

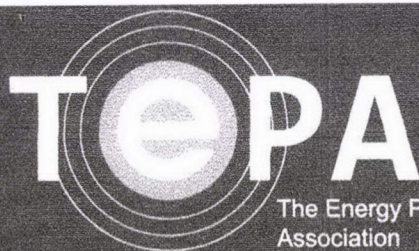


Control Number: 49779



Item Number: 539

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The Energy Professionals
Association

PUBLIC UTILITY COMMISSION
FILING CLERK

TEXAS BROKER REGISTRATION

49779

Effective September 1, 2019, Texas energy brokers must be registered with the Public Utility Commission. Per the Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas.

Please note that suppliers will no longer be able to work with brokers who do not have their temporary registered broker ID or a permanent registered broker ID.

To avoid disruption to your business, we encourage you to [download](#) and complete the Public Utility Commission of Texas Application for Electricity Broker Registration as soon as possible.

Once completed, you must **MAIL SEVEN (7)** copies (including the original and 6 copies) with a **NOTARIZED** Affidavit, which is provided by the PUC, to:

Central Records Filing Clerk
Public Utility Commission of Texas
1701 N. Congress Avenue
P.O. Box 13326
Austin, Texas 78711-3326

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A few frequently asked questions include:

Q: If I am a licensed aggregator, am I required to complete the new form?

A: Yes.

Q: Who is required to register?

A: Any person who provides brokerage services for compensation or other consideration must register.

Q: Can I file my registration form online or electronically?

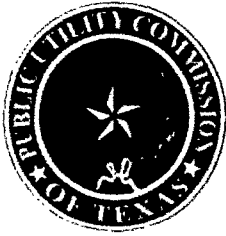
A: No. At this time only physical copies will be accepted. They must be notarized and mailed to the PO Box number provided by the state. See note above.

For more information, please visit the PUC Certification and Licensing webpage [HERE](#). If you would like to learn more about TEPA and membership opportunities, please reach out to Shannon McGriff at Shannon@tepaUSA.org



Download PDF

539



Public Utility Commission of Texas Application for Electricity Broker Registration

General Information

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

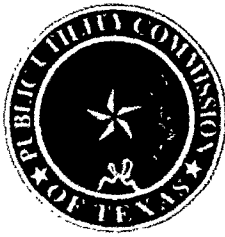
Affidavit

The owner, partner, or officer of the registering entity must swear to and affirm the truthfulness, correctness, and completeness of the information provided in this registration by attaching a signed and notarized copy of the Affidavit provided with this registration form.

Filing Instructions

Submit **seven copies (an original and six copies)** of the completed registration form and signed and notarized Affidavit to:

Central Records Filing Clerk
Public Utility Commission of Texas
1701 N. Congress Avenue
P.O. Box 13326
Austin, Texas 78711-3326
Telephone: (512) 936-7180



ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

1. Check the most appropriate box to describe this submission:

- ☒ This is a new broker registration
- ☐ This supplies information for a pending broker registration
- ☐ This amends an existing, completed broker registration

Provide an explanation of the amendment:

2. Authorized Representative or Attorney to contact about this application:

Name James Dunne

Title President

Business Address 5473 Bending Oaks Place

City Downers Grove

State IL

Zip 60515

Telephone Number (312) 404-7515

Email Address jdunne@op2mize.com

3. Registering Entity: List the registering entity's legal name, business address, and telephone number.

Name Op2mize Energy, LLC

Business address 1415 W. 22nd Street, Tower Floor

City Oak Brook

State IL

Zip 60523

Telephone Number (312) 404-7515

4. Type of organization of registering entity.

- ☐ Sole proprietor ☐ Other
- ☐ Corporation
- ☒ Limited Liability Company, L.L.C
- ☐ Limited Partnership

5. Description of the brokerage services provided by the registering entity and type of customers served.

Description of Services:

Energy brokerage services

Types of Customers: *Check all that apply*

☒ Residential

☒ Industrial

☐ Other

☒ Commercial

☐ Municipalities

6. Other Names. List any trade, commercial, and doing-business-as (d b a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Secretary of State

1st	2nd
3rd	4th
5th	

7. Officers Provide, as **Attachment A**, the names, business addresses, email addresses, and phone numbers of the registering entity's officers, directors, and partners, as applicable.

☒ Attachment A

8. Customer Service Contact List the telephone number and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, address, email address, and telephone number of the customer service contact person.

Customer Service Department	Telephone Number (773) 771-2034	Email Address sdunne@op2mize.com
Name Shay Dunne		Title VP, Operations
Business address 1415 W. 22nd Street, Tower Floor		
City Oak Brook	State IL	Zip 60523
Telephone Number 7737712034		
Email Address sdunne@op2mize.com		

9. Regulatory contact person List the name, physical business address, telephone number, and email address for a regulatory contact person

Name James Dunne	Title President
Business address 1415 W. 22nd Street, Tower Floor	
City Oak Brook	State IL
Zip 60523	
Telephone Number (312) 404-7515	
Email Address jdunne@op2mize.com	

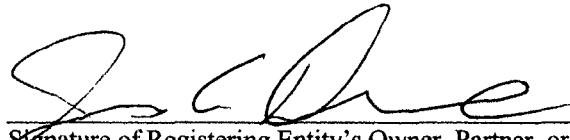
10. Secretary of State Record. Entities who must register with the Secretary of State must provide a copy of the certificate of status issued by the Texas Secretary of State certifying that the registering entity is authorized to transact business in Texas.

☒ Copy of Secretary of State certificate of status is attached.

AFFIDAVIT

My name is James Dunne. I am the President of the Registering Entity.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that all statements made in the Registration Form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the registering entity understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.



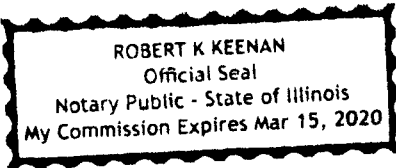
Signature of Registering Entity's Owner, Partner, or Officer

James Dunne

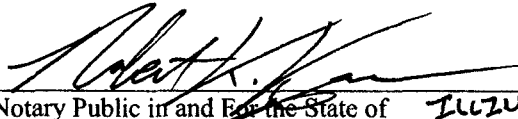
Printed Name

Op2mize Energy, LLC

Name of Registering Entity



Sworn and subscribed before me this 15 day of AUGUST, 2019
Month Year



Notary Public in and For the State of ILLINOIS

My commission expires on MAR 15, 2020