

Filing Receipt

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Comm. Expires 08-20-2025 Notary ID 129529964



Public Utility Commission of Texas Application for Electricity Broker Registration

General Information

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

Affidavit

The owner, partner, or officer of the registrant must swear to and affirm that the registrant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct, and complete; that any material changes in the information will be provided in a timely manner; and that the registrant understands and will comply with all applicable laws and rules.

Filing Instructions

You can e-file the completed registration form and signed notarized affidavit online through the PUC Interchange Filer (https://interchange.puc.texas.gov/filer). Instructions for E-Filing: https://www.puc.texas.gov/industry/filings/E-FilingInstructions.pdf.

Or you may mail one copy to:

For USPS:

Public Utility Commission of Texas Central Records P.O. Box 13326 Austin, TX 78711-3326 For all other delivery or courier services:

Public Utility Commission of Texas
Central Records
1701 N. Congress Ave., 8-100
Austin, TX 78701



ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

1. Check the most appropriate box to describe this submission:			
☑This is a new broker registration			
☐This supplies information for a pending broker registration			
☐ This amends an existing, completed broker registration			
Provide an explanation of the amendment:			
2. Authorized Representative or Attorney to contact about this application:			
Name RICHARD LAWLER Title MANAGER			
Business Mailing Address 1818 ABBY ALDRICH LANE			
City KATY State TEXAS Zip 77449			
Telephone Number <u>713-292-7418</u>			
Email Address RVLAWLER@GMAIL.COM			
3. Registering Entity : List the registering entity's legal business name, mailing address, telephone number, and email address.			
Business Name KATY POWER CONSULTANT, LLC.			
Mailing address 1818 ABBY ALDRICH LANE			
City KATY State TEXAS Zip 77449			
Telephone Number 713-292-7418			
Email Address RVLAWLER@GMAIL.COM			

□ Sole proprietor □ Corporation □ Partnership 5. Other Names. List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Texas Secretary of State. 1st □ 2nd □ 3rd □ 4th □ 6. Customer Service Contact. List the telephone number, business mailing address, and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, business mailing address, email address, and telephone number of the customer service contact person. Name ANTHONY LE □ Title MANAGER Business mailing address 515 SOUTH FRY RD., #309 City KATY □ State □ Zip 77450 □ TEXAS Telephone Number □ Title MANAGER Telephone Number □ Title MANAGER Business mailing address 1818 ABBY ALDRICH LANE City KATY □ State □ Zip 77449 □ Zip	4. Business structure. Select the form of busin	ness being registered.			
Solution State State Title MANAGER	☐ Sole proprietor ☐ Corporation	□ Partnership			
listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Texas Secretary of State. 1st	☑ Limited Liability Company (LLC)	□ Limited Partnership			
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	City KATY				
Email Address RVLAWLER@GMAIL.COM	Telephone Number 7132927418				

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My name is RICHARD LAWLER	I am the owner, partner, or an officer (Circle One) of the Appli	cant.
competent to testify to them, and that registering entity. I further swear or a all applicable laws and is in good starthe application are true, correct and c provided to the Public Utility Commis understands and will comply with all	knowledge of the facts stated in the attached registration, that I have the authority to submit this application form on behalf of affirm that the applicant is authorized to do business in Texas unding with the Texas Secretary of State; that all statements may complete; and that any material changes in such information with sion of Texas in a timely manner. I swear or affirm that the application of the applicable law and rules, including custof irements, and marketing guidelines for retail electric service.	f the inder de in ll be icant
	Signature of Registering Entity's Owner, Partner, or Officer	
	RICHARD LAWLER	
	Printed Name	
	KATY POWER CONSULTANT, LLC.	
	Name of Registering Entity	
Sworn and	subscribed before me this day of ,	
	Month	Year
		_
	Notary Public in and For the State of My commission expires on	
	141) Commission Capitos on	