

Filing Receipt

Received - 2023-01-31 09:30:18 AM Control Number - 53075 ItemNumber - 815

WATER UTILITY TARIFF FOR

Rocket Water Company, Inc. 2901 Bee Cave Road, Suite L (Utility Name) (Business Address) Austin, Texas 78746 512/328-9099 (City, State, Zip Code) (Area Code/Telephone) This tariff is effective for utility operations under the following Certificate of Convenience and Necessity: 12776 This tariff is effective in the following county: Hays This tariff is effective in the following cities or unincorporated towns (if any): N/A This tariff is effective in the following subdivisions and public water systems: The Woodlands Water System: PWS ID 1050139 TABLE OF CONTENTS The above utility lists the following sections of its tariff (if additional pages are needed for a section, all pages should be numbered consecutively): SECTION 3.0 -- EXTENSION POLICY9 SECTION 4.0 -- DROUGHT CONTINGENCY PLAN11 APPENDIX A -- SAMPLE SERVICE AGREEMENT

APPENDIX B – APPLICATION FOR SERVICE

SECTION 1.0 -- RATE SCHEDULE

Section 1.01 - Rates			
Meter Size	Monthly Minimum Charge	Gallonage Charge	
5/8" or 3/4"	\$36.00 (Includes $\underline{0}$ gallons)	\$3.00 per 1000 gallons, from 0 to 20,000 gallons 63.25 per 1000 gallons, from 20,001 to 50,000 gallons \$3.50 per 1000 gallons above 50,001 gallons	
Monthly Surcharge:		me of the receivership of Stan Putnam for payment of receivership fees and	
FORM OF PAYMENT: The utility will accept the following forms of payment: Cash X , Check X , Money Order X , Credit Card , Other (specify) THE UTILITY MAY REQUIRE EXACT CHANGE FOR PAYMENTS AND MAY REFUSE TO ACCEPT PAYMENTS MADE USING MORE THAN \$1.00 IN SMALL COINS. A WRITTEN RECEIPT WILL BE GIVEN FOR CASH PAYMENTS.			
REGULATORY ASSESSMENT TCEQ RULES REQUIRE THE UTILITY TO COLLECT A FEE OF ONE PERCENT OF THE RETAIL MONTHLY BILL.			
Section 1.02 - Miscellaneous Fees			
TAP FEE TAP FEE COVERS THE UTILITY'S COSTS FOR MATERIALS AND LABOR TO INSTALL A STANDARD RESIDENTIAL 5/8" or 3/4" METER. AN ADDITIONAL FEE TO COVER UNIQUE COSTS IS PERMITTED IF LISTED ON THIS TARIFF.			
TAP FEE (Unique costs)			
TAP FEE (Large meter)			
METER RELOCATION FEE			
		i	

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TEXAS COMM. ON ENVIRONMENTAL QUALITY | 1344716-G, CCN 12776, JANUARY/20, 2005 | APPROVED TARIFF BY LM

SECTION 1.0 -- RATE SCHEDULE (Continued)

	<u>\$25.00</u>		
THIS FEE WHICH SHOULD REFLECT THE UTILITY'S COST MAY BE CHARGED IF A CUSTOMER REQUESTS A SECOND METER TEST WITHIN A TWO-YEAR PERIOD AND THE TEST INDICATES THAT THE METER IS RECORDING ACCURATELY. THE FEE MAY NOT EXCEED \$25.			
RECONNECTION FEE			
THE RECONNECT FEE MUST BE PAID BEFORE SERVICE			
HAS BEEN DISCONNECTED FOR THE FOLLOWING REAS	ONS (OR OTHER REASONS LISTED UNDER		
SECTION 2.0 OF THIS TARIFF):	; ;		
a) Non payment of bill (Maximum \$25.00)	\$25.00		
b) Customer's request that service be disconnec	ted		
TRANSFER FEE			
LOCATION WHEN THE SERVICE IS NOT DISCONNECTED			
I ATE CHARGE (100/		
LATE CHARGE (EITHER \$5.00 OR 10% OF THE BILL) TCEQ RULES ALLOW A ONE-TIME PENALTY TO BE CHA			
CHARGE MAY NOT BE APPLIED TO ANY BALANCE TO V PREVIOUS BILLING.			
RETURNED CHECK CHARGE	\$25.00		
RETURNED CHECK CHARGES MUST BE BASED ON THE	UTILITY'S DOCUMENTABLE COST.		
CUSTOMER DEPOSIT RESIDENTIAL (Maximum \$5	0)		
	i		
COMMERCIAL & NON-RESIDENTIAL DEPOSIT .	1/6TH OF ESTIMATED ANNUAL BILL		
GOVERNMENTAL TESTING, INSPECTION AND CO	OCTS SUDCILADOE.		
WHEN AUTHORIZED IN WRITING BY TCEQ AND AFTER			
INCREASE RATES TO RECOVER INCREASED COSTS FOR	INSPECTION FEES AND WATER TESTING. [30		
TAC 291.21(K)(2)]			
LINE EXTENSION AND CONSTRUCTION CHARGI	ES:		
REFER TO SECTION 3.0EXTENSION POLICY FOR TERMS, CONDITIONS, AND CHARGES WHEN NEW CONSTRUCTION IS NECESSARY TO PROVIDE SERVICE.			
CONSTRUCTION IS NECESSARY TO PROVIDE SERVICE.			

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TEXAS COMM. ON ENVIRONMENTAL QUALITY
344716-G, CCN 12776, JANUARY 20, 2005
APPROVED TARIFF BY
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Section 1.03 - Barton Springs Edwards Aquifer Conservation District Pass Through Rate Provision

This utility is charged a well permit fee imposed by the Barton Springs Edwards Aquifer Conservation District (District). The current fee is \$0.17 (seventeen cents) per thousand gallons of water withdrawn from the utility's wells and is included in the utility's approved gallonage charge. The Utility shall use the following formula to calculate the utility's adjusted gallonage charge when the District fee changes. The adjusted gallonage charge should be multiplied by the number of thousands of gallons used by each particular customer and added to the monthly minimum charge to obtain the cost of the water used.

Adjusted Gallonage Charge = $G + C + C \times 0.15$, where

G = existing gallonage charge on the approved rate schedule for Oak Forest Section 2

And C = change in the District's fee, C is a positive number if the fee change is an increase from the 0.17 currently in effect and C is a negative number if the fee change is a decrease from the 0.17 currently in effect.

Pursuant to Chapter 291.21 (h)(4), a utility that wishes to allow for the recovery of additional costs shall take the following actions prior to the beginning of the billing period in which the revision takes effect:

- and shall contain the effective date of the change, the present calculation of customer billings, the new calculation of customer billings, and the change in charges to the utility for the District's well permit fees. The notice shall include the following language: "This tariff change is being implemented in accordance with the utility's water use fee adjustment clause to recognize (increases)(decreases) in the water use fee charged by Barton Springs Edwards Aquifier Conservation District. The cost of these charges to customers will not exceed the (increased)(decreased) cost of the water use fee.
- 2. written notice to the executive director that includes a copy of the notice sent to the customers, proof that the cost of the water use fee has changed by the stated amount, and the calculations and assumptions used to determine the new rates.

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SECTION 2.0 - SERVICE RULES AND REGULATIONS

Section 2.01 - Texas Natural Resource Conservation Commission Rules

The utility will have the most current Texas Natural Resource Conservation Commission Rules, Chapter 291, Water Rates, available at its office for reference purposes. The Rules and this tariff shall be available for public inspection and reproduction at a reasonable cost The latest Rules or Commission approved changes to the Rules supersede any rules or requirements in this tariff.

Section 2.02 - Application for and Provision of Water Service

All applications for service will be made on the utility's standard application or contract form (attached in the Appendix to this tariff) and will be signed by the applicant before water service is provided by the utility. A separate application or contract will be made for each service location.

After the applicant has met all the requirements, conditions and regulations for service, the utility will install tap, meter and utility cut-off valve and/or take all necessary actions to initiate service. The utility will serve each qualified applicant for service within 5 working days unless line extensions or new facilities are required. If construction is required to fill the order and if it cannot be completed within 30 days, the utility will provide the applicant with a written explanation of the construction required and an expected date of service.

Where service has previously been provided, service will be reconnected within one working day after the applicant has met the requirements for reconnection.

The customer will be responsible for furnishing and laying the necessary customer service pipe from the meter location to the place of consumption. Customers may be required to install a customer owned cut-off valve on the customer's side of the meter or connection.

TEXAS NATURAL RESOURCE CONSERVATION COMMISSION

30862 CCCN 12776 SEP 11'95 D.A. APPROVED TARIFF BY VP al 4-27-96

SECTION 2.0 - SERVICE RULES AND REGULATIONS (CONT.)

Section 2.03 - Refusal of Service

The utility may decline to serve an applicant until the applicant has complied with the regulations of the regulatory agencies (state and municipal regulations) and for the reasons outlined in the TNRCC Rules. In the event that the utility refuses to serve an applicant, the utility will inform the applicant in writing of the basis of its refusal. The utility is also required to inform the applicant a complaint may be filed with the Commission.

Section 2.04 - Customer Deposits

If a residential applicant cannot establish credit to the satisfaction of the utility, the applicant may be required to pay a deposit as provided for in Section 1.02 of this tariff. The utility will keep records of the deposit and credit interest in accordance with TNRCC Rules.

Residential applicants 65 years of age or older may not be required to pay deposits unless the applicant has an outstanding account balance with the utility or another water or sewer utility which accrued within the last two years.

Nonresidential applicants who cannot establish credit to the satisfaction of the utility may be required to make a deposit that does not exceed an amount equivalent to one-sixth of the estimated annual billings.

Refund of deposit - If service is not connected, or after disconnection of service, the utility will promptly refund the customer's deposit plus accrued interest or the balance, if any, in excess of the unpaid bills for service furnished. The utility may refund the deposit at any time prior to termination of utility service but must refund the deposit plus interest for any customer who has paid 18 consecutive billings without being delinquent.

TEXAS NATURAL RESOURCE CONSERVATION COMMISSION

30862 CCN 12776 SEP11'95 D.A. APPROVED TARIFF BY VP of 4-27-96

SECTION 2.0 - SERVICE RULES AND REGULATIONS (CONT.)

Section 2.05 - Meter Requirements, Readings, and Testing

All water sold by the utility will be billed based on meter measurements. The utility will provide, install, own and maintain meters to measure amounts of water consumed by its customers. One meter is required for each residential, commercial or industrial facility in accordance with the TNRCC Rules.

Service meters will be read at monthly intervals and as nearly as possible on the corresponding day of each monthly meter reading period unless otherwise authorized by the Commission.

Meter tests. The utility will, upon the request of a customer, and, if the customer so desires, in his or her presence or in that of his or her authorized representative, make without charge a test of the accuracy of the customer's meter. If the customer asks to observe the test, the test will be made during the utility's normal working hours at a time convenient to the customer. Whenever possible, the test will be made on the customer's premises, but may, at the utility's discretion, be made at the utility's testing facility. If within a period of two years the customer requests a new test, the utility will make the test, but if the meter is found to be within the accuracy standards established by the American Water Works Association, the utility will charge the customer a fee which reflects the cost to test the meter up to a maximum \$25 for a residential customer. Following the completion of any requested test, the utility will promptly advise the customer of the date of removal of the meter, the date of the test, the result of the test, and who made the test.

Section 2.06 - Billing

Bills from the utility will be mailed monthly unless otherwise authorized by the Commission. The due date of bills for utility service will be at least sixteen (16) days from the date of issuance. The postmark on the bill or, if there is no postmark on the bill, the recorded date of mailing by the utility will constitute proof of the date of issuance. Payment for utility service is delinquent if full payment, including late fees and the regulatory assessment, is not received at the utility or the utility's authorized payment agency by 5:00 p.m. on the due date. If the due date falls on a holiday or weekend, the due date for payment purposes will be the next work day after the due date.

TEXAS NATURAL RESOURCE CONSERVATION COMMISSION

30862 CCCN 12776 SEP11 95 D.A. APPROVED TARTET BY 1 Pak 4-25-96

Section 2.06 - Billing cont.

A late penalty of either \$2.00 or 5.0% will be charged on bills received after the due date. The penalty on delinquent bills will not be applied to any balance to which the penalty was applied in a previous billing. The utility must maintain a record of the date of mailing to charge the late penalty.

Each bill will provide all information required by the TNRCC Rules. For each of the systems it operates, the utility will maintain and note on the monthly bill a telephone number (or numbers) which may be reached by a local call by customers. At the utility's option, a toll-free telephone number or the equivalent may be provided.

In the event of a dispute between a customer and a utility regarding any bill for utility service, the utility will conduct an investigation and report the results to the customer. If the dispute is not resolved, the utility will inform the customer that a complaint may be filed with the Commission.

Section 2.07 - Service Disconnection

Utility service may be disconnected if the bill has not been paid in full by the date listed on the termination notice. The termination date must be at least 10 days after the notice is mailed or hand delivered.

The utility is encouraged to offer a deferred payment plan to a customer who cannot pay an outstanding bill in full and is willing to pay the balance in reasonable installments. However, a customer's utility service may be disconnected if a bill has not been paid or a deferred payment agreement entered into within 26 days from the date of issuance of a bill and if proper notice of termination has been given.

Notice of termination must be a separate mailing or hand delivery in accordance with the TNRCC Rules.

Utility service may also be disconnected without notice for reasons as described in the TNRCC Rules.

Utility personnel must be available to collect payments and to reconnect service on the day of and the day after any disconnection of service unless service was disconnected at the customer's request or due to a hazardous condition.

TEXAS NATURAL RESOURCE CONSERVATION COMMISSION

30862000N 12774 SEP 1 1 195 D.A. APPROVED FARIEL BY V P al. 4-27-56 SECTION 2.0 - SERVICE RULES AND REGULATIONS (CONT.)

Section 2.08 - Reconnection of Service

Service will be reconnected within 24 hours after the past due bill and any other outstanding charges are paid or correction of the conditions which caused service to be disconnected.

Section 2.09 - Service Interruptions

The utility will make all reasonable efforts to prevent interruptions of service. If interruptions occur, the utility will re-establish service within the shortest possible time. Except for momentary interruptions due to automatic equipment operations, the utility will keep a complete record of all interruptions, both emergency and scheduled and will notify the Commission in writing of any service interruptions affecting the entire system or any major division of the system lasting more than four hours. The notice will explain the cause of the interruptions.

<u>Prorated Bills</u> - If service is interrupted or seriously impaired for 24 consecutive hours or more, the utility will prorate the monthly base bill in proportion to the time service was not available to reflect this loss of service.

Section 2.10 - Quality of Service

The utility will plan, furnish, and maintain production, treatment, storage, transmission, and distribution facilities of sufficient size and capacity to provide a continuous and adequate supply of water for all reasonable consumer uses. Unless otherwise authorized by the Commission, the utility will maintain facilities as described in the TNRCC Rules or in the Texas Natural Resource Conservation Commission's "Rules and Regulations for Public Water Systems."

Section 2.11 - Customer Complaints and Disputes

If a customer or applicant for service lodges a complaint, the utility will promptly make a suitable investigation and advise the complainant of the results. Service will not be disconnected pending completion of the investigation. If the complainant is dissatisfied with the utility's response, the utility must advise the complainant that he has recourse through the Texas Natural Resource Conservation Commission complaint process. Pending resolution of a complaint, the commission may require continuation or restoration of service.

TEXAS NATURAL RESOURCE CONSERVATION COMMISSION

30862 CCCN 12774 SEP 11'95 D.A. APPROVED FARIFF BY VP M 4-27-96

Section 2.11 - Customer Complaints and Disputes cont.

The utility will maintain a record of all complaints which shows the name and address of the complainant, the date and nature of the complaint and the adjustment or disposition thereof, for a period of two years after the final settlement of the complaint.

SECTION 2.20 - SPECIFIC UTILITY SERVICE RULES AND REGULATIONS

This section contains specific utility service rules in addition to the rules previously listed under Section 2.0. It must be reviewed and approved by the Commission and in compliance with TNRCC Rules to be effective.

TEXAS NATURAL RESOURCE CONSERVATION COMMISSION

30862 CCN 12776 SEP11'95

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SECTION 3.0 - EXTENSION POLICY

<u> Section 3.01 - Standard Extension Requirements</u>

LINE EXTENSION AND CONSTRUCTION CHARGES. No contribution in aid of construction may be required of any customer except as provided for in this approved extension policy.

The customer will be given an itemized statement of the costs, options such as rebates to the customer, sharing of construction costs between the utility and the customer, or sharing of costs between the customer and other applicants prior to beginning construction.

The utility will bear the full cost of any oversizing of water mains necessary to serve other customers in the immediate area. The individual residential customer shall not be charged for any additional production, storage, or treatment facilities. Contributions in aid of construction may not be required of individual residential customers for production, storage, treatment or transmission facilities unless otherwise approved by the Commission under this specific extension policy.

COST UTILITIES SHALL BEAR. Within its certificate area, the utility will pay the cost of the first 200 feet of any water main or distribution line necessary to extend service to an individual residential customer within a platted subdivision. However, if the residential customer requesting service purchased the property after the developer was notified of the need to provide facilities to the utility, the utility may charge for the first 200 feet. The utility must also be able to document that the developer of the subdivision refused to provide facilities compatible with the utility's facilities in accordance with the utility's approved extension policy after receiving a written request from the utility.

Developers may be required to provide contributions in aid of construction in amounts to furnish the system with all facilities necessary to comply with the Texas Natural Resource Conservation Commission's "Rules and Regulations for Public Water Systems."

TEXAS NATURAL RESOURCE CONSERVATION COMMISSION

30862 UCCN 12774 SEP11 195

D.A. APPROVED TARIFF BY VP of 4-27-56

SECTION 3.20 - SPECIFIC UTILITY EXTENSION POLICY

This section contains the utility's specific extension policy which complies with the requirements already stated under Section 3.01. It must be reviewed and approved by the Commission and in compliance with TNRCC Rules to be effective.

Residential customers not covered under Section 3.01 will be charged the equivalent of the costs of extending service to their property from the nearest transmission or distribution line even if that line does not have adequate capacity to serve the customer. However, if the customer places unique, non-standard service demands upon the system, the customer may be charged the full cost of extending service to and throughout their property, including the cost of all necessary transmission and storage facilities necessary to meet the service demands anticipated to be created by that property.

Developers will be required to provide contributions in aid of construction in amounts sufficient to furnish the development with all facilities necessary to provide for reasonable local demand requirements and to comply with Texas Natural Resource Conservation Commission minimum design criteria for facilities used in the production, transmission, pumping, or treatment of water or Texas Natural Resource Conservation Commission minimum requirements. For purposes of this subsection, a developer is one who subdivides or requests more than two meters on a piece of property. Commercial, industrial, and wholesale customers will be treated as developers.

TEXAS NATURAL RESOURCE CONSERVATION COMMISSION

30862 GCCN 12776 SEP11'95

D.A. APPROVED TARIFF BY VP at 4-21-96

SECTION 4.0 - WATER RATIONING PROGRAM

In cases of extreme drought, periods of abnormally high usage, or extended reduction in ability to supply water due to equipment failure, temporary restrictions may be instituted to limit water usage. The purpose of the Water Rationing Program is to limit the total amount of water demanded from the utility and to encourage customer conservation.

Water rationing is not a legitimate alternative when water systems are deficient in meeting the Texas Natural Resource Conservation Commission's "Rules and Regulations for Public Water Systems" and the Commission Rules during normal use periods, or when the utility is not making all immediate and necessary efforts to replace or repair malfunctioning equipment.

Section 4.01 - General Provisions

DECLARATION OF WATER RATIONING: When there is an acute water supply shortage to such an extent that normal use patterns will no longer be possible, the utility may implement a water rationing program in the following manner.

NOTICE REQUIREMENTS: Written notice must be provided to each customer prior to implementing the rationing program. Mailed notice must be given 72 hours prior to the start of rationing. If notice is hand delivered, the utility cannot enforce the provisions of the plan for 24 hours after notice is provided.

Notice will be provided by telephone to the Commission prior to implementing the program and will be followed within 10 days with a copy of the utility's rationing notice. The customer's written notice will contain the following information:

- 1. the date rationing will begin;
- 2. the date rationing will end;
- 3. the stage of rationing and explanation of the restrictions to be implemented; and,
- 4. explanation of penalties for violations.

The utility must file a status report of its rationing program with the Commission every 30 days that rationing continues.

TEXAS NATURAL RESOURCE CONSERVATION COMMISSION

30862 UCCN 12776 SEP 11 95

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SECTION 4.0 - WATER RATIONING PROGRAM (CONT.)

Section 4.01 - General Provisions (cont.)

VIOLATION OF RATIONING RULES:

- 1. First violation the customer will be notified by written notice of their specific violation.
- 2. Second violation after written notice the utility may install a flow restricter in the line to limit the amount of water which will pass through the meter in a 24 hour period. The cost to be charged to the customer's account will be the actual installed cost to the utility, not to exceed \$50.00.
- 3. Subsequent violations the utility may terminate service at the meter for a period of seven (7) days, or until the end of the calendar month, whichever is LESS. The normal reconnect fee of the utility will apply for restoration of service.

EXEMPTIONS OR VARIANCES FROM RATIONING RULES: The utility may grant any customer an exemption or variance from the uniform rationing program for good cause. A customer who is refused an exemption or variance may appeal such action of the utility by written appeal to the Texas Natural Resource Conservation Commission. The utility will treat all customers equally concerning exemptions and variances, and shall not discriminate in granting exemptions and variances.

RATES: All existing rates schedules will remain in effect during the rationing period, and no charges may be levied against a customer which are not contained in the approved tariff of the utility as filed with the Commission.

Section 4.02 - Stages of Rationing

Unless there is an immediate extreme reduction in water production, to declare an emergency or severe condition the Utility must initially declare Stage I rationing. If, after a reasonable period of time, demand is not reduced enough to alleviate outages, Stage II may be declared with Stage III to follow if necessary.

STAGE I (MILD RATIONING CONDITIONS): Under Stage I (Mild Rationing Conditions) the Utility may select only one of the alternatives listed below. Usage of water for outdoor purposes such as lawns, gardens, car washing, etc. will be restricted to:

TEXAS NATURAL RESOURCE CONSERVATION COMMISSION

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SECTION 4.0 - WATER RATIONING PROGRAM (CONT.) Section 4.02 - Stages of Rationing (cont.)

- 1. <u>Alternate Day Use</u> Customers with even numbered addresses may use water outdoors on even numbered days and customers with odd numbered addresses may water outdoors on odd numbered days. (When there are no addresses, North and West sides of streets: even days; South and East sides of streets: odd days.)
- 2. <u>Restricted Hours of Use</u> Outside watering is allowed daily only during periods described in the customer notices.
- 3. Every Five Day Use Customers whose addresses end in 0 and 1 may use water outdoors on the 1st day of the month; 2 and 3--on the 2nd; 4 and 5--3rd; 6 and 7--4th; 8 and 9--5th; 0 and 1--6th... and so on. The utility must provide a calendar noting the respective watering days and the order should remain consecutive as new months begin.

STAGE II (MODERATE RATIONING CONDITIONS): All outdoor water usage is prohibited except by hand held hoses with manual turn-on/off nozzles. Water usage for livestock is exempt

STAGE III (SEVERE RATIONING CONDITIONS): All outdoor water usage is prohibited; livestock may be exempted by the utility. All consumption may also be limited to each customer in one of the following ways:

- 1. An average of the customer's winter months' average to be uniformly applied on a systemwide basis, each customer being notified of this average amount;

 OR
- 2. Based upon technical data of the utility's facilities, a maximum number of gallons per meter (customer) per month, with notice to each customer of this number. Approval of the Commission must be obtained prior to implementing this restriction.

All meters shall be read as often as necessary to insure compliance with this program for the benefit of all the customers.

SECTION 4.20 - SPECIFIC UTILITY WATER RATIONING PROGRAM

This section contains a specific utility water rationing program in addition to the one stated under Section 4.0. It must be reviewed and approved by the Commission and in compliance with the TNRCC Rules to be effective.

TEXAS NATURAL RESOURCE CONSERVATION COMMISSION

30862400N 12776 SEP11'95 D.A. APPROVED TARTIFF BY VPM 4-25-96