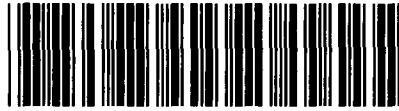


Control Number: 49779



Item Number: 1394

Addendum StartPage: 0



ELECTRICITY BROKER REGISTRATION FORM

RECEIVED

2020 MAR 15 AM 9:31

PUBLIC UTILITY COMMISSION
FILING CLERK

PROJECT NO. 49779

1. Check the most appropriate box to describe this submission:

- ☒ This is a new broker registration
- ☐ This supplies information for a pending broker registration
- ☐ This amends an existing, completed broker registration

Provide an explanation of the amendment:

2. Authorized Representative or Attorney to contact about this application:

Name	Caleb Berger	Title	President
Business Address	125 Maiden Lane Suite 3C		
City	New York	State	NY
		Zip	10038
Telephone Number	212-684-1431		
Email Address	caleb.berger@capitalenergy.net		

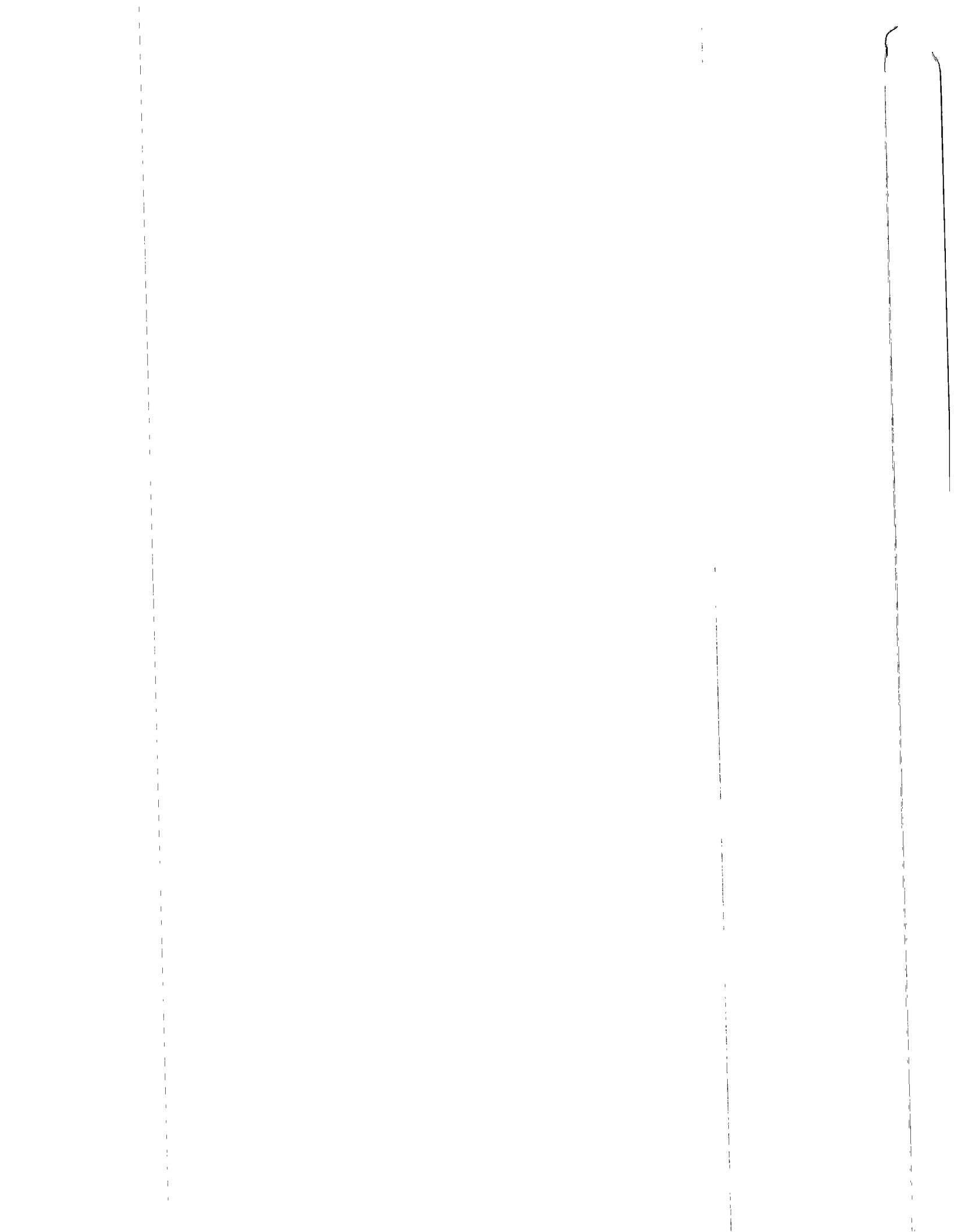
3. Registering Entity: List the registering entity's legal name, business address, and telephone number.

Name	Capital Energy Inc.		
Business address	125 Maiden Lane Suite 3C		
City	New York	State	NY
		Zip	10038
Telephone Number	888-580-5808		

4. Type of organization of registering entity:

- ☐ Sole proprietor ☐ Other
- ☒ Corporation
- ☐ Limited Liability Company, L.L.C
- ☐ Limited Partnership

1394



5. Description of the brokerage services provided by the registering entity and type of customers served.

Description of Services: Electricity and natural gas procurement

Types of Customers: *Check all that apply*

☐ Residential

☒ Industrial

☐ Other

☒ Commercial

☒ Municipalities

6. Other Names. List any trade, commercial, and doing-business-as (d b a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Secretary of State.

1st Capital Energy Services

2nd

3rd

4th

5th

7. Officers. Provide, as Attachment A, the names, business addresses, email addresses, and phone numbers of the registering entity's officers, directors, and partners, as applicable.

☒ Attachment A

8. Customer Service Contact. List the telephone number and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, address, email address, and telephone number of the customer service contact person.

Customer Service
Department

Telephone Number

888-580-5808

Email Address

support@capitalenergy.net

Name

Title

Business address

City

State

Zip

Telephone Number

Email Address

9. Regulatory contact person. List the name, physical business address, telephone number, and email address for a regulatory contact person.

Name

Caleb Berger

Title

President

Business address

125 Maiden Lane Suite 3C

City

New York

State

NY

Zip

10038

Telephone Number

212-684-1431

Email Address

caleb.berger@capitalenergy.net

10. Secretary of State Record. Entities who must register with the Secretary of State must provide a copy of the certificate of status issued by the Texas Secretary of State certifying that the registering entity is authorized to transact business in Texas.

☒ Copy of Secretary of State certificate of status is attached.

AFFIDAVIT

My name is Caleb Borger I am the President of the Registering Entity.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that all statements made in the Registration Form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the registering entity understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.



Signature of Registering Entity's Owner, Partner, or Officer

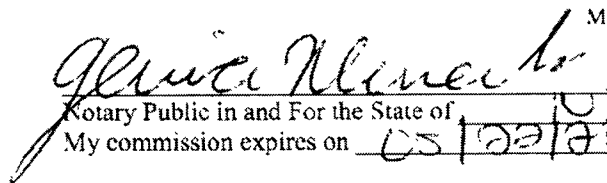
Caleb Borger

Printed Name

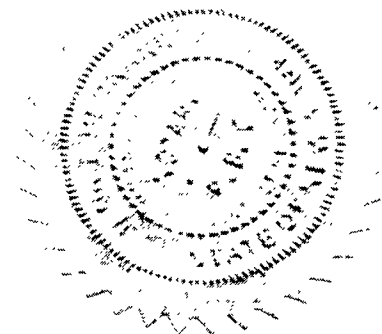
Capital Energy Inc.

Name of Registering Entity

Sworn and subscribed before me this 11 day of March, 2020
Month Year


Notary Public in and For the State of TX
My commission expires on 05/22/21

J. nice Hernandez
Notary Public, State of New York
No. 01HE6359254
Qualified in Bronx County, On File NY, Kings
Commission Expires May 22th 2021
The UPS Store 82 Nassau St. 1212 106.9016



ATTACHMENT A

Applicant's sole owner, officer and director are one and the same:

Caleb Berger
Capital Energy Inc
125 Maiden Lane
Suite 3C
New York, NY 10038
212-684-1431
caleb.berger@capitalenergy.net



Office of the Secretary of State

CERTIFICATE OF FILING OF

Capital Energy Inc.
File Number: 803533075

The undersigned, as Secretary of State of Texas, hereby certifies that an Application for Registration for the above named Foreign For-Profit Corporation to transact business in this State has been received in this office and has been found to conform to the applicable provisions of law.

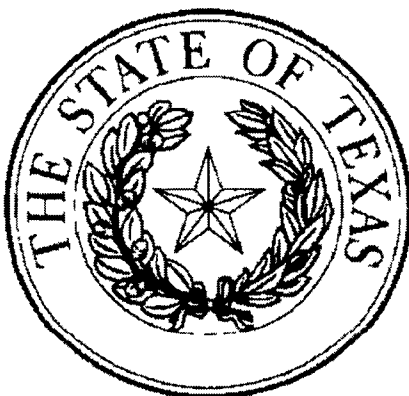
ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing the authority of the entity to transact business in this State from and after the effective date shown below for the purpose or purposes set forth in the application under the name of

Capital Energy Services Inc.

The issuance of this certificate does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated: 01/30/2020

Effective: 01/30/2020



A handwritten signature in black ink, appearing to read "Ruth R. Hughes".

Ruth R. Hughes
Secretary of State



Office of the Secretary of State

CERTIFICATE OF FILING OF

Capital Energy Inc.
File Number: 803533075
Assumed Name:
Capital Energy Services Inc.

The undersigned, as Secretary of State of Texas, hereby certifies that the assumed name certificate for the above named entity has been received in this office and filed as provided by law on the date shown below.

ACCORDINGLY the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law hereby issues this Certificate of Filing.

Dated: 02/03/2020

Effective: 02/03/2020



A handwritten signature in black ink, appearing to read "Ruth R. Hughs".

Ruth R. Hughs
Secretary of State