

Control Number: 49888



Item Number: 5

Addendum StartPage: 0

DeAnn T. Walker Chairman

Arthur C. D'Andrea Commissioner

Shelly Botkin Commissioner

John Paul Urban Executive Director



Greg Abbott Governor

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## **Public Utility Commission of Texas**

October 31, 2019

Via facsimile 972-854-6675 Mr. James Bell 720 Montrose Trail Desoto, TX 75115

> RE: Docket No. 49888, Application of Electric Connection for an Aggregator Registration Pursuant to 16 Texas Administrative Code § 25.111 – Notice of Deficiency

Dear Mr. Bell,

On August 23, 2019, Electric Connection (or the Company) filed an application for registration as an aggregator pursuant to 16 Texas Administrative Code (TAC) § 25.111.

On September 5, 2019, Commission Staff (Staff) issued a Notice of Deficiency to Electric Connection. On October 15, 2019, Electric Connection filed an amended application to address the identified deficiencies.

Staff has reviewed Electric Connection's amended application to register as an aggregator and has determined that the application remains deficient. The information provided in the amended application cured three of six deficiencies identified in Staff's Notice of Deficiency, filed September 5, 2019.

Staff cannot proceed with a substantive review of Electric Connection's application until the application is deemed complete. If Electric Connection does not provide the missing information and cure the remaining deficiencies within ten business days, or request additional time to provide such information, Staff will be unable to recommend approval of the application.

The outstanding deficiencies are set forth below:

1. Part B, Question 2 – Box is checked, but the Affidavit is missing "statement (a)," which must be incorporated into the Affidavit.

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- 2. Part C, Question 1 Applicant checked "NO, Registering Party will not collect deposits or other advance payments from customers in advance of delivering aggregation services." This question states "if 'NO' box is selected, stop here and proceed to Affidavit." However, applicant checked Question 2(b) Financial evidence, which indicates the financial instrument to be used to demonstrate compliance with the financial requirements as "a certificate of deposit with a bank or other financial institution." The documentation provided in the application is not a certificate of deposit but a "Deposit Account Balance Summary." Additionally, the Company did not provide the attachments required under Questions 2(d), 2(e), and 2(f).
- 3. Part C, Question 2- Applicant checked the box designating, "Alternative Financial Qualifications for Limited Registration," but failed to incorporate the required statement in the Affidavit.

Please correct the identified deficiencies by filing the required information as a supplement to the amended application, under a cover letter directed to the Commission's Central Records Filing Clerk. Please reference Docket No. 49888 on the cover letter. As similarly required with the original application, please submit a total number of seven copies of the cover letter and supplement to the amended application. Please do not send your response directly to Legal Staff. Rather, the supplemental filing must be directed to Commission's Central Records.

As noted above, if you do not provide the information within ten business days or request additional time to provide the information, Electric Connection's application will be denied. If you have any questions, please contact Grace Godines at 512-936-7131.

Sincerely,

Richard Nemer Attorney - Legal Division