



Control Number: 49779



Item Number: 1611

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Public Utility Commission of Texas Application for Electricity Broker Registration

2020 OCT -9 AM 9:32
FILING CLERK

General Information

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

Affidavit

The owner, partner, or officer of the registering entity must swear to and affirm the truthfulness, correctness, and completeness of the information provided in this registration by attaching a signed and notarized copy of the Affidavit provided with this registration form.

Filing Instructions

Submit **seven copies (an original and six copies)** of the completed registration form and signed and notarized Affidavit to:

Central Records Filing Clerk
Public Utility Commission of Texas
1701 N. Congress Avenue
P.O. Box 13326
Austin, Texas 78711-3326
Telephone: (512) 936-7180

1611



ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

1. Check the most appropriate box to describe this submission:

- ☒ This is a new broker registration
- ☐ This supplies information for a pending broker registration
- ☐ This amends an existing, completed broker registration

Provide an explanation of the amendment:

2. Authorized Representative or Attorney to contact about this application:

Name	Gautam Sharma		Title	owner	
Business Address	1532 Commerce Dr				
City	Plano	State	TX	Zip	75043
Telephone Number	214-643-9919				
Email Address	Gautam.Sharma5@gmail.com				

3. Registering Entity: List the registering entity's legal name, business address, and telephone number.

Name	Smart Connections LLC				
Business address	1532 Commerce Dr				
City	Plano	State	TX	Zip	75093
Telephone Number					

4. Type of organization of registering entity:

- ☐ Sole proprietor ☐ Other
- ☐ Corporation
- ☒ Limited Liability Company, L.L.C
- ☐ Limited Partnership

5. Description of the brokerage services provided by the registering entity and type of customers served.

Description of Services:

Types of Customers: *Check all that apply*

☒ Residential

☐ Industrial

☐ Other

☒ Commercial

☐ Municipalities

6. Other Names. List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Secretary of State.

1 st	2 nd
3 rd	4 th
5 th	

7. Officers. Provide, as **Attachment A**, the names, business addresses, email addresses, and phone numbers of the registering entity's officers, directors, and partners, as applicable.

☒ Attachment A

8. Customer Service Contact. List the telephone number and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, address, email address, and telephone number of the customer service contact person.

Customer Service Department	Telephone Number	Email Address
		Gotham.Sharma5@Gmail.com
Name	Gautam Sharma	
Title	Owner	
Business address	1532 Commerce Dr	
City	State	Zip
Plano	TX	75093
Telephone Number	214-693-9919	
Email Address	Gotham.Sharma5@Gmail.com	

9. Regulatory contact person. List the name, physical business address, telephone number, and email address for a regulatory contact person.

Name	Gautam Sharma	
Title	Owner	
Business address	1532 Commerce Dr	
City	State	Zip
Plano	TX	75093
Telephone Number	214-693-9919	
Email Address	Gotham.Sharma5@Gmail.com	

10. Secretary of State Record. Entities who must register with the Secretary of State must provide a copy of the certificate of status issued by the Texas Secretary of State certifying that the registering entity is authorized to transact business in Texas.

☒ Copy of Secretary of State certificate of status is attached.

AFFIDAVIT

My name is Gautam Sharma I am the Owner of the Applicant.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that all statements made in the Registration Form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the registering entity understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.

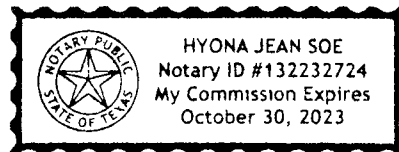
Gautam Sharma
Signature of Registering Entity's Owner, Partner, or Officer

Gautam Sharma
Printed Name

Smart Connection LLC
Name of Registering Entity

Sworn and subscribed before me this 02nd day of October, 2020.
Month Year

[Signature]
Notary Public in and For the State of TEXAS
My commission expires on 10-30-2023



Attachment A

Gautam Shasna

Owner

Gotham.Shasna@gmail.com

1532 Commerce Dr Plano TX 75093

214-693-9919



Office of the Secretary of State

CERTIFICATE OF FILING OF

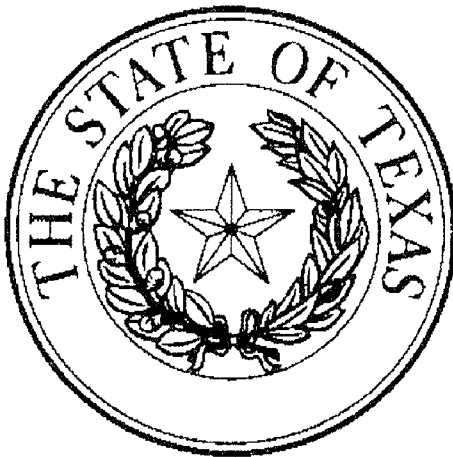
SMART CONNECTIONS LLC
File Number: 801495024

The undersigned, as Secretary of State of Texas, hereby certifies that the application for reinstatement for the above named entity has been received in this office and has been found to conform to law. It is further certified that the entity has been reinstated to active status on the records of this office.

ACCORDINGLY the undersigned, as Secretary of State, and by virtue of the authority vested in the Secretary by law hereby issues this Certificate of Filing.

Dated: 04/13/2017

Effective: 04/13/2017



A handwritten signature in black ink, appearing to read "R. Pablos".

Rolando B. Pablos
Secretary of State