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WATER UTILITY TARIFF FOR

<u>SWWC Utilities, Inc., dba</u> <u>Inverness Utility Company, Inc.</u> (Utility Name)

12535 Reed Rd. (Business Address)

Sugarland, Texas 77478 (City, State, Zip Code) (281) 207-1700 (Area Code/Telephone)

This tariff is effective for utility operations under the following Certificate(s) of Convenience and Necessity:

<u>11170</u>

This tariff is effective in the following county:

<u>Travis</u>

This tariff is effective in the following cities or unincorporated towns (if any):

<u>None</u>

This tariff is effective in the following subdivisions or systems:

<u>Crosswind, Hidden Hills, Inverness Point and Lakehurst:</u> PWS #2270102 (Inverness Point Water System

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TEXAS COMM. ON ENVIRONMENTAL QUALITY CCN 11170, JUNE 40 2013 APPROVED TARIFF BY <u>SWWC Utilities, Inc.,</u> <u>dba Inverness Utility Company, Inc.</u>

SECTION 1.0 -- RATE SCHEDULE

Section 1.01 - Rates

| <u>Meter Size</u> | Monthly Minimum Charge | <u>Gallonage Charge</u> |
|-------------------|-------------------------------|---|
| 5/8" x 3/4" | \$100.00 (Includes 0 gallons) | \$ <u>5.50</u> per 1000 gallons; 0 – 12,000 gallons |
| 3/4″ | \$ <u>150.</u> 00 \$7 | 7.50 per 1000 gallons; 12,001 – 22,000 gallons |
| 1" | \$ <u>250.00</u> | \$ <u>9.50</u> per 1000 gallons; 22,001 thereafter |
| 1 1/2 " | \$ <u>500.00</u> | |
| 2" | \$ <u>800.00</u> | |
| 3" | \$ <u>1,500.00</u> | |
| 4" | \$2 <u>,500.00</u> | |
| 6" | \$ <u>5,000.00</u> | |

FORM OF PAYMENT: The utility will accept the following forms of payment:

Cash X, Check X, Money Order X, Credit Card , Other (specify) THE UTILITY MAY REQUIRE EXACT CHANGE FOR PAYMENTS AND MAY REFUSE TO ACCEPT PAYMENTS MADE USING MORE THAN \$1.00 IN SMALL COINS. A WRITTEN RECEIPT WILL BE GIVEN FOR CASH PAYMENTS.

Section 1.02 - Miscellaneous Fees

TAP FEE (Unique costs)......<u>Actual Cost</u> FOR EXAMPLE, A ROAD BORE FOR CUSTOMERS OUTSIDE OF SUBDIVISIONS OR RESIDENTIAL AREAS.

METER RELOCATION FEE <u>Actual Relocation Cost, Not to Exceed Tap Fee</u> THIS FEE MAY BE CHARGED IF A CUSTOMER REQUESTS THAT AN EXISTING METER BE RELOCATED.

RATES LISTED ARE EFFECTIVE ONLY IF THIS PAGE HAS TCEQ APPROVAL STAMP

> TEXAS COMM. ON ENVIRONMENTAL QUALITY 36831-R, CCN 11170, MARCH 25, 2911 APPROVED TARIFF BY

SWWC Utilities, Inc., dba Inverness Utility Company, Inc. Water Tariff Page No. 30^{20}

SECTION 1.0 -- RATE SCHEDULE (CONTINUED)

METER CONVERSION FEE.....Actual cost to convert that meter THIS FEE MAY BE CHARGED IF A CUSTOMER REQUESTS CHANGE OF SIZE OF AN EXISTING METER OR CHANGE IS REQUIRED BY MATERIAL CHANGE IN CUSTOMER'S SERVICE DEMAND.

RECONNECTION FEE

THE RECONNECT FEE MUST BE PAID BEFORE SERVICE CAN BE RESTORED TO A CUSTOMER WHO HAS BEEN DISCONNECTED FOR THE FOLLOWING REASONS (OR OTHER REASONS LISTED UNDER SECTION 2.0 OF THIS TARIFF):

CUSTOMER DEPOSIT RESIDENTIAL (Maximum \$50)...... \$50.00

COMMERCIAL & NON-RESIDENTIAL DEPOSIT 1/6TH OF ESTIMATED ANNUAL BILL

GOVERNMENTAL TESTING, INSPECTION AND COSTS SURCHARGE WHEN AUTHORIZED IN WRITING BY TCEQ AND AFTER NOTICE TO CUSTOMERS, THE UTILITY MAY INCREASE RATES TO RECOVER INCREASED COSTS FOR INSPECTION FEES AND WATER TESTING 30 TAC 291.21(K)(2).

LINE EXTENSION AND CONSTRUCTION CHARGES: Refer to Section 3.0 Extension Policy for terms, conditions, and charges when new construction is necessary to provide service.

RATES LISTED ARE EFFECTIVE ONLY IF THIS PAGE HAS TCEQ APPROVAL STAMP

> TEXAS COMM. ON ENVIRONMENTAL QUALITY 36831-R, CCN 11170, MARCH 25, 2017 APPROVED TARIFF BY

SWWC Utilities, Inc., dba Inverness Utility Company, Inc. (Utility Name)

SECTION 2.0 -- SERVICE RULES AND POLICIES

The utility will have the most current Texas Commission on Environmental Quality Rules, Chapter 291, Water Utility Regulation, available at its office for reference purposes. The Rules and this tariff shall be available for public inspection and reproduction at a reasonable cost. The latest Rules or Commission approved changes to the Rules supersede any rules or requirements in this tariff.

Section 2.01 - Application for Water Service

All applications for service will be made on the utility's standard application or contract form (attached in the Appendix to this tariff), will be signed by the applicant, any required fees (deposits, reconnect, tap, extension fees, etc. as applicable) will be paid and easements, if required, will be granted before service is provided by the utility. A separate application or contract will be made for each service location.

Section 2.02 - Refusal of Service

The utility may decline to serve an applicant until the applicant has complied with the regulations of the regulatory agencies (state and municipal regulations) and for the reasons outlined in the TCEQ Rules. In the event that the utility refuses to serve an applicant, the utility will inform the applicant in writing of the basis of its refusal. The utility is also required to inform the applicant that a complaint may be filed with the Commission.

Section 2.03 - Fees and Charges & Easements Required Before Service Can Be Connected

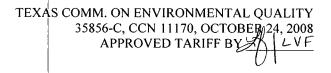
(A) <u>Customer Deposits</u>

If a residential applicant cannot establish credit to the satisfaction of the utility, the applicant may be required to pay a deposit as provided for in Section 1.02 - Miscellaneous Fees of this tariff. The utility will keep records of the deposit and credit interest in accordance with TCEQ Rules.

Residential applicants 65 years of age or older may not be required to pay deposits unless the applicant has an outstanding account balance with the utility or another water or sewer utility which accrued within the last two years.

Nonresidential applicants who cannot establish credit to the satisfaction of the utility may be required to make a deposit that does not exceed an amount equivalent to one-sixth of the estimated annual billings.

<u>Refund of deposit</u>: If service is not connected, or after disconnection of service, the utility will promptly refund the customer's deposit plus accrued interest or the balance, if any, in excess of the unpaid bills for service furnished. The utility may refund the deposit at any time prior to termination of utility service but must refund the deposit plus interest for any residential customer who has paid 18 consecutive billings without being delinquent.



(B) <u>Tap or Reconnect Fees</u>

A new customer requesting service at a location where service has not previously been provided must pay a tap fee as provided in Section 1. A customer requesting service where service has previously been provided must pay a reconnect fee as provided in Section 1. Any applicant or existing customer required to pay for any costs not specifically set forth in the rate schedule pages of this tariff shall be given a written explanation of such costs prior to request for payment and/or commencement of construction. If the applicant or existing customer does not believe that these costs are reasonable or necessary, the applicant or existing customer shall be informed of their right to appeal such costs to the TCEQ or such other regulatory authority having jurisdiction over the utility's rates in that portion of the utility's service area in which the applicant's or existing customer's property(ies) is located.

Fees in addition to the regular tap fee may be charged if listed specifically in Section 1 to cover unique costs not normally incurred as permitted by 30 T. A. C. 291.86(a)(1)(C). For example, a road bore for customers outside a subdivision or residential area could be considered a unique cost.

(C) Easement Requirement

Where recorded public utility easements on the service applicant's property do not exist or public road right-of-way easements are not available to access the applicant's property, the Utility may require the applicant to provide it with a permanent recorded public utility easement on and across the applicant's real property sufficient to provide service to that applicant. Such easement(s) shall not be used for the construction of production, storage, transmission or pressure facilities unless they are needed for adequate service to that applicant.

Section 2.04 - Utility Response to Applications for Service

After the applicant has met all the requirements, conditions and regulations for service, the utility will install tap, meter and utility cut-off valve and/or take all necessary actions to initiate service. The utility will serve each qualified applicant for service within 5 working days unless line extensions or new facilities are required. If construction is required to fill the order and if it cannot be completed within 30 days, the utility will provide the applicant with a written explanation of the construction required and an expected date of service.

Except for good cause where service has previously been provided, service will be reconnected within one working day after the applicant has met the requirements for reconnection.

<u>Section 2.05 -</u> <u>Customer Responsibility</u>

The customer will be responsible for furnishing and laying the necessary customer service pipe from the meter location to the place of consumption. Customers will not be allowed to use the utility's cutoff valve on the utility's side of the meter. Existing customers may install cutoff valves on their side of the meter and are encouraged to do so. All new customers may be required to install and maintain a cutoff valve on their side of the meter.

TEXAS COMM. ON ENVIRONMENTAL QUALITY 35856-C, CCN 11170, OCTOBER 24, 2008 APPROVED TARIFF BY 2-V F

No direct connection between a public water supply system and any potential source of contamination or between a public water supply system and a private water source (ex. private well) will be allowed. A customer shall not connect, or allow any other person or party to connect, onto any water lines on his premises.

Section 2.06 - Customer Service Inspections

Applicants for new service connections or facilities which have undergone extensive plumbing modifications are required to furnish the utility a completed customer service inspection certificate. The inspection certificate shall certify that the establishment is in compliance with the Texas Commission on Environmental Quality Rules and Regulations for Public Water Systems, Section 290.46(j). The Utility is not required to perform these inspections for the applicant/customer, but will assist the applicant/customer in locating and obtaining the services of a certified inspector.

Section 2.07 - Back Flow Prevention Devices

No water connection shall be allowed to any residence or establishment where an actual or potential contamination hazard exists unless the public water facilities are protected from contamination by either an approved air gap, backflow prevention assembly, or other approved device. The type of device or backflow prevention assembly required shall be determined by the specific potential hazard identified in §290.47(i) Appendix I, Assessment of Hazards and Selection of Assemblies of the TCEQ Rules and Regulations for Public Water Systems.

The use of a backflow prevention assembly at the service connection shall be considered as additional backflow protection and shall not negate the use of backflow protection on internal hazards as outlined and enforced by local plumbing codes. When a customer service inspection certificate indicates that an adequate internal cross-connection control program is in effect, backflow protection at the water service entrance or meter is not required.

At any residence or establishment where it has been determined by a customer service inspection, that there is no actual or potential contamination hazard, as referenced in Section 290.47(i) Appendix I, Assessment of Hazards and Selection of Assemblies of the TCEQ Rules and Regulations for Public Water Systems, then a backflow prevention assembly or device is not required. Outside hose bibs do require, at a minimum, the installation and maintenance of a working atmospheric vacuum breaker.

All backflow prevention assemblies or devices shall be tested upon installation by a TCEQ certified backflow prevention assembly tester and certified to be operating within specifications. Backflow prevention assemblies which are installed to provide protection against health hazards must also be tested and certified to be operating within specifications at least annually by a certified backflow prevention assembly tester.

If the utility determines that a backflow prevention assembly or device is required, the utility will provide the customer or applicant with a list of TCEQ certified backflow prevention assembly testers. The customer will be responsible for the cost of installation and testing, if any, of backflow prevention assembly or device. The customer should contact several qualified installers to compare prices before installation. The customer must pay for any required maintenance and annual testing and must furnish a copy of the test results demonstrating that the assembly is functioning properly to the utility within 30 days after the anniversary date of the installation unless a different date is agreed upon.

Section 2.08 - Access to Customer's Premises

The utility will have the right of access to the customer's premises at all reasonable times for the purpose of installing, testing, inspecting or repairing water mains or other equipment used in connection with its provision of water service, or for the purpose of removing its property and disconnecting lines, and for all other purposes necessary to the operation of the utility system including inspecting the customer's plumbing for code, plumbing or tariff violations. The customer shall allow the utility and its personnel access to the customer's property to conduct any water quality tests or inspections required by law. Unless necessary to respond to equipment failure, leak or other condition creating an immediate threat to public health and safety or the continued provision of adequate utility service to others, such entry upon the customer's property shall be during normal business hours and the utility personnel will attempt to notify the customer that they will be working on the customer's property. The customer may require any utility representative, employee, contractor, or agent seeking to make such entry identify themselves, their affiliation with the utility, and the purpose of their entry.

All customers or service applicants shall provide access to meters and utility cutoff valves at all times reasonably necessary to conduct ordinary utility business and after normal business hours as needed to protect and preserve the integrity of the public drinking water supply.

Section 2.09 - Meter Requirements, Readings, and Testing

One meter is required for each residential, commercial, or industrial connection. All water sold by the utility will be billed based on meter measurements. The utility will provide, install, own and maintain meters to measure amounts of water consumed by its customers.

Meters will be read at monthly intervals and as nearly as possible on the corresponding day of each monthly meter reading period unless otherwise authorized by the Commission.

<u>Meter tests</u>. The utility will, upon the request of a customer, and, if the customer so desires, in his or her presence or in that of his or her authorized representative, make without charge a test of the accuracy of the customer's meter. If the customer asks to observe the test, the test will be made during the utility's normal working hours at a time convenient to the customer. Whenever possible, the test will be made on the customer's premises, but may, at the utility's discretion, be made at the utility's testing facility.

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If within a period of two years the customer requests a new test, the utility will make the test, but if the meter is found to be within the accuracy standards established by the American Water Works Association, the utility will charge the customer a fee which reflects the cost to test the meter up to a maximum \$25 for a residential customer. Following the completion of any requested test, the utility will promptly advise the customer of the date of removal of the meter, the date of the test, the result of the test, and who made the test.

Section 2.10 - Billing

(A) <u>Regular Billing</u>

Bills from the utility will be mailed monthly unless otherwise authorized by the Commission. The due date of bills for utility service will be at least sixteen (16) days from the date of issuance. The postmark on the bill or, if there is no postmark on the bill, the recorded date of mailing by the utility will constitute proof of the date of issuance. Payment for utility service is delinquent if full payment, including late fees and the regulatory assessment, is not received at the utility or the utility's authorized payment agency by 5:00 p.m. on the due date. If the due date falls on a holiday or weekend, the due date for payment purposes will be the next workday after the due date.

(B) Late Fees

A late penalty of either \$5.00 or 10.0% will be charged on bills received after the due date. The penalty on delinquent bills will not be applied to any balance to which the penalty was applied in a previous billing. The utility must maintain a record of the date of mailing to charge the late penalty.

(C) Information on Bill

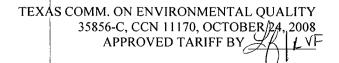
Each bill will provide all information required by the TCEQ Rules. For each of the systems it operates, the utility will maintain and note on the monthly bill a local or toll-free telephone number (or numbers) to which customers can direct questions about their utility service.

(D) Prorated Bills

If service is interrupted or seriously impaired for 24 consecutive hours or more, the utility will prorate the monthly base bill in proportion to the time service was not available to reflect this loss of service.

Section 2.11- Payments

All payments for utility service shall be delivered or mailed to the utility's business office. If the business office fails to receive payment prior to the time of noticed disconnection for non-payment of a delinquent account, service will be terminated as scheduled. Utility service crews shall not be allowed to collect payments on customer accounts in the field.



Payment of an account by any means that has been dishonored and returned by the payor or payee's bank, shall be deemed to be delinquent. All returned payments must be redeemed with cash or valid money order. If a customer has two returned payments within a twelve month period, the customer shall be required to pay a deposit if one has not already been paid.

Section 2.12 - Service Disconnection

(A) <u>With Notice</u>

Utility service may be disconnected if the bill has not been paid in full by the date listed on the termination notice. The termination date must be at least 10 days after the notice is mailed or hand delivered.

The utility is encouraged to offer a deferred payment plan to a customer who cannot pay an outstanding bill in full and is willing to pay the balance in reasonable installments. However, a customer's utility service may be disconnected if a bill has not been paid or a deferred payment agreement entered into within 26 days from the date of issuance of a bill and if proper notice of termination has been given.

Notice of termination must be a separate mailing or hand delivery in accordance with the TCEQ Rules.

B) Without Notice

Utility service may also be disconnected without notice for reasons as described in the TCEQ Rules.

Section 2.13 - Reconnection of Service

Utility personnel must be available during normal business hours to accept payments on the day service is disconnected and the following day unless service was disconnected at the customer's request or due to a hazardous condition.

Service will be reconnected within 36 hours after the past due bill, reconnect fees and any other outstanding charges are paid or the conditions which caused service to be disconnected are corrected.

Section 2.14 - Service Interruptions

The utility will make all reasonable efforts to prevent interruptions of service. If interruptions occur, the utility will re-establish service within the shortest possible time. Except for momentary interruptions due to automatic equipment operations, the utility will keep a complete record of all interruptions, both emergency and scheduled and will notify the Commission in writing of any service interruptions affecting the entire system or any major division of the system lasting more than four hours. The notice will explain the cause of the interruptions.

TEXAS COMM. ON ENVIRONMENTAL QUALITY 35856-C, CCN 11170, OCTOBER 24, 2008 APPROVED TARIFF BY

Section 2.15 - Quality of Service

The utility will plan, furnish, and maintain production, treatment, storage, transmission, and distribution facilities of sufficient size and capacity to provide a continuous and adequate supply of water for all reasonable consumer uses. Unless otherwise authorized by the Commission, the utility will maintain facilities as described in the Texas Commission on Environmental Quality Rules and Regulations for Public Water Systems.

Section 2.16 - Customer Complaints and Disputes

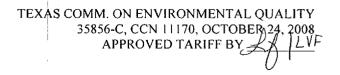
If a customer or applicant for service lodges a complaint, the utility will promptly make a suitable investigation and advise the complainant of the results. Service will not be disconnected pending completion of the investigation. If the complainant is dissatisfied with the utility's response, the utility must advise the complainant that he has recourse through the Texas Commission on Environmental Quality complaint process. Pending resolution of a complaint, the commission may require continuation or restoration of service.

The utility will maintain a record of all complaints which shows the name and address of the complainant, the date and nature of the complaint and the adjustment or disposition thereof, for a period of two years after the final settlement of the complaint.

In the event of a dispute between a customer and a utility regarding any bill for utility service, the utility will conduct an investigation and report the results to the customer. If the dispute is not resolved, the utility will inform the customer that a complaint may be filed with the Commission.

Section 2.17 - Customer Liability

Customer shall be liable for any damage or injury to utility-owned property shown to be caused by the customer.



SWWC Utilities, Inc., dba Inverness Utility Company, Inc. (Utility Name)

SECTION 3.0 -- EXTENSION POLICY

Section 3.01 - Standard Extension Requirements

LINE EXTENSION AND CONSTRUCTION CHARGES: No contribution in aid of construction may be required of any customer except as provided for in this approved extension policy.

The Utility is not required to extend service to any applicant outside of its certified service area and will only do so under terms and conditions mutually agreeable to the Utility and the applicant, in compliance with TCEQ rules and policies, and upon extension of the Utility's certified service area boundaries by the TCEQ.

The applicant for service will be given an itemized statement of the costs, options such as rebates to the customer, sharing of construction costs between the utility and the customer, or sharing of costs between the customer and other applicants prior to beginning construction.

The Utility is not required to extend service to any applicant outside of its certificated service area and will only do so under terms and conditions mutually agreeable to the Utility and the applicant, in compliance with TCEQ rules and policies, and upon extension of the Utility's certificated service area boundaries by the TCEQ.

Section 3.02 - Costs Utilities and Service Applicants Shall Bear

Within its certified area, the utility will pay the cost of the first 200 feet of any water main or distribution line necessary to extend service to an individual residential customer within a platted subdivision.

However, if the residential customer requesting service purchased the property after the developer was notified in writing of the need to provide facilities to the utility, the utility may charge for the first 200 feet. The utility must also be able to document that the developer of the subdivision refused to provide facilities compatible with the utility's facilities in accordance with the utility's approved extension policy after receiving a written request from the utility.

Residential customers will be charged the equivalent of the costs of extending service to their property from the nearest transmission or distribution line even if that line does not have adequate capacity to serve the customer. However, if the customer places unique, non-standard service demands upon the system, the customer may be charged the additional cost of extending service to and throughout their property, including the cost of all necessary transmission and storage facilities necessary to meet the service demands anticipated to be created by that property.

Unless an exception is granted by the TCEQ's Executive Director, the residential service applicant shall not be required to pay for costs of main extensions greater than 2" in diameter for water distribution and pressure wastewater collection lines and 6" in diameter for gravity wastewater lines.

TEXAS COMM. ON ENVIRONMENTAL QUALITY 35856-C, CCN 11170, OCTOBER 24, 2008 APPROVED TARIFF BY SWWC Utilities, Inc., dba Inverness Utility Company, Inc. (Utility Name)

SECTION 3.0 -- EXTENSION PQLICY (Continued)

Exceptions may be granted by the TCEQ Executive Director if:

- adequate service cannot be provided to the applicant using the maximum line sizes listed due to distance or elevation, in which case, it shall be the utility's burden to justify that a larger diameter pipe is required for adequate service;
- or larger minimum line sizes are required under subdivision platting requirements or building codes of municipalities within whose corporate limits or extraterritorial jurisdiction the point of use is located; or the outside the CCN service area.

If an exception is granted, the Utility shall establish a proportional cost plan for the specific extension or a rebate plan which may be limited to seven years to return the portion of the applicant's costs for oversizing as new customers are added to ensure that future applicants for service on the line pay at least as much as the initial service applicant.

For purposes of determining the costs that service applicants shall pay, commercial customers with service demands greater than residential customer demands in the certified area, industrial, and wholesale customers shall be treated as developers. A service applicant requesting a one inch meter for a lawn sprinkler system to service a residential lot is not considered nonstandard service.

If an applicant requires service other than the standard service provided by the utility, such applicant will be required to pay all expenses incurred by the utility in excess of the expenses that would be incurred in providing the standard service and connection beyond 200 feet and throughout his property including the cost of all necessary transmission facilities.

The utility will bear the full cost of any over-sizing ϕf water mains necessary to serve other customers in the immediate area. The individual residential customer shall not be charged for any additional production, storage, or treatment facilities. Contributions in aid of construction <u>may not be required</u> of individual residential customers for production, storage, treatment or transmission facilities unless otherwise approved by the Commission under this specific extension policy.

Section 3.03 - Contributions in Aid of Construction

Developers may be required to provide contributions in aid of construction in amounts sufficient to furnish the development with all facilities necessary to provide for reasonable local demand requirements and to comply with Texas Commission on Environmental Quality minimum design criteria for facilities used in the production, transmission, pumping, or treatment of water or Texas Commission on Environmental Quality minimum requirements. For purposes of this subsection, a developer is one who subdivides or requests more than two meters on a piece of property. Commercial, industrial, and wholesale customers will be treated as developers.

SECTION 3.0 -- EXTENSION POLICY (Continued)

Any applicant who places unique or non-standard service demands on the system may be required to provide contributions in aid of construction for the actual costs of any additional facilities required to maintain compliance with the Texas Commission on Environmental Quality minimum design criteria for water production, treatment, pumping, storage and transmission.

Any service extension to a subdivision (recorded or unrecorded) may be subject to the provisions and restrictions of 30 TAC 291.86(d). When a developer wishes to extend the system to prepare to service multiple new connections, the charge shall be the cost of such extension, plus a prorata charge for facilities which must be committed to such extension compliant with the Texas Commission on Environmental Quality minimum design criteria. As provided by 30 T.A.C. 291.85(e)(3), for purposes of this section, commercial, industrial, and wholesale customers shall be treated as developers.

A utility may only charge a developer standby fees for unrecovered costs of facilities committed to a developer's property under the following circumstances:

- Under a contract and only in accordance with the terms of the contract; or
- if service is not being provided to a lot or lots within two years after installation of facilities necessary to provide service to the lots has been completed and if the standby fees are included on the utility's approved tariff after a rate change application has been filed. The fees cannot be billed to the developer or collected until the standby fees have been approved by the commission or executive director.
- For purposes of this section, a manufactured housing rental community can only be charged standby fees under a contract or if the utility installs the facilities necessary to provide individually metered service to each of the rental lots or spaces in the community.

Section 3.04 - Appealing Connection Costs

The imposition of additional extension costs or charges as provided by Sections 3.0 - Extension Policy of this tariff shall be subject to appeal as provided in this tariff, TCEQ rules, or the rules of such other regulatory authority as may have jurisdiction over the utility's rates and services. Any applicant required to pay for any costs not specifically set forth in the rate schedule pages of this tariff shall be given a written explanation of such costs prior to payment and/or commencement of construction. If the applicant does not believe that these costs are reasonable or necessary, the applicant shall be informed of the right to appeal such costs to the TCEQ or such other regulatory authority having jurisdiction over the utility's rates in that portion of the utility's service area in which the applicant's property(ies) is located.

Section 3.05 - Applying for Service

The Utility will provide a written service application form to the applicant for each request for service received by the Utility's business offices. A separate application shall be required for TEXAS COMM. ON ENVIRONMENTAL QUALITY

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SWWC Utilities, Inc., dba Inverness Utility Company, Inc. (Utility Name)

SECTION 3.0 -- EXTENSION POLICY (Continued)

each potential service location if more than one service connection is desired by any individual applicant. Service application forms will be available at the Utility's business office during normal weekday business hours. Service applications will be sent by prepaid first class United States mail to the address provided by the applicant upon request. Completed applications should be returned by hand delivery in case there are questions which might delay fulfilling the service request. Completed service applications may be submitted by mail if hand delivery is not possible.

Where a new tap or service connection is required, the service applicant shall be required to submit a written service application and request that a tap be made. A diagram, map, plat, or written metes and bounds description of precisely where the applicant desires each tap or service connection is to be made and, if necessary, where the meter is to be installed, along the applicant's property line may also be required with the tap request. The actual point of connection and meter installation must be readily accessible to Utility personnel for inspection, servicing, and meter reading while being reasonably secure from damage by vehicles and mowers. If the Utility has more than one main adjacent to the service applicant's property, the tap or service connection will be made to the Utility's nearest service main with adequate capacity to service the applicant's full potential service demand. Beyond the initial 200 feet, the customer shall bear only the equivalent cost of extending from the nearest main. If the tap or service connection cannot be made at the applicant's desired location, it will be made at another location mutually acceptable to the applicant and the Utility. If no agreement on location can be made, the applicant may refer the matter to the TCEQ for resolution.

Section 3.06 - Qualified Service Applicant

A "qualified service applicant" is an applicant who has: (1) met all of the Utility's requirements for service contained in this tariff, TCEQ rules and/or TCEQ order, (2) has made payment or made arrangement for payment of tap fees, (3) has provided all easements and rights-of-way required to provide service to the requested location, (4) delivered an executed customer service inspection certificate to the Utility, if applicable, and (5) has executed a customer service application for each location to which service is being requested.

The Utility shall serve each qualified service applicant within its certified service area as soon as practical after receiving a completed service application. All service requests will be fulfilled within the time limits prescribed by TCEQ rules once the applicant has met all conditions precedent to achieving "qualified service applicant" status. If a service request cannot be fulfilled within the required period, the applicant shall be notified in writing of the delay, its cause and the anticipated date that service will be available. The TCEQ service dates shall not become applicable until the service applicant has met all conditions precedent to becoming a qualified service applicant as defined by TCEQ rules.

Section 3.07 - Developer Requirements

As a condition of service to a new subdivision, the Utility shall require a developer (as defined by TCEQ rule) to provide permanent recorded public utility easements as a condition of service to any location within the developer's property.

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Water Utility Tariff Page 14

SECTION 4.0 -- DROUGHT CONTINGENCY PLAN (Utility must attach copy of TCEQ approved Drought Contingency Plan)

TEXAS COMM. ON ENVIRONMENTAL QUALITY CCN 11170, JUNE 20, 2013 APPROVED TARIFF BY

USER DROUGHT CONTINGENCY PLAN

MONARCH UTILITIES 1, L. P. - CCN 12983

SWWC UTILITIES, INC. dba

Diamond Water Company - CCN 12865 Hornsby Bend Utility Company, Inc. - CCN 00923 Huntington Utility Company - CCN 11971 Inverness Utility Company, Inc. - CCN 11170 Mid-Tex Utilities, Inc. - CCN 12914 SW Utility Company - CCN 12284 Water Services, Inc. - CCN 11106 Windermere Utility Company, Inc. - CCN 11471

> As revised June 5, 2013

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TEXAS COMM ON ENVIRONMENTAL QUALITY

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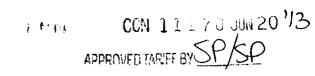
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Executive Summary

The Utility approves this User Drought Contingency Plan ("UDCP" or "plan") to manage its water systems and water resources during drought conditions, periods of abnormally high usage, system contamination, and extended reduction in ability to supply water due to equipment failure or other emergencies in a conscientious, fair, and appropriate manner. This plan is not designed to penalize, stigmatize, or criticize anyone about their respective water use. The sole intent of this plan is to protect the health and safety of the community at large by maintaining an adequate supply of water during the various stages of drought conditions or other water supply emergencies.

The Utility believes significant reductions in water usage can be achieved through voluntary efforts by customer. Implementation of voluntary water conservation measures and conscientious water use practices are encouraged at all times, however, additional water use restrictions are required in cases of extreme drought, periods of abnormally high usage, system contamination, or extended reduction in ability to supply water due to equipment failure.

Section 1: Declaration of Policy, Purpose, and Intent

The Utility, in their continuing effort to maintain an adequate supply of high quality water, approves this UDCP. In order to maintain supply, storage, and pressure or to comply with regulatory requirements, temporary restrictions may be necessary to limit non-essential water usage. This UDCP has been guided by the Texas Commission on Environmental Quality (TCEQ) Requirements & Rules.

Section 2: Public Education and Involvement

The Utility will periodically provide customers with information about the Plan, including information about the conditions under which each stage of the Plan is to be initiated or terminated and the water use restrictions to be implemented in each stage. The Utility considers drought information timely and promotes easy to understand information related to the UDCP. Therefore, the utility will provide information utilizing one or more of following communication channels:

- Bill inserts
- Direct mail notices
- Website

Section 3: Coordination with Region Water Planning Groups

The Utility service area is located within Regional Water Planning Groups (RWPG) C, D, G, H, I, J, K and L. The Utility has mailed a copy of this Plan to the respective RWPG.

Section 4: Coordination with Groundwater Conservation Districts and Regional Surface Water Authorities (Districts)

The Utility owns and operates water systems in several geographical areas within the State of Texas. A number of these areas are subject to District rules, which the Utility is obligated to follow. The Utility is committed to making the best use of groundwater and surface water resources and coordinating conservation efforts with Districts to make the best use of these resources.

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Section 5: Notice Requirements

The Utility will provide written notice to each service address by mail, hand delivery or electronic mail prior to implementation or termination of each stage of the water restriction program. The utility will send said notice to each customer at least 72 hours prior to the start of new or modified water use restrictions. If the Utility hand delivers said notice, the Utility will not enforce the provisions of the plan for 24 hours after notice is provided.

The Utility will provide the following information on the written notice:

- the date restrictions will begin,
- the triggering circumstances,
- an outline of the stages and explanation of restrictions in this plan, and
- an explanation of the violation consequences.

During Stage 3, the Utility will notify customers that violating sprinklers, automatic irrigations systems or use of hand held hose restrictions may result in installation of a flow restrictor without a prior notice.

Prior to implementing Alarm, Critical or Exceptional Drought Stage, the Utility will notify the TCEQ by telephone at (512) 239-4691 or by electronic mail at watermon@tceq.state.tx.us. In addition, the Utility will notify, in writing, the District and the Public Drinking Water Section at MC-155, P.O. Box 13087, Austin, TX 78711-3087 with five (5) working days of implementation.

Section 6: Enforcement Procedures and Plan Adoption

No person shall knowingly or intentionally allow the use of water from the Utility for residential, commercial, industrial, agricultural, governmental or any other purpose in a manner contrary to any provision of this Plan, or in an amount in excess of that permitted by the drought response stage in effect at the time pursuant to action taken by the Utility Management in accordance with provisions of the Plan.

Any person, including a water customer of the Utility in apparent control of the property where a violation occurs or originates, shall be presumed to be the violator and proof that the violation occurred on the person's property shall constitute a presumption that the person in apparent control of the property committed the violation, but any such person shall have the right to prove a violation was not committed. Parents or guardians are presumed to be the responsible party for violations committed by their minor children. A violation of this policy, committed by a child, occurring on property within the parent or guardian control shall constitute a presumption that the parent committed the violation. The Utility reserves the right to review violations on a case by case basis and discharge violations of this plan, where a violator provides reasonable evidence of a good faith effort to prevent a violation of this plan.

To implement and enforce this plan, the Utility will:

- utilize methods and procedures outlined in this plan,
- incorporate enforcement measures and surcharges available to CCN holders under TCEQ rules, and
- will notify system end-users of the authority and intent to enforce the measures of this plan.

Penalties for violations are outlined in the respective plan stages below.

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Section 7: Exemptions or Variances

The Utility may grant any customer an exemption or variance from the UDCP for good cause upon written request. If such a request may cause the Utility to violate a provision as set by Districts, the Utility may request a variance to the provision from said District. Any customer refused an exemption or variance by the Utility may appeal such action of the Utility in writing to the TCEQ. The Utility will treat all customers equally concerning exemptions and variances, and shall not discriminate in granting exemptions and variances. No exemption or variance shall be retroactive or otherwise justify any violation of this Plan occurring prior to the issuance of the variance.

The Utility Management, may, in writing, grant temporary variances for existing water uses otherwise prohibited under this Plan if it is determined that failure to grant such variances would cause an emergency condition adversely affecting health or sanitation for the public or the person requesting such variance and if one or more of the following conditions are met:

- Compliance with this Plan cannot be technically accomplished during the duration of the supply shortage or other condition for which the Plan is in effect.
- Alternative methods can be implemented which will achieve the same level of reduction in water use.

Persons requesting an exemption from the provisions of the Plan shall file a petition for variance with the Utility within five (5) days after the Plan or a particular drought response stage had been invoked. All petitions for variances shall be reviewed by the Management of the Utility and shall include the following:

- Name and address of the petitioner(s).
- Purpose of water use.
- Specific provision(s) of the Plan from which the petitioner is requesting relief.
- Detailed statement as to how the specific provision of the Plan adversely affects the petitioner or what damage or harm will occur to the petitioner or others if petitioner complies with this provision.
- Description of the relief requested.
- Period of time for which the variance is sought.
- Alternative water use restrictions or other measures the petitioner is taking or proposes to take to meet the intent of this Plan and the compliance date.
- Other pertinent information.

Variances granted by the Utility are subject to the following conditions, unless waived or modified by Management:

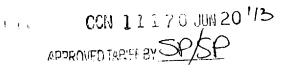
- Variances granted shall include a timetable for compliance.
- Variances granted shall expire when the Plan is no longer in effect, unless the petitioner has failed to meet specific requirements.

No variance shall be retroactive or otherwise justify any violation of the Plan occurring prior to the issuance of the variance.

Section 8: Response Stages

Unless there is an immediate and extreme reduction in an aquifer level; water availability or production; critical system capacity; or other absolute necessity to declare an emergency or

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severe condition, the Utility will progress sequentially from Stage 1 through more restrictive stages. If, after a reasonable period of time, demand is not reduced enough to alleviate the conditions that initiated restrictions or to comply with restrictions required by a court, district, government agency, wholesale provider or other authority, some outdoor water use provisions in a particular Stage may be modified or further restricted or more restrictive Stages implemented as necessary to achieve the necessary reductions. In addition to restricted watering times, maximum monthly or weekly customer usage targets may be implemented and enforced in the same manner as the restrictions listed below. Notice of any modified restrictions or of a more stringent stage will be provided to customers in accordance with Section 3. In order to comply with the requirements of an underground district, wholesale provider or other authority, the Utility may skip a less restrictive stage and immediately implement Stage II, Stage III or Stage IV.

Section 9: Drought, Demand & Critical System Capacity Stage Triggers

Water System Supply Contamination or Outage

In the event of system supply contamination or system outage, the Utility may immediately implement the response measures of Stage IV.

| Trigger | Stage I (Mandatory) | Stage II (Mandatory) | Stage III (Mandatory) |
|--|------------------------|-------------------------|--------------------------|
| Percent of water treatment capacity reached for 3 or more days in a week | 60 | 75 | 90 |
| Well pump hours per day for more than 3 days. | 15 Hours | 18 hours | 22 hours |

Demand Based Triggers

Supply Based Triggers

For those systems where the Utility pumps water from a ground water district or purchases water from wholesalers, the district or wholesale supplier will formally notify the Utility of one or more precipitating events triggering a stage of the district or wholesaler's drought contingency plan. Upon enactment of a stage in the district, authority or wholesaler's drought contingency plan, the Utility may correspondingly implement the same or equivalent stage in its service area. The Utility can modify its plan to match the watering schedules, daily watering hours or other specific restrictions of a wholesaler, district or other authority if asked to do so. The Utility will notify customers of any changes in days, hours or other provisions prior to enforcing provisions of the plan. When the Utility must self regulate to comply with ground water permit limitations, water restrictions may be enacted to ensure compliance with permit limits.

Section 10: Alternative Water Sources

If available an alternate water source will be used directly by the Utility to manage limited water supplies in the event of water supply contamination, system outage or conditional permitting curtailments.

Section 11: Water Conservation Periods and Drought Stage Responses

Drought contingency plans are multifaceted. To aid the general public and utility employees in understanding this plan, the Utility provides a summary of the mandatory Stages I, II, and III in Section 13.

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Awareness (Voluntary, No Restrictions)

Goal

Reduce water consumption and usage by ten (10) percent through voluntary conservation.

Triggers/ Rationale for Initiation

To preserve the health, safety, and well-being of water users, the Utility encourages customers to follow voluntarily conservation awareness practices below. When not in a declared drought or mandatory water restriction period, the Utility will implement this voluntary conservation stage between May 1st and September 30th. The Utility will notify customers each spring that Voluntary Conservation is in place.

Voluntary Practices

The Utility recommends customers voluntarily limit the irrigation of landscaped areas using hoseend sprinklers or automatic systems between 12:01 AM to 4:00 AM; 7:00 AM to 10:00 AM; and 8:00 PM to 11:59 PM on the designated watering day on the approved watering schedule cited in Section 12.

Additional Conservation Practices

- Water garden, trees, flowers or other landscaped areas with hand held hose, a faucet filled bucket or watering can any day between 6:00 PM to 10:00 AM, and avoid unattended hoses.
- Utilize soaker hoses anywhere except lawns from 8:00 PM to 10:00 AM
- Limit vehicle washing with bucket or hand-held hose with automatic shut-off.
- Draw less water for bath or reduce shower time.
- Do not let water run while shaving, dish washing, brushing teeth, etc.
- Keep pools covered if not used on a daily basis.
- Use water displacement device in toilet tank.
- Install aerators on faucets.
- Utilize water reuse where possible.
- Replacement or retrofits with ultra low flow fixtures is encouraged.
- Use a broom or blower instead of a hose to wash off driveways, sidewalks, or streets.
- Use Commercial Car Washes that recycle water.

Utility Measures

To ensure the Utility maximizes water conservation efforts, it will manage limited water supplies and/or reduce water demand by reducing or discontinuing public landscape irrigation and by using alternative supply source(s). The Utility will provide its respective employees copies of this plan. Utility management will be available to answer any employee or staff questions.

The Utility will work closely with Districts and provide timely information on water levels, water quality and pumping demands. The Utility will conduct leak surveys as required and repair all water leaks in a timely fashion. The Utility will request water users to report leaks to the Utility.

Stage I: Mandatory (Mandatory Restrictions)

Goal

Reduce water consumption and usage by ten (10) percent through mandatory restrictions.

Triggers/ Rationale for Initiation

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If the system meets supply or demand triggers identified in Section 9 of this plan or critical system capacities are threatened, the Utility will activate Stage I.

Mandatory Restrictions

The Utility supports continued water conservation practices and voluntary reductions in water use in general. In addition, the Utility enforces the following mandatory restrictions on outdoor water use:

- Use of Automatic irrigation systems and hose end sprinkler(s) is limited between 12:01 AM to 4:00 AM; 7:00 AM to 10:00 AM; and 8:00 PM to 11:59 PM on the approved Watering Day Schedule cited in Section 12. Twice weekly watering allowed.
- Irrigation of landscaped areas, such as gardens, trees and flowers by hand-held hose, a faucet-filled bucket or watering can of five (5) gallons or less, or drip irrigation system is allowed any day between 12:01AM to 10:00 AM and 7:00 PM to 11:59 PM. The Utility prohibits leaving hand-held hoses unattended unless the hose is connected to an automatic shut-off nozzle.
- Use of soaker hoses on landscaped areas is allowed from 12:01 AM to 10:00 AM and 8:00 PM to 11:59 PM on the approved Watering Day Schedule cited in Section 12.
- Washing any motor vehicle, motorbike, boat, trailer, airplane or other vehicle with a bucket or hand-held hose with automatic shut off nozzle is permitted one (1) time every two weeks. Vehicle washing is permitted be done at any time on the immediate premises of a commercial car wash or commercial service station. Further, such washing may be exempted from these regulations if the health, safety and welfare of the public are contingent upon frequent vehicle cleansing, such as garbage trucks and vehicles used to transport food and perishables.
- Topping off pools is allowed on the approved Watering Day Schedule cited in Section 12. However, outdoor water use, such as filling, refilling or adding of water to swimming pools, wading pools and Jacuzzi-type pools; and operation of any ornamental fountain or pond for aesthetic or scenic purposes except where necessary to support aquatic life or where such fountains or ponds are equipped with a re-circulation system is prohibited.
- Washing or rinsing driveways, sidewalks, or streets is prohibited at all times.
- The use of water for construction purposes from designated fire hydrants is permitted under special permit.

Violations & Penalties

First Violation

The Utility will issue a written Notice of Violation to the customer.

Second Violation

The Utility may install a flow restriction device in the line to limit the amount of water which will pass through the meter in a 24 hour period. The Utility may charge the customer for the cost of installing and removing the flow restricting device. This cost shall not exceed \$50.00 dollars.

Third Violation

The Utility may discontinue service at the meter for a period of seven (7) days, or until the end of the calendar month, whichever is LESS. The normal reconnect fee of the Utility will apply for restoration of service.

Utility Measures

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During Stage I, the Utility requests that customers voluntarily limit the use of water for nonessential purposes by practicing water conservation and promoting efficient use of water.

To ensure the Utility maximizes water conservation efforts, it will manage limited water supplies and/or reduce water demand by reducing or discontinuing water main flushing, public landscape irrigation; and by using alternative supply source(s). The Utility will provide its respective employees copies of this plan. Utility management will be available to answer any employee or staff questions.

The Utility will work closely with Districts and provide timely information on water levels, water quality and pumping demands. The Utility will conduct leak surveys as required and repair all water leaks in a timely fashion. The Utility will request water users to report leaks to the Utility.

Stage II: Alarm (Mandatory Restrictions)

Goal

Reduce water consumption and usage by twenty (20) percent through mandatory restrictions.

Triggers/ Rationale for Initiation

If the system meets supply or demand triggers identified in Section 9 of this plan or critical system capacities are threatened, the Utility will activate Stage II.

Mandatory Restrictions

The Utility supports continued water conservation practices and voluntary reductions in water use in general. In addition, the Utility enforces the following mandatory restrictions on outdoor water use:

- Use of automatic irrigation systems is limited between 12:01 AM to 4:00 AM and 8:00 PM to 11:00 PM on the approved Watering Day Schedule cited in Section 12. Once weekly watering allowed.
- Use of a hose end sprinkler(s) is limited between 12:01 AM to 4:00 AM and 7:00 PM to 11:59 PM on the approved Watering Day Schedule cited in Section 12.
- Irrigation of landscaped areas, such as gardens, trees and flowers by hand-held hose, is limited between 12:01 AM to 4:00 AM and 7:00 PM to 11:59 PM on the approved Watering Day Schedule cited in Section 12.
- Soaker Hoses for all landscaped areas, except lawns, is permitted from 12:01 AM to 10:00 AM and 8:00 PM to 11:59 PM on the approved Watering Day Schedule cited in Section 12.
- Washing any motor vehicle, motorbike, boat, trailer, airplane or other vehicle with a bucket or hand-held hose with automatic shut-off nozzle is prohibited. Vehicle washing is permitted at any time on the immediate premises of a commercial car wash or commercial service station that uses recycled water. Further, such washing may be exempted from these regulations if the health, safety and welfare of the public are contingent upon frequent vehicle cleansing, such as garbage trucks and vehicles used to transport food and perishables.
- Topping off pools is allowed on the approved Watering Day Schedule cited in Section 12. However, outdoor water use, such as filling, refilling or adding of water to swimming pools, wading pools and Jacuzzi-type pools; and operation of any ornamental fountain or pond for aesthetic or scenic purposes except where necessary to support aquatic life or where such fountains or ponds are equipped with a re-circulation system is prohibited.
- Washing or rinsing driveways, sidewalks, or streets is prohibited at all times.

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• The use of water for construction purposes from designated fire hydrants is permitted under special permit.

Additional Restrictions

Due to the extreme nature of Stage II, the Utility reserves the right to prescribe a monthly consumption limit for customers to ensure meeting required reduction.

Violations & Penalties

First Violation

The Utility will issue a Notice of Violation to the customer.

Second Violation

The Utility may install a flow restriction device in the line to limit the amount of water which will pass through the meter in a 24 hour period. The Utility may charge the customer for the cost of installing and removing the flow restricting device. This cost shall not exceed \$50.00 dollars.

Third Violation

The Utility may discontinue service at the meter for a period of seven (7) days, or until the end of the calendar month, whichever is LESS. The normal reconnect fee of the Utility will apply for restoration of service.

Utility Measures

During Stage II, the Utility requests that customers voluntarily limit the use of water for nonessential purposes by practicing water conservation and promoting efficient use of water.

To ensure the Utility maximizes water conservation efforts, it will manage limited water supplies and/or reduce water demand by reducing or discontinuing water main flushing, public landscape irrigation; and by using alternative supply source(s). The Utility will provide its respective employees copies of this plan. Utility management will be available to answer any employee or staff questions.

The Utility will work closely with Districts and provide timely information on water levels, water quality and pumping demands. The Utility will conduct leak surveys as required and repair all water leaks in a timely fashion. The Utility will request water users to report leaks to the Utility.

Stage III: Critical (Mandatory Restrictions)

Goal

Reduce water consumption and usage by thirty (30) percent through mandatory restrictions.

Triggers/ Rationale for Initiation

If the system meets supply or demand triggers identified in Section 9 of this plan or critical system capacities are threatened or system failures are imminent the Utility will activate Stage IV.

Mandatory Restrictions

- Use of automatic irrigation systems, hand held hose, or hose end sprinkler is prohibited.
- Use of water to wash any motor vehicles, motorbikes, boats, trailers, airplanes or other vehicles is prohibited.
- Outdoor water use, such as filling, refilling or adding of water to swimming pools, wading pools and Jacuzzi-type pools; and operation of any ornamental fountain or pond for

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aesthetic or scenic purposes except where necessary to support aquatic life or where such fountains or ponds are equipped with a re-circulation system is prohibited.

- Irrigation of landscaped areas, such as gardens, trees and flowers by a faucet-filled bucket or watering can of five (5) gallons or less is limited between 7:00 AM to 9:00 AM and 7:00 PM to 9:00 PM.
- The use of water for construction purposes from designated fire hydrants is permitted under special permit.
- Soaker Hose use for use on building foundations is permitted from 6:00 AM to 9:00 AM and 8:00 PM to 11:59 PM on the approved Watering Day Schedule cited in Section 12.

Additional Restrictions

Due to the extreme nature of Stage III, the Utility reserves the right to prescribe a monthly consumption limit for customers to ensure meeting required reduction.

Violations & Penalties

First Violation

The Utility will issue a written Notice of Violation to the customer.

Second Violation

The Utility may install a flow restriction device in the line to limit the amount of water which will pass through the meter in a 24 hour period. The Utility may charge the customer for the cost of installing and removing the flow restricting device. This cost shall not exceed \$50.00 dollars.

Third Violation

The Utility may discontinue service at the meter for a period of seven (7) days, or until the end of the calendar month, whichever is LESS. The normal reconnect fee of the Utility will apply for restoration of service.

Utility Measures

During Stage III, the Utility requests that customers voluntarily limit the use of water for nonessential purposes by practicing water conservation and promoting efficient use of water.

To ensure the Utility maximizes water conservation efforts, it will manage limited water supplies and/or reduce water demand by reducing or discontinuing water main flushing, public landscape irrigation; and by using alternative supply source(s). The Utility will provide its respective employees copies of this plan. Utility management will be available to answer any employee or staff questions.

The Utility will work closely with Districts and provide timely information on water levels, water quality and pumping demands. The Utility will conduct leak surveys as required and repair all water leaks in a timely fashion. The Utility will request water users to report leaks to the Utility.

Stage IV- Exceptional Drought

Goal

Reduce water consumption and usage by forty (40) percent through mandatory restrictions.

Triggers/ Rationale for Initiation

Upon notification by the managing Groundwater Conservation District or Regional Surface Water Authority that the District or Authority has declared Exceptional Drought Stage or if critical

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system capacities are threatened or system failures are imminent the Utility will activate Stage IV.

Mandatory Restrictions

- Use of automatic irrigation systems, hand held hose, or hose end sprinkler is prohibited.
- Use of water to wash any motor vehicles, motorbikes, boats, trailers, airplanes or other vehicles is prohibited.
- Outdoor water use, such as filling, refilling or adding of water to swimming pools, wading pools and Jacuzzi-type pools; and operation of any ornamental fountain or pond for aesthetic or scenic purposes except where necessary to support aquatic life or where such fountains or ponds are equipped with a re-circulation system is prohibited.
- Irrigation of landscaped areas, such as gardens, trees and flowers by a faucet-filled bucket or watering can of five (5) gallons is prohibited.
- The use of water for construction purposes from designated fire hydrants is permitted under special permit.
- Soaker Hose use for use on building foundations is prohibited.

Additional Restrictions

Due to the extreme nature of Stage IV, the Utility reserves the right to prescribe a monthly consumption limit for customers to ensure meeting required reduction.

Violations & Penalties

First Violation

The Utility will issue a written Notice of Violation to the customer.

Second Violation

The Utility may install a flow restriction device in the line to limit the amount of water which will pass through the meter in a 24 hour period. The Utility may charge the customer for the cost of installing and removing the flow restricting device. This cost shall not exceed \$50.00 dollars.

Third Violation

The Utility may discontinue service at the meter for a period of seven (7) days, or until the end of the calendar month, whichever is LESS. The normal reconnect fee of the Utility will apply for restoration of service.

Utility Measures

During Stage IV, the Utility requests that customers voluntarily limit the use of water for nonessential purposes by practicing water conservation and promoting efficient use of water.

To ensure the Utility maximizes water conservation efforts, it will manage limited water supplies and/or reduce water demand by reducing or discontinuing water main flushing, public landscape irrigation; and by using alternative supply source(s). The Utility will provide its respective employees copies of this plan. Utility management will be available to answer any employee or staff questions.

The Utility will work closely with Districts and provide timely information on water levels, water quality and pumping demands. The Utility will conduct leak surveys as required and repair all water leaks in a timely fashion. The Utility will request water users to report leaks to the Utility.

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Stage IV- Exceptional Drought – Plum Creek Water System Only

Upon notification by the Barton Springs/Edwards Aquifer Conservation District that the District has declared the aguifer to be in an Exceptional Drought Stage, Plum Creek Water System will achieve a reduction of well water by 40%. Stage V will be declared by the District when the 10day average discharge from Barton Springs is at a rate of 14 cubic feet per second or less. The Utility will notify its' customers that this stage has been declared by the District and that the supply of groundwater from the Edwards Aquifer is in peril. The Utility will enforce the conditions set in Stage IV beginning on page 8 of this plan with the following additional requirements:

- All outdoor use of potable water is prohibited including water buckets or cans and building 0 foundation protection, including soaker hoses.
- All use of water for construction purposes will be reviewed. The Utility reserves the right o to terminate the use of potable water for construction under this stage.

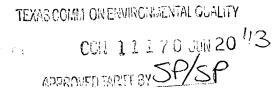
Section 12: Approved Watering Day Schedule

The following schedule is approved by the Utility for outdoor watering. This schedule is applicable to Stage I.

| | Approved Watering (Stage | | |
|-----------------|-----------------------------|-------------------|-----------------------|
| Address Ends In | 1,4,7,0 | 2,5 or 8 | 3,6 or 9 |
| Watering Days | Monday Thursday | Tuesday Friday | Wednesday Saturday |

The following schedule is approved by the Utility for outdoor watering. This schedule is applicable to Stage II.

| | / | • • | atering Day So (Stage II) | hedule | | |
|--------------------|--------|---------|------------------------------|----------|--------|----------|
| Address Ends In | 1 or 7 | 2 or 8 | 3 or 9 | 0 or 4 | 5 | 6 |
| Watering Day | Monday | Tuesday | Wednesday | Thursday | Friday | Saturday |



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APPENDIX A -- SAMPLE SERVICE AGREEMENT From 30 T.A.C. Chapter 290.47(b), Appendix B SERVICE AGREEMENT

I. PURPOSE. The SWWC Utilities, Inc., dba Inverness Utility Company, Inc. is responsible for protecting the drinking water supply from contamination or pollution which could result from improper private water distribution system construction or configuration. The purpose of this service agreement is to notify each customer of the restrictions which are in place to provide this protection. The utility enforces these restrictions to ensure the public health and welfare. Each customer must sign this agreement before the SWWC Utilities, Inc., dba Inverness Utility Company, Inc. will begin service. In addition, when service to an existing connection has been suspended or terminated, the water system will not re-establish service unless it has a signed copy of this agreement.

II. RESTRICTIONS. The following unacceptable practices are prohibited by State regulations.

A. No direct connection between the public drinking water supply and a potential source of contamination is permitted. Potential sources of contamination shall be isolated from the public water system by an air-gap or an appropriate backflow prevention device.

B. No cross-connection between the public drinking water supply and a private water system is permitted. These potential threats to the public drinking water supply shall be eliminated at the service connection by the installation of an air-gap or a reduced pressure-zone backflow prevention device.

C. No connection which allows water to be returned to the public drinking water supply is permitted.

D. No pipe or pipe fitting which contains more than 8.0% lead may be used for the installation or repair of plumbing at any connection which provides water for human use.

E. No solder or flux which contains more than 0.2% lead can be used for the installation or repair of plumbing at any connection which provides water for human use.

III. SERVICE AGREEMENT. The following are the terms of the service agreement between the SWWC Utilities, Inc., dba Inverness Utility Company, Inc. (the Water System) and NAME OF CUSTOMER (the Customer).

A. The Water System will maintain a copy of this agreement as long as the Customer and/or the premises is connected to the Water System.

B. The Customer shall allow his property to be inspected for possible cross-connections and other potential contamination hazards. These inspections shall be conducted by the Water System or its designated agent prior to initiating new water service; when there is reason to believe that cross-connections or other potential contamination hazards exist; or after any major changes to the private water distribution facilities. The inspections shall be conducted during the Water System's normal business hours.

C. The Water System shall notify the Customer in writing of any cross-connection or other potential contamination hazard which has been identified during the initial inspection or the periodic reinspection.

D. The Customer shall immediately remove or adequately isolate any potential cross-connections or other potential contamination hazards on his premises.

E. The Customer shall, at his expense, properly install, test, and maintain any backflow prevention device required by the Water System. Copies of all testing and maintenance records shall be provided to the Water System.

IV. ENFORCEMENT. If the Customer fails to comply with the terms of the Service Agreement, the Water System shall, at its option, either terminate service or properly install, test, and maintain an appropriate backflow prevention device at the service connection. Any expenses associated with the enforcement of this agreement shall be billed to the Customer.

| CUSTOMER'S SIGNATURE: | |
|-----------------------|--|
| | |
| DATE: | |
| | |

