



## Filing Receipt

**Received - 2023-02-15 06:30:20 PM**  
**Control Number - 49779**  
**ItemNumber - 3238**



## Public Utility Commission of Texas Application for Electricity Broker Registration

### General Information

**Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.**

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

### Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

### Affidavit

The owner, partner, or officer of the registrant must swear to and affirm that the registrant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct, and complete; that any material changes in the information will be provided in a timely manner; and that the registrant understands and will comply with all applicable laws and rules.

### Filing Instructions

You can e-file the completed registration form and signed notarized affidavit online through the PUC Interchange Filer (<https://interchange.puc.texas.gov/filer>). Instructions for E-Filing: <https://www.puc.texas.gov/industry/filings/E-FilingInstructions.pdf>.

Or you may mail one copy to:

For USPS:

Public Utility Commission of Texas  
Central Records  
P.O. Box 13326  
Austin, TX 78711-3326

For all other delivery or courier services:

Public Utility Commission of Texas  
Central Records  
1701 N. Congress Ave., 8-100  
Austin, TX 78701



## ELECTRICITY BROKER REGISTRATION FORM

**PROJECT NO. 49779**

### 1. Check the most appropriate box to describe this submission:

- ☒ This is a new broker registration
- ☐ This supplies information for a pending broker registration
- ☐ This amends an existing, completed broker registration

Provide an explanation of the amendment:

\_\_\_\_\_  
\_\_\_\_\_

### 2. Authorized Representative or Attorney to contact about this application:

Name Peter Anh Nguyen Title President

Business Mailing Address P.O. Box 682046

City Houston State TX Zip 77268

Telephone Number 832-573-2962

Email Address peternguyen\_usa@yahoo.com

### 3. Registering Entity: List the registering entity's legal business name, mailing address, telephone number, and email address.

Business Name PAL Energy Smart

Mailing address 9801 Westheimer Rd., Ste. 300

City Houston State TX Zip 77042

Telephone Number 888-522-8387 x 101

Email Address peter.nguyen@palenergysmartllc.com

**4. Business structure.** Select the form of business being registered.

☒ **Sole proprietor**      ☐ **Corporation**      ☐ **Partnership**  
☐ **Limited Liability Company (LLC)**      ☐ **Limited Partnership**

**5. Other Names.** List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Texas Secretary of State.

**1<sup>st</sup>** \_\_\_\_\_

**2<sup>nd</sup>** \_\_\_\_\_

**3<sup>rd</sup>** \_\_\_\_\_

**4<sup>th</sup>** \_\_\_\_\_

**6. Customer Service Contact.** List the telephone number, business mailing address, and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, business mailing address, email address, and telephone number of the customer service contact person.

**Name** Peter Anh Nguyen

**Title** President

**Business mailing address** P.O. Box 682046

**City** Houston

**State**  
TX

**Zip** 77268

**Telephone Number** 888-522-8387

**Email Address** service@palenergysmartllc.com

**7. Commission contact person.** List the name, business mailing address, telephone number, and email address for a person who Commission Staff may contact.

**Name** Peter Anh Nguyen

**Title** President

**Business mailing address** P.O. Box 682046

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**State**  
TX

**Zip** 77268

**Telephone Number** 888-522-8387 x 1

**Email Address** peter.nguyen@palenergysmartllc.com

## AFFIDAVIT

My name is Peter Anh Nguyen. I am the owner, partner, or an officer (Circle One) of the Applicant.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that the applicant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct and complete; and that any material changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the applicant understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.

\_\_\_\_\_  
Signature of Registering Entity's Owner, Partner, or Officer

Peter Anh Nguyen

\_\_\_\_\_  
Printed Name

PAL Energy Smart

\_\_\_\_\_  
Name of Registering Entity

Sworn and subscribed before me this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.  
Month Year

\_\_\_\_\_  
Notary Public in and For the State of \_\_\_\_\_.

My commission expires on \_\_\_\_\_.



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*Broker Registration Form Last  
Updated September 22, 2021*

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PROJECT NO. 49779

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1 <sup>st</sup> _____	2 <sup>nd</sup> _____
3 <sup>rd</sup> _____	4 <sup>th</sup> _____

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Business mailing address <u>P.O. Box 682046</u>		
City <u>Houston</u>	State <u>TX</u>	Zip <u>77268</u>
Telephone Number <u>888-522-8387</u>		
Email Address <u>service@palenergysmartllc.com</u>		

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\_\_\_\_\_  
Signature of Registering Entity's Owner, Partner, or Officer

Peter Anh Nguyen

\_\_\_\_\_  
Printed Name

PAL Energy Smart

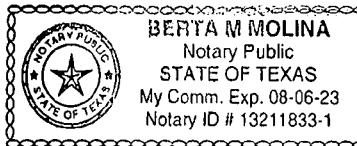
\_\_\_\_\_  
Name of Registering Entity

Sworn and subscribed before me this 15 day of February, 2023  
Month Year

Berta M. Molina

Notary Public in and For the State of Texas

My commission expires on 08-06-23





**OFFICE OF TENESHIA HUDSPETH**  
COUNTY CLERK, HARRIS COUNTY, TEXAS

**R290660**  
02/15/2023 CLAY ROAD  
\$18.00 ASSUMED

This is to acknowledge receipt of certificate of operation under Assumed Name which was filed in my  
office for **PAL ENERGY SMART**  
under the file number as shown on the cash register validation above, and indexed in the Assumed Name Records as  
prescribed by law.

The certificate shows

**NGUYEN, PETER ANH**

to be the owner(s) of said business.

The period (not to exceed 10 years) during which the assumed name will be used is shown as 10  
February 15, 2023 Through February 15, 2033

Whenever there is a change of ownership, a withdrawal certificate shall be executed and duly  
acknowledged by the person or persons so withdrawing from or disposing of their interest in said business. Until  
such certificate has been filed, they shall remain liable for all debts incurred in the operation of said business.

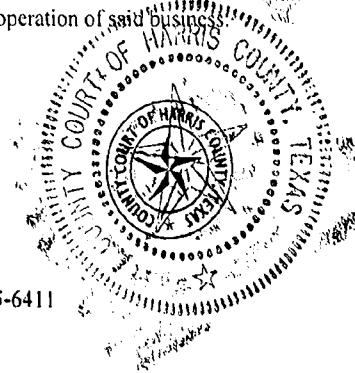
TENESHIA HUDSPETH,  
County Clerk, Harris County

Sylvia A. Contreras

Deputy County Clerk

P.O. Box 1525 • Houston, TX 77251-1525 • 713-755-6411  
www.cclerk.hctx.net

Form No. D-02-02 (Rev. 11/18/2020)





State of Texas  
County of Harris  
County Auditor's Form 153  
Harris County, TX (rev. 07/11)

Teneshia Hudspeth, County Clerk  
Clay Road Annex (281) 859-0685  
16715 Clay Road, Houston, TX 77084  
Fee Officer's Official Receipt

Receipt # : R23002258

Receipt Date : 02/15/2023 11:56:37 AM

Fees Assessed By : CCO\scontreras

Cashier : Contreras, Sylvia (CCO)

PAL ENERGY SMART  
P. O. BOX 682046  
HOUSTON, TX 77268

Fee Description	Amount	Notes
County Clerk	\$5.00	ASSUMED NAME - R290660
Archive Fee	\$6.00	PAL ENERGY SMART
Preservation Fee	\$6.00	
Courthouse Security Fee	\$1.00	
Total :	\$18.00	\$18.00

Tender Type	Tender SubType	Check # / CC Auth #	Tender Amount
CASH			\$20.00

Total Paid: \$20.00  
Change Due: \$2.00

THANK YOU

Print Date : 02/15/2023 11:56:38 AM