

Filing Receipt

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Control Number - 49779

Item Number - 4006



Public Utility Commission of Texas Application for Electricity Broker Registration

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Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.



Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

The owner, partner, or officer of the registrant must swear to and affirm that the registrant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct, and complete; that any material changes in the information will be provided in a timely manner; and that the registrant understands and will comply with all applicable laws and rules.

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You can e-file the completed registration form and signed notarized affidavit online through the PUC Interchange Filer (https://interchange.puc.texas.gov/filer). Instructions for E-Filing: https://www.puc.texas.gov/industry/filings/E-FilingInstructions.pdf.

Or you may mail one copy to:

For USPS:

Public Utility Commission of Texas Central Records P.O. Box 13326 Austin, TX 78711-3326 For all other delivery or courier services:

Public Utility Commission of Texas Central Records 1701 N. Congress Ave., 8-100 Austin, TX 78701

Broker Registration Form Last Updated September 22, 2021



ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

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□This is a new broker registration							
☐This supplies information for a pending broker registration							
☑This amends an existing, completed broker registration							
Provide an explanation of the amendment: Changing contact info, Mailing address, and Authorized rep info							
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City Belton	State TX	Zip 7	76513				
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City Belton		State TX	Zip 76513				
Telephone Number 26	602674825	1-12-					
Email Address charles	s.jordan@swiftunity.com	m					

AFFIDAVIT

My name is <u>Alexander Robbin</u>5I am the owner, partner, or an officer (Circle One) of the Applicant.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that the applicant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct and complete; and that any material changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the applicant understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.

A. Mali
Signature of Registering Entity's Owner, Partner, or Officer
Alexander Robbins
Printed Name
Swift Unity
Name of Registering Entity

Sworn and subscribed before me this / day of Blay Month

BRANDEE LOVE
My Notary ID # 129337662
Expires March 8, 2025

Notary Public in and For the State of Texas

My commission expires on March 8, 2035