

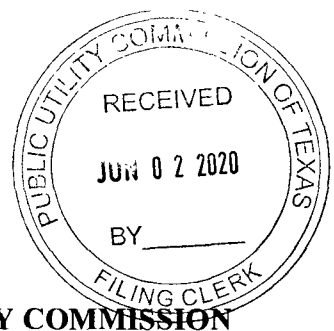


Control Number: 50311



Item Number: 41

Addendum StartPage: 0



**DOCKET NO. 50311**

**APPLICATION OF COPANO  
HEIGHTS WATER COMPANY AND  
CSWRTEXAS UTILITY OPERATING  
COMPANY, LLC FOR SALE,  
TRANSFER, OR MERGER OF  
FACILITIES AND CERTIFICATE  
RIGHTS IN ARANSAS COUNTY**

§  
§  
§  
§  
§  
§  
§

**PUBLIC UTILITY COMMISSION  
OF TEXAS**

**COMMISSION STAFF'S THIRD REQUEST FOR INFORMATION  
TO CSWR-TEXAS UTILITY OPERATING COMPANY, LLC  
QUESTION NOS. STAFF 3-1 THROUGH STAFF 3-2**

Pursuant to 16 Texas Administrative Code (TAC) § 22.144 of the Commission's Procedural Rules, the Staff of the Public Utility Commission of Texas (Staff) requests that CSWR-Texas Utility Operating Company, LLC (Applicants) by and through their attorneys of record, provide the following information and answer the following question(s) under oath. The question(s) shall be answered in sufficient detail to fully present all of the relevant facts, within the time limit provided by the Presiding Officer or within 20 days, if the Presiding Officer has not provided a time limit. Please copy the question immediately above the answer to each question. These question(s) are continuing in nature, and if there is a relevant change in circumstances, submit an amended answer, under oath, as a supplement to your original answer. State the name of the witness in this cause who will sponsor the answer to the question and can vouch for the truth of the answer.

Provide an original and three copies of your answers to the questions to the Filing Clerk, Public Utility Commission of Texas, 1701 N. Congress Avenue, P.O. Box 13326, Austin, Texas 78711-3326.

Dated: June 2, 2020

Respectfully submitted,

**PUBLIC UTILITY COMMISSION OF TEXAS  
LEGAL DIVISION**

Rachelle Nicolette Robles  
Division Director

Heath D. Armstrong  
Managing Attorney

/s/ Rustin Tawater  
Rustin Tawater  
State Bar No. 24110430  
1701 N. Congress Avenue  
P.O. Box 13326  
Austin, Texas 78711-3326  
(512) 936-7230  
(512) 936-7268 (facsimile)  
rustin.tawater@puc.texas.gov

**DOCKET NO. 50311**

**CERTIFICATE OF SERVICE**

I certify that, unless otherwise ordered by the presiding officer, notice of the filing of this document was provided to all parties of record via electronic mail on June 2, 2020, in accordance with the Order Suspending Rules, issued in Project No. 50664.

/s/ Rustin Tawater  
Rustin Tawater

**DOCKET NO. 50311**

**COMMISSION STAFF'S THIRD REQUEST FOR INFORMATION  
TO CSWR-TEXAS UTILITY OPERATING COMPANY, LLC  
QUESTION NOS. STAFF 3-1 THROUGH STAFF 3-2**

**DEFINITIONS**

- 1) "CSWR, LLC" or "you" refers to CSWR-Texas Utility Operating Company, LLC and any person acting or purporting to act on their behalf, including without limitation, attorneys, agents, advisors, investigators, representatives, employees or other persons.
  
- 2) "Document" includes any written, recorded, or graphic matter, however produced or reproduced, including but not limited to correspondence, telegrams, contracts, agreements, notes in any form, memoranda, diaries, voice recording tapes, microfilms, pictures, computer media, work papers, calendars, minutes of meetings or other writings or graphic matter, including copies containing marginal notes or variations of any of the foregoing, now or previously in your possession. In the event any documents requested by this Request for Information have been transferred beyond the Company's control, describe the circumstances under which the document was destroyed or transferred and provide an exact citation to the subject document. In the event that documents containing the exact information do not exist, but documents do exist which contain portions of the required information or which contain substantially similar information, then the definition of "documents" shall include the documents which do exist and these documents will be provided.

**DOCKET NO. 50311**

**COMMISSION STAFF'S THIRD REQUEST FOR INFORMATION  
TO CSWR-TEXAS UTILITY OPERATING COMPANY, LLC  
QUESTION NOS. STAFF 3-1 THROUGH STAFF 3-2**

**INSTRUCTIONS**

- 1) Pursuant to 16 TAC § 22.144(c)(2), Staff requests that answers to the requests for information be made under oath.
- 2) Please copy the question immediately above the answer to each question. State the name of the witness in this cause who will sponsor the answer to the question and can vouch for the truth of the answer.
- 3) These questions are continuing in nature, and if there is a relevant change in circumstances, submit an amended answer, under oath, as a supplement to your original answer.
- 4) Words used in the plural shall also be taken to mean and include the singular. Words used in the singular shall also be taken to mean and include the plural.
- 5) The present tense shall be construed to include the past tense, and the past tense shall be construed to include the present tense.
- 6) If any document is withheld under any claim of privilege, please furnish a list identifying each document for which a privilege is claimed, together with the following information: date, sender, recipients or copies, subject matter of the document, and the basis upon which such privilege is claimed.
- 7) Pursuant to 16 TAC § 22.144(h)(4), if the response to any request is voluminous, please provide a detailed index of the voluminous material.
- 8) Staff requests that each item of information be made available as it is completed, rather than upon completion of all information requested.

**DOCKET NO. 50311**

**COMMISSION STAFF'S THIRD REQUEST FOR INFORMATION  
TO CSWR-TEXAS UTILITY OPERATING COMPANY, LLC  
QUESTION NOS. STAFF 3-1 THROUGH STAFF 3-2**

**STAFF 3-1** Please provide CSWR, LLC and subsidiaries' 2018 and 2019 audited financial statements including the opinion letter and notes to the financial statements.

**STAFF 3-2** Please provide evidence of CSWR, LLC and subsidiaries' current cash balance available for purchase price requirements and investment in any required improvements to systems purchased as indicated in the New Gen Strategies & Solutions memorandum dated February 26, 2020.