



Filing Receipt

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Derek Larson, MBA, PMP

3982 Neal Creek Rd.
Hood River, OR 97031
Home Phone 541-806-4484
Email – Derek_Wayne@hotmail.com

PROFILE

MBA Graduate, Project Management Professional (PMP), OSHA certified, and Marine Corps veteran. Experienced in Project Management & Construction Project Management related to a variety of telecommunications projects for service providers utilizing fast track project planning practices. Current and previous projects include multi-state infrastructure deployments of wireless small cell and macro builds in a variety of jurisdictions and regions for multiple customers, fiber builds and related network infrastructure projects for ISPs and dark fiber builds to large commercial customers. Additional experience in network operations management for fiber network to include customer installations, outage management, network documentation, network augmentations, audits, and other related activities and tasks.

Experience and existing contacts in countless jurisdictions and with countless service providers. Skills and experience include:

Project Management
Jurisdictional cooperation
Vendor management
Network Deployment
Land use permitting / documents
Government relations
Legal document review
Leading training
Lease negotiation / review
Vendor / 3rd party coordination
Problem solving
Process Improvement

Construction Management
Utility permitting / construction
Procurement management
Resource/Schedule prioritization
Person to person communications
Quality control / auditing
Working as a team, and individual
Building / maintaining relationships
Data Analysis
Special projects
Network design
Logistics / Material ordering

PROFESSIONAL EXPERIENCE

3/21 – 11/21 Dish Wireless

Wireless Construction Manager

Dish Wireless is deploying a nationwide Open RAN 5G green field wireless network. As part of the growing team in the Pacific Northwest I led early efforts to assist in all facets of preparation for network deployment. Current position is Construction Manager, but currently acting as: Project Manager, Network Transport Coordination, and assisting with Site Acquisition activities while the team grows and additional teammates are brought on.

- Field visited every site (325) to document existing fiber provider presence and meet me points (MMPs).
- Reviewed, red-lined, and approved construction drawings, zoning drawings, and utility coordination reports (UCRs).
- Part of a cross-functional team to establish initial network infrastructure footprint to include dark fiber rings, lit fiber solutions, DAS systems, microwave backhaul solutions, our initial data centers, and internet service provider partners to coordinate with.
- Established a large vendor pool and coordinated training, safety compliance, and required certification.
- Coordinated site walks with cross-functional team, describing potential impacts of potential decisions, emphasizing speed and cost effectiveness.

8/21 – Present Draupnir, LLC

Member/Owner

Part of a team of telecommunications and construction professionals who started a neutral host dark fiber network in Quincy Washington. The dark fiber network was designed from the ground up to interconnect large hyper-scale enterprise customers and provide large count conduit packages, and high-count fiber optic cables to lease between customers for interconnectivity.

- Incorporated the business, secured franchise agreements, performed all field engineering, drafted engineered plan sets, and secured all permits for over 45,000 lineal ft of network infrastructure.
- Designed the network in a way to increase redundant and unique route options for data centers, and their customers, from existing fiber routes.
- Led the cross-functional team to design network architecture elements, created methods of procedure (MOPs), and developed key execution strategies for network deployment.
- Construction on the network is ongoing, and started in February of 2022.

5/20 – 7/21 Last Mile Fiber, LLC

Member/Owner

Part of a team of telecommunications and construction professionals who started a neutral host dark fiber network in Hillsboro Oregon. The dark fiber network was designed from the ground up to interconnect large hyper-scale enterprise customers and provide large count conduit packages, and high-count fiber optic cables to lease between customers for interconnectivity. Last Mile Fiber was acquired in July 2021.

- Incorporated the business, secured franchise agreements, performed all field engineering, drafted engineered plan sets, and secured all permits for over 150,000 lineal ft of network infrastructure.
- Designed the network in a way that reduced incurred franchise fees by researching various jurisdictions and their right-of-way opportunities.
- Led the cross-functional team to design network architecture elements, created methods of procedure (MOPs), and developed key execution strategies for network deployment.
- As construction was set to begin, a competitor contacted our team and negotiations began for acquiring Last Mile Fiber to allow their growth into the market with very fast speed to market.

9/19 – Present Wōden, LLC

Member/Owner

Wōden is a consulting and construction management firm based in Hood River Oregon, but registered as a Competitive Local Exchange Carrier (CLEC) in Oregon and Washington. Woden has assisted in permitting, applying/securing utility status, and network deployment consulting for several small ISPs and pseudo municipal entities such as PUDs and COOPs.

- Secured utility status for a small ISP that was unaware they were operating a network out of compliance with the state of Oregon.
- Performed asset management activities for various clients throughout the Pacific Northwest.
- Consulted and assisted with applying for grant money related to rural broadband expansion in Oregon and Washington.

- Consulted and assisted with applying for grant money related to rural broadband expansion with federal programs such as RDOF, ReConnect, and CAF funding.
- Assisted with identifying and implementing process improvement and overall increases in efficiency with network deployment strategies, joint use administration, asset management, and network architecture.

9/17 – 3/21

Wave Broadband

Fiber Construction Manager

Construction Manager for the Construction Project Management Team (CPM) overseeing the regional fiber/coax deployment, and fixed wireless, for large commercial and government customers. Regional manager of infrastructure builds for areas including Portland and Vancouver, to Sandy, Eastern Washington, and all areas in between. Role also serves as operational support for regional network, responsible for network auditing and documentation, augmentations, outage and maintenance management, customer installations, and coordinating with sales on potential new customers. Responsible for dozens of projects in all stages at any given time.

- Provide day to day project management including routine reporting and data integrity, processes, best practices and business support functions.
- Work with cross-functional departments to include customer IT teams, internal engineering, permitting, construction, finance, and all other stakeholders related to each project. Routine meetings with colleagues and peers in other markets to discuss best practices and lessons learned for process improvement of the team.
- Tracking and translating information from cross functional team into tasks and action items,
- Responsible for dozens of projects within a large geographic area, totaling millions of dollars in value, at any given time.
- Capacity planning for fiber network infrastructure, identifying network augmentations needed and initiating new projects to complete the scope of work.
- Worked to identify issues within the Construction Project Management (CPM) team limiting productivity. Identified disconnects between internal teams that resulted in several weeks of unnecessary delays between team members handing off key milestones. Reduced these delays by instigating hand off calls with stakeholders, increasing productivity, reducing customer agitation, and allowing for significant process improvement throughout the team.
- Oversaw relationship building efforts with local and state jurisdictions, building rapport and relationships in areas of frequent deployment activities.
- Coordinating with contractors, soliciting bids for projects, work order issuing, QC/QA, network deployment management at all levels.
- Network design, and problem solving (avoid expensive & time intensive obstacles such as rail roads, federal land, cultural resources, etc.)

12/16 - 9/17

Mobilitie

Construction Project Manager

Construction Project Manager overseeing all Mobilitie wireless deployments in the ROW in the Pacific Northwest, specifically in charge of all of Oregon, Idaho, and SW Washington numbering hundreds of sites in countless jurisdictions. Project initiating, planning, execution, controlling and project close out of small cell and macro wireless sites to include major milestones for all deployments, logistics planning, vendor management and all related activities.

- Provide day to day project management including routine reporting and data integrity, processes, best practices and business support functions.
- Work with cross-functional departments to include customer IT teams, internal engineering, permitting, construction, finance, and all other stake holders related to each project. Routine meetings with colleagues and peers in other markets to discuss best practices and lessons learned for process improvement of the team.
- Tracking and translating information from cross functional team into tasks and action items,
- Responsible for dozens of projects within a large geographic area, totaling millions of dollars in value, at any given time
- Led a cross functional team to overhaul the permitting process, introduced training, coordinated meetings with jurisdictions to build rapport and streamline permitting efforts.
- Within sixth months many sites were recycled after I determined the sites weren't feasible to build or permit, however permits started getting approved and the first sites were built after struggling for months.
- Joint use permitting requirements were unknown to permitting team. Identified this as a massive problem, introduced permitting team to key joint use contacts at large utility companies and oversaw training of the permitting team. This resulted in less sites being recycled late in the project lifecycle, increasing project management efficiency.

12/14 - 12/16 Wave Broadband

Fiber Construction Lead 1

Working as a construction lead for the Construction Project Management Team (CPM) to manage project initiating, planning, execution, controlling and project close out of fiber network construction. Perform QC post inspections of fiber construction, network design of new construction builds, and to complete all permitting and construction challenges that are associated with the fiber construction in Washington and Oregon.

- There was a disfunction and communication gap between permitting and construction management. Unrealistic goals were set by team members who did not understand processes and requirements. Worked to foster communication between team leading cross functional meetings and conversations, working on a collaborative process improvement effort.
- Led outside plant (OSP) documentation efforts, documenting the network had been an afterthought and records were severely lacking for legacy networks Wave had acquired. This led to inaccurate sales proposals and budgets. Organized the permitting team when not focused on other projects to systematically field, document, and update network design of the entire network systematically. Initiated protocol to not only QC new builds, but document OSP details at time on construction completion.

Fiber Permit Technician II

Working as a permit technician to complete various land use permit applications, assist project managers, construction managers, and others to complete all permitting challenges through the states of Washington and Oregon.

- Right-of-way permitting was challenging for Wave's permitting team, they spent too much time on tasks that weren't required for permit approval. Overhauled the permitting team and processes and quickly increased productivity and flow of approved permits, which increased construction/project completion rates. Was promoted within four months of employment.

8/12-12/14

Fenton Consulting and Construction Management
Telecommunications Project Coordinator

Working as a project coordinator to coordinate wireless, utility, and land use projects from various companies, in multiple locations, under different circumstances. Consulting ISP's (CLEC's and ILEC's) on infrastructure issues related to deployment challenges, documentation, easements, and other issues.

- Identified CenturyLink was likely trespassing in several areas throughout Eastern Oregon. Performed title research, identified and created the required easement documents to bring CenturyLink into compliance, met with land owners and negotiated, executed, and recorded easements on CenturyLink's behalf.
- Worked on AT&T deployment in the Rocky Mountain Region, which combined several states. Traveled to region, performed site acquisition services, created SCIP packages for AT&T leadership, then led efforts on zoning and building permit applications for new site builds.
- Identified speculation sites for Crown Castle, SBA, American Towers, and Grain Communications. Created SCIP packages, met with land owners, researched zoning and building permit requires. Submitted completed packages to tower owner companies as leads to be pursued further if they were determined to be valuable additions to their portfolios.

2008-2012

United States Marine Corps.
Radio Technician

Worked within a maintenance shop of 60+ Marines, diagnosing and repairing radio equipment.

- Performed all necessary supervisory functions. Motivated, and appraised the performance of staff.
- Responsible for administrative procedures, accountability documents such as the Equipment Repair Orders, logs, and management reports.
- Served as quality control inspector overseeing that all maintenance was recorded and performed properly before testing equipment and returning gear to the customer.
- Extensive experience in all facets of the communications maintenance cycle. Hands on, records keeping, tracking progress, and oversight experience while supervising a team of technicians. Coordinating maintenance activities and projects by tasking different maintenance technicians and teams for projects based on priority and availability.

Assistant to the Assistant Chief of Staff - G-6

Supported and assisted the two highest level managers of communications maintenance within a Marine Division of over 24,000 Marines.

- Assisted with equipment fielding for every unit in the division.
- Managed fiscal requests for the section, results in the purchase and installation of over \$20,000,000.00 worth of equipment.
- Requested and reviewed contractor bids, and inspected completed projects.
- Tracked equipment readiness, gear implementation, and various operations throughout the division to maintain schedules and budget requirements.
- Coordinated and facilitated instructions from the 1st Marine Division commanding general related to communication readiness and issues.

ACHIEVMENTS

- Awarded Customer Care Award for Wave Broadband Q4 2020
- Awarded Most Valuable Support Person (MVSP) for Wave Broadband Q2 2019 by the sales team.
- Awarded Officer of the Year by Oregon State Elks Association Northeast District for 2019-2020.
- Voted Officer of the Year by Hood River Elks Lodge #1507 2020-2021
- Voted Officer of the Year by Hood River Elks Lodge #1507 2018-2019

- Navy and Marine Corp Achievement Medal

EDUCATION

July 2019	Project Management Professional (PMP) Project Management Institute (PMI) Expires July 2022, Credential ID 5860453
2014-2017	Masters of Business Administration Southern New Hampshire University
2011-2014	Bachelors of Science in Criminology and Criminology and Criminal Justice Portland State University

COMPUTER SKILLS

Microsoft Word	Microsoft Excel	NJUNS
Microsoft Power Point	Adobe	Mytopo
GIS Mapping Platforms	Geocommunicator	Street Atlas
Microsoft Silverlite	Invarion RapidPla	SpanMaster

Draupnir Infrastructure Group LLC

Balance Sheet

As of February 1, 2022

	<u>Total</u>
Assets	
Current	
Bank Accounts	
Checking-	\$ 59,087.61
Total Bank Accounts	<u>\$ 59,087.61</u>
Accounts Receivable	
Accounts Receivable (A/R)	\$ -
Total Accounts Receivable	<u>\$ -</u>
Other Current Assets	
Undeposited Funds	\$ 150,000.00
Total Other Current Assets	<u>\$ 150,000.00</u>
Total Current Assets	<u>\$ 209,087.61</u>
Total Assets	<u>\$ 209,087.61</u>
Liabilities and Equity	
Liabilities	
Current Liabilities	
Accounts Payable	
Accounts Payable (A/P)	\$ 4,462.96
Total Accounts Payable	<u>\$ 4,462.96</u>
Total Current Liabilities	<u>\$ 4,462.96</u>
Total Liabilities	<u>\$ 4,462.96</u>
Equity	
Opening Balance Equity	\$ 59,087.61
Owner's Investment	\$ 150,000.00
Owner's Pay & Personal Expenses	\$ -
Retained Earnings	\$ -
Net Income	\$ -
Total Equity	<u>\$ 209,087.61</u>
TOTAL LIABILITIES AND EQUITY	<u>\$ 204,624.65</u>



Public Utility Commission of Texas

1701 N. Congress Avenue
P. O. Box 13326
Austin, Texas 78711-3326
512 / 936-7000 • (Fax) 936-7003
Web Site: www.puc.state.tx.us

TITLE PAGE

APPLICATION FOR CERTIFICATION, RE-QUALIFICATION, OR AMENDMENT TO A SERVICE PROVIDER CERTIFICATE OF OPERATING AUTHORITY OR A CERTIFICATE OF OPERATING AUTHORITY

DOCKET/PROJECT NO. _____

APPLICANT(s): 1. Draupnir Infrastructure Group, LLC

2. _____

Authorized Representative for this Application:

NAME: Derek Larson

TITLE: Managing Member

ADDRESS: 3982 Neal Creek Rd. Hood River, OR 97031

TELEPHONE: 541-806-4484

FAX: N/A

EMAIL ADDRESS: Derek@draupnir.us

Regulatory Representative:

NAME: Derek Larson

TITLE: Managing Member

ADDRESS: 3982 Neal Creek Rd. Hood River, OR 97031

TELEPHONE: 541-806-4484

FAX: N/A

EMAIL ADDRESS: Derek@draupnir.us

Complaint Representative:

NAME: Derek Larson

TITLE: Managing Member

ADDRESS: 3982 Neal Creek Rd. Hood River, OR 97031

TELEPHONE: 541-806-4484

FAX: N/A

EMAIL ADDRESS: Derek@draupnir.us

AFFIDAVIT

STATE OF Oregon §
COUNTY OF Head River §

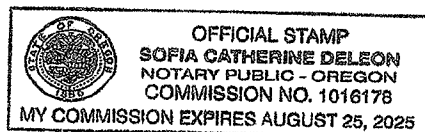
1. My name is Derek Larson. I am the managing member of the Applicant Draupnir Infrastructure Group, LLC.

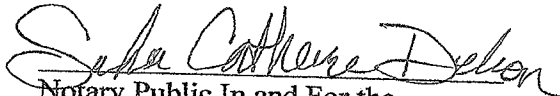
2. I swear or affirm that I have personal knowledge of the facts stated in this Application for a Service Provider Certificate of Operating Authority or a Certificate of Operating Authority (Select one), that I am competent to testify to them, and that I have the authority to make this Application on behalf of the Applicant. I further swear or affirm that all of the statements and representations made in this Application for a Service Provider Certificate of Operating Authority or a Certificate of Operating Authority (Select one) are true and correct. I swear or affirm that the Applicant understands and will comply with all requirements of law applicable to a Service Provider Certificate of Operating Authority or a Certificate of Operating Authority (Select one).


Signature

Derek Larson, Managing Member
Typed or Printed Name

SWORN TO AND SUBSCRIBED before me on the 3 day of February, 2022




Notary Public In and For the
State of Oregon

My commission expires: August 25, 2025

1. Check only one of the following Requests:

(a)

_____ New SPCOA Application

_____ Application

Amending SPCOA No. _____

X New COA Application

_____ Application

Amending COA No. _____

(b) If you are filing an amendment, check one or more of the following as requests made in this amendment filing:

_____ Name Change Amendment

_____ Certification Relinquishment

_____ Change in Ownership/Control

_____ Service Discontinuation

_____ Change in Service Area

_____ Change in Type of Provider

_____ Corporate Restructuring

_____ Other

(c) Provide a summary explanation of all items checked in "b" above.

2. Provide a description of the Applicant, which shall include the following:

(a) Legal name and all assumed names under which the Applicant conducts business, if any;

Draupnir Infrastructure Group, LLC

(b) Address of principal office and business office;

3982 Neal Creek Rd. Hood River, OR 97031

(c) Principal office/business office telephone number: **541-806-4484**

Fax number: **None**

Website address: **www.draupnir.us**

E-mail address: **Derek@draupnir.us**

Toll-free customer service telephone number. *(If the Applicant has not obtained the toll-free customer service telephone number at the time of the Application, the Applicant must commit to obtaining one before beginning business); Applicant commits to obtaining one before beginning business.*

(d) FCC Carrier Identification Code (CIC) or National Exchange Carriers Association (NECA) Operating Carrier Numbers (OCNs), if available; **Not available at this time.**

(e) Form of business in Texas (e.g., corporation, partnership, sole proprietorship), Charter/Authorization number, date business was formed and date change was made (if applicable). Provide the State and date in which the parent company is registered. *(The Commission requires registration with the Secretary of State for*

all forms of business, except sole proprietorships.) Draupnir Infrastructure Group LLC was formed on 1/20/2022. Registration with the state of Texas was granted on 2/1/2022.

- (f) A list of the names, titles, phone number and office e-mail address of each director, officer, or partner;

Derek Larson – 3982 Neal Creek Rd. Hood River, OR 97031
Lach Litwer – 2204 Sherman Ave. Hood River, OR 97031
Mike Craig – 38491 S Nowlens Bridge Rd. Molalla, OR 97038
Craig Brunkenhoefer – 11044 SW Davos Ln. Wilsonville, OR 97070

- (g) Name, address, and office address of each of the five largest shareholders, if not publicly traded;

Derek Larson – 3982 Neal Creek Rd. Hood River, OR 97031
Lach Litwer – 2204 Sherman Ave. Hood River, OR 97031
Mike Craig – 38491 S Nowlens Bridge Rd. Molalla, OR 97038
Craig Brunkenhoefer – 11044 SW Davos Ln. Wilsonville, OR 97070

- (h) Legal name of parent company, if any, and a description of its primary business interests; and,

N/A

- (i) Legal name of all affiliated companies that are public utilities or that are providing telecommunications services and the states in which they are providing service. Give a description of all affiliates and explain in detail the relationship between the Applicant and its affiliates. An organizational chart should be provided, if available.

Draupnir, LLC – Operating in Washington

3. State the name and only one name, in which the Applicant wants the Commission to issue its certificate. Provide the following information from the Applicants registration with the Office of the Secretary of State of Texas or registration with another state or county, as applicable: *(NOTE: If the Applicant is a corporation, the Commission will issue the certificate in either the Applicant's corporate or assumed name, not both. The certificate holder must use only the name approved by the Commission on all bills and advertisements sent to or viewed by the public. Name Changes require Commission Approval as well as Secretary of State Approval.)*

- (a) Requested name: **Draupnir Infrastructure Group, LLC**
(b) Assumed names: **Draupnir**
(c) Texas Secretary of State (or County) file number: **804405946**
(d) Texas Comptroller's Tax Identification number: **In progress**

- (e) Other Applicable certification/file numbers:
 (f) Date the business was registered:

1/20/2022

4. (a) Provide a detailed description of the telecommunications services to be provided.

Drapnir Infrastructure Group, LLC seeks to operate as a telecommunications service provider within the state of Texas. Applicant seeks to build and own telecommunications infrastructure and act as a middle mile service provider exclusively. Applicant will not provide end user service, only dark and lit fiber circuits between other telecommunications service providers or large enterprise customers.

- (b) Indicate with a yes or no response for each item below, whether the Applicant will be providing the following telecommunications services and whether the service will be for business or residential service:

	<u>Business</u>	<u>Residential</u>
<u> </u> POTS (Plain Old Telephone Service)	<u>No</u>	<u>No</u>
<u> </u> ADSL	<u>No</u>	<u>No</u>
<u> </u> ISDN	<u>No</u>	<u>No</u>
<u> </u> HDSL	<u>No</u>	<u>No</u>
<u> </u> SDSL	<u>No</u>	<u>No</u>
<u> </u> RADSL	<u>No</u>	<u>No</u>
<u> </u> VDSL	<u>No</u>	<u>No</u>
<u> </u> Optical Services	<u>No</u>	<u>No</u>
<u> </u> T1-Private Line	<u>No</u>	<u>No</u>
<u> </u> Switch 56 KBPS (KiloBits Per Second)	<u>No</u>	<u>No</u>
<u> </u> Frame Relay	<u>No</u>	<u>No</u>
<u> </u> Fractional T1	<u>No</u>	<u>No</u>
<u> </u> Long Distance	<u>No</u>	<u>No</u>
<u> </u> Wireless	<u>No</u>	<u>No</u>
<u> </u> Other (Please Describe):	<u>Applicant seeks to build and own</u>	<u>telecommunications infrastructure and act as a middle mile service provider</u>
	<u>exclusively. Applicant will not provide end user service, only dark and lit fiber</u>	<u>circuits between other telecommunications service providers or large enterprise</u>
	<u>customers.</u>	

5. (a) Is the Applicant providing prepaid calling services?

No

- (b) If yes to (a), provide a yes or no response to the list of telecommunications services below:

- ☐ Residential Prepaid Local Calling Services
- ☐ Business Prepaid Local Calling Services
- ☐ Residential Prepaid Domestic Long Distance Calling Services
- ☐ Business Prepaid Domestic Long Distance Calling Services
- ☐ Residential Prepaid International Long Distance Calling Services
- ☐ Business Prepaid International Long Distance Calling Services

6. (a) Indicate below the type of certification being requested:

- ☒ Facilities-based, Data, and Resale
- ☐ Facilities-based and Resale
- ☐ Resale Only
- ☐ Data Only – Facilities-based and Resale
- ☐ Data Only – Resale Only

7. Provide a written description of the exchanges, local access and transportation areas (LATAs), or incumbent local exchange company (ILEC) service areas or attach a scaled map of the geographic area for which the certificate is requested within the State of Texas that the Applicant proposes to serve.

Applicant seeks permission to operate statewide through the state of Texas. However, at this time Applicant seeks to operate within large urban areas, not limited to but including Austin, San Antonio, and the greater Dallas area. At this time, network design is preliminary and no scale maps exist. Once permission is granted by the state to operate a CLEC, applicant will begin field engineering the conceptual network that is proposed in these areas.

8. Does the Applicant, owner, or any affiliate currently hold a service provider certificate of operating authority (SPCOA), certificate of operating authority (COA), or certificate of convenience and necessity (CCN) for any part of the area covered by this Application?

No

9. (a) Does the Applicant expect to provide service to customers other than itself and its affiliates?

Yes

- (b) Has the Applicant provided one copy of this Application to the Texas Commission on State Emergency Communications (a.k.a. 911 Commission) within 5 days of submitting the application? If you are relinquishing the certificate have you also sent a copy of the application to all affected 911 entities within 5 days of submitting the application?

(Send copy to Commission on State Emergency Communications, Office of General Counsel at 333 Guadalupe Street, Suite 2-212, Austin, Texas 78701-

3942, with phone number 512-305-6911, fax number 512-305-6937, and website address www.911.state.tx.us).

Yes, a copy has been provided to the Texas Commission on State Emergency Communications

- (c) As part of the Application provided to the 911 Commission and this commission, has the applicant provided the following information concerning its 911 contact person as required in Substantive Rule No. 26.433(e)(2)(a)? (You may provide up to three 911 contacts per company)

Name: Derek Larson

Title: Managing Member

Address: 3982 Neal Creek Rd. Hood River, OR 97031

Office Number: 541-806-4484

Fax Number (Optional): _____

Email Address: Derek@draupnir.us

10. (a) Is the Applicant a municipality?

No

- (c) Will the Applicant enable a municipality or municipal electric system to offer for sale to the public, directly or indirectly, local exchange telephone service, basic local telecommunications service, switched access service, or any non-switched telecommunications service used to provide connections between customers' premises within an exchange or between a customer's premises and a long distance provider serving the exchange?

If so desired by a jurisdiction, yes.

12. (a) Has the Applicant, its owners, or any affiliate applied for a permit, license, or certificate to provide telecommunications services in any state other than Texas? If yes, identify the affiliates, what permit, license, or certificate they have applied for, and the state(s) in which they have applied.

Yes, Draupnir, LLC (affiliate but separate company) was granted CLEC status by the state of Washington on 10/1/2021.

- (b) Has the Applicant, its owners, or any affiliate ever had a permit, license, or certificate to provide telecommunications services granted by any state, including Texas? If yes, identify the affiliates, what permit, license, or certificate they have and when they were held and the state(s) in which they are held. Provide an explanation.

Yes, Draupnir, LLC (affiliate but separate company) was granted CLEC status by the state of Washington on 10/1/2021.

- (c) Has the Applicant, its owners, or any affiliate ever had any permit, license, or certificate denied or revoked by any state? If yes, identify the affiliates, what permit, license, or certificate they had revoked, and the state(s) in which they were revoked. Provide an explanation.

No

- (d) Has the Applicant, its owners, or any affiliate ever provided telecommunications services in Texas or any other state? If yes, identify the affiliates, what permit, license, or certificate they may have held, and the state(s) in which they provided service.

Yes, Yes, Draupnir, LLC (affiliate but separate company) was granted CLEC status by the state of Washington on 10/1/2021. Draupnir, LLC is still active and providing services.

13. (a) Any complaint history, disciplinary record and compliance record during the 60 months immediately preceding the filing of the application regarding: the applicant; the applicant's affiliates that provide utility-like services such as telecommunications, electric, gas, water, or cable service; the applicant's principals; and any person that merged with any of the preceding persons. The information should include, but not be limited to, the type of complaint, in which state or federal agency the complaint was made, the status of the complaint, the resolution of the complaint and the number of customers in each state where complaints occurred.

Not applicable.

- (b) Is the Applicant, or the applicant's principals currently under investigation or have the Applicant or its principals been penalized by an attorney general or any state or federal regulatory agency for the violation of any deceptive trade or consumer protection law or regulation? If yes, please explain.

No.

- (c) Disclose whether any owners, directors, officers, or partners in the organization are convicted felons? Also disclose whether the applicant or applicant's principals have been convicted or found liable for fraud, theft, larceny, deceit, or violations of any securities laws, customer protection laws, or deceptive trade laws in any state. If yes, please explain.

Not applicable.

- (d) Provide the number of customers per state (including Texas) for the past 60 months, for which the Applicant, its parent company, and/or any affiliates are providing telecommunications services.

Draupnir Infrastructure Group, LLC is a new company being formed and currently has no customers yet. Construction and regulatory activities are underway.

14. (a) Provide a detailed description of the Applicant's technical qualifications to provide the local exchange service, basic local telecommunications service, and/or switched access service proposed in this Application.

Draupnir Infrastructure Group LLC is comprised of leading telecommunications, construction, business, and legal professionals within decades of combined experience in the industry. "Draupnir" is currently operating this business in other states, and expanding into other states to continue growing the business. Derek Larson will be the managing member who has a masters degree in business administration (MBA), has a project management professional (PMP) certification from the Project Management Institute (PMI), and have over 15 years in the telecommunications industry in multiple states across the western United States. Draupnir is already operating this business model in other states and jurisdictions.

- (b) If the Applicant plans to rely upon a consultant to meet the technical qualifications requirements, provide the following information: (1) name, address, and phone number of consultant, (2) a copy of the contract between the principals and the consultant, (3) consultant's resume or a detailed description of the consultants experience, (4) information regarding any professional registrations or certifications that the consultant holds, (5) percentage of the consultant's time being contracted, and (6) a list of other telecommunications companies served by the consultant and the percentage of time allotted to each company.

Not applicable.

- (c) Provide a detailed description or individual resumes setting forth the qualifications of the Applicant's key personnel. Descriptions or resumes shall include (1) **Key Personnel Names**, (2) **Applicant Company Titles**, (3) **Detailed Telecommunications or Related Experience**, and (4) **Years of Experience**.

Derek Larson, Managing Member, resume attached.

15. Attach a completed Service Quality Questionnaire.

See the attached questionnaire, as requested.

16. Provide an audited or unaudited balance sheet for the applicants most recent quarter that demonstrates the shareholders' equity required by P.U.C. Subst. R. 26.111(f). The audited balance sheet must include the independent auditor's report. The unaudited balance sheet must include a sworn statement from the executive officer of the applicant attesting to the accuracy, in all material respects, of the information provided in the unaudited balance sheet.

See the attached financial information as requested.

17. Provide a summary of any history of insolvency, bankruptcy, dissolution, merger or acquisition of the applicant or any predecessors in interest during the 60 month immediately preceding this Application.

Not applicable.

SERVICE QUALITY QUESTIONNAIRE for SPCOA and COA Applicants as required in Question number 15.

Will the Applicant meet each of the following benchmark service quality standards listed below? For each "NO" response, please provide an explanation.

YES ____ NO X Make one-party line service available upon request to all subscribers of local exchange service.

YES ____ NO X Install 95% of primary service orders and 90% of regular service orders within five working days of customer orders, excluding those orders where a later date is requested by the customer.

YES X NO ____ Meet 90% of commitments to customers regarding the date of installation of service orders, excepting customer-caused delays.

YES X NO ____ Maintain the level of held regrade orders (as defined in Substantive Rule 26.54) at or below one percent of access lines served.

YES X NO ____ Answer 85% of toll and assistance operator calls within ten seconds.

YES X NO ____ Answer 90% of repair service calls and calls to business offices within 20 seconds.

YES X NO ____ Provide dial tone within 3 seconds for 98% of calls.

- YES ☒ NO ☐ Maintain an availability factor for stored program controlled digital and analog switching facilities (local intra-office) at 99.99%, or keep total unscheduled outages below 53 minutes per year.
- YES ☒ NO ☐ Maintain an availability factor for stored program controlled digital and analog switching facilities (local inter-office) at 99.93%, or keep total unscheduled outages below 365 minutes per year.
- YES ☒ NO ☐ Complete 97% of properly dialed tolled calls without encountering failure because of blockages or equipment irregularities.
- YES ☒ NO ☐ Maintain an average monthly rate of customer trouble reports, excluding CPE reports, at or below 6%.
- YES ☒ NO ☐ Clear 90% of out-of-service trouble reports within 8 working hours, except where access to the customer's premises is required and not available or where interruptions are caused by unavoidable casualties and acts of God affecting large groups of customers.
- YES ☒ NO ☐ Maintain the number of repeated trouble reports on residence and single-line business lines at or below 22% of the total customer trouble reports on those lines.
- YES ☒ NO ☐ Maintain transmission facilities meeting the requirements of Substantive Rule 26.54, Transmission Requirements.
- YES ☒ NO ☐ Meet the Commission's continuity-of-service requirements established in Substantive Rule 26.51.
- YES ☐ NO ☒ Provide 911 emergency telephone service in accordance with Chapters 771 and 772 of the Texas Health and Safety Code, as applicable.
- YES ☐ NO ☒ Commit to providing equipment that will comply with 911 requirements.
- YES ☒ NO ☐ Commit to providing at least 1 customer service representative per every 2,500 customers during normal business hours.
- YES ☐ NO ☒ Commit to providing equipment that is local number portability (LNP) capable.
- YES ☒ NO ☐ Maintain an emergency operations plan that addresses disaster recovery procedures.

YES ☒ X ☐ NO ☐ Comply with PUC Substantive Rules §26.32 and §26.130 requiring notification of customers about slamming and cramming information on monthly bills.

YES ☒ X ☐ NO ☐ Comply with PUC Substantive Rules §26.31 for customer disclosure.

YES ☒ X ☐ NO ☐ Comply with PUC Substantive Rules §26.122 regarding customer proprietary network information.

YES ☒ X ☐ NO ☐ Comply with applicable portions of Chapter 26, Subchapter B regarding customer service and protection.

YES ☒ X ☐ NO ☐ Comply with anti-discrimination laws on the basis of race, nationality, color, religion, sex, marital status, income level, source of income, or geographic location.

YES ☒ X ☐ NO ☐ Disclose to customer that they may contact the PUC and pursue complaints if the customer and certificate holder cannot resolve the complaint.

Supplemental information regarding "NO" answers for Service Quality Questionnaire.

Applicant seeks to build and own telecommunications infrastructure and act as a middle mile service provider exclusively. Applicant will not provide end user service, only dark and lit fiber circuits between other telecommunications service providers or large enterprise customers. Because of this, many of these questions are not clearly applicable. Applicant does commit to following all requirements that are applicable to its business model, and any additional that may be required by the public utility commission.