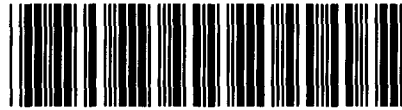




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## ELECTRICITY BROKER REGISTRATION FORM

2020 FEB 18 AM 9:06  
FEB 18 2020

PROJECT NO. 49779

**1. Check the most appropriate box to describe this submission:**

- ☐ This is a new broker registration
- ☐ This supplies information for a pending broker registration
- ☒ This amends an existing, completed broker registration

Provide an explanation of the amendment:

Energy CX, LLC is seeking to remove the DBA "Energy Connection" from its broker registration record.  
Energy CX, LLC is also seeking to correct a typo in its business mailing address.

**2. Authorized Representative or Attorney to contact about this application**

Name <u>Max Rice</u>		Title <u>Operations Manager</u>
Business Mailing Address <u>3501 Woodhead Dr.</u>		
City <u>Northbrook</u>	State <u>Illinois</u>	Zip <u>60062</u>
Telephone Number <u>847-873-4688</u>		
Email Address <u>max@energycx.com</u>		

**3. Registering Entity** List the registering entity's legal business name, mailing address, telephone number, and email address.

Business Name <u>Energy CX, LLC</u>		
Mailing address <u>3501 Woodhead Dr.</u>		
City <u>Northbrook</u>	State <u>Illinois</u>	Zip <u>60062</u>
Telephone Number <u>847-509-2290</u>		
Email Address <u>mark@energycx.com</u>		

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4. Description of the brokerage services provided by the registering person and type of customers served

**Description of Services:**

\_\_\_\_\_

\_\_\_\_\_

**Types of Customers:** *Check all that apply*

☐ **Residential**

☐ **Industrial**

☐ **Other**

☐ **Commercial**

☐ **Municipalities**

**5. Other Names** List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Texas Secretary of State.

**1<sup>st</sup>** \_\_\_\_\_

**2<sup>nd</sup>** \_\_\_\_\_

**3<sup>rd</sup>** \_\_\_\_\_

**4<sup>th</sup>** \_\_\_\_\_

**6. Customer Service Contact** List the telephone number, business mailing address, and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, business mailing address, email address, and telephone number of the customer service contact person.

**Customer Service Department**

\_\_\_\_\_

**Telephone  
Number**

\_\_\_\_\_

**Email Address**

\_\_\_\_\_

**Name** \_\_\_\_\_

**Title** \_\_\_\_\_

**Business mailing address** \_\_\_\_\_

**City** \_\_\_\_\_

**State**

\_\_\_\_\_

**Zip** \_\_\_\_\_

**Telephone Number** \_\_\_\_\_

**Email Address** \_\_\_\_\_

**7. Regulatory contact person** List the name, business mailing address, telephone number, and email address for a regulatory contact person.

**Name** \_\_\_\_\_

**Title** \_\_\_\_\_

**Business mailing address** \_\_\_\_\_

**City** \_\_\_\_\_

**State**

\_\_\_\_\_

**Zip** \_\_\_\_\_

**Telephone Number** \_\_\_\_\_

**Email Address** \_\_\_\_\_

## AFFIDAVIT

My name is Mark Rice. I am the owner partner, or an officer (Circle One) of the Applicant.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that the applicant is authorized to do business in Texas under all applicable laws and is in good standing with the Texas Secretary of State; that all statements made in the application are true, correct and complete; and that any material changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the applicant understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.



Signature of Registering Entity's Owner, Partner, or Officer

Mark Rice

Printed Name

Energy CX, LLC

Name of Registering Entity

Sworn and subscribed before me this 11 day of February, 2020  
Month Year

  
Notary Public in and For the State of IL

My commission expires on 11/13/2021

