



Control Number: 49779



Item Number: 654

Addendum StartPage: 0



RECEIVED  
2019 AUG 27 AM 10:08  
PUBLIC UTILITY COMMISSION  
FILING CLERK

## ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

### 1. Check the most appropriate box to describe this submission:

- ☒ This is a new broker registration
- ☐ This supplies information for a pending broker registration
- ☐ This amends an existing, completed broker registration

Provide an explanation of the amendment:

### 2. Authorized Representative or Attorney to contact about this application:

Name Jeffrey Pollock

Title President

Business Address 12647 Olive Blvd., Ste. 585

City St. Louis

State MO

Zip 63141

Telephone Number (314) 878-5814

Email Address jcp@jpollockinc.com

### 3. Registering Entity: List the registering entity's legal name, business address, and telephone number.

Name J Pollock, Inc

Business address 12647 Olive Blvd., Ste. 585

City St. Louis

State MO

Zip 63141

Telephone Number (314) 878-5814

### 4. Type of organization of registering entity:

- ☐ Sole proprietor ☐ Other
- ☒ Corporation
- ☐ Limited Liability Company, L.L.C
- ☐ Limited Partnership

654

<b>5. Description of the brokerage services provided by the registering entity and type of customers served.</b>		
Description of Services: Consulting services provided for Regulatory Issues, Energy Procurement and Supply & Market Analysis		
Types of Customers: <i>Check all that apply</i>		
<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Industrial	<input type="checkbox"/> Other
<input checked="" type="checkbox"/> Commercial	<input type="checkbox"/> Municipalities	
<b>6. Other Names.</b> List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Secretary of State.		
1 <sup>st</sup>	2 <sup>nd</sup>	
3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>
<b>7. Officers.</b> Provide, as <b>Attachment A</b> , the names, business addresses, email addresses, and phone numbers of the registering entity's officers, directors, and partners, as applicable.		
<input checked="" type="checkbox"/> Attachment A		
<b>8. Customer Service Contact.</b> List the telephone number and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, address, email address, and telephone number of the customer service contact person.		
<b>Customer Service Department</b>	<b>Telephone Number</b> (314) 878-5814	<b>Email Address</b> CEF@JPOLLOCKINC.COM
<b>Name</b> Carrie Flick		<b>Title</b> Office Manager
<b>Business address</b> 12647 Olive Blvd., Ste. 585		
<b>City</b> St. Louis	<b>State</b> MO	<b>Zip</b> 63141
<b>Telephone Number</b> 3148785814		
<b>Email Address</b> cef@jpollockinc.com		
<b>9. Regulatory contact person.</b> List the name, physical business address, telephone number, and email address for a regulatory contact person.		
<b>Name</b> Jeffry Pollock		<b>Title</b> President
<b>Business address</b> 12647 Olive Blvd., Ste. 585		
<b>City</b> St. Louis	<b>State</b> MO	<b>Zip</b> 63141
<b>Telephone Number</b> (314) 878-5814		
<b>Email Address</b> jcp@jpollockinc.com		

**10. Secretary of State Record.** Entities who must register with the Secretary of State must provide a copy of the certificate of status issued by the Texas Secretary of State certifying that the registering entity is authorized to transact business in Texas.

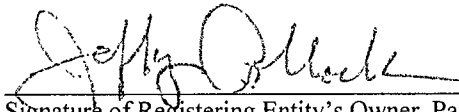
☒ Copy of Secretary of State certificate of status is attached.



## AFFIDAVIT

My name is Jeffry Pollock. I am the President of the Registering Entity.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that all statements made in the Registration Form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the registering entity understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.



Signature of Registering Entity's Owner, Partner, or Officer

Jeffry Pollock

Printed Name

J Pollock, Inc

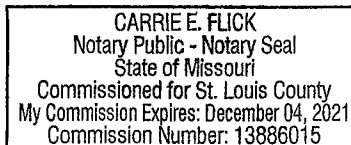
Name of Registering Entity

Sworn and subscribed before me this 23rd day of August, 2019.  
Month Year



Notary Public in and For the State of Missouri

My commission expires on 12-04-21



## **Attachment A**

President: Jeffry Pollock

Secretary: Jeffry Pollock

Sole Board of Director: Jeffry Pollock

Principal Place of Business: 12647 Olive Blvd., Ste. 585, St. Louis, MO 63141

Email: [jcp@jpollockinc.com](mailto:jcp@jpollockinc.com)

Texas Certificate of Authority: 80042033

Missouri S Corp Certificate of Incorporation: 00621444

Business Phone Number: 314-878-5814



## Office of the Secretary of State

### CERTIFICATE OF AUTHORITY OF

J. Pollock, Inc.  
Filing Number: 800420033

The undersigned, as Secretary of State of Texas, hereby certifies that an application of the above named corporation for a Certificate of Authority to transact business in this State under the Texas Business Corporation Act has been received in this office and has been found to conform to law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the Secretary by law, hereby issues this Certificate of Authority to transact business in this State from and after this date for the purpose or purposes set forth in the application under the name of

J. Pollock, Inc.

Dated: 12/01/2004

Effective: 12/01/2004



A handwritten signature in black ink, appearing to read "G. Connor".

Geoffrey S. Connor  
Secretary of State

**Form 301**  
**(revised 9/03)**

This space reserved for office use.



**Application for  
Certificate of Authority  
Article 8.05  
Texas Business  
Corporation Act**

**FILED**  
**In the Office of the**  
**Secretary of State of Texas**  
**DEC 01 2004**  
**Corporations Section**

Return in Duplicate to:  
Secretary of State  
P.O. Box 13697  
Austin, TX 78711-3697  
FAX: 512/463-5709

**Filing Fee: \$750**

1. The name of the corporation is as set forth below:

J. Pollock, Inc.

The name must not be the same as, deceptively similar to or similar to that of an existing corporate, limited liability company, or limited partnership name on file with the secretary of state. A preliminary check for "name availability" is recommended.

2A. The name of the corporation in its jurisdiction of incorporation does not contain the word "corporation," "company," "incorporated," or "limited" (or an abbreviation thereof). The name of the corporation with the word or abbreviation that it elects to add for use in Texas is:

2B. The corporation name is not available in Texas. The assumed name under which the corporation will qualify and transact business in Texas is:

3. Its federal employer identification number is: 20-1879040

☐ Federal employer identification number information is not available at this time.

4. It is incorporated under the laws of: (set forth state or foreign country) Missouri

5. The date of its incorporation is November 12, 2004 and its period of duration is:

☒ perpetual or ☐ limited duration of a term of years set as: \_\_\_\_\_

6. The principal office address in the state or country of the corporation's jurisdiction of formation is:  
(If the corporation does not maintain an office address in its jurisdiction of formation, then provide the registered office address of its registered agent in the jurisdiction of incorporation in the space provided below.)

% Gary R. Sarachan, Esq.

7701 Forsyth Blvd., Fourth Floor	St. Louis	Missouri	63105
Address	City	State/Country	Zip/Postal Code

7. Its proposed registered agent in Texas is: (See instructions. Cannot be corporation named above.)

Capitol Corporate Services, Inc.

and the street address of its proposed registered office, which is the business office address of its proposed registered agent in Texas, is: (A post office box address is not sufficient, please provide street address.)

800 Brazos, Suite 1100, Austin, Texas 78701



8. The purpose or purposes of the corporation that it proposes to pursue in the transaction of business in Texas are set forth below. The corporation also certifies that it is authorized to pursue such stated purpose or purposes in the state or country under which it is incorporated.

The corporation proposes to engage in any business or activity that is not forbidden by the laws of the State of Texas.

9. The name and address of each of its directors is as follows:

NAME	ADDRESS
Jeffry C. Pollock	PO Box 3973, St. Louis, MO 63006

10. The name, address and office title of each of its officers is as follows:

NAME	ADDRESS (include city & state)	OFFICE TITLE
Jeffry C. Pollock	PO Box 3973, St. Louis, MO 63006	President and Secretary

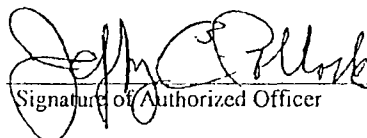
11. As of the date of filing, the undersigned certifies that the foreign corporation currently exists as a valid corporation under the laws of the jurisdiction of its formation.

**Effective Date of Filing**

☒ The document is to be effective when the document is filed by the secretary of state.  
**OR**  
☐ This document will become effective at a later date, which is not more than ninety (90) days from the date of its filing by the secretary of state. The delayed effective date is: \_\_\_\_\_

**Execution**

The undersigned authorized officer of the corporation signs this document subject to the penalties imposed by law for the submission of a false or fraudulent document.

  
Signature of Authorized Officer

30 Nov 2004  
Date