

Control Number: 49779

Item Number: 4660



Public Utility Commission of Texas Application for Electricity Broker Registration

General Information

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

Affidavit

The owner, partner, or officer of the registrant must swear to and affirm that the registrant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct, and complete; that any material changes in the information will be provided in a timely manner; and that the registrant understands and will comply with all applicable laws and rules.

Filing Instructions

You can e-file the completed registration form and signed notarized affidavit online through the PUC Interchange Filer (https://interchange.puc.texas.gov/filer). Instructions for E-Filing: https://www.puc.texas.gov/industry/filings/E-FilingInstructions.pdf.

Or you may mail one copy to:

For USPS:

Public Utility Commission of Texas Central Records P.O. Box 13326 Austin, TX 78711-3326

Broker Registration Form Last Updated September 22, 2021 For all other delivery or courier services:
Public Utility Commission of Texas
Central Records
1701 N. Congress Ave., 8-100

Austin, TX 78701

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ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

| 1. Check the most appropriate box to describe this submission: | | | | |
|--|-------------------------------------|-------|---------------|--|
| ☐This is a new broker registration | | | | |
| ☐This supplies information for a pending broker registration | | | | |
| ☑ This amends an existing, completed broker registration | | | | |
| Provide an explanation of the amendment: Renewing our broker registration #BR220177 | | | | |
| | | | * | |
| 2. Authorized Representative or Attorney to contact about this application: | | | | |
| Name Greg Bechert | | | Title Partner | |
| Business Mailing Address 4140 N. High St., Suite 202 | | | | |
| City Columbus | State OH | Zip 4 | 13214 | |
| Telephone Number 614-888-8805 | | | | |
| Email Address gfbechert@sciotoenergy.com | | | | |
| Registering Entity: List the registering entity's legal business name, mailing address, telephone number, and email address. | | | | |
| Business Name New F | River Group, LLC d/b/a Scioto Energ | ly | | |
| Mailing address 4041 N. High St., Suite 202 | | | | |
| City Columbus | State OH | Zip 4 | 13214 | |
| Telephone Number 614-888-8805 | | | | |
| Email Address gfbechert@sciotoenergy.com | | | | |

| 4. Business structure. Select the form of business | ess being regi | stered. | | |
|--|-----------------|--|--|--|
| ☐ Sole proprietor ☐ Corporation | ☐ Partnersh | nip | | |
| ☐ Limited Liability Company (LLC) | ☐ Limited | Partnership | | |
| 5. Other Names . List any trade, commercial, as listed in #3 above, under which the registering e intends to operate must be registered with the Te | ntity intends t | o operate. Any name in which a corporation | | |
| 1 st Scioto Energy | 2 nd | | | |
| 3 rd | 4 th | | | |
| 6. Customer Service Contact. List the telephone number, business mailing address, and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, business mailing address, email address, and telephone number of the customer service contact person. | | | | |
| Name Greg Bechert | Title Partner | | | |
| Business mailing address 4041 N. High St., Suite 202 | | | | |
| City Columbus | State OH | e Zip 43214 | | |
| Telephone Number 614-888-8805 | | | | |
| Email Address gfbechert@sciotoenergy.com | | | | |
| Commission contact person. List the name, business mailing address, telephone number, and email address for a person who Commission Staff may contact. | | | | |
| Name Greg Bechert | Title Partner | | | |
| Business mailing address 4041 N. High St., Su | ite 202 | | | |
| City Columbus | State OH | Zip 43214 | | |
| Telephone Number 614-888-8805 | | | | |
| Email Address gfbechert@sciotoenergy.com | | | | |
| | | | | |

AFFIDAVIT

| My name is Greg Becneπ | am the owner, partner, or an officer (Circle One) of the Applicant. |
|--|---|
| competent to testify to them, and that I registering entity. I further swear or affall applicable laws and is in good stand the application are true, correct and corprovided to the Public Utility Commissi understands and will comply with all to | nowledge of the facts stated in the attached registration, that I am have the authority to submit this application form on behalf of the firm that the applicant is authorized to do business in Texas under ling with the Texas Secretary of State; that all statements made in implete; and that any material changes in such information will be on of Texas in a timely manner. I swear or affirm that the applicant requirements of the applicable law and rules, including customer ements, and marketing guidelines for retail electric service. |
| | Signature of Registering Entity's Owner, Partner, or Officer |
| | |
| | Greg Bechert |
| | Printed Name |
| | New River Group, LLC d/b/a Scioto Energy |
| | Name of Registering Entity |
| Sworn and s | Notary Public in and For the State of Okio |
| STEAR MAR MOLITICAL TO THE OF ONLY | My commission expires on <u>03/29/2030</u> . |