

Control Number: 49779



Item Number: 973

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ELECTRICITY BROKER REGISTRATION FORM  
FILING CLERK

PROJECT NO. 49779

**1. Check the most appropriate box to describe this submission:**

This is a new broker registration

This supplies information for a pending broker registration

This amends an existing, completed broker registration

Provide an explanation of the amendment:  
\_\_\_\_\_

**2. Authorized Representative or Attorney to contact about this application:**

<b>Name</b> Kelly Curtis	<b>Title</b> CFO	
<b>Business Address</b> 893 W Baxter Dr		
<b>City</b> South Jordan	<b>State</b> UT	<b>Zip</b> 84095
<b>Telephone Number</b> (801) 808-7881		
<b>Email Address</b> Kelly@energyaex.com		

**3. Registering Entity: List the registering entity's legal name, business address, and telephone number.**

<b>Name</b> Energy Auction Exchange		
<b>Business address</b> 893 W Baxter Dr		
<b>City</b> South Jordan	<b>State</b> UT	<b>Zip</b> 84095
<b>Telephone Number</b> (801) 826-4880		

**4. Type of organization of registering entity:**

Sole proprietor  Other

Corporation

Limited Liability Company, L.L.C

Limited Partnership

2115

**5. Description of the brokerage services provided by the registering entity and type of customers served.**

Description of Services:

Energy broker for electricity and gas services \_\_\_\_\_

Types of Customers: *Check all that apply*

- Residential                       Industrial                       Other  
 Commercial                       Municipalities

**6. Other Names.** List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Secretary of State.

1 <sup>st</sup>	2 <sup>nd</sup>
3 <sup>rd</sup>	5 <sup>th</sup>

**7. Officers.** Provide, as **Attachment A**, the names, business addresses, email addresses, and phone numbers of the registering entity's officers, directors, and partners, as applicable.

Attachment A

**8. Customer Service Contact.** List the telephone number and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, address, email address, and telephone number of the customer service contact person.

Customer Service Department	Telephone Number	Email Address
Name Kelly Curtis	Title CFO	
Business address 893 W Baxter Dr		
City South Jordan	State UT	Zip 84095
Telephone Number 801-808-7881		
Email Address Kelly@energyaex.com		

**9. Regulatory contact person.** List the name, physical business address, telephone number, and email address for a regulatory contact person.

Name Kelly Curtis	Title CFO
Business address 893 W Baxter Dr	
City South Jordan	State UT
Zip 84095	
Telephone Number (801) 808-7881	
Email Address Kelly@energyaex.com	

**10. Secretary of State Record.** Entities who must register with the Secretary of State must provide a copy of the certificate of status issued by the Texas Secretary of State certifying that the registering entity is authorized to transact business in Texas.

Copy of Secretary of State certificate of status is attached.

**AFFIDAVIT**

My name is Kelly Curtis. I am the CFO of the Registering Entity.

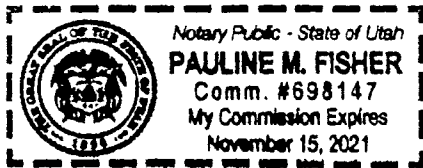
I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that all statements made in the Registration Form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the registering entity understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.

[Signature]  
Signature of Registering Entity's Owner, Partner, or Officer

Kelly Curtis  
Printed Name

Energy Auction Exchange, LLC  
Name of Registering Entity

Sworn and subscribed before me this 30 day of August, 19.  
Month Year



Pauline M. Fisher  
Notary Public in and For the State of Salt Lake.  
My commission expires on 11-15-21

**Attachment A** – Names, business addresses, email addresses and phone numbers of the registering entity's offices, directors and partners, as applicable:

Kelly Curtis, CFO

893 W Baxter Drive, South Jordan, UT 84095

Kelly@energyaex.com

801-808-7381

Gunther Fischli, COO

893 W Baxter Drive, South Jordan, UT 84095

Gunther@energyaex.com

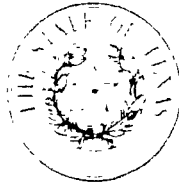
801-671-7176

Robert Deuberry, President of Sales

893 W Baxter Drive, South Jordan, UT 84095

Robert@energyaex.com

614-203-6831



## Office of the Secretary of State

### CERTIFICATE OF FILING OF

Energy Auction Exchange LLC  
File Number 803400406

The undersigned, as Deputy Secretary of State of Texas, hereby certifies that an Application for Registration for the above named Foreign Limited Liability Company (LLC) to transact business in this State has been received in this office and has been found to conform to the applicable provisions of law.

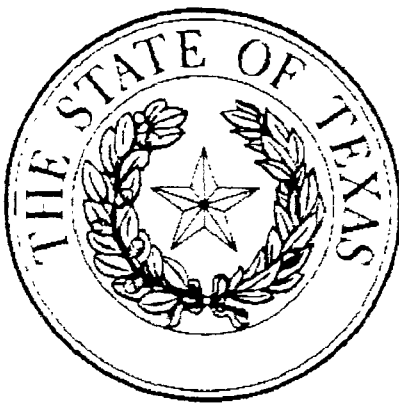
ACCORDINGLY, the undersigned, as Deputy Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing the authority of the entity to transact business in this State from and after the effective date shown below for the purpose or purposes set forth in the application under the name of

Energy Auction Exchange LLC

The issuance of this certificate does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated 08/21/2019

Effective 08/21/2019



A handwritten signature in black ink, appearing to read "Jose A. Esparza".

Jose A. Esparza  
Deputy Secretary of State