

Filing Receipt

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Control Number - 49779

Item Number - 5026



Public Utility Commission of Texas Application for Electricity Broker Registration

General Information

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

Affidavit

The owner, partner, or officer of the registrant must swear to and affirm that the registrant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct, and complete; that any material changes in the information will be provided in a timely manner; and that the registrant understands and will comply with all applicable laws and rules.

Filing Instructions

You can e-file the completed registration form and signed notarized affidavit online through the PUC Interchange Filer (https://interchange.puc.texas.gov/filer). Instructions for E-Filing: https://www.puc.texas.gov/industry/filings/E-FilingInstructions.pdf.

Or you may mail one copy to:

For USPS:

Public Utility Commission of Texas Central Records P.O. Box 13326 Austin, TX 78711-3326 For all other delivery or courier services:

Public Utility Commission of Texas
Central Records
1701 N. Congress Ave., 8-100
Austin, TX 78701



ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

1. Check the most appropriate box to describe this submission:					
□This is a new broker registration					
☐This supplies information for a pending broker registration					
☑ This amends an existing, completed broker registration					
Provide an explanation of the amendment: RENEWING BROKER REGISTRATION					
2. Authorized Representative or Attorney to contact about this application:					
Name SHANNON MCGRIFF			Title PRINCIPAL		
Business Mailing Address 5535 MEMORIAL DRIVE, STE F 1166					
City HOUSTON	State TEXAS	Zip <u>77007</u>			
Telephone Number 832-498-4903					
Email Address SHANNON@MCGRIFFENERGYCONSULTING.COM					
3. Registering Entity: List the registering entity's legal business name, mailing address, telephone number, and email address.					
Business Name MCGRIFF ENERGY CONSULTING, LLC					
Mailing address 5535 MEMORIAL DRIVE, STE F 1166					
City HOUSTON	State TEXAS	Zip <u>77</u>	007		
Telephone Number 832-498-4903					
Email Address SHANN	NON@MCGRIFFENERGYCONSUL	TING.C	ОМ		

4. Business structure. Select the form of business being registered.				
☐ Sole proprietor	☐ Corporation	□ Partner		
	•			
☑ Limited Liability Company (LLC)		☐ Limited Partnership		
5. Other Names. List a listed in #3 above, unde intends to operate must	my trade, commercial, r which the registering be registered with the	and doing-bu entity intends Texas Secreta	siness-as (d/b/a) names, other than the legal name to operate. Any name in which a corporation ry of State.	
1 st		2 nd		
		4 th		
			ousiness mailing address, and email address of the ot have a dedicated customer service department, address, and telephone number of the customer	
Name SHANNON MCGRIFF		TitlePRINCIPAL		
Business mailing addre	ss 5535 MEMORIAL D	PRIVE STE F	1166	
City HOUSTON		State TX	Zip <u>77007</u>	
Telephone Number 832	-498-4903			
Email Address SHANNON@MCGRIFFENERGYCONSULTING.COM				
7. Commission contact for a person who Commi	person. List the name ssion Staff may contac	husiness ma	iling address, telephone number, and email address	
Name SHANNON MCGRIFF		Title PRING	Title PRINCIPAL	
Business mailing addres	s 5535 MEMORIAL D	RIVE STE F 1	166	
City HOUSTON		State TX	Zip <u>77007</u>	
Telephone Number 832	-498-4903	18		
Email Address SHANNO	N@MCGRIFFENER	YCONSULTI	NG COM	

AFFIDAVIT

My name is SHANNON MCGRIFF . I am the owner, partner, or an officer (Circle One) of the Applicant.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that the applicant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct and complete; and that any material changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the applicant understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.

Signature of Registering Entity's Owner, Partner, or Officer

SHANNON MCGRIFF

Printed Name

MCGRIFF ENERGY CONSULTING, LLC

Name of Registering Entity

Sworn and subscribed before me this 26 day of

ionth

Year

Notary Public in and For the State of

My commission expires on 07-24-

To Not

TOBIAS SHAWN EAGAN Notary Public, State of Texas Comm. Expires 07-24-2029 Notary ID 135525429