

Control Number: 49779



Item Number: 3087





# RECEIVED

# Public Utility Commission of Texas Application for 3

## General Information

**Electricity Broker Registration** 

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules:

#### Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

#### Affidavit

The owner, partner, or officer of the registrant must swear to and affirm that the registrant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct, and complete; that any material changes in the information will be provided in a timely manner; and that the registrant understands and will comply with all applicable laws and rules.

#### Filing Instructions

You can e-file the completed registration form and signed notarized affidavit online through the PUC Interchange Filer (https://interchange.puc.texas.gov/filer). Instructions for E-Filing: https://www.puc.texas.gov/industry/filings/E-FilingInstructions.pdf.

Or you may mail one copy to:

For USPS:

Public Utility Commission of Texas Central Records P.O. Box 13326 Austin, TX 78711-3326

For all other delivery or courier services: Public Utility Commission of Texas Central Records 1701 N. Congress Ave., 8-100 Austin, TX 78701

Broker Registration Form Last Updated September 22, 2021



### **ELECTRICITY BROKER REGISTRATION FORM**

## PROJECT NO. 49779

| 1. Check the most appropriate box to describe this sul                 | bmission:  |
|--|--|
| ☑This is a new broker registration                                     |  |
| ☐This supplies information for a pending broker regis                  | stration   |
| ☐This amends an existing, completed broker registrat                   | tion   |
| Provide an explanation of the amendment:                               |  |
|  |  |
| 2. Authorized Representative or Altorney to confact a                  | about this application                             |
| Name Alan Lammey   | Title Broker/Consultant                            |
| Business Mailing Address 17310 Mathis Rd                               |  |
| City Waller State TX   | Zip <u>77484</u>                                   |
| Telephone Number 281-658-0395  |  |
| Email Address alammey@texasenergyanalyst.com                           |  |
| 3 = <b>Registering, Entity</b> : Ust the registering entity is legal-t | business name-mailing address_telephone number and |
| Femail address   |  |
| Business Name Alan Lammey  |  |
| Mailing address 17310 Mathis Rd  |  |
| City Waller State TX   | Zip 77484  |
| Telephone Number 281-658-0395  | •  |
| Email Address alammey@texasenergyanalyst.com                           |  |

| 4. Business structure. Select the form of busin   | ess-being tegi   | stered.   |
|---|--|---|
| ☑ Sole proprietor ☐ Corporation   | □ Partnersl  | nip   |
| ☐ Limited Liability Company (LLC)   | ☐ Limited  | Partnership   |
|   |  | ness-as (d/b/a) names, other than the legal name<br>o operate Any name in which a corporation |
| intends to operate must be registered with the $\Gamma$   | The second secon |   |
| 1 <sup>st</sup>   | 2 <sup>nd</sup>  | 221111111111111111111111111111111111111   |
| 3 <sup>rd</sup>   | 4 <sup>th</sup>  |   |
| 6. Customer Service Contact. List the telepho   | ne number, bi  | isiness mailing address, and email address of the   |
| customer service department. If the registering then provide the name: title sbusiness mailing ac | entity_does_no<br>ldress_email=a   | t-have;a-dedicated_customer-service;department;   |
| service contact person.   |  |   |
| Name Alan Lammey  | Title Broker   | Consultant  |
| Business mailing address 17310 Mathis Rd  |  |   |
| City Waller   | State<br>TX  | Zip 77474   |
| Telephone Number 281-658-0395   | I. ————————————————————————————————————  |   |
| Email Address alammey@texasenergyanalyst.   | .com   |   |
| 7. Commission contact person. List the name for a person who Commission Staffmay contact          | CONTRACTOR DIRECTOR CONTRACTOR AND ADDRESS.  | ling address, telephone number, and email address.  |
| Name Alan Lammey  | Title Broker   | /Consultant   |
| Business mailing address 17310 Mathis Rd  |  |   |
| City Waller   | State<br>TX  | Zip 77484   |
| Telephone Number 281-658-0395   | 17   |   |
| Email Address <u>281-658-0395</u>   |  |   |

#### **AFFIDAVIT**

| My name is Alan Lammey  | . I am the owner, partner, or an officer (Circle One) of the Applican  |
|---|--|
| competent to testify to them, and that<br>registering entity. I further swear or<br>all applicable laws and is in good state<br>the application are true, correct and<br>provided to the Public Utility Commit-<br>understands and will comply with a | It I have the authority to submit this application form on behalf of the affirm that the applicant is authorized to do business in Texas undending with the Texas Secretary of State; that all statements made complete; and that any material changes in such information will ssion of Texas in a timely manner. I swear or affirm that the application of Texas in a timely manner. I swear or affirm that the application of Texas in a timely manner is swear or affirm that the application of the applicable law and rules, including custom aircments, and marketing guidelines for retail electric service. |
|   | Alan Lammey  |
|   | Printed Name   |
|   | Alan Lammey  |
|   | Name of Registering Entity   |
| YANELLY HERNANDEZ Notary Public, State of Texas Comm. Expires 04-17-2023 Notary ID 13197886-8   | d subscribed before me this 17 day of NOVEMBEY, 2023  Month Y.  Notably Public in and For the State of Texas.  My dommission expires on 0411112023   |