

Filing Receipt

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# **Public Utility Commission of Texas Application for Electricity Broker Registration**

#### General Information

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

#### Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

#### Affidavit

The owner, partner, or officer of the registrant must swear to and affirm that the registrant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct, and complete; that any material changes in the information will be provided in a timely manner; and that the registrant understands and will comply with all applicable laws and rules.

#### Filing Instructions

You can e-file the completed registration form and signed notarized affidavit online through the PUC Interchange Filer (https://interchange.puc.texas.gov/filer). Instructions for E-Filing: https://www.puc.texas.gov/industry/filings/E-FilingInstructions.pdf.

Or you may mail one copy to:

For USPS:

Public Utility Commission of Texas Central Records P.O. Box 13326 Austin, TX 78711-3326 For all other delivery or courier services:

Public Utility Commission of Texas
Central Records
1701 N. Congress Ave., 8-100
Austin, TX 78701



### ELECTRICITY BROKER REGISTRATION FORM

#### PROJECT NO. 49779

| 1. Check the most app                         | propriate box to describe this subn    | nission:   |
|---|--|--|
| ☑This is a new brok                           | er registration                        |  |
| ☐This supplies infor                          | rmation for a pending broker registra  | ation  |
| ☐This amends an ex                            | xisting, completed broker registratio  | n  |
| Provide an explanat                           | tion of the amendment:                 |  |
|   |  |  |
|   | entative or Attorney to contact ab     | 18 million of the control of the con |
| Name Shahram Tabatabai                        |  | Title Chariman   |
| Business Mailing Add                          | ress 331 Soquel Avenue Suite 209       |  |
| City Santa Cruz                               | State CA                               | Zip 95062  |
| Telephone Number 40                           | 08-766-3799                            |  |
| Email Address Sean@                           | Dbia.energy                            |  |
| 3. <b>Registering Entity</b> : email address. | List the registering entity's legal bu | siness name, mailing address, telephone number, and  |
| Business Name BIA E                           | nergy                                  |  |
| Mailing address 331 S                         | Siquel Avenue Suite 209                |  |
| City Santa Cruz                               | State CA                               | Zip 95062  |
| Telephone Number 40                           | )8-766-3799                            | J  |
| Email Address Sean@                           | Dbia.energy                            |  |

| 4. Business structure. Select the form of busine   | ss being regis                                      | tered.   |
|--|---|--|
| ☐ Sole proprietor  | ☐ Partnersh   | ip   |
| ☐ Limited Liability Company (LLC)  | ☐ Limited P   | Partnership  |
| <b>5. Other Names</b> . List any trade, commercial, an listed in #3 above, under which the registering er intends to operate must be registered with the Te  | atity intends to                                    | o operate. Any name in which a corporation   |
| 1 <sup>st</sup> N/A  |   |  |
| 3 <sup>rd</sup>  | 4 <sup>th</sup>                                     |  |
| 6. Customer Service Contact. List the telephor customer service department. If the registering of then provide the name, title, business mailing adservice contact person.  Name Mark Sturrock   | entity does not                                     | t have a dedicated customer service department, ddress, and telephone number of the customer   |
| Name Wark Stuffock   |   |  |
| The state of the s |   | the same that th |
| Business mailing address 3260 St Albans Drive  | )   |  |
| Business mailing address 3260 St Albans Drive City Dallas  | State TX  | Zip 75214  |
|  | State   | Zip 75214  |
| City Dallas  | State   | Zip 75214  |
| City Dallas  Telephone Number 14-457-6965  Email Address Mark@bia.energy   | State TX business mai                               | Zip 75214  ling address, telephone number, and email address   |
| City Dallas  Telephone Number 114 - 457 - 6965  Email Address Mark@bia.energy  7. Commission contact person. List the name.  | State TX business mai                               |  |
| Telephone Number 14-457-6965  Email Address Mark@bia.energy  7. Commission contact person. List the name for a person who Commission Staff may contact   | State TX business mai                               |  |
| City Dallas  Telephone Number 147-6955  Email Address Mark@bia.energy  7. Commission contact person. List the name, for a person who Commission Staff may contact Name Lauren Klobuchar  | State TX business mai                               |  |
| Telephone Number 114-457-6965  Email Address Mark@bia.energy  7. Commission contact person. List the name for a person who Commission Staff may contact Name Lauren Klobuchar  Business mailing address 331 Soquel Avenue  | State TX  business mai  Title CEO  Suite 209  State | ling address, telephone number, and email address  |

### AFFIDAVIT

| My name is Shahram Tabatabai   | am the owner, partner, or an officer (Circle One) of the Applicant.  |
|--|--|
| competent to testify to them, and that I registering entity. I further swear or af all applicable laws and is in good stand the application are true, correct and coprovided to the Public Utility Commission understands and will comply with all | nowledge of the facts stated in the attached registration, that I ampliant the authority to submit this application form on behalf of the firm that the applicant is authorized to do business in Texas under ling with the Texas Secretary of State; that all statements made in implete; and that any material changes in such information will be on of Texas in a timely manner. I swear or affirm that the applicant requirements of the applicable law and rules, including customer ements, and marketing guidelines for retail electric service. |
|  | Signature of Registering Entity's Owner, Partner, or Officer   |
|  | Shahram Tabatabai  |
|  | Printed Name   |
|  | Bia Energy   |
|  | Name of Registering Entity   |
|  |  |
| Sworn and  | subscribed before me this day of,  |
|  | Month Year   |
|  | Mr Stud  |
|  | Notary Public in and For the State of Californ n/a.  My commission expires on 12 / 1/2/  |
|  | 111) VOLUMBOION UNPITOO ON 12/2/2/2/   |

## CALIFORNIA JURAT

| A notary public or other officer completing this certificate verifies only the identithe individual who signed the document to which this certificate is attached, and the truthfulness, accuracy, or validity of that document.  |   |
|---|---|
| the truthlainess, accuracy, or rangery  | ty of dinot   |
| State of California   |   |
| County of Santa Clara   |   |
|   | 4   |
| Subscribed and sworn to (or affirmed) before me on this   | 4 12 day  |
| of June, 20 81, by  | Shahram Tabatabai   |
| of  |   |
| proved to me on the basis of satisfactory evidence to be the perso<br>who appeared before me.   | n(s)  |
| BARRY SABAHAY COMM. #2225055 Notary Public - California Santa Clara County My Comm. Expires Dec. 11, 2021   | 11 AA   |
| Signature   | an Harv   |
| (Seal)  |   |
|   |   |
| Optional Information in this section is not required by law, it could prevent fraudulent rend may prove useful to persons relying on the attached document.  Description of Attached Document   | noval and reattachment of this jurat to an unauthorized document  Additional Information  |
| Ithough the information in this section is not required by law, it could prevent fraudulent rended may prove useful to persons relying on the attached document.  Description of Attached Document  his certificate is attached to a document titled/for the purpose of | Additional Information  Method of Affiant Identification  |
| Ithough the information in this section is not required by law, it could prevent fraudulent rended may prove useful to persons relying on the attached document.  Description of Attached Document  his certificate is attached to a document titled/for the purpose of | noval and reattachment of this jurat to an unauthorized document  Additional Information  |
| Ithough the information in this section is not required by law, it could prevent fraudulent rended may prove useful to persons relying on the attached document.  Description of Attached Document  his certificate is attached to a document titled/for the purpose of | Additional Information  Method of Affiant Identification  Proved to me on the basis of satisfactory evidence:   |
| Ithough the information in this section is not required by law, it could prevent fraudulent ren<br>and may prove useful to persons relying on the attached document.  Description of Attached Document  | Additional information  Method of Affiant Identification  Proved to me on the basis of satisfactory evidence:  () form(s) of identification () credible witness(es)  Notarial event is detailed in notary journal on:  Page # Entry # |
| Ithough the information in this section is not required by law, it could prevent fraudulent rended may prove useful to persons relying on the attached document.  Description of Attached Document  his certificate is attached to a document titled/for the purpose of | Additional Information  Method of Affiant Identification  Proved to me on the basis of satisfactory evidence:  of form(s) of Identification  Notarial event is detailed in notary journal on:   |
| Ithough the information in this section is not required by law, it could prevent fraudulent rended may prove useful to persons relying on the attached document.  Description of Attached Document  his certificate is attached to a document titled/for the purpose of | Additional Information  Method of Affiant Identification  Proved to me on the basis of satisfactory evidence:  of form(s) of identification  Notarial event is detailed in notary journal on:  Page # Entry #  Notary contact:        |