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SAMUEL SIEGEL
Associate General Counsel &
Vice President, Regulatory Compliance

February 10, 2022

Thomas Gleeson
Public Utility Commission of Texas
1701 N. Congress Ave., 7th Floor
Austin, TX 78711

Dear Mr. Gleeson:

I write to express interest in serving as the representative of dispatchable electric energy generation owners on the Texas Energy Reliability Council (TERC) pursuant to Texas Gov't Code § 418.303(a)(9)(A) and your letter filed in Project No. 52557 on February 7, 2022. I would be honored to serve, and I am ready and able with appropriate qualifications, including the support of current TERC member Pat Wood III, the Association of Electric Companies of Texas (AECT), and Texas Competitive Power Advocates (TCPA).

I am employed by Vistra Corp. as Associate General Counsel and Vice President, Regulatory Compliance. I have over 6 years of experience overseeing regulatory compliance matters in ERCOT for Vistra's wholesale market operating subsidiaries (principally Luminant Energy Company LLC and Luminant Generation Company LLC). These companies own or direct the operation of thousands of megawatts of dispatchable electricity generation capacity, much of which is dependent upon fuel obtained from third parties in the energy industry, such as natural gas. Consequently, I have a deep understanding of how owners of dispatchable power generation facilities operate in the ERCOT market and how important communication and coordination is between electricity and other energy market participants.

In addition to leading the wholesale compliance function for Vistra in ERCOT, I am also responsible for overseeing that function for Vistra in other ISOs or RTOs, including ISO-NE, PJM, CAISO, and MISO. Thus, I have a broad understanding of the issues that pertain to generation and wholesale power market activities in U.S. power markets, including the relationship between power generation, natural gas, and other fuels industries. What also may be of interest to the council is Vistra's significant investments in battery storage, including in ERCOT, a burgeoning new form of dispatchable generation. And, while this position is focused on dispatchable generation, Vistra is also investing heavily in intermittent renewables, including in ERCOT, which affords me a well-rounded perspective on various power generation resources and how they integrate into the grid.

Before joining Vistra, I was Vice President and Compliance Director for a major bank, overseeing compliance considerations regarding its participation in financial markets. Consequently, I possess a sophisticated understanding of the financial aspects of electricity and energy markets.

In addition to demonstrating and continually expanding my substantive expertise, my work experiences have provided me with ample opportunities to work with representatives of other companies in electricity and other energy sectors, enabling me to state with confidence that I can and will work cooperatively with other members of TERC to promote its mission of enhanced communication and coordination.

Thank you for your consideration of my interest in appointment to the role of the dispatchable generation representative on TERC.

Sincerely,

Sam Siegel
Associate General Counsel
Vice President, Regulatory Compliance
Vistra Corp.

Samuel Aaron Siegel

EXPERIENCE

Vistra Corp., Legal and Compliance

Dallas, TX

*Vice President Regulatory Compliance (Trading and Generation), Associate General Counsel
Managing Counsel, Compliance*

April 2018 – present
June 2015 – April 2018

- Head of Vistra Corp. Energy Trading and Power Generation Compliance groups, report directly to the General Counsel, supervise a team of ten employees, and manage a budget of over \$5 million.
- Advise senior management and traders on legal and regulatory risk associated with multi-billion dollar commercial and commodity trading, bidding and offering activities as regulated by FERC, CFTC, Exchanges, Public Utility Commissions, and power markets (e.g., ERCOT and PJM). Activity includes physical and financial transactions in electricity, transmission rights, natural gas, other fuels, RECS and emissions products, and related financial derivatives.
- Advise on reliability regulations for a fleet of over forty power plants including gas, coal, nuclear, energy storage and renewables of approximately 39,000 MWs and in twelve states. This includes regulations on power plant reliability, operations and performance and cyber security.
- Lead responses to regulator inquiries and enforcement actions, investigations, audits, and other communications. This includes preparing and drafting responses, advising on response strategy including contesting cases, and conducting settlement negotiations, and directing dispute resolution.
- Primary regulatory attorney for merger and acquisition activity, including FERC, CFTC Public Utility Commission, and Federal Antitrust regulators (e.g., HSR). Assist deal team in review of and preparation of deal documents and coordinate regulatory approval. This includes billions of corporate mergers (Dynergy acquisition), purchases of retail businesses (Ambit and Crius), and multiple purchase and sales of power plants.
- Coordinate the overall compliance program including regulatory tracking, training, policies and procedures, ongoing controls, communication monitoring, transaction monitoring and internal investigations. Created corporate compliance framework including metrics, risk assessments, training plans and governance framework.
- Provide advisory support to company's regulatory advocacy efforts including rule makings and comment letters. Meet with policy makers, legislators and other regulatory personnel to advocate on company's behalf.

JPMorgan Chase & Co., Corporate & Investment Bank (CIB) Compliance

New York, NY

Global Head of CIB Compliance Policies and Procedures and Regulatory Change Management October 2012 – June 2015
Vice President and Compliance Director

- Head of the North America Policies and Regulatory Change Management teams, including 4 direct reports in New York and coordinated a team of eight compliance officers globally.
- Managed all North America and global CIB policies, supervisory procedures, manuals, and guidelines covering CIB, including Sales and Trading, Investment Banking, Research, and other businesses.
- Coordinated the identification of new or updated regulations, assessed the regulations impact and tracked implementation.
- Assisted or led major firm-wide initiatives, including implementation of new regulations (e.g., FINRA supervision and Volcker rules), control initiatives (e.g., consecutive leave), and drafted compliance programs for each bank business.
- Advised Compliance, Legal, Risk, and commercial business units on implementing controls for regulatory changes.
- Drafted annual reports, including Broker-Dealer, Swap Dealer, and 15c3-5 Market Access Rule Report.
- Supervised the annual creation and distribution of over 100 compliance bulletins to front office personnel.

Morgan Stanley, Central Policy Office

New York, NY

Senior Manager

January 2011 – October 2012

- Led the development of the Company's cutting-edge policy and procedure intranet website to improve companywide access and respond to regulatory requirements. Site was designed to manage policies and procedure workflow.
- Responsible for establishing and enforcing a consistent documentation structure and approval process for policies and procedures across the company and evidencing such oversight to regulators.

Jones Day

Dallas, TX

Summer Associate, Associate, Litigation Group

Summer 2007, August 2008 – September 2010

Samuel Aaron Siegel

- Drafted pleadings, jury instructions, *motions in limine*, deposition questions, discovery requests and responses.

EDUCATION

Columbia University School of Law

Juris Doctor

New York, NY

May 2008

The University of Texas at Austin

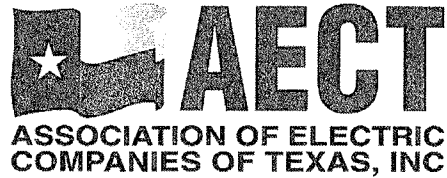
Bachelor of Arts – Plan II Liberal Arts (Special Honors) & History (Honors)

Austin, TX

May 2005

BAR ADMISSION

Texas, 2008



February 10, 2022

To: Chairman Peter Lake
Commissioner Lori Cobos
Commissioner Jimmy Glotfelty
Commissioner Will McAdams
Executive Director Thomas Gleeson

Public Utility Commission of Texas
1701 N. Congress Avenue
Austin, TX 78701

Regarding: Project 52557, PUC Proceeding to Nominate Texas Energy Reliability Council Members Under
Tex. Government Code Sec. 418.303

The Association of Electric Companies of Texas (AECT) is a trade organization of electric companies in Texas, including members that participate in the retail and wholesale electric markets, as well as members operating as transmission and distribution utilities and fully integrated utilities. On behalf of AECT, I would like to support the following nomination to the Texas Energy Reliability Council (TERC).

For the member representing dispatchable electric energy (Sec. 418.303 (9) (A)), AECT supports Samuel Siegel of Vistra Corp. Mr. Siegel serves as Vice President of Regulatory Compliance for Trading and Generation for Vistra, parent company of Luminant Generation Company LLC, which has the largest dispatchable generation portfolio in ERCOT, including the largest gas generation fleet. His expertise in natural gas-fired generation combined with his understanding of the natural gas industry will be invaluable to TERC.

Thank you for your consideration.

Mark Bell
President & CEO
Association of Electric Companies of Texas, Inc.



Chairman Peter Lake
Commissioner Will McAdams
Commissioner Lori Cobos
Commissioner Jimmy Glotfelty
Executive Director Thomas Gleeson
Public Utility Commission of Texas
1701 N. Congress Avenue
Austin, TX 78701

February 10, 2022

RE: TERC Representative for Dispatchable Generation Seat

Dear Chairman, Commissioners, and Mr. Gleeson:

Texas Competitive Power Advocates (TCPA) is a trade association representing power generators, and wholesale power marketers with investments in Texas and the Electric Reliability Council of Texas (ERCOT) wholesale electric market. As the trade association that represents privately owned electric generators in the competitive market and dispatchable generation specifically, I am writing to recommend that Samuel Siegel with Vistra Corp. be appointed to the dispatchable generation representative seat on the Texas Energy Reliability Council (TERC).

Mr. Siegel currently serves as the Vice President of Regulatory Compliance for Trading and Generation at Vistra. He has broad experience with the gas market and its interconnectivity with electric generation and a keen understanding into electric generators' experiences in both the interstate and intrastate gas systems. Vistra is the parent company of Luminant Generation LLC, the largest electric generator in ERCOT with a substantial natural gas generation fleet that crosses the geographic and age spectrum.

TCPA's member companies unanimously support the appointment of Mr. Siegel to TERC as the best person to represent the issues affecting natural gas generators in Texas. His experience in the legal and trading aspects of generation operations will be essential in coordinating the intersecting aspects of the natural gas and electric industries as TERC has been charged with overseeing, and Mr. Siegel will be an invaluable resource for the regulatory agencies charged with coordinating the two industries.

We thank you for your consideration of Mr. Siegel to represent the dispatchable generation segment on TERC.

Respectfully,



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Michele Richmond

Michele Richmond
Executive Director
Texas Competitive Power Advocates



7 February 2022

Mr. Thomas Gleeson
Executive Director
Public Utility Commission of Texas
1700 North Congress Avenue
Austin, Texas 78711

Dear Mr. Gleeson,

I would like to add my strong support for the nomination of Sam Siegel to fill the generator representative position on the Texas Energy Reliability Council (TERC) which was recently vacated when Lori Simpson stepped down. As a member of the Council, it became clear to me at the first meeting that, while all voices are needed and heard, the gas-fired power generators are at the front of the line because of their unique role straddling the gas-electric interface in Texas. From his work with our largest generator in ERCOT, Vistra, Sam has the knowledge and experience combined with a collegial personality and solution-based mindset that would be so useful to the TERC. I know he will make an effective and constructive addition to the Council.

If I can be of any further assistance to you, please contact me at (713) 454-9592.

Best regards,

A handwritten signature in black ink, appearing to read "Pat Wood, III".

Pat Wood, III
Chief Executive