

# Filing Receipt

Received - 2022-08-08 01:53:00 PM Control Number - 49779 ItemNumber - 2516



Public Utility Commission of Texas Application for Electricity Broker Registration

#### **General Information**

# Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

#### **Registration Form**

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

#### Affidavit

The owner, partner, or officer of the registrant must swear to and affirm that the registrant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct, and complete; that any material changes in the information will be provided in a timely manner; and that the registrant understands and will comply with all applicable laws and rules.

#### **Filing Instructions**

You can e-file the completed registration form and signed notarized affidavit online through the PUC Interchange Filer (https://interchange.puc.texas.gov/filer). Instructions for E-Filing: https://www.puc.texas.gov/industry/filings/E-FilingInstructions.pdf.

Or you may mail one copy to:

For USPS:

Public Utility Commission of Texas Central Records P.O. Box 13326 Austin, TX 78711-3326

Broker Registration Form Last Updated September 22, 2021 For all other delivery or courier services: Public Utility Commission of Texas Central Records 1701 N. Congress Ave., 8-100 Austin, TX 78701

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# **ELECTRICITY BROKER REGISTRATION FORM**

### PROJECT NO. 49779

## 1. Check the most appropriate box to describe this submission:

□This is a new broker registration

This supplies information for a pending broker registration

This amends an existing, completed broker registration

Provide an explanation of the amendment:

Renewing/updating broker registration and broker registration number is: BR190021

2. Autho	orized Re	presentativ	e or At	tornev to	contact :	about this	application:
				corney co	contact	about this	

Name	Richard Eisema	nn	an and a second broad by the second	and the second
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Rusine	ss Mailing Add	ress 4543	Rusk Ave	a strange and the start of the

State TX

Dusiness maning reducess \_\_\_\_\_

Telephone Number 469-219-7266

City Dallas

Email Address rkeisemann@deregulatedsolutions.com

3. Registering Entity: List the registering entity's legal business name, mailing address, telephone number, and email address.

Business Name RKE Consulting LLC

Mailing address 4543 Rusk Ave

City Dallas State TX

Zip 75204

Zip 75204

**Title President** 

Telephone Number 469-219-7266

Email Address rkeisemann@deregulatedsolutions.com

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□ Sole proprietor □ Corporation	🗆 Partne	ership	
Limited Liability Company (LLC)	🗆 Limit	ed Partnership	
	ng entity inten	pusiness-as (d/b/a) names, other than the legal name ds to operate. Any name in which a corporation tary of State.	
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3 <sup>rd</sup>	4 <sup>th</sup>		
	Title Pres	sident	
Name Richard Eisemann	Title <u>Pre</u>	sident	
Name Richard Eisemann Business mailing address 4543 Rusk Ave	Title <u>Pres</u>	sident	
Business mailing address 4543 Rusk Ave	State		
Name Richard Eisemann Business mailing address 4543 Rusk Ave	State TX		
Name Richard Eisemann Business mailing address 4543 Rusk Ave City Dallas Telephone Number 469-219-7266 Email Address rkeisemann@deregulatedse 7. Commission contact person. List the na	State TX olutions.com ame, business		
Name       Richard Eisemann         Business mailing address       4543 Rusk Ave         City       Dallas         Telephone       469-219-7266         Email       Address         rkeisemann@deregulatedsa         7. Commission contact person.       List the nation of a person who Commission Staff may contact	State TX olutions.com ame, business	Zip 75204 mailing address, telephone number, and email addr	
Name Richard Eisemann Business mailing address 4543 Rusk Ave City Dallas Telephone Number 469-219-7266 Email Address rkeisemann@deregulatedse 7. Commission contact person. List the na for a person who Commission Staff may con Name Richard Eisemann	State TX olutions.com ame, business n ntact.	Zip 75204 mailing address, telephone number, and email addr	
Name       Richard Eisemann         Business mailing address       4543 Rusk Ave         City       Dallas         Telephone       Number         469-219-7266         Email       Address         rkeisemann@deregulatedse	State TX olutions.com ame, business n ntact.	Zip 75204 mailing address, telephone number, and email addr	

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#### AFFIDAVIT

My name is Richard Eisemann . I am the owner, partner, or an officer (Circle One) of the Applicant.

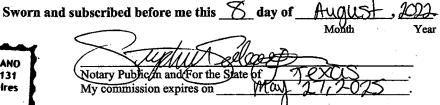
I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that the applicant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct and complete; and that any material changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the applicant understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.

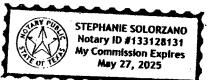
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Signature of Registering Entity's Owner, Partner, or Officer

Printed Name

Lansn Name of Registering Entity





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