

Filing Receipt

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Public Utility Commission of Texas Application for Electricity Broker Registration

General Information

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

Affidavi

The owner, partner, or officer of the registrant must swear to and affirm that the registrant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct, and complete; that any material changes in the information will be provided in a timely manner; and that the registrant understands and will comply with all applicable laws and rules.

Filing Instructions

You can e-file the completed registration form and signed notarized affidavit online through the PUC Interchange Filer (https://interchange.puc.texas.gov/filer). Instructions for E-Filing: https://www.puc.texas.gov/industry/filings/E-FilingInstructions.pdf.

Or you may mail one copy to:

For USPS:

Public Utility Commission of Texas Central Records P.O. Box 13326 Austin, TX 78711-3326

Broker Registration Form Last Updated September 22, 2021 For all other delivery or courier services:

Public Utility Commission of Texas
Central Records
1701 N. Congress Ave., 8-100
Austin, TX 78701



ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

1. Check the most appropriate box to describe this submission:				
☐This is a new broker registration				
☐This supplies information for a pending broker registration				
☑ This amends an existing, completed broker registration				
Provide an explanation of the amendment: My broker registration needs to be updated				
2. Authorized Representative or Attorney to contact about this application:				
Name Dana Miller Title Broker				
Business Mailing Address 9000 Wind RIVER . Dr.				
City On State TX Zip 1082				
Telephone Number 817 -991 - 8714				
Email Address dne agill Q yahoo . Com				
3. Registering Entity: List the registering entity's legal business name, mailing address, telephone number, and email address.				
Business Name D-28M Energy				
Mailing address				
City Same State Same Zip Same				
Telephone Number Sound				
Email Address SC M-2				

4. Business structure. Select the form of business being registered.				
Sole proprietor	☐ Corporation	□ Partnership		
☐ Limited Liability Company (LLC)		☐ Limited Partnership		
5. Other Names. List a listed in #3 above, unde intends to operate must	r which the registering	entity intend	usiness-as (d/b/a) names, other than the legal name ls to operate. Any name in which a corporation ary of State.	
1 st		2 nd		
3 rd		4 th		
6. Customer Service Contact. List the telephone number, business mailing address, and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, business mailing address, email address, and telephone number of the customer service contact person.				
Name		Title		
Business mailing address				
City	_	State	Zip	
Telephone Number				
Email Address				
7. Commission contact person. List the name, business mailing address, telephone number, and email address for a person who Commission Staff may contact.				
Name		Title		
Business mailing address				
City		State	Zip	
Telephone Number				
Email Address				

AFFIDAVIT

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that the applicant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct and complete; and that any material changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the applicant understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.

Signature of Registering Entity's Owner, Partner or Officer

Printed Name

Name of Registering Entity

Sworn and subscribed before me this 21 day of December , 2023.

Month

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Notary Public in and For the State of Texas

My commission expires on 9-27-2026

MYRA WONDOLOWSKI
Notery Public, State of Texas
Comm. Expires 09-27-2026
Notery ID 6505980