



Control Number: 51166



Item Number: 53

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DOCKET NO. 51166

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PUBLIC UTILITY COMMISSION
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PETITION OF COLORADO RIVER §
PROJECT, LLC TO AMEND SWWC §
UTILITIES, INC. DBA HORNSBY §
BEND UTILITY'S CERTIFICATE OF §
CONVENIENCE AND NECESSITY IN §
TRAVIS COUNTY BY EXPEDITED §
RELEASE §

PUBLIC UTILITY COMMISSION
OF TEXAS

**SWWC UTILITIES, INC., D.B.A HORNSBY BEND UTILITY COMPANY, INC.'S
RESPONSE TO COLORADO RIVER PROJECT, LLC'S
FIRST REQUEST FOR INFORMATION**

To: Colorado River Project, LLC (CRP), by and through its attorney of record, John B. Scott, Franklin Scott Conway LLP, 405 West 14th Street, Austin, Texas 78701.

SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc. (HBUC) files its Responses to Colorado River Project, LLC's First Request for Information (RFI) to HBUC received on March 3, 2021. This response is timely filed. Pursuant to 16 Tex. Admin. Code (TAC) § 22.144(c)(2)(F), HBUC agrees and stipulates that all parties may treat the responses as if the answers were filed under oath. HBUC is providing all non-privileged documents responsive to CRP's First Request for Information. HBUC reserves the right to amend or supplement their responses as necessary.

If a responsive document exceeds 99 pages, the response will indicate that the attachment is voluminous. Voluminous documents will be provided electronically, and pursuant to 16 TAC § 22.144(h)(2), the document will be made available for inspection at the offices of HBUC's attorneys, Lloyd Gosselink Rochelle and Townsend, P.C., located at 816 Congress Avenue, Suite 1900, Austin, Texas 78701. Please call Hanna Campbell at (512) 322-5871 during regular business hours, to make an appointment to review the documents.

Pursuant to 16 TAC § 22.144(h)(4), an index of the voluminous documents is provided, below.

I. VOLUMINOUS INDEX

A. Attachments to HBUC's Response to CRP's First RFI

No.	Date	Title or Description	Preparer or Sponsor	Page Range	No. of Pages
1-4	03/23/2021	<i>Confidential Attachment</i> CRP 1-4	Prepared by: Edward Taussig; Joe Torralva Sponsored by: Edward Taussig; Joe Torralva	1-1,308	1,308
1-14	03/23/2021	<i>Confidential Attachment</i> CRP 1-14	Prepared by: Joe Torralva Sponsored by: Joe Torralva	1,309-1,541	233

Respectfully submitted,

**LLOYD GOSSELINK ROCHELLE
& TOWNSEND, P.C.**

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Austin, Texas 78701
(512) 322-5800
(512) 472-0532 (Fax)

/s/ William A. Faulk, III

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**ATTORNEYS FOR SWWC UTILITIES, INC.
D.B.A. HORNSBY BEND UTILITY
COMPANY, INC.**

CERTIFICATE OF SERVICE

I hereby certify that notice of the filing of this document was provided to all parties of record via electronic mail on March 23, 2021, in accordance with the Order Suspending Rules, issued in Project No. 50664.

/s/ William A. Faulk, III

WILLIAM A. FAULK, III

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HBUC'S RESPONSE TO CRP'S FIRST RFI

This Request for Information (RFI) pertains to that certain 1,369.833 acres of land identified as the "Area Released" shown on Figure One attached hereto.

FOR WATER AND SEWER (collectively the "Utilities"):

CRP 1-1: Copies of engineering reports, maps, drawing, plans and specifications, construction documents, cost projections and/or any other documents that identifies Utilities' improvements that are currently in-place and operational located within the Area Released.

RESPONSE: None.

Prepared by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

Sponsored by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-2: If HBUC has existing water and/or sewer facilities located within the Area Released, provide copies of all documents that itemizes HBUC's actual cost to construction, operate and maintain such facilities.

RESPONSE: None.

Prepared by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

Sponsored by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-3: Copies of engineering reports, maps, drawing, plans and specifications, construction documents, cost projections and/or any others documents that identifies Utilities' improvements that are proposed or planned to be constructed by HBUC within the Area Released.

RESPONSE: None.

Prepared by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

Sponsored by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-4: Copies of engineering reports, maps, drawing, plans and specifications, construction documents, cost projections/actual costs, and/or any other documents that identifies HBUC existing water and/or sewer improvements that are in-place and operational that have water and/or sewer capacity and that are located "off-site" of the Area Released and that have designed water and/or sewer capacity to serve the Area Released.

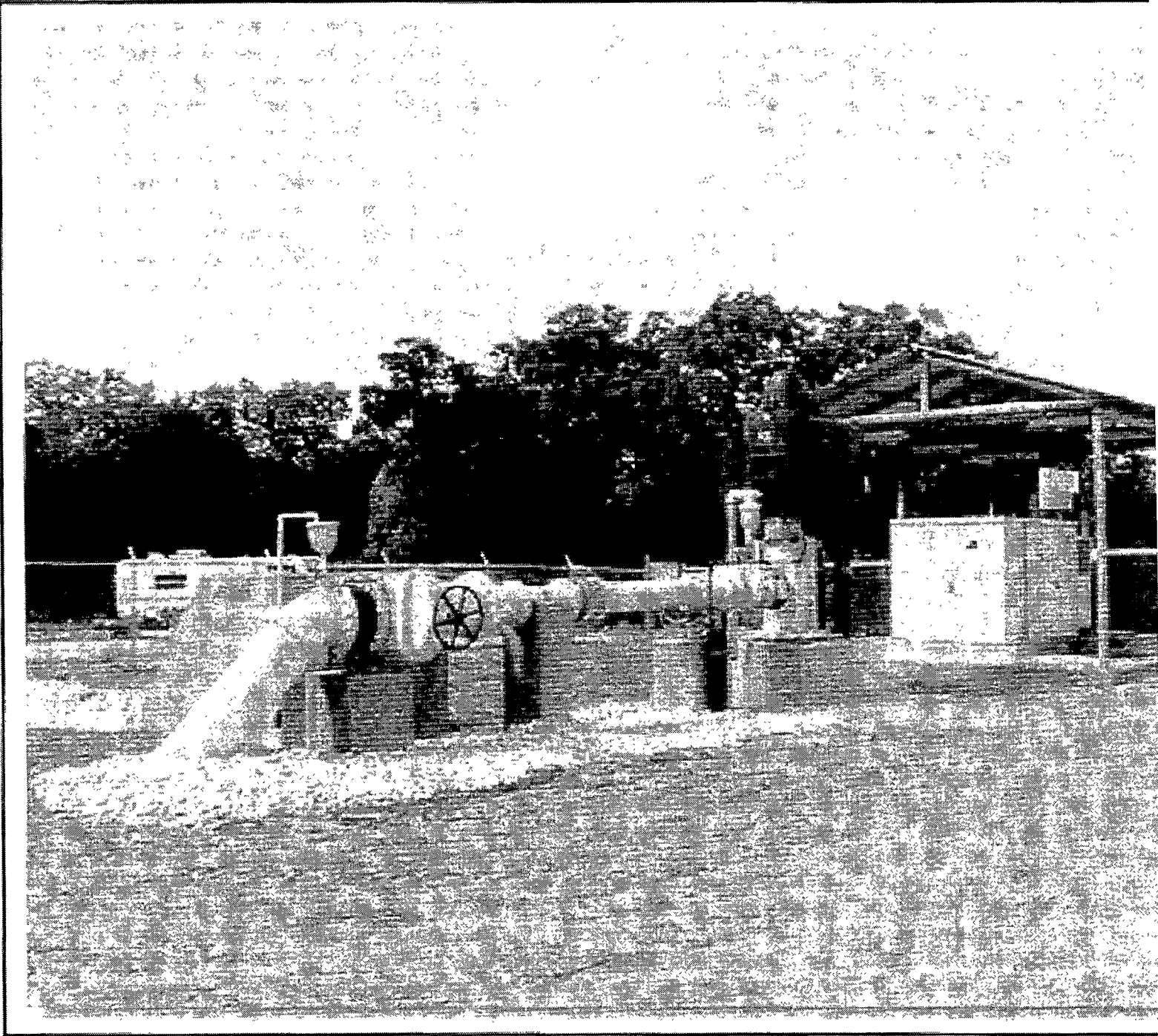
RESPONSE: Please see Attachment CRP 1-4 (Photographs). Please also see **CONFIDENTIAL** Voluminous Attachments CRP 1-4 (BW Capacity), CRP 1-4 (24 Inch Line), CRP 1-4 (Elevated Storage), CRP 1-4 (HBUC WWTP), and Attachment CRP 1-4 (Photographs), being provided under seal in electronic file-format, on CD.

Prepared by: Edward Taussig, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Joe Torralva, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

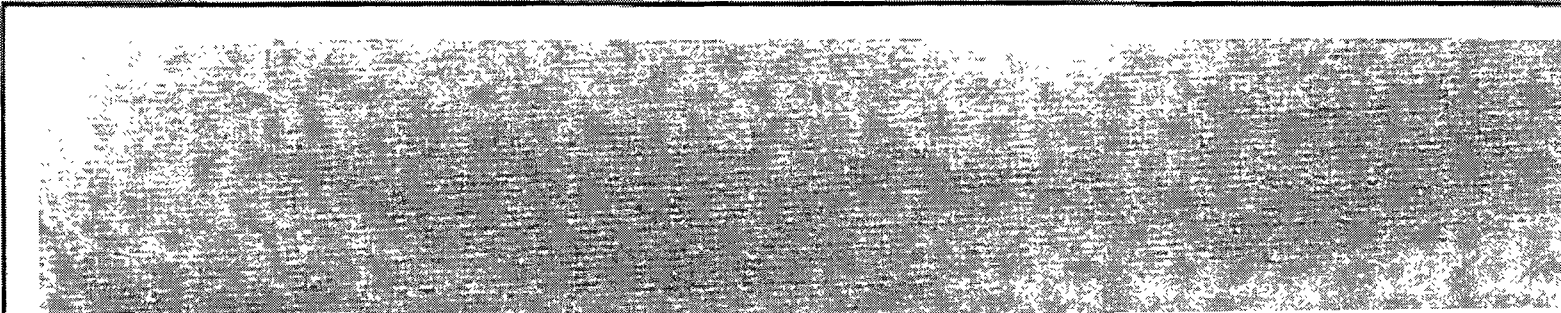
Sponsored by: Edward Taussig, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Joe Torralva, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

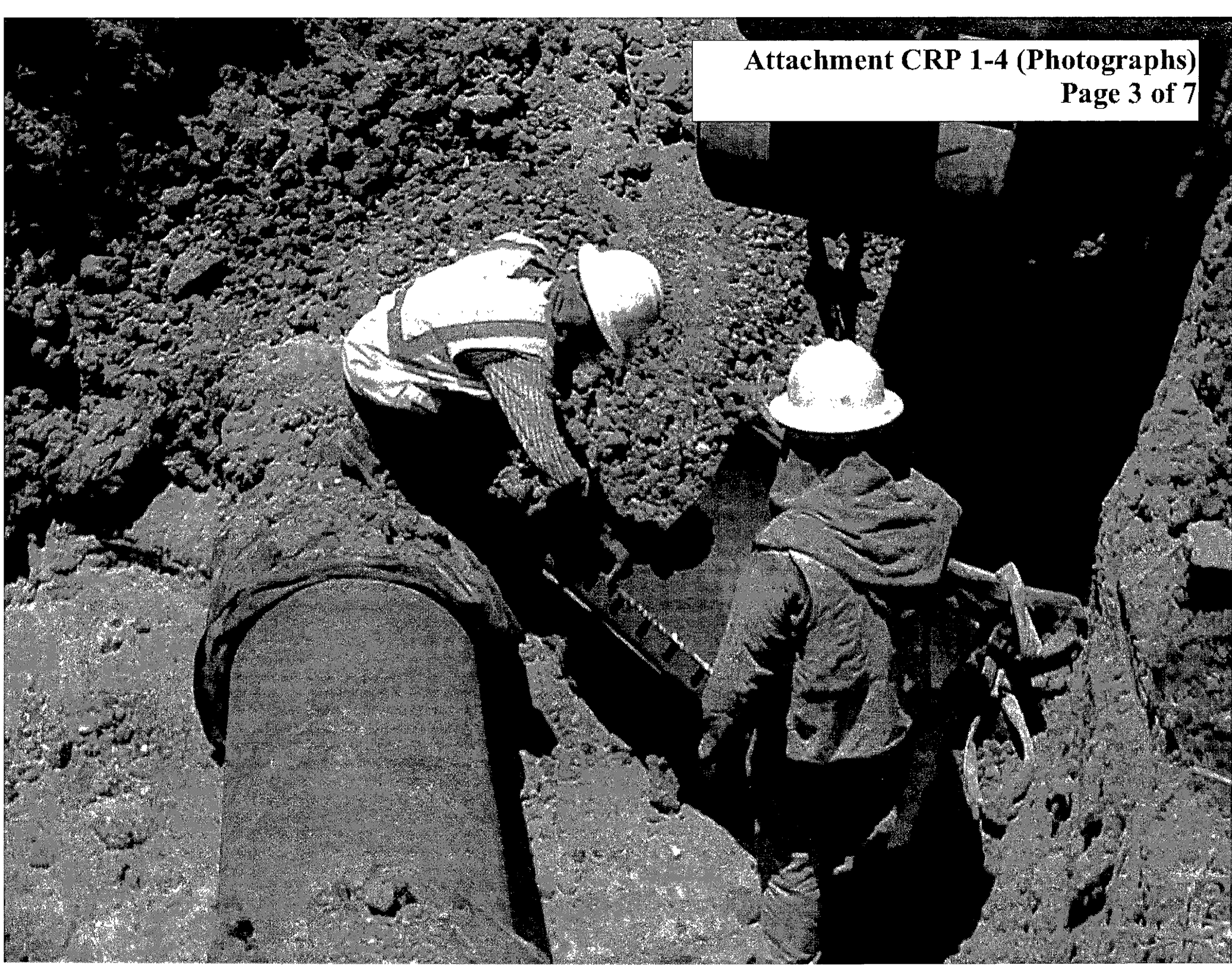


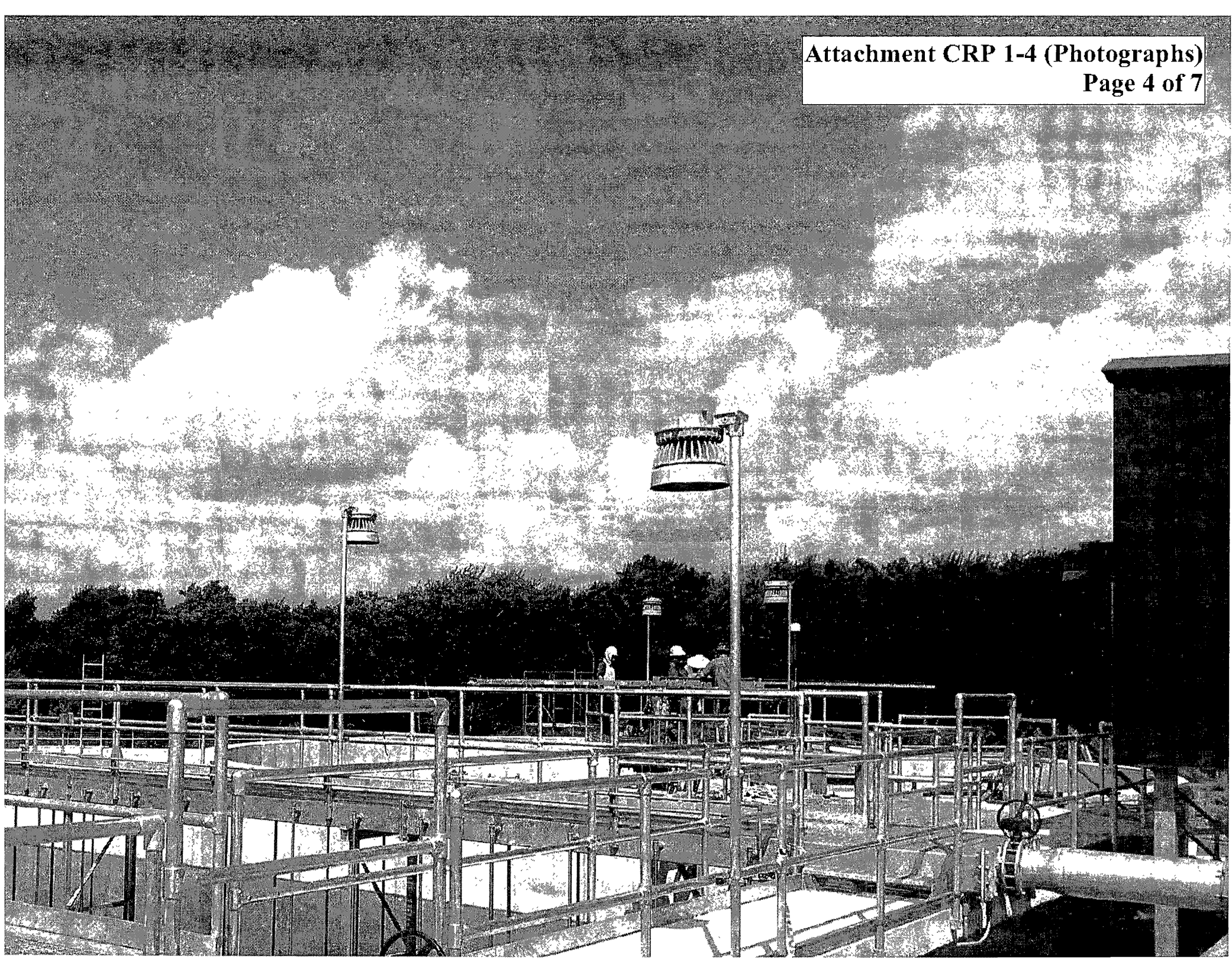
Blue Water Pipeline Project – Well and Pump

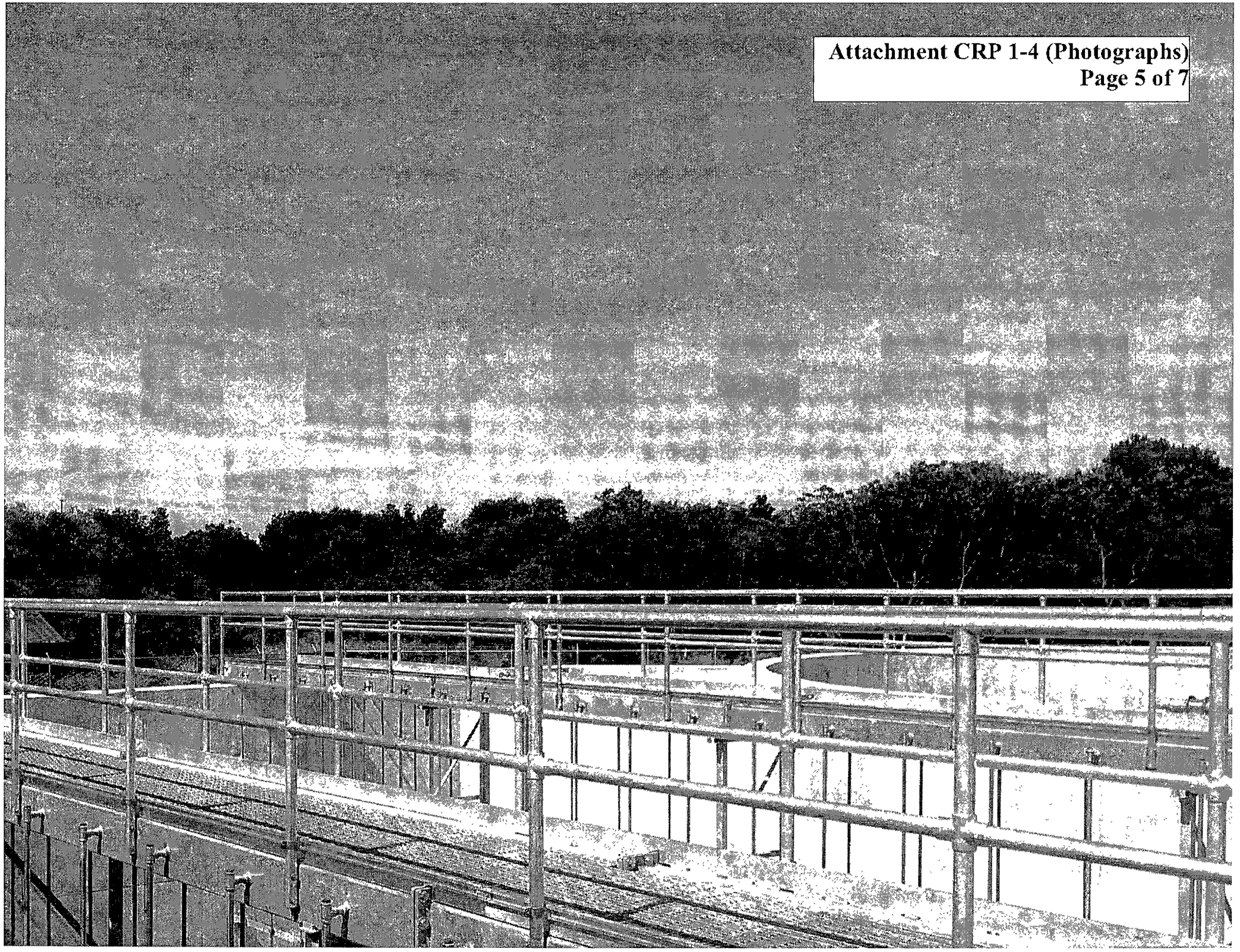


Blue Water Pipeline Project – Storage Tank and Chemical Building

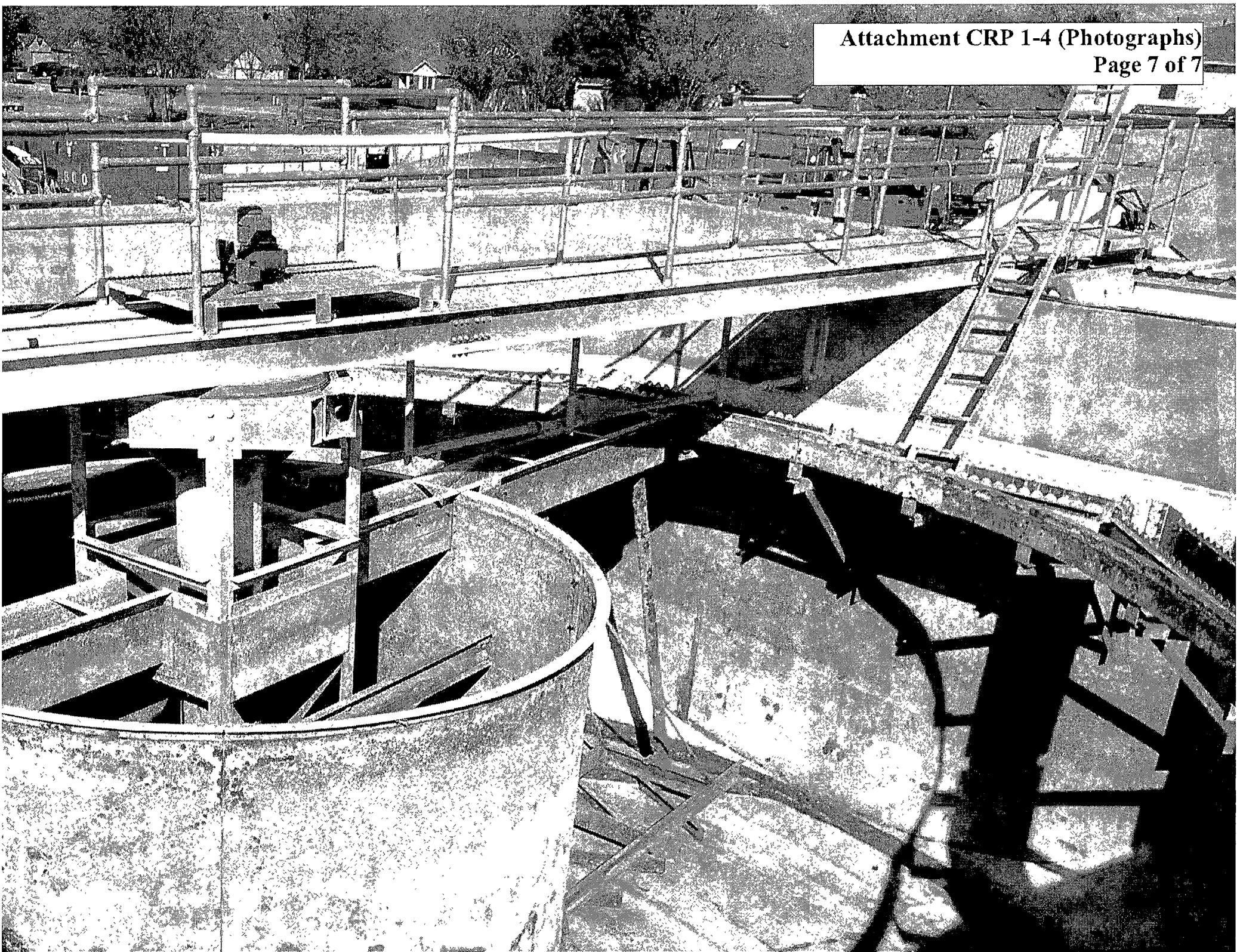












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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-5: If HBUC has existing "off-site" facilities responsive to RFI No. 4, above, provide copies any documents that described the water and/or sewer capacity that HBUC has reserved for the Area Released.

RESPONSE: Please see *CONFIDENTIAL* Voluminous Attachment CRP 1-4 (BW Capacity), being provided under seal in electronic file-format, on CD.

Prepared by: Edward Taussig, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Joshua Abbotoy, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

Sponsored by: Edward Taussig, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Joshua Abbotoy, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-6: Copies of all documents, including, but not limited to, financial statements, bank/institutional loan instruments that described HBUC's existing water and/or sewer debt allocable to the Area Released.

RESPONSE: None.

Prepared by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

Sponsored by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-7: If HBUC has information responsive to RFI No. 6, above, provided documents that describe HBUC's claimed debt assigned by HBUC to the Area Released.

RESPONSE: None.

Prepared by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

Sponsored by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-8: Copies of all documents that described HBUC's expenses related to the planning, design, or construction of water and/or sewer facilities that are allocable, in the opinion of HBUC, to the Area Released and/or water CCN 11978 and/or sewer CCN 20650.

RESPONSE: Please see **CONFIDENTIAL** Attachment CRP 1-8, being provided under seal in electronic file-format, on CD.

Prepared by: Edward Taussig, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Joshua Abbotoy, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

Sponsored by: Edward Taussig, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Joshua Abbotoy, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-9: Copies of all documents that describes HBUC's claimed contractual obligations allocable to the Area Released and/or water CCN 11978 and/or sewer CCN 20650.

RESPONSE: Please see *CONFIDENTIAL* Attachment CRP 1-9, being provided under seal in electronic file-format, on CD.

Prepared by: Edward Taussig, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Joshua Abbotoy, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Sponsored by: Edward Taussig, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Joshua Abbotoy, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-10: Copies of documents that demonstrate, describes, itemizes, and/or lists HBUC's demonstrated impairment of service or increase of costs of customers that HBUC may incur after issuance of the January 14, 2021 PUC Order.

RESPONSE: Please see CONFIDENTIAL Attachment CRP 1-10, being provided under seal in electronic file-format, on CD.

Prepared by: Todd Danielson, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Sponsored by: Todd Danielson, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-11: Copies of documents that lists the number, classification (e.g., residential, commercial, etc.) and historical water/sewer uses by HBUC water and/sewer customers located within the Area Released.

RESPONSE: None.

Prepared by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

Sponsored by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-12: If HBUC has existing water and/or sewer customers located within the Area Released, provide documents that describe HBUC's monthly, operation reports, billing summaries, and financial statements for all existing customers located with the Area Released and water CCN 11978 and/or sewer CCN 20650 for the last five years.

RESPONSE: None.

Prepared by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

Sponsored by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-13: Copies of HBUC's general ledger, vendor invoices, and/or other documents that describe HBUC's legal expenses and professional fees associated with the expedited release of the "Area Released".

RESPONSE: Please see CONFIDENTIAL Attachment CRP 1-13, being provided under seal in electronic file-format, on CD.

Prepared by: Edward Taussig, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Joshua Abbotoy, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Brittany Robbins, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company,
Inc.

Sponsored by: Edward Taussig, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Joshua Abbotoy, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Brittany Robbins, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company,
Inc.

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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-14: Copies of documents that describe or illustrate HBUC's water and sewer master plan for the Area Released, water CCN 11978, and sewer CCN 20650.

RESPONSE: Please see CONFIDENTIAL Voluminous Attachment CRP 1-14, being provided under seal in electronic file-format, on CD.

Prepared by: Joe Torralva, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Sponsored by: Joe Torralva, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

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HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-15: Copy of HBUC's currently approved PUC Water and Sewer Rate Tariff.

RESPONSE: Please see Attachment CRP 1-15.

Prepared by: George Freitag, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Sponsored by: George Freitag, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.



**WATER UTILITY TARIFF
Tariff Control Number 49840**

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.
(Utility Name)

12535 Reed Rd.
(Business Address)

Sugarland, TX 77478-2837
(City, State, Zip Code)

(866) 654-7992
(Area Code/Telephone)

This tariff is effective for utility operations under the following Certificate of Convenience and Necessity:

11978

This tariff is effective in the following counties:

Travis

This tariff is effective in the following subdivisions or systems:

Austin's Colony, PWS ID # 2270255 serving Austin's Colony, Forest Bluff, Tecolote Farms, Birch Addition, Bountiful Harvest, Mission of Santa Barbara, Twin Creek Meadows, Plain View Estates, Betty Francis Addition, Rod Stewart Addition, Francis Subdivision, Decker Creek Estates, Hornsby Bend, The Tommy Reaux Subdivision, Trinity Hill

TABLE OF CONTENTS

The above utility lists the following sections of its tariff (if additional pages are needed for a section, all pages should be numbered consecutively):

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SECTION 3.0 -- EXTENSION POLICY15
SECTION 3.02 -- SPECIFIC EXTENSION POLICY16

APPENDIX A -- DROUGHT CONTINGENCY PLAN
APPENDIX B -- SAMPLE SERVICE AGREEMENT
APPENDIX C -- APPLICATION FOR SERVICE
APPENDIX D -- AGREEMENT FOR TEMPORARY WATER SERVICE

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Water Utility Tariff Page No. 2

SECTION 1.0 -- RATE SCHEDULE

Section 1.01 - Rates

Phase I rates effective January 1, 2014

<u>Meter Size</u>	<u>Monthly Minimum Charge</u> (Includes 0 gallons all meters)	<u>Gallonage Charge</u>
5/8"	\$45.00	\$6.87 per 1,000 gallons
3/4"	\$67.50	*Plus pass-through
1"	\$112.50	fee listed below
1½"	\$225.00	
2"	\$360.00	
3"	\$675.00	
4"	\$1,125.00	
6"	\$2,250.00	
8"	\$3,600.00	
10"	\$5,175.00	
12"	\$11,250.00	

Phase II rates effective January 1, 2017

<u>Meter Size</u>	<u>Monthly Minimum Charge</u> (Includes 0 gallons all meters)	<u>Gallonage Charge*</u>
5/8"	\$47.03	\$7.18 per 1,000 gallons
3/4"	\$70.55	*Plus pass-through
1"	\$117.58	fee listed below
1½"	\$235.15	
2"	\$376.24	
3"	\$705.45	
4"	\$1,175.75	
6"	\$2,351.50	
8"	\$3,762.40	
10"	\$5,408.45	
12"	\$11,757.50	

*Epcor 130 Project Pass-Through Fee \$0.19 per 1,000 gallons
(Tariff Control No. 49840)

FORM OF PAYMENT: The utility will accept the following forms of payment:

Cash , Check , Money Order , MasterCard , Visa , Electronic Fund Transfer

THE UTILITY MAY REQUIRE EXACT CHANGE FOR PAYMENTS AND MAY REFUSE TO ACCEPT PAYMENTS MADE USING MORE THAN \$1.00 IN SMALL COINS. A WRITTEN RECEIPT WILL BE GIVEN FOR CASH PAYMENTS. AT THE CUSTOMER'S OPTION, ANY BILLING TRANSACTION OR COMMUNICATION MAY BE PERFORMED ON THE INTERNET. THIS INCLUDES THE UTILITY SENDING PAPERLESS BILLS BY EMAIL.

REGULATORY ASSESSMENT 1.0%

PUC RULES REQUIRE THE UTILITY TO COLLECT A FEE OF ONE PERCENT OF THE RETAIL MONTHLY BILL AND TO REMIT FEE TO THE TEXAS COMMISSION ON ENVIRONMENTAL QUALITY (TCEQ).

Tariff Control No. 49840

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Water Utility Tariff Page No. 3

SECTION 1.0 -- RATE SCHEDULE (Continued)

Section 1.02 – Miscellaneous Fees

TAP FEE	<u>\$700.00</u>
TAP FEE IS BASED ON THE AVERAGE OF THE UTILITY'S ACTUAL COST FOR MATERIALS AND LABOR FOR STANDARD RESIDENTIAL CONNECTION OF 5/8" METER PLUS UNIQUE COSTS AS PERMITTED BY PUC RULE AT COST.	
TAP FEE (Unique costs)	<u>Actual Cost</u>
FOR EXAMPLE, A ROAD BORE FOR CUSTOMERS OUTSIDE OF SUBDIVISIONS OR RESIDENTIAL AREAS.	
LARGE METER TAP FEE.....	<u>Actual Cost</u>
TAP FEE IS BASED ON THE UTILITY'S ACTUAL COST FOR MATERIALS AND LABOR FOR METERS LARGER THAN STANDARD 5/8" METERS.	
RECONNECTION FEE	
THE RECONNECT FEE WILL BE CHARGED BEFORE SERVICE CAN BE RESTORED TO A CUSTOMER WHO HAS BEEN DISCONNECTED FOR THE FOLLOWING REASONS:	
a) Non-payment of bill (Maximum \$25.00)	<u>\$25.00</u>
b) Customer's request	<u>\$50.00</u>
or other reasons listed under Section 2.0 of this tariff	
TRANSFER FEE.....	<u>\$45.00</u>
THE TRANSFER FEE WILL BE CHARGED FOR CHANGING AN ACCOUNT NAME AT THE SAME SERVICE LOCATION WHEN THE SERVICE IS NOT DISCONNECTED.	
LATE CHARGE	<u>10%</u>
A ONE-TIME PENALTY MAY BE MADE ON DELINQUENT BILLS BUT MAY NOT BE APPLIED TO ANY BALANCE TO WHICH THE PENALTY WAS APPLIED IN A PREVIOUS BILLING.	
RETURNED CHECK CHARGE	<u>\$25.00</u>
CUSTOMER DEPOSIT RESIDENTIAL (Maximum \$50).....	<u>\$50.00</u>
COMMERCIAL AND NON-RESIDENTIAL DEPOSIT	<u>1/6TH EST. ANNUAL BILL</u>
METER TEST FEE (actual cost of testing the meter up to)	<u>\$25.00</u>
THIS FEE MAY BE CHARGED IF A CUSTOMER REQUESTS A SECOND METER TEST WITHIN A TWO-YEAR PERIOD AND THE TEST INDICATES THAT THE METER IS RECORDING ACCURATELY.	
METER RELOCATION FEE	<u>Actual Relocation Cost</u>
THIS FEE MAY BE CHARGED IF A CUSTOMER REQUESTS RELOCATION OF AN EXISTING METER.	
METER CONVERSION FEE	<u>Actual Cost to Convert Meter</u>
THIS FEE MAY BE CHARGED IF A CUSTOMER REQUESTS CHANGE OF SIZE OF AN EXISTING METER OR CHANGE IS REQUIRED BY MATERIAL CHANGE IN CUSTOMERS SERVICE DEMAND.	
SEASONAL RECONNECTION FEE:	
BASE RATE FOR METER SIZE TIMES NUMBER OF MONTHS OFF THE SYSTEM NOT TO EXCEED SIX MONTHS WHEN LEAVE AND RETURN WITHIN A TWELVE-MONTH PERIOD.	

Tariff Control No. 49840

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Water Utility Tariff Page No. 4

SECTION 1.0 – RATE SCHEDULE (Continued)

Section 1.02 – Miscellaneous Fees (Continued)

LINE EXTENSION AND CONSTRUCTION CHARGES:

REFER TO SECTION 2.12 SPECIFIC UTILITY SERVICE RULES AND SECTION 3.02 UTILITY SPECIFIC EXTENSION POLICY FOR TERMS, CONDITIONS, AND CHARGES.

GOVERNMENTAL TESTING, INSPECTION AND COSTS SURCHARGE CLAUSE:

INCREASES IN INSPECTION FEES AND WATER TESTING COSTS IMPOSED BY STATE OR FEDERAL LAW MAY BE PASSED THROUGH AS AN ADJUSTMENT TO THE MONTHLY BASE RATE CHARGE UNDER THE TERMS AND CONDITIONS OF 16 TAC 24.25 (b)(2)(G) AFTER NOTICE TO CUSTOMERS AND UPON WRITTEN APPROVAL BY THE PUC.

SUPPLEMENTAL EMERGENCY SERVICE FEE

APPLICABLE TO NONRESIDENTIAL WATER SERVICE CUSTOMERS WHO REQUIRE SUPPLEMENTAL SERVICE OVER AND ABOVE THEIR EXISTING WATER SERVICE FROM TIME TO TIME. USAGE IS TO BE DETERMINED BY CUSTOMER. THE MINIMUM DIAMETER FOR SUPPLEMENTAL SERVICE METER SHALL BE 2 INCHES.

MONTHLY SUPPLEMENTAL SERVICE RATE:\$13.43
PER INCH DIAMETER OF SERVICE CONNECTION METER

METER TAMPERING, DAMAGE OR DIVERSION FEE:.....\$100.00
ONE TIME PENALTY PER OCCURRENCE FOR TAMPERING WITH OR DAMAGING A WATER METER OR ANY APPURTENANCE THERETO INCLUDING LOCKS AND METER BOXES OR SERVICE DIVERSION OF ONE HUNDRED DOLLARS (\$100.00).

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Water Utility Tariff Page No. 5

SECTION 1.0 -- RATE SCHEDULE (Continued)

Section 1.02 – Miscellaneous Fees (Continued)

TEMPORARY WATER RATE:

Unless otherwise superseded by PUC order or rule, if the Utility is ordered by a court or governmental body of competent jurisdiction to reduce its pumpage, production or water sales, the Utility shall be authorized to increase its approved gallonage charge according to the formula:

$$TGC = cgc + \frac{(pr)(cgc)(r)}{(1.0-r)}$$

Where:

- TGC = temporary gallonage charge
 cgc = current gallonage charge
 r = water use reduction expressed as a decimal fraction (the pumping restriction)
 pr = percentage of revenues to be recovered expressed as a decimal fraction, for this tariff prr shall equal 0.5.

To implement the Temporary Water Rate, the utility must comply with all notice and other requirements of 16 TAC § 24.25(j).

PURCHASED WATER AND/OR DISTRICT FEE PASS THROUGH CLAUSE - ALL WATER SUBJECT TO FEE:

Changes in fees imposed by any non-affiliated third party water supplier or underground water district having jurisdiction over the Utility shall be passed through as an adjustment to the water gallonage charge according to the following formula:

$$AG = G + B/(1-L),$$

Where:

- AG = adjusted gallonage charge, rounded to the nearest one cent;
 G = approved gallonage charge (per 1,000 gallons);
 B = change in purchased water/district gallonage charge (per 1,000 gallons);
 L = system average line loss for preceding 12 months not to exceed 0.15

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Water Utility Tariff Page No. 6

SECTION 1.0 -- RATE SCHEDULE (Continued)

Section 1.02 – Miscellaneous Fees (Continued)

PURCHASED WATER AND/OR DISTRICT FEE PASS THROUGH CLAUSE – PORTION OF WATER SUBJECT TO FEE:

Upon notice from a water supplier of either an increase or a decrease in the cost of purchased water, the utility shall provide notice to customers and the Commission of its intent to implement rates imposed by any non-affiliated third party water supplier or underground water district having jurisdiction over the Utility shall be passed through as an adjustment to the water gallonage charge according to the following formula:

$$\text{Adjustment to the gallonage rate: } AG = (CP/GB) \times 1,000$$

$$\text{Adjustment to the minimum bill: } AMB = GMB \times AG$$

Where:

CP: CP1 – CP0 = Change in cost of purchased water

CP1: Cost of purchased water during the most recent 12-month period at the new rates;

CP0: Cost of purchased water during the most recent 12-month period at the previous rates;

GMB: Number of gallons in the minimum bill, divided by 1,000; and

GB: Number of gallons billed to customers in excess of the amount included in the monthly minimum bill for the 12-month period used above.

With each annual adjustment to the Purchased Water and/or District Fee Pass-Through, the utility must file a true-up report that shows the pass-through calculation for the next 12 months in the notice. The report shall contain up to five years of data, as available, and show the annual and accumulated differences between the adjusted gallonage charge (AG) and/or the adjustment to the minimum bill (AMB) amounts collected from customers and the amounts actually paid to the entities whose charges are included in the pass-through provision and any other information requested by Commission Staff during the review.

FRANCHISE FEE PASS THROUGH CLAUSE:

Charges a municipality makes for use of streets and alleys pursuant to Tax Code § 182.025 or other applicable state law shall be passed through as an adjustment to the water gallonage charge according to the following formula:

$$AG = G + B$$

Where:

AG = adjusted gallonage charge, rounded to the nearest one cent:

G = approved gallonage charge (per 1,000 gallons) and

B = projected franchise fees payable (per 1,000 gallons).

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Water Utility Tariff Page No. 7

SECTION 2.0 - SERVICE RULES AND REGULATIONS

Section 2.01 - Rules

The Utility will have the most current Public Utility Commission of Texas (PUC or Commission) Rules, 30 TAC Chapter 24, available at its office for reference purposes. The Rules and this tariff shall be available for public inspection and reproduction at a reasonable cost. The latest Rules or Commission approved changes to the Rules supersede any rules or requirements in this tariff.

Section 2.02 - Application for and Provision of Water Service

All applications for service will be made on the Utility's standard application or contract form (attached in the Appendix to this tariff) and will be signed by the applicant before water service is provided by the Utility. A separate application or contract will be made for each service location.

After the applicant has met all the requirements, conditions, and regulations for service, the Utility will install tap, meter, and utility cut-off valve and/or take all necessary actions to initiate service. The Utility will serve each qualified applicant for service within 5 working days unless line extensions or new facilities are required. If construction is required to fill the order and if it cannot be completed within 30 days, the Utility will provide the applicant with a written explanation of the construction required and an expected date of service.

Where service has previously been provided, service will be reconnected within one working day after the applicant has met the requirements for reconnection.

The customer will be responsible for furnishing and laying the necessary customer service pipe from the meter location to the place of consumption. Customers may be required to install a customer owned cut-off valve on the customer's side of the meter or connection.

Section 2.03 - Refusal of Service

The Utility may decline to serve an applicant until the applicant has complied with the regulations of the regulatory agencies (state and municipal regulations) and for the reasons outlined in the PUC Rules. In the event that the Utility refuses to serve an applicant, the Utility will inform the applicant in writing of the basis of its refusal. The Utility is also required to inform the applicant that a complaint may be filed with the Commission.

Section 2.04 - Customer Deposits

If a residential applicant cannot establish credit to the satisfaction of the Utility, the applicant may be required to pay a deposit as provided for in Section 1.02 of this tariff. The Utility will keep records of the deposit and credit interest in accordance with PUC Rules.

Residential applicants 65 years of age or older may not be required to pay deposits unless the applicant has an outstanding account balance with the Utility or another water or sewer utility that accrued within the last two years.

Nonresidential applicants who cannot establish credit to the satisfaction of the Utility may be required to make a deposit that does not exceed an amount equivalent to one-sixth of the estimated annual billings.

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SECTION 2.0 - SERVICE RULES AND REGULATIONS (Continued)

Section 2.04 - Customer Deposits (Continued)

Refund of deposit. If service is not connected, or after disconnection of service, the Utility will promptly refund the customer's deposit plus accrued interest or the balance, if any, in excess of the unpaid bills for service furnished. The Utility may refund the deposit at any time prior to termination of utility service but must refund the deposit plus interest for any residential customer who has paid 18 consecutive billings without being delinquent. Deposits from non-residential customers may be held as long as that customer takes service.

Section 2.05 - Meter Requirements, Readings, and Testing

All water sold by the Utility will be billed based on meter measurements. The Utility will provide, install, own, and maintain meters to measure amounts of water consumed by its customers. One meter is required for each residential, commercial, or industrial facility in accordance with the PUC Rules.

Service meters will be read at monthly intervals and as nearly as possible on the corresponding day of each monthly meter reading period unless otherwise authorized by the Commission.

Meter tests. The Utility will, upon the request of a customer, and, if the customer so desires, in his or her presence or in that of his or her authorized representative, make without charge a test of the accuracy of the customer's meter. If the customer asks to observe the test, the test will be made during the Utility's normal working hours at a time convenient to the customer. Whenever possible, the test will be made on the customer's premises, but may, at the Utility's discretion, be made at the Utility's testing facility. If within a period of two years the customer requests a new test, the Utility will make the test, but if the meter is found to be within the accuracy standards established by the American Water Works Association, the Utility will charge the customer a fee that reflects the cost to test the meter up to a maximum \$25 for a residential customer. Following the completion of any requested test, the Utility will promptly advise the customer of the date of removal of the meter, the date of the test, the result of the test, and who made the test.

Section 2.06 - Billing

Bills from the Utility will be mailed monthly unless otherwise authorized by the Commission. The due date of the bills for utility service will be at least sixteen (16) days from the date of issuance. If the customer is a state agency, the due date for the bill may not be less than 30 days after issuance, unless otherwise agreed to by the agency. The postmark on the bill or, if there is no postmark on the bill, the recorded date of mailing by the Utility will constitute proof of the date of issuance. At the customer's option, bills may be sent in a paperless, electronic form by email. The date of the email will constitute the date of issuance. Payment for utility service is delinquent if full payment, including late fees and the regulatory assessment, is not received at the Utility or the Utility's authorized payment agency by 5:00 p.m. on the due date. If the due date falls on a holiday or weekend, the due date for payment purposes will be the next workday after the due date.

A late penalty of 10% of the delinquent bill will be charged on bills received after the due date. The penalty on delinquent bills will not be applied to any balance to which the penalty was applied in a previous billing. The Utility must maintain a record of the date of mailing to charge the late penalty.

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SECTION 2.0 - SERVICE RULES AND REGULATIONS (Continued)

Section 2.06 - Billing (Continued)

Each bill will provide all information required by the PUC Rules. For each of the systems it operates, the Utility will maintain and note on the monthly bill a telephone number (or numbers) which may be reached by a local call by customers. At the Utility's option, a toll-free telephone number or the equivalent may be provided.

In the event of a dispute between a customer and the Utility regarding any bill for utility service, the Utility will conduct an investigation and report the results to the customer. If the dispute is not resolved, the Utility will inform the customer that a complaint may be filed with the Commission.

Section 2.07 - Service Disconnection

Utility service may be disconnected if the bill has not been paid in full by the date listed on the termination notice. The termination date must be at least 10 days after the notice is mailed or hand delivered.

The Utility may offer a deferred payment plan to a customer who cannot pay an outstanding bill in full and is willing to pay the balance in reasonable installments. However, a customer's utility service may be disconnected if a bill has not been paid or a deferred payment agreement has not been entered into within 30 days from the date of issuance of a bill and if proper notice of termination has been given.

Notice of termination must be a separate mailing or hand delivery in accordance with the PUC Rules.

Section 2.08 - Reconnection of Service

Utility service may also be disconnected without notice for reasons as described in the PUC Rules.

Utility personnel must be available to collect payments and to reconnect service on the day of and the day after any disconnection of service unless service was disconnected at the customer's request or due to a hazardous condition.

Service will be reconnected within 36 hours after the past due bill and any other outstanding charges are paid or correction of the conditions which caused service to be disconnected.

Section 2.09 - Service Interruptions

The Utility will make all reasonable efforts to prevent interruptions of service. If interruptions occur, the Utility will re-establish service within the shortest possible time. Except for momentary interruptions due to automatic equipment operations, the Utility will keep a complete record of all interruptions, both emergency and scheduled and will notify the Commission in writing of any service interruptions affecting the entire system or any major division of the system lasting more than four hours. The notice will explain the cause of the interruptions.

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SECTION 2.0 - SERVICE RULES AND REGULATIONS (Continued)

Prorated Bills. If service is interrupted or seriously impaired for 24 consecutive hours or more, the Utility will prorate the monthly base bill in proportion to the time service was not available to reflect this loss of service.

Section 2.10 - Quality of Service

The Utility will plan, furnish, and maintain production, treatment, storage, transmission, and distribution facilities of sufficient size and capacity to provide a continuous and adequate supply of water for all reasonable consumer uses. Unless otherwise authorized by the Commission, the Utility will maintain facilities as described in the TCEQ Rules and Regulations for Public Water Systems.

Section 2.11 - Customer Complaints and Disputes

If a customer or applicant for service lodges a complaint, the Utility will promptly make a suitable investigation and advise the complainant of the results. Service will not be disconnected pending completion of the investigation. If the complainant is dissatisfied with the Utility's response, the Utility must advise the complainant that he has recourse through the PUC complaint process. Pending resolution of a complaint, the Commission may require continuation or restoration of service.

The Utility will maintain a record of all complaints which shows the name and address of the complainant, the date and nature of the complaint and the adjustment or disposition thereof, for a period of two years after the final settlement of the complaint.

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SECTION 2.12 - SPECIFIC UTILITY SERVICE RULES AND REGULATIONS

This section contains specific utility service rules in addition to the rules previously listed under Section 2.0. It must be reviewed and approved by the Commission and in compliance with PUC Rules to be effective.

The Utility adopts the administrative rules of the PUC, as the same may be amended from time to time, as its Company specific service rules and regulations. These rules will be kept on file at the Utility's offices for customer inspection during regular business hours. In the event of a conflict between the PUC's amended rules and the provisions of this tariff, the amended rules shall prevail. Where necessary, any conflicting provision of this tariff shall be deemed to have been superseded by the PUC rule in question to the degree that the Utility may conduct its lawful business in conformance with all requirements of said rule.

All references in Utility's tariff, service contracts, or PUC rules shall mean the Utility's offices at 12535 Reed Road, Sugar Land, Texas 77478. Customers may make payments, apply for service, and report service problems at the office. Use of the term "business office" shall refer to this office.

All payments for utility service shall be delivered or mailed to the Utility's business office. If the business office fails to receive payment before the time of noticed disconnection for non-payment of a delinquent account, service will be terminated as scheduled. Utility service crews shall not be allowed to collect payments on customer accounts in the field.

Payment of an account by any means that has been dishonored and returned by the payor or payee's bank shall be deemed to be delinquent. All returned payments must be redeemed with a valid money order. If a customer has two returned payments within a twelve-month period, the customer shall be required to pay a deposit if one has not already been paid.

Customers shall not be allowed to use the Utility's cutoff valve on the Utility's side of the meter. Existing customers may install cutoff valves on their side of the meter and are encouraged to do so. All new customers must install customer-owned and -maintained cutoff valves on their side of the meter.

No water connection from any public drinking water supply system shall be made to any establishment where an actual or potential contamination or system hazard exists without an air gap separation between the drinking water supply and the source of potential contamination. The containment air gap is sometimes impractical and, instead, reliance must be placed on individual "internal" air gaps or mechanical backflow prevention devices.

Under these conditions, additional protection shall be required at the meter in the form of a backflow prevention device (in accordance with AWWA Standards C510 and C511, and AWWA Manual M14) on those establishments handling substances deleterious or hazardous to the public health. The water purveyor need not require backflow protection at the water service entrance if an adequate cross-connection control program is in effect that includes an annual inspection and testing by a certified backflow prevention device tester. It will be the responsibility of the water purveyor to ensure that these requirements are met.

Customer shall be liable for any damage or injury to utility-owned property or personnel shown to be caused by the customer, his invitees, his agents, his employees, or others directly under his control.

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SECTION 2.12 - SERVICE RULES AND REGULATIONS (Continued)

Section 2.12 - Specific Utility Service Rules and Regulations (Continued)

Limitation on Product/Service Liability. Public water utilities are required to deliver water to the customer's side of the meter or service connection that meets the potability and pressure standards of the Texas Commission on Environmental Quality (TCEQ). The Utility will not accept liability for any injury or damage to individuals or their property occurring on the customer's side of the meter when the water delivered meets these state standards. The Utility makes no representations or warranties (expressed or implied) that customer's appliances will not be damaged by disruptions of or fluctuations in water service whatever the cause. The Utility will not accept liability for injuries or damages to persons or property due to disruption of water service caused by: (1) acts of God, (2) acts of third parties not subject to the control of the Utility if the Utility has undertaken such preventive measures as are required by PUC rules, (3) electrical power failures in water systems not required by TCEQ rule to have auxiliary power supplies, or (4) termination of water service pursuant to the Utility's tariff and the PUC's rules. The Utility is not required by law and does not provide fire prevention or firefighting services. The Utility therefore does not accept liability for fire-related injuries or damages to persons or property caused or aggravated by the availability (or lack thereof) of water or water pressure (or lack thereof) during fire emergencies. The Utility will accept liability for any injury or damage to individuals or their property directly caused by defective utility plant (leaking water lines or meters) or the repairs to or construction of the Utility's facilities.

If the services of a registered professional engineer are required as a result of an application for service received by the Utility for service to that applicant's service extension only, the Utility and the applicant will select such engineer, and the applicant shall bear all expenses incurred therein.

If an applicant requires service other than the standard service provided by the Utility, such applicant will be required to pay all expenses incurred by the Utility in excess of the expenses that would be incurred in providing the standard service and connection. Any applicant who places unique or non-standard service demands on the system may be required to provide contributions in aid of construction (as may be allowed by TCEQ rule) for the actual costs of any additional facilities required to maintain compliance with the TCEQ minimum design criteria for water production, treatment, pumping storage and transmission.

Any applicant or existing customer required to pay for any costs not specifically set forth in the rate schedule pages of this tariff shall be entitled to a written explanation of such costs before payment and/or commencement of construction. If the applicant or existing customer does not believe that these costs are reasonable or necessary, the applicant, or existing customer, shall have the right to appeal such costs to the PUC or such other regulatory authority having jurisdiction over the Utility's rates in that portion of the Utility's service area in which the applicant's or existing customer's property(ies) is located.

Tap fees may be increased by unique costs not normally incurred as may be permitted by 30 TAC 24.86(a)(1)(C).

The Utility adopts the Uniform Plumbing Code pursuant to TCEQ Rule 290.46(i). The piping and other equipment on the premises furnished by the customer will be maintained by the customer at all times in conformity with the requirements of the TCEQ, the Uniform Plumbing Code and with the service rules and regulations of the Utility.

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SECTION 2.12 - SERVICE RULES AND REGULATIONS (Continued)

Section 2.12 - Specific Utility Service Rules and Regulations (Continued)

The customer will bring out his service line to his property line at the point on the customer's property mutually acceptable to the customer and the Utility subject to such requirements as may exist by PUC rule. No water service smaller than 5/8" will be connected. No pipe or pipe fitting which contains more than 8.0% lead can be used for the installation or repair of plumbing at any connection, which provides water for human use. No solder or flux, which contains more than 0.2% lead, can be used at any connection that provides water for human use.

The Utility will have the right of access to the customer's premises at all times reasonable for the purpose of installing, testing, inspecting or repairing water mains or other equipment used in connection with its provision of water service, or for the purpose of removing its property and disconnecting lines, and for all other purposes necessary to the operation of the Utility system including inspecting the customer's plumbing for code, plumbing or tariff violations. The customer shall allow the Utility and its personnel access to the customer's property to conduct any water quality tests or inspections required by law. Unless necessary to respond to equipment failure, leak or other condition creating an immediate threat to public health and safety or the continued provision of adequate utility service to others, such entry upon the customer's property shall be during normal business hours. The customer may require any Utility representative, employee, contractor, or agent seeking to make such entry identify themselves, their affiliation with the Utility, and the purpose of their entry.

Threats to or assaults upon Utility personnel shall result in criminal prosecution.

Except in cases where the customer has a contract with the Utility for reserve or auxiliary service, no other water service will be used by the customer on the same installation in conjunction with the Utility's service, either by means of a crossover valve or any other connection. Customer shall not connect, or allow any other person or party to connect, onto any water lines on his premises. Two places shall not be permitted to be supplied with one service pipe where there is a water main abutting the premises.

No connection shall be allowed which allows water to be returned to the public drinking water supply. No backflow prevention device shall be permitted to be installed in the customer's plumbing without notice to and written permission from the Utility. Any backflow prevention devices so installed shall be inspected annually by a licensed backflow prevention device inspector or appropriately licensed plumber and a written report of such inspection delivered to the Utility.

No application, agreement, or contract for service may be assigned or transferred without the written consent of the Utility.

It is agreed and understood that any and all meters, water lines, and other equipment furnished by the Utility (excepting the customer's individual service lines from the point of connection to customer's structures on customer's premises) are and shall remain the sole property of the Utility, and nothing contained herein or in a contract/application for service shall be construed to reflect a sale or transfer of any such meters, lines, or equipment to any customer. All tap and extension charges shall be for the privilege of connecting to said water lines and for installation, not purchase, of said meters and lines.

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SECTION 2.12 - SERVICE RULES AND REGULATIONS (Continued)

Section 2.12 - Specific Utility Service Rules and Regulations (Continued)

Applicants for service at new consuming facilities or facilities which have undergone extensive plumbing modifications are required to deliver to the Utility a certificate that their facilities have been inspected by a state-licensed inspector and that they are in compliance with all applicable plumbing codes and are free of potential hazards to public health and safety. Service may be denied until the certificate is received or any identified violations or hazards are remedied. The Utility is not required to perform these inspections for the applicant/customer, but will assist the applicant/customer to locate and obtain the services of a licensed inspector in a timely manner. When potential sources of contamination are identified which, in the opinion of the inspector or the Utility, require the installation of a state-approved backflow prevention device, such backflow prevention device shall be installed on the customer's service line or other necessary plumbing facilities by an appropriately licensed plumber/backflow prevention device specialist at the customer's expense. The backflow prevention device shall be maintained by the customer at his expense and inspected annually by a licensed inspector. Copies of the annual inspection report must be provided to the Utility. Failure to comply with this requirement may constitute grounds for termination of water service with notice.

All customers or service applicants shall provide access to meters and Utility cutoff valves at all times reasonably necessary to conduct ordinary utility business and after normal business hours as needed to protect and preserve the integrity of the public drinking water supply. Access to meters and cutoff valves shall be controlled by the provisions of 30 TAC 24.89(c).

Where necessary to serve an applicant's property, the Utility may require the applicant to provide it a permanent recorded public utility easement on and across the applicant's real property sufficient to provide service to that applicant.

Service applicants may be required to comply with any pre-condition to receiving service not printed herein as may exist under PUC, TCEQ rule (customer service, health and safety, water conservation, or environmental), USEPA rule, TWDB rule, local water or conservation district rule or health department rule. Existing customers shall be required to comply with such rules, including modification of their plumbing and/or consumption patterns, after notice.

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SECTION 3.0 - EXTENSION POLICY

Section 3.01 - Standard Extension Requirements

LINE EXTENSION AND CONSTRUCTION CHARGES. No contribution in aid of construction may be required of any customer except as provided for in this approved extension policy.

The customer will be given an itemized statement of the costs, options such as rebates to the customer, sharing of construction costs between the Utility and the customer, or sharing of costs between the customer and other applicants before beginning construction.

The Utility will bear the full cost of any oversizing of water mains necessary to serve other customers in the immediate area. The individual residential customer shall not be charged for any additional production, storage, or treatment facilities. Contributions in aid of construction may not be required of individual residential customers for production, storage, treatment or transmission facilities unless otherwise approved by the Commission under this specific extension policy.

COST UTILITIES SHALL BEAR. Within its certificate area, the Utility will pay the cost of the first 200 feet of any water main or distribution line necessary to extend service to an individual residential customer within a platted subdivision. However, if the residential customer requesting service purchased the property after the developer was notified of the need to provide facilities to the Utility, the Utility may charge for the first 200 feet. The Utility must also be able to document that the developer of the subdivision refused to provide facilities compatible with the Utility's facilities in accordance with the Utility's approved extension policy after receiving a written request from the Utility.

Developers may be required to provide contributions in aid of construction in amounts to furnish the system with all facilities necessary to comply with the PUC's Rules.

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SECTION 3.02 - SPECIFIC UTILITY EXTENSION POLICY

This section contains the Utility's specific extension policy that complies with the requirements already stated under Section 3.01. It must be reviewed and approved by the Commission and in compliance with PUC Rules to be effective.

Residential customers not covered under Section 3.01 will be charged the equivalent of the costs of extending service to their property from the nearest transmission or distribution line even if that line does not have adequate capacity to serve the customer. However, if the customer places unique, non-standard service demands upon the system, the customer may be charged the full cost of extending service to and throughout their property, including the cost of all necessary transmission and storage facilities necessary to meet the service demands anticipated to be created by that property.

Developers may be required to provide contributions in aid of construction in amounts sufficient to furnish the development with all facilities necessary to provide for reasonable local demand requirements and to comply with TCEQ minimum design criteria for facilities used in the production, transmission, pumping, or treatment of water or TCEQ minimum requirements. For purposes of this subsection, a developer is one who subdivides or requests more than two meters on a piece of property. Commercial, industrial, and wholesale customers will be treated as developers.

The Utility adopts the administrative rules of the PUC, as amended from time to time, as its Company specific extension policy. These rules will be kept on file at the Company's business office for customer inspection during normal business hours. In the event of a conflict between the PUC's amended rules and the provisions of this tariff, the amended rules shall prevail. Where necessary, any conflicting provision of this tariff shall be deemed to have been superseded by the PUC rule in question to the degree that the Utility may conduct its lawful business in conformance with all requirements of said rule.

When an individual residential applicant requires an extension of a main line beyond 200 feet, the charge to that applicant shall be the actual cost of such extension in excess of 200 feet, plus the applicable tap fee plus such other approved costs as may be provided in this tariff and/or PUC rules.

Residential tap fees may be increased by other unique costs not normally incurred as permitted by PUC rule. Larger meter taps shall be made at actual cost associated with that tap which shall include such extraordinary expenses.

Any service extension to a subdivision (recorded or unrecorded) may be subject to the provisions and restrictions of 30 TAC 24.86(d) and this tariff. When a developer wishes to extend the system to prepare to service multiple new connections, the charge shall be the cost of such extension, plus a pro-rata charge based upon the capacities of production, transmission, storage, pumping and treatment facilities, compliant with the TCEQ minimum design criteria, which must be committed to such extension. As provided by 30 TAC 24.86(d)(4), for purposes of this section, commercial, industrial, and wholesale customers shall be treated as developers.

Any applicant who places unique or non-standard service demands on the system may be required to provide contributions in aid of construction for the actual costs of any additional facilities required to maintain compliance with the TCEQ minimum design criteria for water production, treatment, pumping, storage and transmission.

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SECTION 3.02 – SPECIFIC EXTENSION POLICY (Continued)

Unless expressly exempted by PUC rule or order, each point of use (as defined by 30 TAC 24.3) must be individually metered.

The imposition of additional extension costs or charges as provided by Sections 2.12 and 3.02 of this tariff shall be subject to appeal as provided in this tariff, PUC rules, or the rules of such other regulatory authority as may have jurisdiction over the Utility's rates and services. Any applicant required to pay for any costs not specifically set forth in the rate schedule pages of this tariff shall be entitled to a written explanation of such costs before payment and/or commencement of construction. If the applicant does not believe that these costs are reasonable or necessary, the applicant shall have the right to appeal such costs to the PUC or such other regulatory authority having jurisdiction over the Utility's rates in that portion of the Utility's service area in which the applicant's property (ies) is located. Unless the PUC or other regulatory authority enters interlocutory orders to the contrary, service to the applicant may be delayed until such appeal is resolved.

The Utility will provide a written service application form to the applicant for each request for service received by the Utility's business offices. A separate application shall be required for each potential service location if more than any individual applicant desires one service connection. Service application forms will be available for applicant pick up at the Utility's business office during normal weekday business hours. Service applications will be sent by prepaid first class United States mail to the address provided by the applicant upon request. Completed applications should be returned by hand delivery in case there are questions that might delay fulfilling the service request. Completed service applications may be submitted by mail if hand delivery is not possible.

The Utility shall serve each qualified service applicant within its certificated service area as soon as practical after receiving a completed service application. All service requests will be fulfilled within the time limits prescribed by PUC rules once the applicant has met all conditions precedent to achieving "qualified service applicant" status. If a service request cannot be fulfilled within the required period, the applicant shall be notified in writing of the delay, its cause, and the anticipated date that service will be available. The PUC service dates shall not become applicable until the service applicant has met all conditions precedent to becoming a "qualified service applicant" as defined herein or by PUC rules.

The Utility is not required to extend service to any applicant outside of its certificated service area and will only do so, at the Utility's sole option, under terms and conditions mutually agreeable to the Utility and the applicant and upon extension of the Utility's certificated service area boundaries by the PUC. Service applicants may be required to bear the cost of the service area amendment.

A "qualified service applicant" is an applicant who has: (1) met all of the Utility's requirements of service contained in this tariff, PUC rules and/or PUC order, (2) has made all payments for tap fees and extension charges, (3) has provided all necessary easements and rights-of-way necessary to provide service to the requested location, including staking said easements or rights-of-way where necessary, (4) delivered an executed customer service inspection certificate to the Utility and (5) has executed a customer service application for each location to which service is being requested.

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SECTION 3.02 -- SPECIFIC EXTENSION POLICY (Continued)

Where a new tap or service connection is required, the service applicant shall be required to submit a written service application and request that a tap is made. The tap request must be accompanied with a plat, map, diagram, or written metes and bounds description of precisely where the applicant desires each tap or service connection is to be made and, if necessary, where the meter is to be installed along the applicant's property line. The actual point of connection and meter installation must be readily accessible to Utility personnel for inspection, servicing, and meter reading while being reasonably secure from damage by vehicles and mowers. If the Utility has more than one main adjacent to the service applicant's property, the tap or service connection will be made to the Utility's near service main with adequate capacity to service the applicant's full potential service demand. If the tap or service connection cannot be made at the applicant's desired location, it will be made at another location mutually acceptable to the applicant and the Utility. If no agreement on location can be made, applicant may refer the matter to the TCEQ for resolution. Unless otherwise ordered by the PUC, the tap or service connection will not be made until the location dispute is resolved.

The Utility shall require a developer (as defined by PUC rule) to provide permanent recorded public utility easements as a condition of service to any location within the developer's property. The Developer shall be required to obtain all necessary easements and rights-of-way required to extend the Utility's existing service facilities from their nearest point with adequate service capacity (as prescribed by TCEQ rules and local service conditions) to and throughout the Developer's property. The easements shall be sufficient to allow the construction, installation, repair, maintenance, testing, and replacement of any and all utility plant necessary to provide continuous and adequate service to each and every potential service location within the property at full occupancy. Unless otherwise restricted by law, well plant sites shall convey with unrestricted rights to produce water for public drinking water supply. Developers shall be required to provide sanitary control easements acceptable to the TCEQ for each water well site to be located within their property or otherwise being obtained to serve their property. Unless otherwise agreed to by the Utility, pipe line right-of-way easements must be at least 15 feet wide to allow adequate room to facilitate backhoe and other heavy equipment operation and meters. Easements must be provided for all production, storage, treatment, pressurization, and disposal sites that are sufficient to construct and maintain all weather roads as prescribed by TCEQ rules. All easements shall be evidenced, at Developer's expense, by recorded county-approved subdivision plat or by specific assignment supported by metes and bounds survey from a surveyor licensed by the State of Texas.

Before the extension of utility service to developers (as defined by PUC rules) or new subdivisions, the Developer shall comply with the following:

(a) The Developer shall make a written request for service to property that is to be subdivided and developed. The Developer shall submit to the Utility a proposed plat on a scale of one inch (1") to two hundred feet (200') for review and determination of required easements, utility plant, and plant location. If sewer service is requested, the plat must contain elevation data. A reconcilable deposit in an amount set by the Utility may be required to cover preliminary engineering, legal, and copy cost to be incurred by the Utility in reviewing and planning to meet this service request. The plat and/or accompanying information shall identify the type, location, and number of houses and other planned structures that will be requiring utility service. If other than residential structures are to be located on the property, all other types of anticipated businesses and their service demands shall be identified with specificity. All areas requiring special irrigation and/or other unique water demands must be identified. To the extent reasonably possible, this information must be precise so that adequate facilities can be designed and constructed to meet all future service demands without hazard to the public, other utility customers, and/or the environment.

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SECTION 3.02 – SPECIFIC EXTENSION POLICY (Continued)

(b) After the requirements of easements and rights-of-way have been determined, a red line copy will be returned by the Utility to the Developer for final plat preparation.

(c) Copies of all proposed plats and plans must be submitted to the Utility before their submission to the County for approval to insure that they are compatible with the adequate long-term utility needs of potential service customers. Copies will be returned after review by the Utility so that necessary changes may be incorporated into the Developer's final submitted plat(s) and plans.

(d) The Utility shall be provided with three (3) certified copies of the final plat(s) approved by the County Commissioners Court. At this time, the Utility will begin engineering the facilities necessary to serve the property. Plans and specifications will be prepared and submitted to the TCEQ by the Utility if required by law. If further plat or plans changes are necessary to accommodate the specific service needs of the property and the anticipated customer demands, the Developer will be so notified. Plat amendments must be obtained by the Developer. The Developer shall be notified when all required PUC, TCEQ or other governmental approvals or permits have been received. No construction of utility plant that requires prior TCEQ plans approval shall be commenced until that approval has been received by the Utility and any conditions imposed by the PUC or TCEQ in association with its approvals have been satisfied.

(e) The Developer shall be required to post bond or escrow the funds necessary to construct all required Utility system extensions, except individual taps, meters, and water connections, required to serve the property. Construction shall not commence until funds are available. If the construction is to be done in coordination with the phased development of the property, funds must be provided in advance which are sufficient to complete each phase. No phase or facilities for any phase shall be constructed before the bonding or escrowing of all funds associated with that phase.

(f) At the sole option of the Utility, the Developer may be required to execute a Developer Extension Agreement setting forth all terms and conditions of extending service to their property including all contributions in aid of construction and developer reimbursements, if any.

(g) The Utility may require the Developer to commence construction of subdivision improvements within three (3) months of utility plans approval or the Utility may abate its construction activities until full development construction begins. If the Developer stops construction of subdivision improvements for any purpose, the Utility may abate its construction for a similar period.

(h) As soon as the roads are rough cut and before paving, extension lines will need to be constructed at each road crossing. The Developer must notify the Utility sufficiently in advance of this development stage to allow for the necessary Utility construction without disruption to other service operations of the Utility. Failure to provide adequate advance notice and cooperation in the construction of necessary utility plant may result in additional delays in obtaining service to the property. The Developer shall be required to pay for all additional costs of road boring or other remedial construction necessary to install adequate utility plant throughout the affected property.

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Water Utility Tariff Page No. 20

SECTION 3.02 – SPECIFIC EXTENSION POLICY (Continued)

(i) The Developer, not the Utility, shall insure that Developer's employees, agents, contractors, and others under its control coordinate their work or construction throughout the property with the Utility to insure the orderly and timely construction of all utility plant necessary to serve the public.

Within its certificated area, the Utility shall bear the cost of the first 200 feet of any water main or sewer collection line necessary to extend service to an individual residential service applicant within a platted subdivision unless the Utility can document:

(a) That the developer of the subdivision refused to provide facilities compatible with the Utility's facilities in accordance with the Utility's approved extension policy after receiving a written request from the Utility; or,

(b) That the Developer defaulted on the terms and conditions of a written agreement or contract existing between the Utility and the developer or the terms of this tariff regarding payment for services, extensions, or other requirements; or in the event the Developer declared bankruptcy and was therefore unable to meet obligations; and

(c) That the residential service applicant purchased the property from the Developer after the Developer was notified of the need to provide facilities to the Utility. A residential service applicant may be charged the remaining costs of extending service to his property; provided, however, that the residential service applicant may only be required to pay the cost equivalent to the cost of extending the nearest water main, whether or not that line has adequate capacity to serve that residential service applicant. The following criteria shall be considered to determine the residential service applicant's cost for extending service:

1) The residential service applicant shall not be required to pay for costs of main extensions greater than 2" in diameter for water distribution.

2) Exceptions may be granted by the PUC if:

i. Adequate service cannot be provided to the applicant using the maximum line sizes listed due to distance or elevation, in which case, it shall be the Utility's burden to justify that a larger diameter pipe is required for adequate service;

ii. Larger minimum line sizes are required under subdivision platting requirements or applicable building codes.

3) If an exception is granted, the Utility shall establish a proportional cost plan for the specific extension or a rebate plan which may be limited to seven years to return the portion of the applicant's costs for oversizing as new customers are added to ensure that future applicants for service on the line pay at least as much as the initial service applicant.

For purposes of determining the costs that service applicants shall pay, commercial customers with service demands greater than residential customer demands in the certificated area, industrial, and wholesale customers shall be treated as developers.

A service applicant requesting a one-inch meter for a lawn sprinkler system to service a residential lot is not considered nonstandard service.

Docket No. 44839

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Water Utility Tariff Page No. 21

SECTION 4.0 – DROUGHT CONTINGENCY PLAN

(Utility must attach a copy of the TCEQ approved Drought Contingency Plan)

NOTE: see the Approved Drought Contingency Plan for Hornsby Bend Utility Company under the Drought Plan section

SEWER UTILITY TARIFF FOR

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.
(Utility Name)

12535 Reed Rd.
(Business Address)

Sugarland, TX 77478-2837
(City, State, Zip Code)

(866) 654-7992
(Area Code/Telephone)

This tariff is effective for utility operations under the following Certificate of Convenience and Necessity:

20650

This tariff is effective in the following counties:

Travis

This tariff is effective in the following subdivisions or systems:

Austins Colony WWTP (WQ #13138-001) serving Austin's Colony, Forest Bluff, Tecolote Farms, Birch Addition, Bountiful Harvest, Mission of Santa Barbara, Twin Creek Meadows, Plain View Estates, Betty Francis Addition, Rod Stewart Addition, Francis Subdivision, Decker Creek Estates, Hornsby Bend, The Tommy Reaux Subdivision, Trinity Hill

TABLE OF CONTENTS

The above utility lists the following sections of its tariff (if additional pages are needed for a section, all pages should be numbered consecutively):

SECTION 1.0	--	RATE SCHEDULE	2
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SECTION 3.0	--	EXTENSION POLICY	15
SECTION 3.02	--	SPECIFIC EXTENSION POLICY	16

APPENDIX A -- CONTRACT/APPLICATION FOR UTILITY SERVICE

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 2

SECTION 1.0 -- RATE SCHEDULE

Section 1.01 – RatesPhase I rates effective January 1, 2014

<u>Meter Size</u>	<u>Monthly Minimum Charge</u> (Includes 0 gallons all meters)	<u>Gallonage Charge</u>
5/8"	\$43.47	\$6.89 per 1,000 gallons
3/4"	\$65.21	
1"	\$108.68	
1½"	\$217.35	
2"	\$347.76	
3"	\$652.05	
4"	\$1,086.76	
6"	\$2,173.50	
8"	\$3,477.60	
10"	\$4,999.05	
12"	\$10,867.50	

Phase II rates effective January 1, 2017

<u>Meter Size</u>	<u>Monthly Minimum Charge</u> (Includes 0 gallons all meters)	<u>Gallonage Charge</u>
5/8"	\$45.42	\$7.20 per 1,000 gallons
3/4"	\$68.13	
1"	\$113.55	
1½"	\$227.10	
2"	\$363.36	
3"	\$681.30	
4"	\$1,135.50	
6"	\$2,271.00	
8"	\$3,633.60	
10"	\$5,223.30	
12"	\$11,355.00	

Residential sewer service will be billed year round using that service connection's average winter water consumption during December, January and February. Single family residential service connections without an winter historic average will have an imputed average of 5,000 gallons until they have established an average. Multi-family residential service connections without an historic winter average will have an imputed average of 5,000 gallons per residential unit until they have established an average.

Non-residential service connections will be billed on actual monthly water consumption without the use of winter averaging.

REGULATORY ASSESSMENT 1.0%
TCEQ RULES REQUIRE THE UTILITY TO COLLECT A FEE OF ONE PERCENT OF THE RETAIL MONTHLY BILL.

RATES LISTED ARE EFFECTIVE ONLY
IF THIS PAGE HAS TCEQ APPROVAL STAMP

TEXAS COMM. ON ENVIRONMENTAL QUALITY
37717-R, CCN 20650, JANUARY 1, 2014 AND JANUARY 1, 2017
APPROVED TARIFF BY gg/TSD

SWWC Utilities, Inc.. dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 3

SECTION 1.0 - RATE SCHEDULE (Continued)

FORM OF PAYMENT: The utility will accept the following forms of payment:

Cash X, Check X, Money Order X, MasterCard X, Visa X,
Electronic Fund Transfer X

AT THE CUSTOMER'S OPTION, ANY BILLING TRANSACTION OR COMMUNICATION MAY BE PERFORMED ON THE INTERNET. THIS INCLUDES THE UTILITY SENDING PAPERLESS BILLS BY EMAIL.

Section 1.02 – Miscellaneous Fees

TAP FEE (Gravity sewer, street or easement installation)	<u>\$700.00</u>
TAP FEE (Pressure sewer, non-rock installation)	<u>\$1,525.00</u>
TAP FEE IS BASED ON THE AVERAGE OF THE UTILITY'S ACTUAL COST FOR MATERIALS AND LABOR FOR STANDARD RESIDENTIAL CONNECTION PLUS UNIQUE COSTS AS PERMITTED BY TCEQ RULE AT COST.	
TAP FEE (Pressure sewer, rock installation).....	<u>\$3,776.00</u>
TAP FEE IS BASED ON THE AVERAGE OF THE UTILITY'S ACTUAL COST FOR MATERIALS AND LABOR FOR STANDARD RESIDENTIAL CONNECTION PLUS UNIQUE COSTS AS PERMITTED BY TCEQ RULE AT COST.	
TAP FEE (Large Connection Tap).....	<u>Actual Cost</u>
TAP FEE IS THE UTILITY'S ACTUAL COST FOR MATERIALS AND LABOR FOR TAP SIZE INSTALLED.	
ACCOUNT SET UP FEE.....	<u>\$25.00</u>
FEE TO SET UP ACCOUNT FOR NEW CUSTOMER APPLYING FOR SEWER SERVICE ONLY.	
RECONNECTION FEE	
THE RECONNECT FEE WILL BE CHARGED BEFORE SERVICE CAN BE RESTORED TO A CUSTOMER WHO HAS BEEN DISCONNECTED FOR THE FOLLOWING REASONS:	
a) Non-payment of bill (Maximum \$25.00).	<u>\$25.00</u>
b) Customer's request.....	<u>\$50.00</u>
or other reasons listed under section 2.0 of this tariff.	
TRANSFER FEE.....	<u>\$45.00</u>
THE TRANSFER FEE WILL BE CHARGED FOR CHANGING AN ACCOUNT NAME AT THE SAME SERVICE LOCATION WHEN THE SERVICE IS NOT DISCONNECTED.	
LATE CHARGE	<u>10%</u>
A ONE-TIME PENALTY MAY BE MADE ON DELINQUENT BILLS BUT MAY NOT BE APPLIED TO ANY BALANCE TO WHICH THE PENALTY WAS APPLIED IN A PREVIOUS BILLING.	
RETURNED CHECK CHARGE.....	<u>\$25.00</u>
CUSTOMER DEPOSIT RESIDENTIAL (Maximum \$50)	<u>\$50.00</u>

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37717-R, CCN 20650, JANUARY 1, 2014 AND JANUARY 1, 2017
APPROVED TARIFF BY gy/B

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 4

SECTION 1.0 - RATE SCHEDULE (Continued)

Section 1.02 – Miscellaneous Fees (Continued)

COMMERCIAL AND NON-RESIDENTIAL DEPOSIT.....1/6TH ESTIMATED ANNUAL BILL

SERVICE RELOCATION FEE..... Actual cost to relocate that service connection
THIS FEE MAY BE CHARGED IF A CUSTOMER REQUESTS RELOCATION OF AN EXISTING SERVICE CONNECTION.

SEASONAL RECONNECTION FEE:
BASE RATE TIMES NUMBER OF MONTHS OFF THE SYSTEM NOT TO EXCEED SIX MONTHS WHEN LEAVE AND RETURN WITHIN A TWELVE MONTH PERIOD.

LINE EXTENSION AND CONSTRUCTION CHARGES:
REFER TO SECTION 2.12 SPECIFIC UTILITY SERVICE RULES AND SECTION 3.02 UTILITY SPECIFIC EXTENSION POLICY FOR TERMS, CONDITIONS, AND CHARGES.

GOVERNMENTAL TESTING, INSPECTION AND COSTS SURCHARGE CLAUSE:
INCREASE IN INSPECTION FEES AND WATER TESTINGG COSTS IMPOSED BY STATE OR FEDERAL LAW MAY BE PASSED THROUGH AS AN ADJUSTMENT TO THE MONTHLY BASE RATE CHARGE UNDER THE TERMS AND CONDITIONS OF 30 TAC 291.21(k)(2) AFTER NOTICE TO CUSTOMERS AND UPON WRITTEN APPROVAL BY THE TCEQ.

DAMAGE OR SERVICE DIVERSION FEE..... \$100.00
ONE TIME PENALTY FOR TAMPERING WITH OR DAMAGING A SEWER SERVICE CONNECTION, OR ANY APPURTENANCE THERETO, INCLUDING PUMPS OR SERVICE DIVERSION.

FRANCHISE FEE PASS THROUGH CLAUSE:
Charges a municipality makes for use of streets and alleys pursuant to tax code §182.025 or other applicable state law shall be passed through as an adjustment to the sewer gallonage charge according to the following formula:

$$AG = G + B$$

Where:

- AG = adjusted gallonage charge, rounded to the nearest one cent:
- G = approved gallonage charge (per 1,000 gallons) and
- B = projected franchise fees payable (per 1,000 gallons).

PURCHASED SEWER PASS THROUGH CLAUSE:
Changes in fees imposed by any non-affiliated third party wholesale sewer service provider shall be passed through as an adjustment to the sewer gallonage charge according to the following formula:

$$AG = G + B$$

Where:

- AG = adjusted gallonage charge, rounded to the nearest one cent:
- G = approved gallonage charge (per 1,000 gallons) and
- B = change in purchased sewer service gallonage charge (per 1,000 gallons).

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SEWER UTILITY TARIFF FOR

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.
(Utility Name)

12535 Reed Rd.
(Business Address)

Sugarland, TX 77478-2837
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APPENDIX A – CONTRACT/APPLICATION FOR UTILITY SERVICE

TEXAS COMM. ON ENVIRONMENTAL QUALITY
37382-R, CCN 20650, SEPTEMBER 1, 2012
APPROVED TARIFF BY JLB

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 2

SECTION 1.0 – RATE SCHEDULE

Section 1.01 - Rates

<u>Meter Size</u>	<u>Monthly Minimum Charge</u> (Includes 0 gallons all meters)	<u>Gallage Charge</u>
5/8" or 3/4"	\$39.55	\$6.27 per 1,000 gallons
1"	\$98.88	
1 1/2"	\$197.75	
2"	\$316.40	
3"	\$593.25	
4"	\$988.75	
6"	\$1,977.50	
8"	\$3,164.00	
10"	\$4,548.25	
12"	\$9,887.50	

Residential sewer service will be billed year round using that service connection's average winter water consumption during December, January and February. Single family residential service connections without a historic average will have an imputed average of 5,000 gallons until they have established an average. Multi-family residential service connections without a historic winter average will have an imputed average of 5,000 gallons per residential unit until they have established an average.

Non-residential service connections will be billed on actual monthly water consumption without the use of winter averaging.

FORM OF PAYMENT: The utility will accept the following forms of payment:


Cash , Check , Money Order , MasterCard , Visa ,
Electronic Fund Transfer

THE UTILITY MAY REQUIRE EXACT CHANGE FOR PAYMENTS AND MAY REFUSE TO ACCEPT PAYMENTS MADE USING MORE THAN \$1.00 IN SMALL COINS. A WRITTEN RECEIPT WILL BE GIVEN FOR CASH PAYMENTS. AT THE CUSTOMER'S OPTION, ANY BILLING TRANSACTION OR COMMUNICATION MAY BE PERFORMED ON THE INTERNET. THIS INCLUDES THE UTILITY SENDING PAPERLESS BILLS BY EMAIL.

REGULATORY ASSESSMENT 1.0%
TCEQ RULES REQUIRE THE UTILITY TO COLLECT A FEE OF ONE PERCENT OF THE RETAIL MONTHLY BILL.

TAP FEE (Gravity sewer, street or easement installation) \$700.00

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TEXAS COMM. ON ENVIRONMENTAL QUALITY
37382-R, CCN 20650, SEPTEMBER 1, 2012
APPROVED TARIFF BY 

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

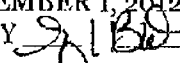
Sewer Tariff Page No. 3

SECTION 1.0 - RATE SCHEDULE (Continued)

Section 1.02 – Miscellaneous Fees

TAP FEE (Pressure sewer, non-rock installation)	<u>\$1,525.00</u>
TAP FEE IS BASED ON THE AVERAGE OF THE UTILITY'S ACTUAL COST FOR MATERIALS AND LABOR FOR STANDARD RESIDENTIAL CONNECTION PLUS UNIQUE COSTS AS PERMITTED BY TCEQ RULE AT COST.	
TAP FEE (Pressure sewer, rock installation)	<u>\$3,776.00</u>
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TAP FEE (Large Connection Tap).....	<u>Actual Cost</u>
TAP FEE IS THE UTILITY'S ACTUAL COST FOR MATERIALS AND LABOR FOR TAP SIZE INSTALLED.	
ACCOUNT SET UP FEE.....	<u>\$25.00</u>
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TRANSFER FEE.....	<u>\$45.00</u>
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RETURNED CHECK CHARGE.....	<u>\$25.00</u>
CUSTOMER DEPOSIT RESIDENTIAL (Maximum \$50)	<u>\$50.00</u>
COMMERCIAL AND NON-RESIDENTIAL DEPOSIT.....	<u>1/6TH ESTIMATED ANNUAL BILL</u>
SERVICE RELOCATION FEE.....	<u>Actual cost to relocate that service connection</u>
THIS FEE MAY BE CHARGED IF A CUSTOMER REQUESTS RELOCATION OF AN EXISTING SERVICE CONNECTION.	

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TEXAS COMM. ON ENVIRONMENTAL QUALITY
37382-R, CCN 20650, SEPTEMBER 1, 2012
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SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 4

SECTION 1.0 - RATE SCHEDULE (Continued)

Section 1.02 – Miscellaneous Fees (Continued)

SEASONAL RECONNECTION FEE:

BASE RATE TIMES NUMBER OF MONTHS OFF THE SYSTEM NOT TO EXCEED SIX MONTHS WHEN LEAVE AND RETURN WITHIN A TWELVE MONTH PERIOD.

LINE EXTENSION AND CONSTRUCTION CHARGES:

REFER TO SECTION 2.20 SPECIFIC UTILITY SERVICE RULES AND SECTION 3.20 UTILITY SPECIFIC EXTENSION POLICY FOR TERMS, CONDITIONS, AND CHARGES.

GOVERNMENTAL TESTING, INSPECTION AND COSTS SURCHARGE CLAUSE:

INCREASE IN INSPECTION FEES AND WATER TESTING COSTS IMPOSED BY STATE OR FEDERAL LAW MAY BE PASSED THROUGH AS AN ADJUSTMENT TO THE MONTHLY BASE RATE CHARGE UNDER THE TERMS AND CONDITIONS OF 30 TAC 291.21(k)(2) AFTER NOTICE TO CUSTOMERS AND UPON WRITTEN APPROVAL BY THE TCEQ.

DAMAGE OR SERVICE DIVERSION FEE..... \$100.00

ONE TIME PENALTY FOR TAMPERING WITH OR DAMAGING A SEWER SERVICE CONNECTION, OR ANY APPURTENANCE THERETO, INCLUDING PUMPS OR SERVICE DIVERSION.

FRANCHISE FEE PASS THROUGH CLAUSE:

Charges a municipality makes for use of streets and alleys pursuant to tax code §182.025 or other applicable state law shall be passed through as an adjustment to the sewer gallonage charge according to the following formula:

$$AG = G + B$$

Where:

- AG = adjusted gallonage charge, rounded to the nearest one cent:
- G = approved gallonage charge (per 1,000 gallons) and
- B = projected franchise fees payable (per 1,000 gallons).

Purchased Sewer Pass Through Clause:

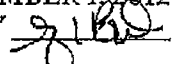
Changes in fees imposed by any non-affiliated third party wholesale sewer service provider shall be passed through as an adjustment to the sewer gallonage charge according to the following formula:

$$AG = G + B$$

Where:

- AG = adjusted gallonage charge, rounded to the nearest one cent:
- G = approved gallonage charge (per 1,000 gallons) and
- B = change in purchased sewer service gallonage charge (per 1,000 gallons).

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37382-R, CCN 20650, SEPTEMBER 1, 2012
APPROVED TARIFF BY 

SWWC Utilities, Inc., dba
Hornshy Bend Utility Company, Inc.

Sewer Tariff Page No. 9

SECTION 2.12--SPECIFIC UTILITY SERVICE RULES AND REGULATIONS

This section contains specific utility service rules in addition to the rules previously listed under Section 2.0. It must be reviewed and approved by the Commission and in compliance with the TCEQ Rules to be effective.

The Utility adopts the administrative rules of the TCEQ, as the same may be amended from time to time, as its Utility specific service rules and regulations. These rules will be kept on file at the Utility's offices for customer inspection during regular business hours. In the event of a conflict between the TCEQ's amended rules and the provisions of this tariff, the amended rules shall prevail. Where necessary, any conflicting provision of this tariff shall be deemed to have been superseded by the TCEQ rule in question to the degree that the Utility may conduct its lawful business in conformance with all requirements of said rule.

All references in Utility's tariff, service contracts, or TCEQ Rules shall mean the Utility's offices at 12535 Reed Road, Sugar Land, Texas 77478. Customers may make payments, apply for service, and report service problems at the office. Use of the term "business office" shall refer to any of this office.

All payments for utility service shall be delivered or mailed to the Utility's business office. If the business office fails to receive payment prior to the time of noticed disconnection for non-payment of a delinquent account, service will be terminated as scheduled. Utility service crews shall not be allowed to collect payments on customer accounts in the field.

Payment of an account by any means that has been dishonored and returned by the payor or payee's bank, shall be deemed to be delinquent. All returned payments must be redeemed with a valid money order. If a customer has two returned payments within a twelve month period, the customer shall be required to pay a deposit if one has not already been paid.

Customer shall be liable for any damage or injury to utility-owned property or personnel shown to be caused by the customer, his invitees, his agents, his employees, or others directly under his control.

Limitation on Product/Service Liability - The Utility will not accept liability for any injury or damage to individuals or their property occurring on the customer's premises. The Utility makes no representations or warranties (expressed or implied) that customer's appliances will not be damaged by disruptions of or fluctuations in sewer service whatever the cause. The Utility will not accept liability for injuries or damages to persons or property due to disruption of sewer service caused by: (1) acts of God, (2) acts of third parties not subject to the control of the utility if the utility has undertaken such preventive measures as are required by TCEQ rules, (3) electrical power failures in sewer systems not required by TCEQ rule to have auxiliary power supplies, or (4) termination of sewer service pursuant to the Utility's tariff and the TCEQ's Rules.

If the services of a registered professional engineer are required as a result of an application for service received by the Utility for service to that applicant's service extension only, such engineer will be selected by the Utility and the applicant, and the applicant shall bear all expenses incurred therein.

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 10

SECTION 2.0-- SPECIFIC SERVICE RULES AND REGULATIONS (Continued)

Section 2.12--Specific Utility Service Rules and Regulations (Continued)

If an applicant requires service other than the standard service provided by the Utility, such applicant will be required to pay all expenses incurred by the Utility in excess of the expenses that would be incurred in providing the standard service and connection. Any applicant who places unique or non-standard service demands on the system may be required to provide contributions in aid of construction (as may be allowed by TCEQ rule) for the actual costs of any additional facilities required to maintain compliance with the TCEQ minimum design criteria for sewer collection, treatment, pumping and discharge.

Any applicant or existing customer required to pay for any costs not specifically set forth in the rate schedule pages of this tariff shall be entitled to a written explanation of such costs prior to payment and/or commencement of construction. If the applicant or existing customer does not believe that these costs are reasonable or necessary, the applicant, or existing customer shall have the right to appeal such costs to the TCEQ or such other regulatory authority having jurisdiction over the Utility's rates in that portion of the Utility's service area in which the applicant's or existing customer's property(ies) is located.

Tap fees may be increased by unique costs not normally incurred as may be permitted by 30 TAC 291.86(b)(1)(C).

The Utility adopts the Uniform Plumbing Code pursuant to TCEQ Rule 290.46(i). The piping and other equipment on the premises furnished by the customer will be maintained by the customer at all times in conformity with the requirements of the TCEQ, the Uniform Plumbing Code and with the service rules and regulations of the Utility. The customer will bring out his service line to his property line at the point on the customer's property mutually acceptable to the customer and the Utility subject to such requirements as may exist by TCEQ rule.

The Utility will have the right of access to the customer's premises at all times reasonable for the purpose of installing, testing, inspecting or repairing sewer mains or other equipment used in connection with its provision of sewer service, or for the purpose of removing its property and disconnecting lines, and for all other purposes necessary to the operation of the Utility system including inspecting the customer's plumbing for code, plumbing or tariff violations. The customer shall allow the Utility and its personnel access to the customer's property to conduct any tests or inspections required by law. Unless necessary to respond to equipment failure, leak or other condition creating an immediate threat to public health and safety or the continued provision of adequate utility service to others, such entry upon the customer's property shall be during normal business hours. The customer may require any Utility representative, employee, contractor, or agent seeking to make such entry to identify themselves, their affiliation with the Utility, and the purpose of their entry.

Threats to or assaults upon utility personnel shall result in criminal prosecution.

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 11

SECTION 2.0-- SPECIFIC SERVICE RULES AND REGULATIONS (Continued)

Section 2.12--Specific Utility Service Rules and Regulations (Continued)

Except in cases where the customer has a contract with the Utility for reserve or auxiliary service, no other sewer service will be used by the customer on the same installation in conjunction with the Utility's service, either by means of a cross-over valve or any other connection. Customer shall not connect, or allow any other person or party to connect, onto any sewer lines on his premises. Two places shall not be permitted to be supplied with one service pipe where there is a sewer main abutting the premises.

No application, agreement, or contract for service may be assigned or transferred without the written consent of the Utility.

It is agreed and understood that any and all sewer lines and other equipment furnished by the Utility (excepting the customer's individual service lines from the point of connection to customer's structures on customer's premises) are and shall remain the sole property of the Utility, and nothing contained herein or in a contract/application for service shall be construed to reflect a sale or transfer of any such lines or equipment to any customer. All tap and extension charges shall be for the privilege of connecting to said sewer lines and for installation, not purchase, of said lines. Notwithstanding anything else in this tariff to the contrary, customers requiring pressurized service shall be responsible for owning, maintaining, repairing and providing electricity to all grinder pumps, storage tanks, controls and other appurtenances necessary to connect them to the Utility's collection line.

Service applicants may be required to comply with any pre-condition to receiving service not printed herein as may exist under TCEQ rule (customer service, health and safety or environmental), USEPA rule, TWDB rule, local regulatory district rule or health department rule. Existing customers shall be required to comply with such rules, including modification of their plumbing and/or consumption patterns, after notice.

The disposal into the Utility's sewer collection system of bulk quantities of food or food scraps not previously processed by a grinder or similar garbage disposal unit and grease and oils, except as incidental waste in process or wash water, used in or resulting from food preparation by sewer utility customers engaged in the preparation and/or processing of food for other than domestic consumption for sale to the public shall be prohibited. Specifically included in this prohibition are grease and oils from grease traps to other grease and/or oil storage containers. These substances are defined as "garbage" under Section 361.003 (12) of the Solid Waste Disposal Act, Texas Health and Safety Code, and are not "sewage" as defined by Section 26.001(7) of the Texas Water Code. The Utility only provides "sewage" collection and disposal service to the public. This service is limited to the collection, treatment and disposal of waterborne human waste and waste from domestic activities such as washing, bathing, and food preparation. This service does not include the collection, treatment or disposal of waste of such high BOD or TSS characteristics that it cannot reasonably be processed by the Utility's state-approved waste water treatment plant within the parameters of the Utility's state and federal waste water discharge permits. **This service does not include the collection and disposal of storm waters or run off waters, which may not be diverted into or drained into the Utility's collection system.**

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 12

SECTION 2.0-- SPECIFIC SERVICE RULES AND REGULATIONS (Continued)

Section 2.12--Specific Utility Service Rules and Regulations (Continued)

Pursuant to TCEQ Rule 291.87(n), the Utility may charge for all labor, material, equipment, and other costs necessary to repair or replace all equipment damaged due to service diversion or the discharge of wastes which the system cannot properly treat. This shall include all repair and clean-up costs associated with discharges of grease and oils, except as incidental waste in process or wash water, used in or resulting from food preparation by sewer utility customers engaged in the preparation and/or processing of food for other than domestic consumption or for sale to the public discharged from grease traps or other grease and/or oil storage containers. The Utility may charge for all costs necessary to correct service diversion or unauthorized taps where there is no equipment damage, including incidents where service is reconnected without authority. The Utility may not charge any additional penalty or charge other than actual costs unless such penalty has been expressly approved by the regulatory authority having rate/tariff jurisdiction and filed in the Utility's tariff.

Pursuant to TCEQ Rule 291.86(b)(3)(A) and (B), the customer's service line and appurtenances shall be construed in accordance with the laws and regulations of the State of Texas, local plumbing codes, or, in the absence of such local codes, the Uniform Plumbing Code. It shall be the customer's responsibility to maintain the service line and appurtenances in good operating condition, i.e., clear of obstruction, defects, or blockage.

If the Utility can provide evidence of excessive infiltration or inflow or failure to provide proper pretreatment, the Utility may, with the written approval of the TCEQ's executive director, require the customer to repair the line or eliminate the infiltration or inflow or take such actions necessary to correct the problem. If the customer fails to correct the problem within a reasonable time, the Utility may disconnect service after proper notice.

In accordance with the requirements of Utility's wastewater discharge permit, any and all repairs and maintenance of Utility's lines, tanks, pumps, and equipment located on customer's premises shall be performed exclusively by the Utility.

Copies of the Utility's state and federal waste water discharge permits shall be available for public inspection and copying in the Utility's business office during normal business hours.

Non-residential customers electing the pretreatment option for sewage with non-standard characteristics may be charged those costs set forth in the Utility's extension policy if such pretreatment fails or otherwise causes the Utility's facilities to violate their wastewater discharge permits.

All grinder pumps, storage tanks, controls, and other appurtenances necessary to provide pressurized sewer service shall conform to the Utility's specifications.

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 13

SECTION 2.0-- SPECIFIC SERVICE RULES AND REGULATIONS (Continued)

Section 2.12--Specific Utility Service Rules and Regulations (Continued)

RESIDENTIAL SINGLE FAMILY GRINDER / SEWAGE STATIONS

The Utility will install the grinder pumps, storage tanks, controls and other appurtenances necessary to provide pressurized sewer service to a residential connection. The customer will have ownership of all Utility-installed grinder pumps, receiving tanks, lift stations or controls on the customer's property, and all maintenance, repairs, replacement, and electric bills are the customer's responsibility. The repairs may be performed by anyone selected by the customer, who is competent to perform such repairs. The Utility requires that parts and equipment meet the minimum standards approved by the TCEQ, to insure proper and efficient operation of the sewer system.

MULTI-FAMILY AND COMMERCIAL RECEIVING TANK / LIFT STATIONS

The customer will have the option to install the grinder pumps, storage tanks, controls, and other appurtenances necessary to provide pressurized sewer service to a multi-family or commercial service connection. Prior to the installation of a grinder/sewage station, the Utility must be given a complete listing of all materials and equipment that will be used, along with the storage for that development.

In order to minimize inflow and infiltration into the collection system, the installation and materials must comply with standard specifications approved by the TCEQ.

After the Utility has approved the proposed grinder/sewage station, the construction may begin. Once the work has been completed, the Utility will do an inspection of the grinder/sewage station to ensure the complete installation was as specified.

Before approval for the installation and use of an existing receiving tank or lift station that is being used as an interceptor tank for primary treatment, wastewater storage or pump tanks prior to discharge into an alternative or conventional sewage system must be cleaned, inspected, repaired, modified, or replaced if necessary to minimize inflow and infiltration into the collection system.

Existing pumps and tanks must be of adequate size to insure proper pumpage in the event of high flow or if one pump is out of service. If the existing pumps and receiving tanks or lift stations are of inadequate size the Utility will not accept liability for backups due to: high flows, one pump out of service, rainfall causing inflow or infiltration, power outages, lack of proper storage capacity, etc.

Regardless of who performs the initial installation, the customer shall hold title to and the responsibility to maintain and repair all equipment necessary to connect that service location to the Utility's pressurized collection line. The customer shall be responsible for the monthly electric bill.

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 14

SECTION 2.0-- SPECIFIC SERVICE RULES AND REGULATIONS (Continued)

Section 2.12--Specific Utility Service Rules and Regulations (Continued)

If the collection system that discharges into the receiving tank / lift station has an inflow or infiltration problem and collects rainfall discharge, the owner or P.O.A. will correct it within 90 days of written notice from the Utility. If no action is taken to correct the problem within 90 days, the Utility may take the responsibility to make corrections at the owner's or P.O.A.'s expense. The Utility is not responsible for the collection system that discharges into the receiving tank / lift station.

An adequate easement must encompass the receiving tank / lift station by a 15 foot radius and also a 15 foot access easement to the receiving tank / lift station site. If this easement does not exist, one must be created and filed of record.

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 15

SECTION 3.0--EXTENSION POLICY

Section 3.01--Standard Extension Requirements

LINE EXTENSION AND CONSTRUCTION CHARGES. No contribution in aid of construction may be required of any customer except as provided for in this approved extension policy.

The customer will be given an itemized statement of the costs, options such as rebates to the customer, sharing of construction costs between the Utility and the customer, or sharing of costs between the customer and other applicants prior to beginning construction.

The Utility will bear the full cost of any oversizing of collection mains necessary to serve other customers in the immediate area. The individual residential customer shall not be charged for any additional treatment capacity or facilities. Contributions in aid of construction may not be required of individual residential customers for treatment capacity or collection facilities unless otherwise approved by the Commission under this specific extension policy.

COST UTILITIES SHALL BEAR. Within its certificate area, the Utility will pay the cost of the first 200 feet of any sewer collection line necessary to extend service to an individual residential customer within a platted subdivision. However, if the residential customer requesting service purchased the property after the developer was notified of the need to provide facilities to the Utility, the Utility may charge for the first 200 feet. The Utility must also be able to document that the developer of the subdivision refused to provide facilities compatible with the Utility's facilities in accordance with the Utility's approved extension policy after receiving a written request from the Utility.

Developers may be required to provide contributions in aid of construction in amounts to furnish the system with all facilities necessary to comply with the TCEQ's Rules.

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 16

SECTION 3.0-- SPECIFIC EXTENSION POLICY

Section 3.02--Specific Utility Extension Policy

This section contains the Utility's specific extension policy which complies with the requirements already stated under Section 3.01. It must be reviewed and approved by the Commission and in compliance with TCEQ Rules to be effective.

Residential customers not covered under Section 3.01 will be charged the equivalent of the costs of extending service to their property from the nearest collection line even if that line does not have adequate capacity to serve the customer. However, if the customer places unique, non-standard service demands upon the system, the customer may be charged the full cost of extending service to and throughout their property, including the cost of all necessary treatment capacity necessary to meet the service demands anticipated to be created by that property.

Developers will be required to provide contributions in aid of construction in amounts sufficient to furnish the development with all facilities necessary to provide for reasonable local demand requirements and to comply with TCEQ's minimum design criteria for facilities used in collecting, treating, transmitting, and discharging of wastewater effluent. For purposes of this subsection, a developer is one who subdivides or requests more than two connections on a piece of property. Commercial, industrial, and wholesale customers will be treated as developers.

The Utility adopts the administrative rules of the TCEQ, as amended from time to time, as its Utility specific extension policy. These rules will be kept on file at the Utility's business office for customer inspection during normal business hours.

Non-residential customers generating sewage creating unique or non-standard treatment demands which might reasonably be expected to cause the Utility's treatment facilities to operate outside their current wastewater discharge permit parameters may be charged the cost of all studies, engineering plans, permit costs, and collection treatment or discharge facilities construction or modification costs necessary to enable the Utility to treat said sewage within permit limits acceptable to the TCEQ, EPA, and other regulatory agencies. In the alternative, the customer may have the option of pre-treating said sewage in such a manner that it may not reasonably be expected to cause the Utility's facilities to operate outside their permit parameters. In such cases, the customer shall be required to pay the Utility's costs of evaluating such pretreatment processes and cost of obtaining regulatory approval of such pretreatment processes. In the event of the pretreatment facilities of a customer making this election fail and cause the Utility's facilities to operate outside their permit parameters, the customer shall indemnify the Utility for all costs incurred for clean ups or environmental remediation and all fines, penalties, and costs imposed by regulatory or judicial enforcement actions relating to such permit violations.

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 17

SECTION 3.0--EXTENSION POLICY

Section 3.02--Specific Utility Extension Policy (Continued)

Non-residential sewer customers producing waterborne waste significantly different from waste generated by residential customers may be required to provide a suitable sampling point at the property line for testing the customer's waste for chemicals or substances, e.g., grease, oils, solvents, pesticides, etc., which can reasonably be believed to have an injurious effect on the Utility's plant and/or its ability to treat and dispose of such wastes within the parameters of the Utility's permit. Utility shall have reasonable access to the sampling point at all times.

Any service extension to a subdivision (recorded or unrecorded) may be subject to the provisions and restrictions of 30 TAC 291.86(d) and this tariff. When a developer wishes to extend the system to prepare to service multiple new connections, the charge shall be the cost of such extension, plus a pro-rata charge based upon the capacities of collection, transmission, storage, treatment and discharge facilities, compliant with the TCEQ minimum design criteria, which must be committed to such extension.

As provided by 30 TAC 291.86(d)(4), for purposes of this section, commercial, industrial, and wholesale customers shall be treated as developers.

The imposition of additional extension costs or charges as provided by Sections 2.12 and 3.02 of this tariff shall be subject to appeal as provided in this tariff, TCEQ Rules, or the rules of such other regulatory authority as may have jurisdiction over the Utility's rates and services. Any applicant required to pay for any costs not specifically set forth in the rate schedule pages of this tariff shall be entitled to a written explanation of such costs prior to payment and/or commencement of construction. If the applicant does not believe that these costs are reasonable or necessary, the applicant shall have the right to appeal such costs to the TCEQ or such other regulatory authority having jurisdiction over the Utility's rates in that portion of the Utility's service area in which the applicant's property(ies) is located. Unless the TCEQ or other regulatory authority enters interlocutory orders to the contrary, service to the applicant may be delayed until such appeal is resolved.

The Utility will provide a written service application form to the applicant for each request for service received by the Utility's business offices. A separate application shall be required for each potential service location if more than one service connection is desired by any individual applicant. Service application forms will be available for applicant pick up at the Utility's business office during normal weekday business hours. Service applications will be sent by prepaid first class United States mail to the address provided by the applicant upon request. Completed applications should be returned by hand delivery in case there are questions which might delay fulfilling the service request. Completed service applications may be submitted by mail if hand delivery is not possible.

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 18

SECTION 3.0--EXTENSION POLICY

Section 3.02--Specific Utility Extension Policy (Continued)

The Utility shall serve each qualified service applicant within its certificated service area as soon as practical after receiving a completed service application. All service requests will be fulfilled within the time limits prescribed by TCEQ rules once the applicant has met all conditions precedent to achieving "qualified service applicant" status. If a service request cannot be fulfilled within the required period, the applicant shall be notified in writing of the delay, its cause, and the anticipated date that service will be available. The TCEQ service dates shall not become applicable until the service applicant has met all conditions precedent to becoming a "qualified service applicant" as defined herein or by TCEQ rules.

The Utility is not required to extend service to any applicant outside of its certificated service area and will only do so, at the Utility's sole option, under terms and conditions mutually agreeable to the Utility and the applicant and upon extension of the Utility's certificated service area boundaries by the TCEQ. Service applicants may be required to bear the cost of the service area amendment.

A "qualified service applicant" is an applicant who has: (1) met all of the Utility's requirements of service contained in this tariff, TCEQ rules and/or TCEQ order, (2) has made all payments for tap fees and extension charges, (3) has provided all necessary easements and rights-of-way necessary to provide service to the requested location, including staking said easements or rights-of-way where necessary, and (4) has executed a customer service application for each location to which service is being requested.

Where a new tap or service connection is required, the service applicant shall be required to submit a written service application and request that a tap be made. The tap request must be accompanied with a plat, map, diagram, or written metes and bounds description of precisely where the applicant desires each tap or service connection is to be made and, if necessary, where the connection is to be installed along the applicant's property line. The actual point of connection must be readily accessible to Utility personnel for inspection, servicing, and testing while being reasonably secure from damage by vehicles and mowers. If the Utility has more than one main adjacent to the service applicant's property, the tap or service connection will be made to the Utility's nearest service main with adequate capacity to service the applicant's full potential service demand. If the tap or service connection cannot be made at the applicant's desired location, it will be made at another location mutually acceptable to the applicant and the Utility. If no agreement on location can be made, applicant may refer the matter to the TCEQ for resolution. Unless otherwise ordered by the TCEQ, the tap or service connection will not be made until the location dispute is resolved.

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 19

SECTION 3.0--EXTENSION POLICY

Section 3.02--Specific Utility Extension Policy (Continued)

The Utility shall require a developer (as defined by TCEQ rule) to provide permanent recorded public utility easements as a condition of service to any location within the developer's property. The Developer shall be required to obtain all necessary easements and rights-of-way required to extend the Utility's existing service facilities from their nearest point with adequate service capacity (as prescribed by TCEQ rules and local service conditions) to and throughout the Developer's property. The easements shall be sufficient to allow the construction, installation, repair, maintenance, testing, and replacement of any and all Utility plant necessary to provide continuous and adequate service to each and every potential service location within the property at full occupancy.

Unless otherwise restricted by law, sewage treatment, holding tank sites, lift station sites shall convey with all permanent easements and buffers required by TCEQ rules. Unless otherwise agreed to by the Utility, pipe line right-of-way easements must be at least 15 feet wide to allow adequate room to facilitate backhoe and other heavy equipment operation and meters. Easements must be provided for all storage, treatment, pressurization and disposal sites which are sufficient to construct and maintain all weather roads as prescribed by TCEQ rules. All easements shall be evidenced, at Developer's expense, by recorded county-approved subdivision plat or by specific assignment supported by metes and bounds survey from a surveyor licensed by the State of Texas.

Prior to the extension of utility service to developers (as defined by TCEQ rules) or new subdivisions, the Developer shall comply with the following:

(a) The Developer shall make a written request for service to property that is to be subdivided and developed. The Developer shall submit to the Utility a proposed plat on a scale of one inch (1") to two hundred feet (200') for review and determination of required easements, utility plant, and plant location. If sewer service is requested, the plat must contain elevation data. A reconcilable deposit in an amount set by the Utility may be required to cover preliminary engineering, legal, and copy cost to be incurred by the Utility in reviewing and planning to meet this service request. The plat and/or accompanying information shall identify the type, location, and number of houses and other planned structures that will be requiring utility service. If other than residential structures are to be located on the property, all other types of anticipated businesses and their service demands shall be identified with specificity. All areas requiring special irrigation and/or other unique water demands must be identified. To the extent reasonably possible, this information must be precise so that adequate facilities can be designed and constructed to meet all future service demands without hazard to the public, other utility customers, and/or the environment.

(b) After the requirements of easements and rights-of-way have been determined, a red line copy will be returned by the Utility to the Developer for final plat preparation.

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Sewer Tariff Page No. 20

SECTION 3.0--EXTENSION POLICY

Section 3.02--Specific Utility Extension Policy (Continued)

(c) Copies of all proposed plats and plans must be submitted to the Utility prior to their submission to the county for approval to insure that they are compatible with the adequate long-term utility needs of potential service customers. Copies will be returned after review by the Utility so that necessary changes may be incorporated into the Developer's final submitted plat(s) and plans.

(d) The Utility shall be provided with three (3) certified copies of the final plat(s) approved by the County Commissioners Court. At this time, the Utility will begin engineering the facilities necessary to serve the property. Plans and specifications will be prepared and submitted to the TCEQ by the Utility if required by law. If further plat or plans changes are necessary to accommodate the specific service needs of the property and the anticipated customer demands, the Developer will be so notified. Plat amendments must be obtained by the Developer. The Developer shall be notified when all required TCEQ or other governmental approvals or permits have been received. No construction of Utility plant which requires prior TCEQ plans approval shall be commenced until that approval has been received by the Utility and any conditions imposed by the TCEQ in association with its approvals have been satisfied.

(e) The Developer shall be required to post bond or escrow the funds necessary to construct all required Utility system extensions, except individual sewer connections, required to serve the property. Construction shall not commence until funds are available. If the construction is to be done in coordination with the phased development of the property, funds must be provided in advance which are sufficient to complete each phase. No phase or facilities for any phase shall be constructed prior to the bonding or escrowing of all funds associated with that phase.

(f) At the sole option of the Utility, the Developer may be required to execute a Developer Extension Agreement setting forth all terms and conditions of extending service to their property including all contributions in aid of construction and developer reimbursements, if any.

(g) The Utility may require the Developer to commence construction of subdivision improvements within three (3) months of utility plans approval or the Utility may abate its construction activities until full development construction begins. If the Developer stops construction of subdivision improvements for any purpose, the Utility may abate its construction for a similar period.

(h) As soon as the roads are rough cut and prior to paving, extension lines will need to be constructed at each road crossing. The Developer must notify the Utility sufficiently in advance of this development stage to allow for the necessary utility construction without disruption to other service operations of the Utility. Failure to provide adequate advance notice and cooperation in the construction of necessary Utility plant may result in additional delays in obtaining service to the property. The Developer shall be required to pay for all additional costs of road boring or other remedial construction necessary to install adequate Utility plant throughout the affected property.

SECTION 3.0--EXTENSION POLICY

Section 3.02--Specific Utility Extension Policy (Continued)

Within its certificated area, the Utility shall bear the cost of the first 200 feet of any water main or sewer collection line necessary to extend service to an individual residential service applicant within a platted subdivision unless the Utility can document:

(a) That the developer of the subdivision refused to provide facilities compatible with the utility's facilities in accordance with the Utility's approved extension policy after receiving a written request from the Utility; or,

(b) That the Developer defaulted on the terms and conditions of a written agreement or contract existing between the utility and the developer or the terms of this tariff regarding payment for services, extensions, or other requirements; or in the event the Developer declared bankruptcy and was therefore unable to meet obligations; and,

(c) That the residential service applicant purchased the property from the Developer after the Developer was notified of the need to provide facilities to the Utility. A residential service applicant may be charged the remaining costs of extending service to his property; provided, however, that the residential service applicant may only be required to pay the cost equivalent to the cost of extending the nearest water main or wastewater collection line, whether or not that line has adequate capacity to serve that residential service applicant. The following criteria shall be considered to determine the residential service applicant's cost for extending service:

1. The residential service applicant shall not be required to pay for costs of main extensions greater than 2" in diameter for pressure wastewater collection lines and 6" in diameter for gravity wastewater lines.

2. Exceptions may be granted by the TCEQ Executive Director if:

i. adequate service cannot be provided to the applicant using the maximum line sizes listed due to distance or elevation, in which case, it shall be the Utility's burden to justify that a larger diameter pipe is required for adequate service;

ii. larger minimum line sizes are required under subdivision platting requirements or applicable building codes.

3. If an exception is granted, the Utility shall establish a proportional cost plan for the specific extension or a rebate plan which may be limited to seven years to return the portion of the applicant's costs for oversizing as new customers are added to ensure that future applicants for service on the line pay at least as much as the initial service applicant.

For purposes of determining the costs that service applicants shall pay, commercial customers with service demands greater than residential customer demands in the certificated area, industrial, and wholesale customers shall be treated as developers.

DOCKET NO. 51166

HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-16: Copy of HBUC's most recent rate application to the PUC.

RESPONSE: Please see Attachment CRP 1-16.

Prepared by: Brian Bahr, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.
Sponsored by: Brian Bahr, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company, Inc.

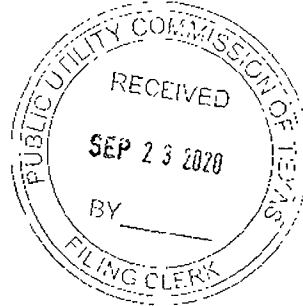


**Hornsby Bend
Utility Company**

A SouthWest Water Company

Customer Service
866.654 SWWC (7992)

September 23, 2020



Public Utility Commission of Texas
Document Control
1701 N. Congress Avenue
P. O. Box 13326
Austin, TX 78711--3326

RE: Notice of Purchased Water Pass-Through Rate Change and True-up Report, SWWC Utilities, Inc. dba Hornsby Bend Utility Company, Inc., Certificate of Convenience and Necessity No. 11978

To the Commission:

SWWC Utilities, Inc. dba Hornsby Bend Utility Company is notifying the Commission it is implementing a change in the purchased water pass through fee following the procedures outlined in Section 24.25.(b)(2)(F) of the Commission's rules and its approved tariff. The current approved "Pass Through Fee Applied to Gallonage Charge" will be increased effective October 1, 2020. This change in the pass-through rate will only pass through the specific cost changes and true-up adjustment costs of purchased water as described in the customer notice and our attachments.

The following required information is provided:

1. The affected CCN Number: 11978
2. The subdivisions affected: all subdivisions provided water service by Hornsby Bend Utility Company.
3. The Public Water System Number for the Austin's Colony Water System is 2270255
4. A copy of the notice included on the customers' bills prior to the effective date is included as Attachment A.
5. Documentation from the supplier to support the current cost of purchased water is included as Attachment B.
6. Historical Documentation of Line Loss: Line loss is not a part of this approved Purchased Water Pass Through clause, and the monthly cost of purchased water to be passed through is based on a fixed volume. However, the simple line loss for the representative period was 3.6%.
7. A worksheet with the supporting calculations and assumptions for the new rate is included as Attachment C.

Public Utility Commission of Texas
September 23, 2020
Page 2

8. A True-up Report following the guidelines of Section 24.25.(b)(2)(D) is included as Attachment D.
9. A copy of the water tariff page that will change is included as Attachment E.

Please let me know if you need any additional information.

Sincerely,

/s/ George Freitag

George Freitag, P. E.
Texas Rate/Regulatory Manager
SouthWest Water Company
1620 Grand Avenue Parkway, Suite 140
Pflugerville, TX 78660
512 219 2288
gfreitag@swwc.com

Attachments:

Attachment A

Sample Customer Notice



IMPORTANT CHANGES TO YOUR BILL

Dear Valued Customer:

The cost of purchased water to SWWC Utilities, Inc. dba Hornsby Bend Utility Company, Inc. from our supplier increased by 3% effective July 1, 2020. Based on the current usage rates, annual true-up, and other considerations, we will be implementing a pass-through increase on your monthly bill effective on the first meter reading after October 1, 2020. We are only passing through the cost changes incurred by us.

The current monthly usage charge on your bill is calculated as:

Gallonage		Gallonage Rates
All usage	x	\$7.18 per 1000 gallons
Pass Through Fee Applied to Gallonage Charge (all use)	x	\$0.19 per 1000 gallons

After the effective date, the new monthly charge will be calculated as:

Gallonage		Gallonage Rates
All usage	x	\$7.18 per 1000 gallons
Pass Through Fee Applied to Gallonage Charge (all use)	x	\$0.23 per 1000 gallons

Monthly minimum charges and other customer service charges will not change.

This tariff change is being implemented in accordance with the minor tariff changes allowed by 16 Texas Administrative Code §24.25. The cost to you as a result of this change will not exceed the costs charged to your utility.



Attachment B

2355 W. Pinnacle Peak Road, Suite 300
Phoenix, AZ 85027
EPCOR.com

July 16, 2020

VIA EMAIL TO TWILLIFORD@SWWC.COM

SWWC Utilities, Inc. ("SWWC")
Attn: Tim Williford
1620 Grand Avenue Parkway, Suite 140
Pflugerville, Texas 78660

Re: Excess Quantity Payment and Adjustment to Contract Base Quantity and Monthly Take-or-Pay Payment for the July 2020 to June 2021 Contract Year

Dear Mr. Williford,

On behalf of EPCOR 130 Project Inc. ("EPCOR 130"), we want to inform you that for the July 2019 to June 2020 contract year, SWWC exceeded that year's take-or-pay volume by 5,100,333 gallons. As you may recall, your Excess Quantity Payment as defined in Section 1.1(s) of that certain Amended and Restated Wholesale Potable Water Supply Agreement dated April 11, 2011 (the "Agreement") is due 30 days after the end of the Year (as defined in 1.1(oo) of the Agreement) in accordance with Sections 4.3 and 4.4 of the Agreement. The Excess Quantity was included on June's invoice that was issued this month.

As you may also recall, the Contract Base Quantity increases each year per Section 4.2(a) of the Agreement in an amount equal to the prior year's Contract Base Quantity plus 90% of the prior's Year's Excess Quantity (as defined in Section 4.3 and 1.1(r) of the Agreement). Accordingly, for the July 2020 to June 2021 contract year, SWWC's new take-or-pay volume will increase to 329,590,300. This is an increase of 4,590,300 gallons, which is 90% of the prior year's overage amount. Further, the Take-or-Pay Rate increases each year per Sections 1.1(b) and (kk) and 4.2(c) of the Agreement. Given the above, your Monthly Take-or-Pay Payment will correspondingly increase for the July 2020 to June 2021 contract year per Section 1.1(cc) and Section 4.2 of the Agreement.

Please contact me if you have any questions or concerns.

Sincerely,

EPCOR Services, Inc.

A handwritten signature in black ink, appearing to read "J. Lulewicz".

John Lulewicz
Operations Manager, EPCOR 130 Project
489 Agnes St., Ste. 112-102
Bastrop, TX 78602

Attachment CRP 1-16

**Page 5 of 22
Attachment C**

CALCULATIONS FOR 2020 PURCHASED WATER PASS THROUGH

EFFECTIVE OCT 1, 2020

INCREASE IN COSTS TO HORNSBY BEND WATER COMPANY DUE TO PURCHASED WATER SUPPLY

Approved Purchased Water Adjustment Clause

Adjustment to the gallonage rate = $AG = (CP / GB) \times 1,000$
 Adjustment to the minimum bill = $AMB = GMB \times AG$

CP = CP1 - CP0 = change in cost of purchased water (per 1,000 gallons)
 CP1 = Cost, per 1,000 gallons, of purchased water at new rate
 CP0 = Cost, per 1,000 gallons, of purchased water at previous rate
 GMB = Number of gallons in the minimum bill divided by 1,000 and
 GB = Number of gallons billed to customers in excess of the amount included in the monthly minimum bill for the 12 month period used above

Billing period of 07/19 - 06/20 USAGE MONTH	TOTAL SUPPLIER		PREVIOUS COST OF PURCHASED WATER	PROJECTED TOTAL SUPPLIER		NEW ALLOCATION FACTOR	PROJECTED COST OF PUR- CHASED WATER	TOTAL SALES BILL PERIOD 12 MOS. GAL x 1000
	TAKE OR PAY COST	ALLOCATION FACTOR		TAKE OR PAY COST	ALLOCATION FACTOR			
July	\$128,645.83	51%	\$95,609.37	\$134,308.05	50%	\$67,154.02	18,612	
August	\$128,645.83	51%	\$95,609.37	\$134,308.05	50%	\$67,154.02	21,454	
September	\$128,645.83	51%	\$95,609.37	\$134,308.05	50%	\$67,154.02	18,116	
October	\$128,645.83	51%	\$95,609.37	\$134,308.05	50%	\$67,154.02	14,276	
November	\$128,645.83	51%	\$95,609.37	\$134,308.05	50%	\$67,154.02	19,398	
December	\$128,645.83	51%	\$95,609.37	\$134,308.05	50%	\$67,154.02	13,724	
January	\$128,645.83	51%	\$95,609.37	\$134,308.05	50%	\$67,154.02	17,833	
February	\$128,645.83	51%	\$95,609.37	\$134,308.05	50%	\$67,154.02	15,294	
March	\$128,645.83	51%	\$95,609.37	\$134,308.05	50%	\$67,154.02	20,535	
April	\$128,645.83	51%	\$95,609.37	\$134,308.05	50%	\$67,154.02	18,506	
May	\$128,645.83	51%	\$95,609.37	\$134,308.05	50%	\$67,154.02	19,016	
June	\$152,872.41	51%	\$77,964.93	\$134,308.05	50%	\$67,154.02	22,167	
Total		CP0 =	\$799,668.04		CP1 =	\$805,848.28	218,861	
		CP1 - CP0 =	Net to Pass Thru	\$6,180.25	\$0.028			
			Prev 12 mo UNDER	\$1,554.22	\$0.007			
			Total to Pass Thru	\$7,734.47				
		CP =	Total change per 1000	\$0.035	round to \$0.04			
		CP0 = Old Pass Through Rate, per 1000 =	\$0.19	+	\$0.04	=	\$0.23 = New Pass Through Rate = CP1	
		GMB = 0	AMB =	0 x	=	\$0.23	\$0.00	
	<u>Mater Size</u>	<u>CURRENT RATES</u>		<u>ADJUSTMENT</u>		<u>NEW RATES</u>		
<u>Base Bill</u>	5/8 inch	\$47.03		\$0.00		\$47.03		
	3/4 inch	\$70.55		\$0.00		\$70.55		
	1 inch	\$117.58		\$0.00		\$117.58		
	1 1/2 inch	\$235.15		\$0.00		\$235.15		
	2 inch	\$376.24		\$0.00		\$376.24		
	3 inch	\$705.45		\$0.00		\$705.45		
	4 inch	\$1,175.75		\$0.00		\$1,175.75		
	6 inch	\$2,351.50		\$0.00		\$2,351.50		
	8 inch	\$3,762.40		\$0.00		\$3,762.40		
	10 inch	\$5,408.45		\$0.00		\$5,408.45		
	12 inch	\$11,757.50		\$0.00		\$11,757.50		
<u>Gallonage</u>	all usage, per 1000	\$7.18		\$0.00		\$7.18		
	Purchased Water Pass-through	\$0.19		\$0.04		\$0.23		
	per 1000 gal. all use							

Attachment D

TRUE-UP REPORT FOR HORNSBY BEND UTILITY COMPANY

FOR THE PERIOD ENDING JUNE 2020

The following information is required by Commission Rule 24.25.(b).(2).(D) for a True-up Report

- (I) **Entity charging fee:**
Epcor 130 Project, Inc.
- (II) **Summary of each charge passed through:**
See Purchased Water Pass Through Calculation worksheet and invoice summary for the twelve-month period.
- (III) **Comparison of annual amounts billed by all entities with amounts billed for usage to customers:**
The charges from Epcor 130 Project, Inc. are the only ones included in the pass-through charge, see invoice summary and the Reconciliation Worksheet
- (IV) **All calculations and supporting documents:**
Included in this package are the Calculations for 2020 Purchased Water Pass Through effective September 1, 2020, the invoice summary from the supplier for the twelve-month period, and the Reconciliation Worksheet of expense and revenue from pass through charges.
- (V) **A summary report, by year, for the lesser of all years prior to the pass-through period showing the same information as in (iii):**
See the Reconciliation Worksheet. This is the second year for which a true-up is required.

CALCULATIONS FOR 2020 PURCHASED WATER PASS THROUGH - HORNSBY BEND WATER COMPANY

TRUE-UP REPORT: RECONCILIATION OF EXPENSE AND REVENUE FROM PASS-THROUGH CHARGES

BILLING PERIOD	ACTUAL COST TO HBLC	BASELINE COST from 2013	BILLED REVENUES		Water Usage	
		62,511.02				
		AMOUNT OVER BASELINE		OVER / UNDER BY MO.		PASS-THRU IN PLACE
K4R08/2019	365 609 37	\$3,098.36	\$ 6,612.10	3,413.74	18,612 KGL	0.35
K4R09/2019	365 609 37	\$3,098.36	\$ 7,502.79	4,404.43	21,454 KGL	0.35
K4R10/2019	365 609 37	\$3,098.36	\$ 3,444.78	346.42	16,110 KGL	0.19
K4R11/2019	365 609 37	\$3,098.38	\$ 2,714.32	(384.04)	14,276 KGL	0.19
K4R12/2019	365 609 37	\$3,098.36	\$ 3,570.99	572.63	19,368 KGL	0.19
K4R01/2020	365 609 37	\$3,098.36	\$ 2,609.08	(489.28)	13,724 KGL	0.19
K4R02/2020	365 609 37	\$3,098.36	\$ 3,380.86	282.50	17,803 KGL	0.19
K4R03/2020	365 609 37	\$3,098.36	\$ 2,904.34	(194.02)	15,284 KGL	0.19
K4R04/2020	365 609 37	\$3,098.36	\$ 3,902.03	803.67	20,535 KGL	0.19
K4R05/2020	365 609 37	\$3,098.36	\$ 3,515.95	417.59	18,506 KGL	0.19
K4R06/2020	365 609 37	\$3,098.36	\$ 3,613.04	514.68	19,376 KGL	0.19
K4R07/2020	377 964 93	\$15,453.91	\$ 4,211.35	(11,242.56)	22,167 KGL	0.19
Result	\$ 799 688 05	\$49,535.89	\$ 47,981.63	-\$1,554.22	228 961	

overcollected 12 months per 1000 - \$1,554.22 \$0.0*

CHECK	
Baseline Annual Costs	\$ 750,132.20
Surcharge Collected Prev 12 mo	\$ 47,961.63
Total PW Revenues	\$ 798,093.83
Actual Costs Previous 12 Mo.	\$ 799,668.05
OVER / UNDER	\$ (1,554.22)

CALCULATIONS FOR 2020 PURCHASED WATER PASS THROUGH - HORNSBY BEND WATER COMPANY

RECONCILIATION OF EXPENSE AND REVENUE FROM PASS-THROUGH CHARGES - ALL PERIODS

BILLING PERIOD	ACTUAL COST TO HBUC	BASELINE COST from 2013	BILLED REVENUES	Water Usage	Pass-through
		\$2,511.02			
		AMOUNT OVER BASELINE	OVER / UNDER BY MO.		in Place
K4/007/2015					
K4/008/2015	\$65,146.24	\$2,635.22	\$ 2,458.62	-\$176.60	13,661 KGL 0.18
K4/009/2015	\$65,146.24	\$2,635.22	\$ 2,677.85	\$42.64	14,525 KGL 0.18
K4/010/2015	\$65,146.24	\$2,635.22	\$ 2,183.40	-\$451.82	12,145 KGL 0.18
K4/011/2015	\$65,146.24	\$2,635.22	\$ 2,253.42	-\$381.80	12,555 KGL 0.18
K4/012/2015	\$65,146.24	\$2,635.22	\$ 2,008.20	-\$627.02	11,492 KGL 0.18
K4/001/2016	\$65,146.24	\$2,635.22	\$ 2,183.04	-\$452.18	12,132 KGL 0.18
K4/002/2016	\$65,146.24	\$2,635.22	\$ 1,511.75	-\$1,123.47	9,738 KGL 0.18
K4/003/2016	\$65,146.24	\$2,635.22	\$ 2,495.44	-\$135.78	13,691 KGL 0.18
K4/004/2016	\$65,146.24	\$2,635.22	\$ 2,205.27	-\$429.95	12,280 KGL 0.18
K4/005/2016	\$65,146.24	\$2,635.22	\$ 2,237.67	-\$397.55	12,438 KGL 0.18
K4/006/2016	\$65,146.24	\$2,635.22	\$ 2,247.12	-\$388.10	12,493 KGL 0.18
K4/007/2016	\$65,146.24	\$2,635.22	\$ 2,585.50	-\$65.72	14,750 KGL 0.18
K4/008/2016	\$67,153.13	\$4,642.11	\$ 5,067.50	\$425.39	14,698 KGL 0.34
K4/009/2016	\$67,153.13	\$4,642.11	\$ 5,057.50	\$410.39	14,684 KGL 0.34
K4/010/2016	\$67,153.13	\$4,642.11	\$ 4,426.70	-\$215.41	13,681 KGL 0.34
K4/011/2016	\$67,153.13	\$4,642.11	\$ 4,746.60	\$104.49	13,292 KGL 0.34
K4/012/2016	\$67,153.13	\$4,642.11	\$ 4,418.54	-\$223.57	13,027 KGL 0.34
K4/001/2017	\$67,153.13	\$4,642.11	\$ 4,659.33	\$17.22	13,693 KGL 0.34
K4/002/2017	\$67,153.13	\$4,642.11	\$ 3,717.66	-\$924.45	10,626 KGL 0.34
K4/003/2017	\$67,153.13	\$4,642.11	\$ 4,725.28	\$81.17	13,684 KGL 0.34
K4/004/2017	\$67,153.13	\$4,642.11	\$ 4,396.78	-\$245.33	12,927 KGL 0.34
K4/005/2017	\$67,153.13	\$4,642.11	\$ 4,825.86	\$183.75	14,185 KGL 0.34
K4/006/2017	\$67,153.13	\$4,642.11	\$ 4,702.81	\$60.70	13,625 KGL 0.34
K4/007/2017	\$67,153.13	\$4,642.11	\$ 5,366.66	\$724.55	15,977 KGL 0.34
K4/008/2017	\$67,946.57	\$5,435.65	\$ 5,622.56	\$185.91	16,664 KGL 0.34
K4/009/2017	\$67,946.57	\$5,435.65	\$ 5,411.66	-\$17.96	15,954 KGL 0.34
K4/010/2017	\$67,946.57	\$5,435.65	\$ 6,177.47	\$711.82	15,867 KGL 0.39
K4/011/2017	\$67,946.57	\$5,435.65	\$ 5,466.24	\$30.55	14,038 KGL 0.39
K4/012/2017	\$67,946.57	\$5,435.65	\$ 5,410.86	-\$24.79	13,880 KGL 0.39
K4/001/2018	\$67,946.57	\$5,435.65	\$ 6,023.15	\$587.51	15,453 KGL 0.39
K4/002/2018	\$67,946.57	\$5,435.65	\$ 5,036.46	-\$399.19	12,821 KGL 0.39
K4/003/2018	\$67,946.57	\$5,435.65	\$ 5,009.94	-\$247.71	12,853 KGL 0.39
K4/004/2018	\$67,946.57	\$5,435.65	\$ 5,065.65	-\$370.00	12,998 KGL 0.39
K4/005/2018	\$67,946.57	\$5,435.65	\$ 6,507.73	\$66.08	14,116 KGL 0.39
K4/006/2018	\$67,946.57	\$5,435.65	\$ 6,373.38	\$937.73	16,373 KGL 0.39
K4/007/2018	\$67,946.57	\$5,435.65	\$ 5,899.14	\$433.49	15,557 KGL 0.39
K4/008/2018	\$67,421.25	\$4,910.23	\$ 6,665.56	\$1,955.33	17,631 KGL 0.39
K4/009/2018	\$67,421.25	\$4,910.23	\$ 7,269.05	\$2,358.82	18,663 KGL 0.39
K4/010/2018	\$67,421.25	\$4,910.23	\$ 5,382.96	\$472.73	14,546 KGL 0.37
K4/011/2018	\$67,421.25	\$4,910.23	\$ 6,035.24	\$1,125.01	17,247 KGL 0.35
K4/012/2018	\$67,421.25	\$4,910.23	\$ 4,792.88	-\$117.35	13,688 KGL 0.35
K4/001/2019	\$67,421.25	\$4,910.23	\$ 5,294.75	\$384.52	15,181 KGL 0.35
K4/002/2019	\$67,421.25	\$4,910.23	\$ 4,798.79	-\$110.44	13,707 KGL 0.35
K4/003/2019	\$67,421.25	\$4,910.23	\$ 3,206.16	-\$1,734.07	9,166 KGL 0.35
K4/004/2019	\$67,421.25	\$4,910.23	\$ 5,101.49	\$191.26	14,578 KGL 0.35
K4/005/2019	\$67,421.25	\$4,910.23	\$ 5,138.70	\$228.47	14,689 KGL 0.35
K4/006/2019	\$67,421.25	\$4,910.23	\$ 5,427.10	\$516.87	15,536 KGL 0.35
K4/007/2019	\$67,421.25	\$4,910.23	\$ 5,673.85	\$783.62	16,226 KGL 0.35
K4/008/2019	\$65,609.37	\$3,098.36	\$ 6,512.10	\$3,413.74	18,612 KGL 0.35
K4/009/2019	\$65,609.37	\$3,098.36	\$ 7,502.79	\$4,404.43	21,454 KGL 0.35
K4/010/2019	\$65,609.37	\$3,098.36	\$ 3,444.78	-\$346.42	18,116 KGL 0.35
K4/011/2019	\$65,609.37	\$3,098.36	\$ 2,714.32	-\$384.04	14,276 KGL 0.35
K4/012/2019	\$65,609.37	\$3,098.36	\$ 3,679.99	\$572.63	19,368 KGL 0.35
K4/001/2020	\$65,609.37	\$3,098.36	\$ 2,606.08	-\$489.28	13,724 KGL 0.35
K4/002/2020	\$65,609.37	\$3,098.36	\$ 3,380.86	\$282.50	17,803 KGL 0.35
K4/003/2020	\$65,609.37	\$3,098.36	\$ 2,904.34	-\$194.02	15,284 KGL 0.35
K4/004/2020	\$65,609.37	\$3,098.36	\$ 3,902.03	\$603.67	20,535 KGL 0.35
K4/005/2020	\$65,609.37	\$3,098.36	\$ 3,515.95	\$417.59	18,506 KGL 0.35
K4/006/2020	\$65,609.37	\$3,098.36	\$ 3,613.04	\$514.68	19,016 KGL 0.35
K4/007/2020	\$77,964.93	\$15,453.91	\$ 4,211.35	-\$11,242.56	22,076 KGL 0.35
Result	\$ 2,267,059.05	\$ 261,114.44	\$ 263,415.93	\$ 2,401.49	

over/under collected all months = \$2,401.49
 over collected all months

		OVER BASELINE	REVENUES	OVER / UNDER
effective July 1, 2015	First Pass thru	\$ 31,622.63	\$ 27,339.30	-\$4,283.33
effective July 1, 2016	Second	\$ 66,576.63	\$ 67,143.44	\$566.81
effective Sept 1, 2017	Third	\$ 64,176.97	\$ 70,093.64	\$5,916.67
effective Sept 1, 2018	Fourth	\$ 55,799.05	\$ 64,867.81	\$9,068.76
effective Sept 1, 2019	Fifth	\$ 43,239.14	\$ 33,968.74	-\$9,270.40
			Net Over - Under -	\$2,401.49

Attachment CRP 1-16

Hornsby Bend - Summary of Invoiced Charges from
Epcor 130 Project Inc

Cross-Comp Code No.	Document Number	Document type	Posting Date	Document Date	Amount in doc. curr.	G/L Account	Vendor	Text	Reference	Month	HBUC Allocation
1900133005101019	1900133005	KR	9/12/2019	9/10/2019	-128,645.83	211000	1010181	PURCHASED WATER	WP091019	July	\$65,609.37
1900134188101019	1900134188	KR	10/11/2019	10/10/2019	-128,645.83	211000	1010181	PURCHASED WATER	WP101019	Aug	\$65,609.37
1900135855101019	1900135855	KR	11/20/2019	11/12/2019	-128,645.83	211000	1010181	PURCHASED WATER	WP111219	Sept	\$65,609.37
1900137216101019	1900137216	KR	12/12/2019	12/10/2019	-128,645.83	211000	1010181	PURCHASED WATER	WP121019	Oct	\$65,609.37
1900139084101020	1900139084	KR	1/17/2020	1/10/2020	-128,645.83	211000	1010181	PURCHASED WATER	WP011020	Nov	\$65,609.37
1900141806101020	1900141806	KR	2/13/2020	2/10/2020	-128,645.83	211000	1010181	PURCHASED WATER	WP021020	Dec	\$65,609.37
1900143492101020	1900143492	KR	3/18/2020	3/10/2020	-128,645.83	211000	1010181	PURCHASED WATER	WP031020	Jan	\$65,609.37
1900145251101020	1900145251	KR	4/27/2020	4/10/2020	-128,645.83	211000	1010181	PURCHASED WATER	WP041020	Feb	\$65,609.37
1900146079101020	1900146079	KR	5/12/2020	5/11/2020	-128,645.83	211000	1010181	PURCHASED WATER	WP051120	March	\$65,609.37
1900147730101020	1900147730	KR	6/11/2020	6/10/2020	-128,645.83	211000	1010181	PURCHASED WATER	WP061020	April	\$65,609.37
1900149570101020	1900149570	KR	7/22/2020	7/10/2020	-128,645.83	211000	1010181	PURCHASED WATER	WP071020	May	\$65,609.37
1900151298101020	1900151298	KR	8/28/2020	8/10/2020	-152,872.41	211000	1010181	PURCHASED WATER	WP081020	June	\$77,964.93
					-1,567,976.54						\$799,668.05

INVOICE



Epcor 130 Project Inc.
Cash Management
2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-12611

Invoice # 19710
Invoice Date 5-Aug-19
Due Date 4-Sep-19

SWWC Utilities, Inc.
Attn: Accounts Payable
12535 Reed Road
Sugar Land, TX 77478

RECEIVED
AUG 6 2019
SWWC-FSC-AP

Epcor 130 Project Inc.

Billing Period	Quantity Metered (Gallons)	Quantity Delivered (Gallons)	Monthly Water Contract Amount (Gallons)	Unit Price	Amount
Jul-19	33,792,000	33,792,000	27,083,333.33	4.75	128,645.83

Balance Due 128,645.83

	Co Code	PC	CC	GL	\$
Metro	5010	100720	50100001	550505	\$ 63,036.46
HBUC	2000	100705	20000002	550505	\$ 65,609.37

Contract Year Usage
July 2019 to June 2020

	Quantity Used	YTD Contracted Volume	Contract Overage
Total	33,792,000	27,083,333.33	6,708,666.67

Epcor 130 Project Inc.

Edward Taussig

INVOICE

2AE30E34C88B3624E43C678244428C84 contractworks

Invoice Number: 19710

Invoice Date 8/5/2019

Amount Billed 128,645.83

Amount Paid

Charles W. Profiles, Jr.

A20A5D925E763F188A7859ABE2108963 contractworks

Remit to: Epcor 130 Project Inc.
Attn: Cash Management
2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-12611

INVOICE



Epcor 130 Project Inc.
 Cash Management
 2355 W. Pinnacle Peak Road, Suite 300
 Phoenix, Arizona 85027-12611

Invoice # 19840
 Invoice Date 9-Sep-19
 Due Date 9-Oct-19

SWWC Utilities, Inc.
 Attn: Accounts Payable
 12535 Reed Road
 Sugar Land, TX 77478

RECEIVED

SEP 10 2019

SWWC-FSC-AP

Epcor 130 Project Inc.		Co Code	PC	CC	GL	\$
		5010	180720	50100001	550505	128,645.83
		2000	100705	20000002	550505	65,609.37
						63,036.46

Billing Period	(Gallons)	(Gallons)	Amount (Gallons)	Unit Price	Amount
Aug-19	43,866,333	43,866,333	27,083,333.33	4.75	128,645.83

Balance Due 128,645.83

Edward Taussig
 2M138634C8883624E41C670244428C04 contractworks.

Charles W. Profitt, Jr.
 AZDA5925E763E18DA7859A8E21D8563 contractworks July 2019 to June 2020

	Quantity Used	YTD Contracted Volume	Contract Coverage
Total	77,658,333	54,166,666.66	23,491,666.34

Epcor 130 Project Inc.

INVOICE

Invoice Number: 19840
 Invoice Date 9/9/2019
 Amount Billed 128,645.83
 Amount Paid

RECEIVED

OCT 11 2019

SWWC-FSC-AP

Remit to: Epcor 130 Project Inc.
 Attn: Cash Management
 2355 W. Pinnacle Peak Road, Suite 300
 Phoenix, Arizona 85027-12611

RECEIVED

OCT - 9 2019

INVOICE



SWWC-EQCCAF

Epcor 130 Project Inc.
Cash Management
2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-1261

Invoice # 16600000065
Invoice Date 9-Oct-19
Due Date 8-Nov-19

SWWC Utilities, Inc.
Attn: Accounts Payable
12535 Reed Road
Sugar Land, TX 77478

	Co Code	PC	CC	GL	\$
Metro	5010	100720	50100001	550505	\$ 128,645.83
HBUC	2000	100705	20000002	550505	\$ 63,036.46
					\$ 65,609.37

Epcor 130 Project Inc.

Billing Period	Quantity Metered (Gallons)	Quantity Delivered (Gallons)	Monthly Water Contract Amount (Gallons)	Unit Price	Amount
Sep-19	36,622,000	36,622,000	27,083,333.33	4.75	128,645.83

Edward Taussig

Balance Due 128,645.83

2AF38634C883624E41C67924442EC64 contractworks

Charles Profitt

A2DA5925E763E188A7059ABE21D0563 contractworks

Contract Year Usage
July 2019 to June 2020

	Quantity Used	YTD Contracted Volume	Contract Coverage
Total	114,280,333	81,249,999.99	33,030,333.01

Epcor 130 Project Inc.

INVOICE

Invoice Number: 16600000065
Invoice Date: 10/9/2019
Amount Billed: 128,645.83
Amount Paid: _____

Remit to: Epcor 130 Project Inc.
Attn: Cash Management
2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-1261

RECEIVED

NOV 12 2019

INVOICE



Epcor 130 Project Inc.
Cash Management

SWWC-PSC-AP

2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-12611

Invoice # 16600000083
Invoice Date 12-Nov-19
Due Date 17-Dec-19

SWWC Utilities, Inc.
Attn: Accounts Payable
12535 Reed Road
Sugar Land, TX 77478

Epcor 130 Project Inc.

Billing Period	Quantity Metered (Gallons)	Quantity Delivered (Gallons)	Monthly Water Contract Amount (Gallons)	Unit Price	Amount
Oct-19	25,157,000	25,157,000	27,083,333.33	4.75	128,645.83
Balance Due					128,645.83

	Co Code	PC	CC	GL	\$
Metro	5010	100720	50100001	550505	\$ 63,036.46
HEUC	2000	100705	20000002	550505	\$ 65,609.37

Contract Year Usage
July 2019 to June 2020

	Quantity Used	YTD Contracted Volume	Contract Coverage
Total	139,437,333	108,333,333.32	31,103,999.68

Epcor 130 Project Inc.

INVOICE

Edward Taussig

2#E3B634C80B3E24E41C87024420CB4 contractworks

Invoice Number: 16690000083

Invoice Date 11/12/2019

Amount Billed 128,645.83

Amount Paid

Resht to: Epcor 130 Project Inc.
Attn: Cash Management
2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-12611

Charles Profiles

A20A5D025E7E3E168A7069A8E210B563 contractworks

RECEIVED

DEC - 5 2019

SWWC-FSC-AP

INVOICE



Epcor 130 Project Inc
Cash Management
2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-12611

Invoice # 16600000105
Invoice Date 5-Dec-19
Due Date 4-Jan-20

SWWC Utilities, Inc.
Attn: Accounts Payable
12535 Reed Road
Sugar Land, TX 77478

Epcor 130 Project Inc.

Billing Period	Quantity Metered (Gallons)	Quantity Delivered (Gallons)	Monthly Water Contract Amount (Gallons)	Unit Price	Amount
Nov-19	18,252,060	18,252,000	27,083,333.33	4.75	128,645.83

Edward Tausig
2AE3B54C80B362AE41C67024420C84 contractworks.

Balance Due 128,645.83

Charles Proffitt

AZDA50995E783E188A7059A8E21DB563 contractworks

Co Code	PC	CC	GL	\$	
Metro	5010	100720	50100001	550505	\$ 63,036.46
HBUC	2000	100705	20000002	550505	\$ 65,609.37

Contract Year Usage
July 2019 to June 2020

Quantity Used	YTD Contracted Volume	Contract Overage	
Total	157,689,333	135,416,666.65	22,272,666.35

Epcor 130 Project Inc.

INVOICE

Invoice Number: 16600000105
Invoice Date 12/5/2019
Amount Billed 128,645.83
Amount Paid

Route to: Epcor 130 Project Inc.
Attn: Cash Management
2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-12611

INVOICE



Epcor 130 Project Inc.
Cash Management
2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-12611

Invoice # 16000000125
Invoice Date 8-Jan-20
Due Date 7-Feb-20

SWWC Utilities, Inc.
Attn: Accounts Payable
12535 Reed Road
Sugar Land, TX 77478

RECEIVED
JAN 8 2020
SWWC-FSC-AP

Epcor 130 Project Inc.

Billing Period	Quantity Metered (Gallons)	Quantity Delivered (Gallons)	Monthly Water Contract Amount (Gallons)	Unit Price	Amount
Dec-19	20,036,000	20,036,000	27,083,333.33	4.75	128,645.83

	Co Code	PC	CC	GL	\$	Balance Due	Amount
					128,645.83		
Metro	5010	100720	50100001	550505	\$ 63,036.46		
HBUC	2000	100705	20000002	550505	\$ 65,609.37		

Edward Tassig

2AE38634C8683674E41C67024426C04 contractworks

Charles Proffitt

A2DA5D925E763E18BA7059ABE2108563 contractworks

Contract Year Usage
July 2019 to June 2020

	Quantity Used	YTD Contracted Volume	Contract Overage
Total	177,725,333	162,499,999.98	15,225,333.02

Epcor 130 Project Inc.

INVOICE

Invoice Number: 16000000125
Invoice Date: 1/8/2020
Amount Billed: 128,645.83
Amount Paid:

Item to: Epcor 130 Project Inc.
Attn: Cash Management
2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-12611

INVOICE



Epcor 130 Project Inc
 Cash Management
 2355 W. Pinnacle Peak Road, Suite 300
 Phoenix, Arizona 85027-12611

Invoice # 16600000145
 Invoice Date 10-Feb-20
 Due Date 11-Mar-20

SWWC Utilities, Inc.
 Attn: Accounts Payable
 12535 Reed Road
 Sugar Land, TX 77478

RECEIVED
 FEB 11 2020
 SWWC-FSC-AP

Epcor 130 Project Inc.

Billing Period	Quantity Metered (Gallons)	Quantity Delivered (Gallons)	Monthly Water Contract Amount (Gallons)	Unit Price	Amount
Jan-20	18,934,000	18,934,000	27,083,333.33	4.75	128,645.83

	Co Code	PC	CC	GL	\$	128,645.83
Metro	5010	100720	5010C001	550505	\$	63,036.46
HBUC	2000	100705	2000C002	550505	\$	65,609.37

Balance Due 128,645.83

Contract Year Usage
 July 2019 to June 2020

	Quantity Used	YTD Contracted Volume	Contract Overage
Total	196,659,333	189,583,333.31	7,075,999.69

Epcor 130 Project Inc.

INVOICE

Edward Taussig

2AE3B634C8073924F41C670244470CA4

contract works

Jeffrey L McIntyre

BB50DC040769CE1A859D32804D285000

contract works

Invoice Number: 16600000145

Invoice Date 2/10/2020

Amount Billed 128,645.83

Amount Paid

Remit to: Epcor 130 Project Inc.
 Attn: Cash Management
 2355 W. Pinnacle Peak Road, Suite 300
 Phoenix, Arizona 85027-12611

INVOICE



Epcor 130 Project Inc.
Cash Management
2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-12611

Invoice # 16600000164
Invoice Date 11-Mar-20
Due Date 10-Apr-20

SWWC Utilities, Inc.
Attn: Accounts Payable
12535 Road Road
Sugar Land, TX 77478

RECEIVED
MAR 12 2020
SWWC-FSC-AP

Epcor 130 Project Inc.

Billing Period	Quantity Metered (Gallons)	Quantity Delivered (Gallons)	Monthly Water Contract Amount (Gallons)	Unit Price	Amount
Feb-20	18,993,000	18,993,000	27,083,333.33	4.75	128,645.83

	Co Code	PC	CC	GL	\$	
					128,645.83	
Metro	5010	100720	50100001	550505	\$	63,036.46
HFUC	2000	100705	20000002	550505	\$	65,609.37
						Balance Due 128,645.83

Contract Year Usage
July 2019 to June 2020

	Quantity Used	YTD Contracted Volume	Contract Coverage
Total	215,652,333	216,666,666.64	(1,014,333.64)

Epcor 130 Project Inc.

INVOICE

Ed Tausig

2AE3B834C80B362E41C670344420C84 contract works.

Invoice Number: 166000000164

Invoice Date 3/11/2020

Amount Billed 128,645.83

Amount Paid

Jeffrey L McIntyre

88500040769CE1A85813230410295030 contract works.
Rout to: Epcor 130 Project Inc.
Attn: Cash Management
2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-12611

INVOICE



Epcor 130 Project Inc
 Cash Management
 2355 W. Pinnacle Peak Road, Suite 300
 Phoenix, Arizona 85027-12611

Invoice # 16600000185
 Invoice Date 8-Apr-20
 Due Date 8-May-20

SWWC Utilities, Inc.
 Attn: Accounts Payable
 12535 Reed Road
 Sugar Land, TX 77478

Epcor 130 Project Inc.

Billing Period	Quantity Metered (Gallons)	Quantity Delivered (Gallons)	Monthly Water Contract Amount (Gallons)	Unit Price	Amount
Mar-20	22,431,000	22,431,000	27,083,333.33	4.75	128,545.83

Co Code	PC	CL	GL	Amount	Balance Due
				\$ 128,645.83	128,645.83
Metro	5010	100720	50100001	550505	\$ 63,036.46
HEUC	2000	100705	20000002	550505	\$ 65,609.37

Ed. Taussig

2AE3B634C80R3624F4C670244420C0R4 contractworks.

Contract Year Usage
 July 2019 to June 2020

	Quantity Used	YTD Contracted Volume	Contract Overage
Total	238,083,333	243,749,999.97	(5,666,666.97)

Jeffrey L McIntyre

BB500C040789CF1AE3B032304D295030 contractworks.

Epcor 130 Project Inc.

INVOICE

Invoice Number: 16600000185
 Invoice Date 4/8/2020
 Amount Billed 128,645.83
 Amount Paid _____

Remit to: Epcor 130 Project Inc.
 Attn: Cash Management
 2355 W. Pinnacle Peak Road, Suite 300
 Phoenix, Arizona 85027-12611

INVOICE



Epcor 130 Project Inc.
 Cash Management
 2355 W. Pinnacle Peak Road, Suite 300
 Phoenix, Arizona 85027-12611

Invoice # 16600000203
 Invoice Date 14-May-20
 Due Date 13-Jun-20

SWWC Utilities, Inc.
 Attn: Accounts Payable
 12535 Reed Road
 Sugar Land, TX 77478



Epcor 130 Project Inc.

Billing Period	Quantity Metered (Gallons)	Quantity Delivered (Gallons)	Monthly Water Contract Amount (Gallons)	Unit Price	Amount
Apr-20	26,382,000	26,382,000	27,083,333.33	4.75	128,645.83

Co Code	PC	CC	GL	\$	Balance Due
Metro	5010	100720	50100001	550505	\$ 63,036.46
HBUC	2000	100705	20000002	550505	\$ 65,609.37
					\$ 128,645.83

Ed. Taussig

Jeffrey L. McIntyre

2AE98634C80B36ME41C67024470CR4 CONTRACT WORKS

BB500C049769CE1A6581D323043295030 CONTRACT WORKS

Contract Year Usage
 July 2019 to June 2020

	Quantity Used	YTD Contracted Volume	Contract Coverage
Total	264,465,333	270,833,333.30	(6,368,000.30)



Epcor 130 Project Inc.

INVOICE

Invoice Number: 16600000203
 Invoice Date 5/14/2020
 Amount Billed 128,645.83
 Amount Paid _____

Remit to: Epcor 130 Project Inc.
 Attn: Cash Management
 2355 W. Pinnacle Peak Road, Suite 300
 Phoenix, Arizona 85027-12611

INVOICE



Epcor 130 Project Inc.
Cash Management
2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-12611

Invoice # 166000000207
Invoice Date 12-Jun-20
Due Date 12-Jul-20

SWWC Utilities, Inc
Attn: Accounts Payable
12535 Reed Road
Sugar Land, TX 77478

Received On:
6/15/20

Epcor 130 Project Inc.

Billing Period	Quantity Metered (Gallons)	Quantity Delivered (Gallons)	Monthly Water Contract Amount (Gallons)	Unit Price	Amount
May-20	30,071,000	30,071,000	27,083,333.33	4.75	128,645.83

Ed Taussig

2AE98634C8083624E41C6702444720C34 contractworks

Balance Due 128,645.83

	Co Code	PC	CC	GL	\$
					128,645.83
Metro	5010	100720	50100001	550505	\$ 63,036.46
HBUC	2000	100705	20000002	550505	\$ 65,609.37

Jeffrey L McIntyre

B9500C040769CE1A85B032304D295030 contractworks

Contract Year Usage
July 2019 to June 2020

	Quantity Used	YTD Contracted Volume	Contract Overage
Total	294,536,333	297,916,666.63	(3,380,333.63)

Epcor 130 Project Inc.

INVOICE

Invoice Number: 166000000207

Invoice Date 6/12/2020

Amount Billed 128,645.83

Amount Paid _____

Remit to: Epcor 130 Project Inc.
Attn: Cash Management
2355 W. Pinnacle Peak Road, Suite 300
Phoenix, Arizona 85027-12611

INVOICE



Epcor 130 Project Inc
 Cash Management
 2355 W Pinnacle Peak Road, Suite 300
 Phoenix, Arizona 85027-12611

Invoice # 16600000231
 Invoice Date 9-Jul-20
 Due Date 8-Aug-20

SWWC Utilities, Inc
 Attn: Accounts Payable
 12535 Reed Road
 Sugar Land, TX 77478



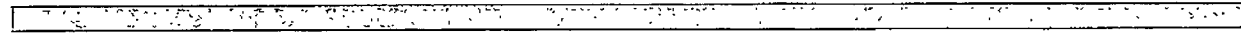
Epcor 130 Project Inc.

Billing Period	Quantity Metered (Gallons)	Quantity Delivered (Gallons)	Monthly Water Contract Amount (Gallons)	Unit Price	Amount
Jun-20	35,564,000	35,564,000	27,083,333.33	4.75	128,645.83
		Annual Average	5,100,333.04	4.75	24,226.58
				Balance Due	152,872.41

Co Code	PC	CC	GL	\$	152,872.41
Metro	5010	100720	50100001	550505	\$ 74,907.48
HBUC	2000	100705	20000002	550505	\$ 77,964.93

Contract Year Usage
 July 2019 to June 2020

	Quantity Used	YTD Contracted Volume	Contract Overage
Total	330,100,333	324,999,999.96	5,100,333.04



Epcor 130 Project Inc.

Edward Taussig

INVOICE

2AE3B634C80B3624E41C670244423C84 contractworks

Invoice Number: 16600000231

Jeffrey L McIntyre

Invoice Date 7/9/2020

BB500C040769CE1A858032304D29E030 contractworks

Amount Billed 152,872.41

Amount Paid _____

Remit to: Epcor 130 Project Inc.
 Attn: Cash Management
 2355 W. Pinnacle Peak Road, Suite 300
 Phoenix, Arizona 85027-12611

Attachment CRP 1-16
Page 22 of 22
Attachment E - Tariff Page

SWWC Utilities, Inc., dba
Hornsby Bend Utility Company, Inc.

Water Utility Tariff Page No. 2

SECTION 1.0 -- RATE SCHEDULE

Section 1.01 - Rates

Phase I rates effective January 1, 2014

<u>Meter Size</u>	<u>Monthly Minimum Charge</u> (Includes 0 gallons all meters)	<u>Gallonge Charge</u>
5/8"	\$45.00	\$6.87 per 1,000 gallons
3/4"	\$67.50	*Plus pass-through
1"	\$112.50	fee listed below
1½"	\$225.00	
2"	\$360.00	
3"	\$675.00	
4"	\$1,125.00	
6"	\$2,250.00	
8"	\$3,600.00	
10"	\$5,175.00	
12"	\$11,250.00	

Phase II rates effective January 1, 2017

<u>Meter Size</u>	<u>Monthly Minimum Charge</u> (Includes 0 gallons all meters)	<u>Gallonge Charge*</u>
5/8"	\$47.03	\$7.18 per 1,000 gallons
3/4"	\$70.55	*Plus pass-through
1"	\$117.58	fee listed below
1½"	\$235.15	
2"	\$376.24	
3"	\$705.45	
4"	\$1,175.75	
6"	\$2,351.50	
8"	\$3,762.40	
10"	\$5,408.45	
12"	\$11,757.50	

\$0.23

*Epcor 130 Project Pass-Through Fee ~~\$0.19~~ per 1,000 gallons
(Tariff Control No. 49840)

FORM OF PAYMENT: The utility will accept the following forms of payment:

Cash X, Check X, Money Order X, MasterCard X, Visa X, Electronic Fund Transfer X

THE UTILITY MAY REQUIRE EXACT CHANGE FOR PAYMENTS AND MAY REFUSE TO ACCEPT PAYMENTS MADE USING MORE THAN \$1.00 IN SMALL COINS. A WRITTEN RECEIPT WILL BE GIVEN FOR CASH PAYMENTS. AT THE CUSTOMER'S OPTION, ANY BILLING TRANSACTION OR COMMUNICATION MAY BE PERFORMED ON THE INTERNET. THIS INCLUDES THE UTILITY SENDING PAPERLESS BILLS BY EMAIL.

REGULATORY ASSESSMENT..... 1.0%

PUC RULES REQUIRE THE UTILITY TO COLLECT A FEE OF ONE PERCENT OF THE RETAIL MONTHLY BILL AND TO REMIT FEE TO THE TEXAS COMMISSION ON ENVIRONMENTAL QUALITY (TCEQ).

Tariff Control No. 49840

DOCKET NO. 51166

HBUC'S RESPONSE TO CRP'S FIRST RFI

CRP 1-17: Copy of HBUC's most recent three (3) Annual Financial Statements.

RESPONSE: None.

Prepared by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company,
Inc.

Sponsored by: Jeffrey McIntyre, SWWC Utilities, Inc. d/b/a Hornsby Bend Utility Company,
Inc.