



Control Number: 49779



Item Number: 813

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PUBLIC UTILITY COMMISSION
CLERK

ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

1. Check the most appropriate box to describe this submission:

This is a new broker registration

This supplies information for a pending broker registration.

This amends an existing, completed broker registration

Provide an explanation of the amendment:

2. Authorized Representative or Attorney to contact about this application

Name <i>Justin Kaplan</i>		Title <i>Managing Member</i>
Business Address <i>2929 Carlisle St. # 115</i>		
City <i>Dallas</i>	State <i>TX</i>	Zip <i>75204</i>
Telephone Number <i>214 228 0107</i>		
Email Address <i>justin@Tier1energy.com</i>		

3. Registering Entity List the registering entity's legal name, business address, and telephone number.

Name <i>Tier1 Energy, LLC</i>		
Business address <i>2929 Carlisle St. # 115</i>		
City <i>Dallas</i>	State <i>TX</i>	Zip <i>75204</i>
Telephone Number <i>214 228 0107</i>		

4. Type of organization of registering entity:

Sole proprietor Other

Corporation

Limited Liability Company, L.L.C

Limited Partnership

813

5. Description of the brokerage services provided by the registering entity and type of customers served.

Description of Services: Energy Brokerage

Types of Customers: *Check all that apply*

- Residential
 Industrial
 Other
 Commercial
 Municipalities

6. Other Names List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Secretary of State

1 st <u>Tier I Energy</u>	2 nd
3 rd	4 th
	5 th

7. Officers. Provide, as Attachment A, the names, business addresses, email addresses, and phone numbers of the registering entity's officers, directors, and partners, as applicable.

Attachment A

8. Customer Service Contact. List the telephone number and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, address, email address, and telephone number of the customer service contact person.

Customer Service Department	Telephone Number	Email Address
	<u>214 228 0107</u>	<u>justin@Tier1Energy.com</u>
Name	Title	
<u>Justin Kaplan</u>	<u>Managing Member</u>	
Business address <u>2929 Carlisle St # 115</u>		
City	State	Zip
<u>Dallas</u>	<u>TX</u>	<u>75204</u>
Telephone Number	<u>214 228 0107</u>	
Email Address	<u>justin@Tier1Energy.com</u>	

9. Regulatory contact person. List the name, physical business address, telephone number, and email address for a regulatory contact person

Name	Title
<u>Justin Kaplan</u>	<u>Managing Member</u>
Business address <u>2929 Carlisle St. # 115</u>	
City	State
<u>Dallas</u>	<u>TX</u>
Zip	<u>75204</u>
Telephone Number	<u>214 228 0107</u>
Email Address	<u>justin@Tier1Energy.com</u>

10. Secretary of State Record. Entities who must register with the Secretary of State must provide a copy of the certificate of status issued by the Texas Secretary of State certifying that the registering entity is authorized to transact business in Texas.

Copy of Secretary of State certificate of status is attached.

AFFIDAVIT

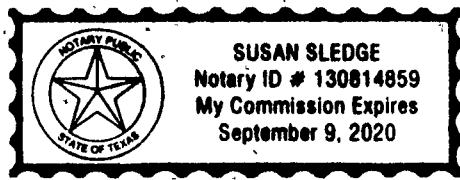
My name is Justin Kaplan am the Managing Member of the Registering Entity.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that all statements made in the Registration Form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the registering entity understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.

[Signature]
Signature of Registering Entity's Owner, Partner, or Officer
Justin Kaplan
Printed Name
Tier 1 Energy, LLC
Name of Registering Entity

Sworn and subscribed before me this 26th day of August, 2019
Month Year

[Signature]
Notary Public in and For the State of Texas
My commission expires on _____





Office of the Secretary of State

CERTIFICATE OF FILING OF

Tier1 Energy, LLC
File Number: 801202314

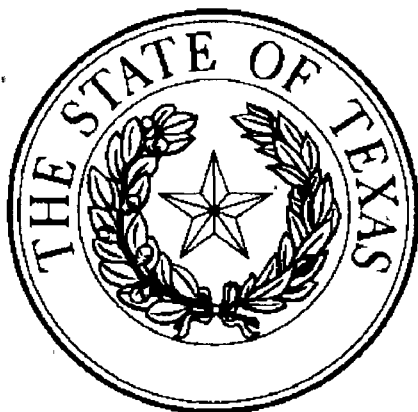
The undersigned, as Secretary of State of Texas, hereby certifies that a Certificate of Formation for the above named Domestic Limited Liability Company (LLC) has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing filing effective on the date shown below.

The issuance of this certificate does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated: 12/08/2009

Effective: 12/08/2009



A handwritten signature in black ink, appearing to read "Hope Andrade".

Hope Andrade
Secretary of State