLC
801 Pennsylvania Ave., N.W.
Suite 220
Washington, D.C. 20004

Attachment

8. Name and corporate relationship of each affiliate that buys and sells electricity at wholesale in Texas, sells electricity at retail in Texas, or is an electric or municipally owned utility in Texas.

Name	Corporate relationship
Appaloosa Run Wind, LLC	Wholesale Affiliate
Blue Summit I Wind, LLC	Wholesale Affiliate
Blue Summit II Wind, LLC	Wholesale Affiliate
Blue Summit III Wind, LLC	Wholesale Affiliate
Blue Summit Storage, LLC	Wholesale Affiliate
Bluebell Solar, LLC	Wholesale Affiliate
Bluebell Solar II, LLC	Wholesale Affiliate
Callahan Wind Divide, LLC	Wholesale Affiliate
Cap Ridge Wind I, LLC	Wholesale Affiliate
Cap Ridge Wind II, LLC	Wholesale Affiliate
Cap Ridge Wind III, LLC	Wholesale Affiliate
Cap Ridge Wind IV, LLC	Wholesale Affiliate
DG Harvest Solar, LLC	Wholesale Affiliate
Frontier Utilities, LLC	Retail Affiliate
Gexa Energy, LP	Retail Affiliate
Great Prairie Wind, LLC	Wholesale Affiliate
High Majestic Wind I, LLC	Wholesale Affiliate
High Majestic Wind II, LLC	Wholesale Affiliate
Horse Hollow Wind I, LLC	Wholesale Affiliate
Horse Hollow Wind II, LLC	Wholesale Affiliate
Horse Hollow Wind III, LLC	Wholesale Affiliate
Horse Hollow Wind IV, LLC	Wholesale Affiliate
Hubbard Wind, LLC	Wholesale Affiliate
Indian Mesa Wind, LLC	Wholesale Affiliate
Inertia Energy Storage, LLC	Wholesale Affiliate
Inertia Wind Project, LLC	Wholesale Affiliate
Javelina Wind Energy, LLC	Wholesale Affiliate
Javelina Wind Energy II, LLC	Wholesale Affiliate
King Mountain Upton Wind, LLC	Wholesale Affiliate
Lacy Creek Wind, LLC	Wholesale Affiliate
Lone Star Transmission, LLC	Electric Utility
Lorenzo Wind, LLC	Wholesale Affiliate
NEPM II, LLC	Wholesale Affiliate
NextEra Energy Marketing, LLC	Wholesale Affiliate
Palo Duro Wind Energy, LLC	Wholesale Affiliate

Palo Duro Wind Interconnection Services, LLC	Wholesale Affiliate
Pioneer Hutt Wind, LLC	Wholesale Affiliate
Post Wind, LLC	Wholesale Affiliate
Power Wizard, LLC	Retail Affiliate
Premier Power Solutions, LLC	Retail Affiliate
Torrecillas Wind Energy, LLC	Wholesale Affiliate
Usource, LLC	Retail Affiliate
White Mesa Wind, LLC	Wholesale Affiliate
Wildcat Ranch Wind Energy, LLC	Wholesale Affiliate
Woodward Mountain Wind, LLC	Wholesale Affiliate
Young Wind, LLC	Wholesale Affiliate