

Control Number: 49779



Item Number: 3135

# RECEIVED



# Public Utility Commission of Texas Application for Electricity Broker Registration of the summer of

#### General Information

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

#### Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

#### Affidavit

The owner, partner, or officer of the registrant must swear to and affirm that the registrant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct, and complete; that any material changes in the information will be provided in a timely manner; and that the registrant understands and will comply with all applicable laws and rules.

#### Filing Instructions

You can e-file the completed registration form and signed notarized affidavit online through the PUC Interchange Filer (https://interchange.puc.texas.gov/filer). Instructions for E-Filing: https://www.puc.texas.gov/industry/filings/E-FilingInstructions.pdf.

Or you may mail one copy to:

For USPS:

Public Utility Commission of Texas Central Records P.O. Box 13326 Austin, TX 78711-3326 For all other delivery or courier services:

Public Utility Commission of Texas
Central Records
1701 N. Congress Ave., 8-100
Austin, TX 78701

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# **ELECTRICITY BROKER REGISTRATION FORM**

## **PROJECT NO. 49779**

1. Check the most appropriate box to describe this submission:					
☑This is a new broker registration					
☐This supplies information for a pending broker registration					
☐ This amends an existing, completed broker registration					
Provide an explanation of the amendment:					
2. Authorized Represe	entative or Attorney to contact abo	out this	application:		
Name Tim O'Shields			Title President		
Business Mailing Address 6143 Verde Place Lane					
City Katy	State TX	<b>Zip</b> <u>7</u>	7493		
Telephone Number 281 413 2003					
Email Address tim@affinity-power.com					
3. <b>Registering Entity</b> : List the registering entity's legal business name, mailing address, telephone number, and email address.					
Business Name TOS Marketing and Distribution, Inc.					
Mailing address 6143 Verde Place Lane					
City Katy	State Tx	<b>Z</b> ip <u>7</u>	7493		
Telephone Number 281 413 2003					
Email Address tim@affinity-power.com					

4. Business structure. Select the form of business being registered.				
☐ Sole proprietor	☑ Corporation	☐ Partnersh	nip	
☐ Limited Liability C	ompany (LLC)	☐ Limited 1	Partnership	
listed in #3 above, unde		ntity intends t	ness-as (d/b/a) names, other than the legal name o operate. Any name in which a corporation of State.	
1st Affinity Power Company		2 <sup>nd</sup>		
3 <sup>rd</sup>		4 <sup>th</sup>		
customer service depart	tment. If the registering	entity does no	nsiness mailing address, and email address of the thave a dedicated customer service department, address, and telephone number of the customer	
Name Tim O'Shields		Title Preside	ent	
Business mailing addr	ess 6143 Verde Place La	ne		
City Katy		State TX	Zip 77493	
Telephone Number 28	31 413 2003			
Email Address tim@aff	inity-power.com			
	t person. List the name.		ling address, telephone number, and email address	
Name Tim O'Shields		Title President		
Business mailing addr	ess 6143 Verde Place La	ne		
City Katy		State TX	Zip 77493	
Telephone Number 281 413 2003				
Email Address tim@affinity-power.com				

## **AFFIDAVIT**

My name is Tim O'Shields	I am the owner, partner, or an officer (Circle One) of the Applicant.
competent to testify to them, and that registering entity. I further swear or a all applicable laws and is in good star the application are true, correct and c provided to the Public Utility Commissunderstands and will comply with all	knowledge of the facts stated in the attached registration, that I am I have the authority to submit this application form on behalf of the affirm that the applicant is authorized to do business in Texas under adding with the Texas Secretary of State; that all statements made in complete; and that any material changes in such information will be sion of Texas in a timely manner. I swear or affirm that the applicant requirements of the applicable law and rules, including customer rements, and marketing guidelines for retail electric service.
	Signature of Registering Entity's Owner, Partner, or Officer
	Tim O'Shields
	Printed Name
	TOS Marketing and Distribution
	Name of Registering Entity
	subscribed before me this 16 day of De cember, 2002.
LAURA ELIAS NOTARY PUBLIC STATE OF TEXAS MY COMM EXP 08/08/26 NOTARY ID 472362-3	Month Year  Notary Public in and For the State of 78 2026  My commission expires on 08 2026