



Filing Receipt

Received - 2022-12-04 05:34:28 AM
Control Number - 49779
ItemNumber - 3103



Public Utility Commission of Texas Application for Electricity Broker Registration

General Information

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

Affidavit

The owner, partner, or officer of the registrant must swear to and affirm that the registrant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct, and complete; that any material changes in the information will be provided in a timely manner; and that the registrant understands and will comply with all applicable laws and rules.

Filing Instructions

Submit seven copies (an original and six copies) of the completed registration form and signed and notarized Affidavit to:

Central Records Filing Clerk
Public Utility Commission of Texas
1701 N. Congress Avenue
P.O. Box 13326
Austin, Texas 78711-3326
Telephone: (512) 936-7180



ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

1. Check the most appropriate box to describe this submission:

- ☒ This is a new broker registration
- ☐ This supplies information for a pending broker registration
- ☐ This amends an existing, completed broker registration

Provide an explanation of the amendment:

2. Authorized Representative or Attorney to contact about this application:

Name Vernell Sheppard Title Owner

Business Mailing Address 2305 W Interstate 20, Suite 140-144

City Grand Prairie State TX Zip 75052

Telephone Number 817-210-9238

Email Address rtc.associates22@gmail.com

3. Registering Entity: List the registering entity's legal business name, mailing address, telephone number, and email address.

Business Name RTC & Associates

Mailing address 2305 W Interstate 20 , Suite 140-144

City Grand Prairie State TX Zip 75052

Telephone Number 817-210-9238

Email Address rtc.associates22@gmail.com

4. Business structure. Select the form of business being registered.

☒ Sole proprietor ☐ Corporation ☐ Partnership
☐ Limited Liability Company (LLC) ☐ Limited Partnership

5. Other Names. List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Texas Secretary of State.

1 st <u>N/A</u>	2 nd <u>N/A</u>
3 rd <u>N/A</u>	4 th <u>N/A</u>

6. Customer Service Contact. List the telephone number, business mailing address, and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, business mailing address, email address, and telephone number of the customer service contact person.

Name <u>Vernell Sheppard</u>	Title <u>Owner</u>
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Business mailing address 2305 W Interstate 20, Suite 140-144

City <u>Grand Prairie</u>	State <u>TX</u>	Zip <u>75052</u>
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Telephone Number 817-210-9238

Email Address rtc.associates22@gmail.com

7. Commission contact person. List the name, business mailing address, telephone number, and email address for a person who Commission Staff may contact.

Name <u>Vernell Sheppard</u>	Title <u>Owner</u>
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Business mailing address 2305 W Interstate 20, Suite 140-144

City <u>Grand Prairie</u>	State <u>TX</u>	Zip <u>75052</u>
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Telephone Number 817-210-9238

Email Address rtc.associates22@gmail.com

AFFIDAVIT

My name is Vernell Sheppard. I am the owner, partner, or an officer (Circle One) of the Applicant.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that the applicant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct and complete; and that any material changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the applicant understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.

Vernell B. Sheppard

Signature of Registering Entity's Owner, Partner, or Officer

Vernell Sheppard

Printed Name

RTC & Associates

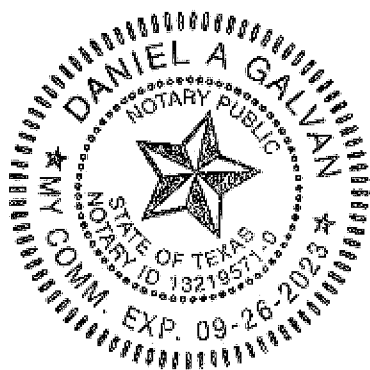
Name of Registering Entity

Sworn and subscribed before me this 2nd day of December, 2022
Month Year

Daniel A. Gallan

Notary Public in and For the State of TEXAS

My commission expires on 09/26/2023



**ASSUMED NAME CERTIFICATE
FOR AN UNINCORPORATED BUSINESS OR PROFESSION**

NOTICE: CERTIFICATES ARE VALID ONLY FOR A PERIOD NOT TO EXCEED 10 YEARS FROM THE DATE FILED IN THE COUNTY CLERK'S OFFICE.
(Chapter 36, Title 4 Business and Commerce Code)

This certificate properly executed is to be filed immediately with the County Clerk.

(PRINT OR TYPE)

NAME UNDER WHICH BUSINESS OR PROFESSIONAL SERVICES IS OR WILL BE CONDUCTED:

RTC 1 Associates

Address: 900 S. Beach St., Apt. 18

City: Fort Worth

State: TX

Zip Code: 76105

Period (not to exceed ten years) during which the assumed name will be used is:

6 yrs

Business is to be conducted as (Check one):

- ☒ Sole Proprietorship ☐ Sole Practitioner ☐ Joint Venture
☐ General Partnership ☐ Limited Partnership ☐ Real Estate Investment Trust
☐ Joint Stock Company ☐ Other (name type): _____

CERTIFICATE OF OWNERSHIP

I/We, the undersigned, are the owner(s) of the above business and my/our name(s) and address(es) are given is/are true and correct, and there is/are no ownership(s) in said business other than those listed herein below.

- NAMES OF OWNERS -

NAME	<u>Vernell Ruth Sheppard</u>	SIGNATURE	<u>Vernell R. Sheppard</u>
Address	<u>900 S. Beach St., Apt. 18, Fort Worth, TX</u>	Zip Code	<u>76105</u>
NAME	_____	SIGNATURE	_____
Address	_____	Zip Code	_____
NAME	_____	SIGNATURE	_____
Address	_____	Zip Code	_____
NAME	_____	SIGNATURE	_____
Address	_____	Zip Code	_____
NAME	_____	SIGNATURE	_____
Address	_____	Zip Code	_____

THE STATE OF TEXAS

COUNTY OF TARRANT

BEFORE ME, THE UNDERSIGNED AUTHORITY, on this day personally appeared Vernell Ruth Sheppard - TCR
Known to me to be the person(s) whose name(s) is/are subscribed to the foregoing instrument and under oath, acknowledged to me that they are the owner(s) of the above-named business and that they signed the same for the purpose and consideration therein expressed.

GIVEN UNDER MY SEAL OF OFFICE, on

August 6th 2015

Notary Public in and for _____ County

MARY LOUISE GARCIA, COUNTY CLERK

By

Deputy

CC-3 CFC-103 Rev. 3/78



A CERTIFIED COPY,

ATTEST: 4/5/15 21
MARY LOUISE NICHOLSON, County Clerk
Tarrant County, Texas

BY: [Signature] Deputy

OGDEN UT 84201-0038

In reply refer to: 0444208647
Apr. 15, 2021 LTR 147C 0
47-4716733 000000 00
00004387
BODC: SB

VERNELL RUTH SHEPPARD
RTC AND ASSOCIATES
2305 W INTERSTATE 20 STE 140-144
GRAND PERRY TX 75052

040167

Employer identification number: 47-4716733

Dear Taxpayer:

Thank you for your inquiry of Apr. 06, 2021.

Your employer identification number (EIN) is 47-4716733. Please keep this letter in your permanent records. Enter your name and EIN on all federal business tax returns and on related correspondence.

You can get any of the forms or publications mentioned in this letter by visiting our website at www.irs.gov/forms-pubs or by calling 800-TAX-FORM (800-829-3676).

If you have questions, you can call 800-829-4933.

If you prefer, you can write to us at the address at the top of the first page of this letter.

When you write, include a copy of this letter, and provide your telephone number and the hours we can reach you in the spaces below.

Telephone number () _____ Hours _____

Keep a copy of this letter for your records.

Thank you for your cooperation.