



Control Number: 49779



Item Number: 316

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# ELECTRICITY BROKER REGISTRATION FORM

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APPLICANT: [illegible]  
FILING OFFICE: [illegible]

**PROJECT NO. 49779**

<b>1. Check the most appropriate box to describe this submission:</b>		
<input checked="" type="checkbox"/> This is a new broker registration		
<input type="checkbox"/> This supplies information for a pending broker registration		
<input type="checkbox"/> This amends an existing, completed broker registration		
Provide an explanation of the amendment: _____		
<b>2. Authorized Representative or Attorney to contact about this application</b>		
<b>Name</b> William A. Carter	<b>Title</b> President	
<b>Business Address</b> 15769 N Peninsula Road		
<b>City</b> Whitehouse	<b>State</b> TX	<b>Zip</b> 75791
<b>Telephone Number</b> (903) 566-2431		
<b>Email Address</b> billc@ceconsulting.net		
<b>3. Registering Entity</b> Use the registering entity's legal name, business address, and telephone number.		
<b>Name</b> Carter Energy Consulting		
<b>Business address</b> 15769 N Peninsula Road		
<b>City</b> Whitehouse	<b>State</b> TX	<b>Zip</b> 75791
<b>Telephone Number</b> (903) 566-2431		
<b>4. Type of organization of registering entity</b>		
<input checked="" type="checkbox"/> Sole proprietor <input type="checkbox"/> Other		
<input type="checkbox"/> Corporation		
<input type="checkbox"/> Limited Liability Company, L.L.C		
<input type="checkbox"/> Limited Partnership		

Handwritten initials or mark

5. Description of the brokerage services provided by the registering entity and type of customers served

**Description of Services:**  
 Energy procurement

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**Types of Customers:** *Check all that apply*

Residential       Industrial       Other  
 Commercial       Municipalities

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6. **Other Names.** List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Secretary of State.

1 <sup>st</sup>	2 <sup>nd</sup>
3 <sup>rd</sup>	5 <sup>th</sup>

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7. **Officers.** Provide, as **Attachment A**, the names, business addresses, email addresses, and phone numbers of the registering entity's officers, directors, and partners, as applicable.

Attachment A

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8. **Customer Service Contact.** List the telephone number and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, address, email address, and telephone number of the customer service contact person.

<b>Customer Service Department</b>	<b>Telephone Number</b> (903) 566-2431	<b>Email Address</b> billc@ceconsulting.net
<b>Name</b> Bill Carter		<b>Title</b> President
<b>Business address</b> 15769 N Peninsula Road		
<b>City</b> Whitehouse	<b>State</b> TX	<b>Zip</b> 75791
<b>Telephone Number</b> 9035662431		
<b>Email Address</b> billc@ceconsulting.net		

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9. **Regulatory contact person.** List the name, physical business address, telephone number, and email address for a regulatory contact person.

<b>Name</b> Bill Carter		<b>Title</b> President
<b>Business address</b> 15769 N Peninsula Road		
<b>City</b> Whitehouse	<b>State</b> TX	<b>Zip</b> 75791
<b>Telephone Number</b> (903) 566-2431		
<b>Email Address</b> billc@ceconsulting.net		

**10. Secretary of State Record.** Entities who must register with the Secretary of State must provide a copy of the certificate of status issued by the Texas Secretary of State certifying that the registering entity is authorized to transact business in Texas.

Copy of Secretary of State certificate of status is attached.

N/A - Sole proprietor

I am neither a corporation or LLC

- I am a Registered Aggregator with the Texas PUC Registration #80023
- I am a Registered Professional Engineer in Texas PE #41292
- Carter Energy Consulting is registered with the Texas State Board of Professional Engineers as a Registered Engineering Firm Firm # F-2281

**AFFIDAVIT**

My name is William Carter. I am the President of the Registering Entity.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that all statements made in the Registration Form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the registering entity understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.

William A. Carter  
Signature of Registering Entity's Owner, Partner, or Officer

William Carter  
Printed Name

Carter Energy Consulting  
Name of Registering Entity

Sworn and subscribed before me this 15 day of August, 2019.  
Month Year

Trey D Carlile

Notary Public in and For the State of Texas.  
My commission expires on July 7, 2023.

