



Control Number: 49779



Item Number: 1456

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Public Utility Commission of Texas Application for Electricity Broker Registration



General Information

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

Affidavit

The owner, partner, or officer of the registering entity must swear to and affirm the truthfulness, correctness, and completeness of the information provided in this registration by attaching a signed and notarized copy of the Affidavit provided with this registration form.

Filing Instructions

Submit **seven copies (an original and six copies)** of the completed registration form and signed and notarized Affidavit to:

Central Records Filing Clerk
Public Utility Commission of Texas
1701 N. Congress Avenue
P.O. Box 13326
Austin, Texas 78711-3326
Telephone: (512) 936-7180

1456

John F. Warren, COUNTY CLERK
DALLAS COUNTY, TEXAS
509 MAIN ST. SUITE 200
DALLAS, TX 75202



201300180973
06/13/2013

ASSUMED NAME RECORDS

CERTIFICATE OF OWNERSHIP FOR UNINCORPORATED BUSINESS OR PROFESSION

Pursuant to Title 5, §21.151(a) of the Texas Business and Commerce Code, Certificates of Ownership are valid for a period not to exceed 10 years during which the assumed name will be used.

1. AMERICAN GAS AND ELECTRIC GROUP
Name under which Business or Professional Service will be conducted (Please Print or Type)
2. 10821 COMPOSITE DRIVE #200 City DALLAS State TX Zip 75220
Business Address
3. The period, not to exceed (10) years, during which the assumed name will be used is 10 years.
4. The Business or Professional Service under this Assumed Name will be conducted as a: (Please check one)
☒ Proprietorship ☐ Sole Practitioner (Dr/Lawyer/etc) ☐ General Partnership
☐ Limited Partnership ☐ Joint Venture ☐ Joint Stock Company
☐ Real Estate Investment Trust ☐ Non-Profit ☐ Other

5. List the name(s) and complete street address of (owner (s) under the above Assumed Name. (Please Print)

Name	Street	City	State	Zip
RANDY CARRASCO	10821 COMPOSITE DRIVE #200	DALLAS	TX	75220

[Signature]
Signature

Signature

Signature

Signature

Filed and Recorded
Official Public Records
John F. Warren, County Clerk
Dallas County, Texas
06/13/2013 04:17 PM
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THE STATE OF TEXAS, COUNTY OF DALLAS
BEFORE ME, THE UNDERSIGNED AUTHORITY,
on this day personally appeared RANDY CARRASCO



[Signature]

201300180973

known to me to be the person(s) whose name is/are
subscribe to the foregoing instrument and
acknowledge to me that he executed the same for the
purpose and consideration therein expressed.

Given under my hand and seal of office, this 10th day of June, 2013.

JOHN F. WARREN, COUNTY CLERK

By _____
Notary Public in and for Dallas County

Deputy [Signature]