



Filing Receipt

Filing Date - 2023-05-12 11:12:40 AM

Control Number - 49779

Item Number - 3430

From: [Fred Goodwin](#)
To: [Carlos Lopez](#)
Subject: FW: Interchange Notification: 49779-3056
Date: Friday, November 11, 2022 6:02:06 PM

I cannot process your broker application because you did not include evidence that the primary entity name "Tecom-Energy of California Inc" or the assumed name "Tecom-Energy Inc" are registered with the Texas Secretary of State.

Item #5 of the application states, "Any name in which a corporation intends to operate must be registered with the Texas Secretary of State". Please e-file in project 49779 the TX SOS letter that gives the file number for Tecom-Energy of California Inc.

Please include a cover letter that associates the TX SOS letter with 49779, item 3056.

Thanx

-----Original Message-----

From: NoReply <NoReply@puc.texas.gov>
Sent: Friday, November 11, 2022 4:53 PM
To: Fred Goodwin <Fred.Goodwin@puc.texas.gov>
Subject: Interchange Notification: 49779-3056

Filing Alert! A new document has been filed under 49779-3056

Filing : 49779-3056
Item Type : ADMN
Date Filed : 11/11/2022
Party : Tecom-Energy, Inc.
Utility Type : E
Category : P, REG, REGIS
Date Sent : 11/11/2022
User : FRED GOODWIN (fgoodwin)

Document Link:

<https://interchange.puc.texas.gov/Search/Documents?ControlNumber=49779&ItemNumber=3056>

Master Description:

BROKER REGISTRATIONS

Filing Description:

Electricity and natural gas broker registration forms

END OF FILING

Public Utility Commission
www.puc.texas.gov

Interchange
interchange.puc.texas.gov

This is an automated message. Do not reply to this email address as account does not accept emails.

If you have questions, please contact the PUC Helpdesk at
helpdesk@puc.texas.gov



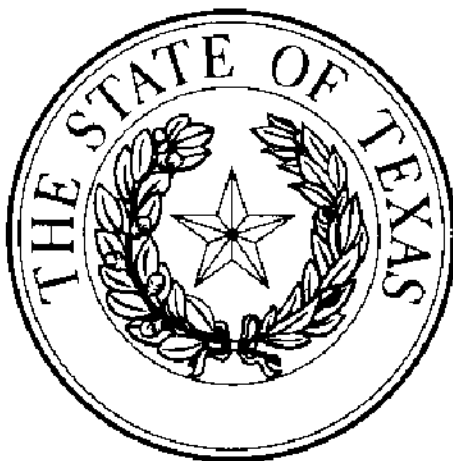
Office of the Secretary of State

Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Application for Registration for Ecom-Energy of California, Inc. (file number 801881175), a CALIFORNIA, USA, Foreign For-Profit Corporation, was filed in this office on November 08, 2013.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on May 03, 2023.



A handwritten signature in black ink that reads "Jane Nelson".

Jane Nelson
Secretary of State



ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

1. Check the most appropriate box to describe this submission:		
<input type="checkbox"/> This is a new broker registration		
<input type="checkbox"/> This supplies information for a pending broker registration		
<input checked="" type="checkbox"/> This amends an existing, completed broker registration		
Provide an explanation of the amendment: <u>Ecom-Energy of California Inc - Item 3056</u>		
2. Authorized Representative or Attorney to contact about this application		
Name <u>Owen Clifford</u>		Title <u>License Manager</u>
Business Mailing Address <u>140 Grand Street STE 300</u>		
City <u>White Plains</u>	State <u>NY</u>	Zip <u>10601</u>
Telephone Number <u>800-292-0909 ext 323</u>		
Email Address <u>Owen.Clifford@WoltersKluwer.com</u>		
3. Registering Entity List the registering entity's legal business name, mailing address, telephone number, and email address.		
Business Name <u>Ecom-Energy of California, Inc.</u>		
Mailing address <u>5322 Vista Montana</u>		
City <u>Yorba Linda</u>	State <u>CA</u>	Zip <u>92886</u>
Telephone Number <u>714-693-8515</u>		
Email Address <u>clopez2@ecom-energy.com</u>		

4. Business structure: Select the form of business being registered:

☐ Sole proprietor ☒ Corporation ☐ Partnership
☐ Limited Liability Company (LLC) ☐ Limited Partnership

5. Other Names: List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Texas Secretary of State.

1st _____

2nd _____

3rd _____

4th _____

6. Customer Service Contact: List the telephone number, business mailing address, and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, business mailing address, email address, and telephone number of the customer service contact person.

Name Carlos E Lopez

Title Associate Principal

Business mailing address 5322 Vista Montana

City Yorba Linda

State
CA

Zip 92886

Telephone Number 7144854122

Email Address clopez2@ecom-energy.com

7. Commission contact person: List the name, business mailing address, telephone number, and email address for a person who Commission Staff may contact.

Name Carlos E Lopez

Title Associate Principal

Business mailing address 5322 Vista Montana

City Yorba Linda

State
CA

Zip 92886

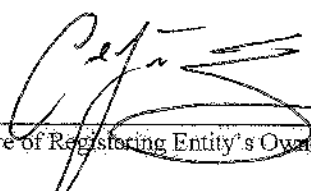
Telephone Number 7144854122

Email Address clopez2@ecom-energy.com

AFFIDAVIT

My name is Carlos E Lopez. I am the owner, partner, or an officer (Circle One) of the Applicant.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that the applicant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct and complete; and that any material changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the applicant understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.


Signature of Registering Entity's Owner, Partner, or Officer

Carlos E Lopez
Printed Name

Ecom-Energy of California, Inc.
Name of Registering Entity

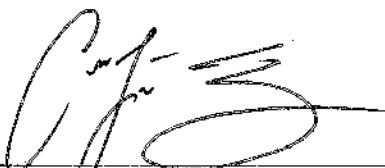
Sworn and subscribed before me this 10 day of MAY, 2023.
Month Year

Please see attached
Notary Public in and For the State of CA
My commission expires on _____

CALIFORNIA JURAT WITH AFFIANT STATEMENT

GOVERNMENT CODE § 8202

- ☐ See Attached Document (Notary to cross out lines 1-6 below)
☐ See Statement Below (Lines 1-6 to be completed only by document signer[s], not Notary)



 Signature of Document Signer No. 1

 Signature of Document Signer No. 2 (if any)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of ORANGE

Subscribed and sworn to (or affirmed) before me


on this 10 day of MAY, 2023,
 by _____
 Date Month Year

(1) CARLOS EDUARDO IL LOPEZ

(and (2) _____)
 Name(s) of Signor(s)

proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.



Signature 
 Signature of Notary Public

Place Notary Seal and/or Stamp Above

OPTIONAL

Completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: Affidavit

Document Date: _____ Number of Pages: 4

Signer(s) Other Than Named Above: None



Public Utility Commission of Texas Application for Electricity Broker Registration

General Information

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

Affidavit

The owner, partner, or officer of the registrant must swear to and affirm that the registrant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct, and complete; that any material changes in the information will be provided in a timely manner; and that the registrant understands and will comply with all applicable laws and rules.

Filing Instructions

You can e-file the completed registration form and signed notarized affidavit online through the PUC Interchange Filer (<https://interchange.puc.texas.gov/filer>). Instructions for E-Filing: <https://www.puc.texas.gov/industry/filings/E-FilingInstructions.pdf>.

Or you may mail one copy to:

For USPS:

Public Utility Commission of Texas
Central Records
P.O. Box 13326
Austin, TX 78711-3326

For all other delivery or courier services:

Public Utility Commission of Texas
Central Records
1701 N. Congress Ave., 8-100
Austin, TX 78701