

Filing Receipt

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Item Number - 4734



Public Utility Commission of Texas Application for Electricity Broker Registration

General Information

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

Affidavit

The owner, partner, or officer of the registrant must swear to and affirm that the registrant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct, and complete; that any material changes in the information will be provided in a timely manner; and that the registrant understands and will comply with all applicable laws and rules.

Filing Instructions

You can e-file the completed registration form and signed notarized affidavit online through the PUC Interchange Filer (https://interchange.puc.texas.gov/filer). Instructions for E-Filing: https://www.puc.texas.gov/industry/filings/E-FilingInstructions.pdf.

Or you may mail one copy to:

For USPS:

Public Utility Commission of Texas Central Records P.O. Box 13326 Austin, TX 78711-3326 For all other delivery or courier services:

Public Utility Commission of Texas
Central Records
1701 N. Congress Ave., 8-100
Austin, TX 78701

Broker Registration Form Last Updated September 22, 2021



ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

1. Check the most app	propriate box to de	scribe this submission				
□This is a new broker registration						
☐This supplies info	rmation for a pendir	ng broker registration				
☑ This amends an existing, completed broker registration						
Provide an explana Undating/renewing E	tion of the amendments the coup's to the cou					
2. Authorized Represe		ey to contact about this	s application:			
Name Melinda Zito O'Brien			Title CEO/Managing Member			
Business Mailing Add	ress P.O. Box 7785	j				
City Round Rock	State TX	Zip _7	Zip_78683-7885			
Telephone Number 800-998-7320 ext. 104						
Email Address melinda@energyutilitygroup.com						
3. Registering Entity: email address.	List the registering	entity's legal business r	name, mailing address, telephone number, and			
Business Name Energ	y Utility Group, LLC					
Mailing address 1008	Pansy Trail					
City Georgetown	State TX	Zip <u>7</u>	8628			
Telephone Number 800-998-7320 ext. 104						
Email Address melind	a@energyutilitygrou	up.com				

4. Business structure. Select the form of business being registered.						
☐ Sole proprietor ☐ Corporation	☐ Partners	hip				
☑ Limited Liability Company (LLC)	☐ Limited Partnership					
5. Other Names. List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Texas Secretary of State.						
1 st	2 nd					
3 rd	4 th					
6. Customer Service Contact. List the telephone number, business mailing address, and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, business mailing address, email address, and telephone number of the customer service contact person.						
Name Melinda Zito O'Brien	Title CEO/Managing Member					
Business mailing address PO Box 7785						
City Round Rock	State TX	Zip <u>78683-7785</u>				
Telephone Number 800-998-7756						
Email Address Melinda@energyutilitygroup.com						
7. Commission contact person. List the name, business mailing address, telephone number, and email address for a person who Commission Staff may contact.						
Name Tim O'Brien	Title Chief Financial Officer					
Business mailing address PO Box 7785						
City Round Rock	State TX	Zip 78683-7785				
Telephone Number 800-998-7756						
Email Address Tim@energyutilitygroup.com						

AFFIDAVIT

My name is Melinda Zito O'Brien . I am the owner, partner, or an officer (Circle One) of the Applica	n t.
I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I a competent to testify to them, and that I have the authority to submit this application form on behalf of t registering entity. I further swear or affirm that the applicant is authorized to do business in Texas und all applicable laws and is in good standing with the Texas Secretary of State; that all statements made the application are true, correct and complete; and that any material changes in such information will provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the application understands and will comply with all requirements of the applicable law and rules, including custom protection provisions, disclosure requirements, and marketing guidelines for retail electric service.	he ler in be
Melude Ito bh	
Signature of Registering Entity's Owner, Partner, or Officer	
Melinda Zito O'Brien	
Printed Name	
Energy Utility Group, LLC	
Name of Registering Entity	
Sworn and subscribed before me this 944 day of June, 202	<u>.</u> <u>S</u>
SUMMEN DOCCEAN	ear
Notary Public in and For the State of Trixas	
My commission expires on $\frac{1+\chi a}{3,2021e}$	
SUMMER JORDAN NV Notary ID # 134047770	

Expires November 3, 2026