



Control Number: 49779



Item Number: 1316

Addendum StartPage: 0



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PUBLIC UTILITY COMMISSION
STATE OF TEXAS

ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

1. Check the most appropriate box to describe this submission:

- This is a new broker registration
- This supplies information for a pending broker registration
- This amends an existing, completed broker registration

Provide an explanation of the amendment:

2. Authorized Representative or Attorney to contact about this application:

Name	Thomas Sherman	Title	President
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Business Address	24700 Center Ridge Rd., Suite 390		
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City	Westlake	State	OH	Zip	44145
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Telephone Number	440-773-5044
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Email Address	tom@sustainable-energy-services.com
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3. Registering Entity: List the registering entity's legal name, business address, and telephone number.

Name	Sustainable Energy Services, Inc.		
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Business address	24700 Center Ridge Rd., Suite 390		
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City	Westlake	State	OH	Zip	44145
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Telephone Number	440-773-5044
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4. Type of organization of registering entity:

- Sole proprietor Other
- Corporation
- Limited Liability Company, L.L.C
- Limited Partnership

1314

5. Description of the brokerage services provided by the registering entity and type of customers served.

Description of Services:

Energy procurement (broker), energy auditing, other energy related services.

Types of Customers: *Check all that apply*

- Residential Industrial Other
 Commercial Municipalities

6. Other Names. List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Secretary of State.

1 st	<i>N/A</i>	2 nd
3 rd		5 th

7. Officers. Provide, as Attachment A, the names, business addresses, email addresses, and phone numbers of the registering entity's officers, directors, and partners, as applicable.

Attachment A

8. Customer Service Contact. List the telephone number and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, address, email address, and telephone number of the customer service contact person.

Customer Service Department	Telephone Number <i>440-665-9491</i>	Email Address <i>services.com laura@sustainable-energy-</i>
Name <i>Laura Sherman</i>	Title <i>CEO</i>	
Business address <i>24700 Center Ridge Rd., Suite 390</i>		
City <i>Westlake</i>	State <i>OH</i>	Zip <i>44145</i>
Telephone Number <i>440-665-9491</i>		
Email Address <i>Laura@sustainable-energy-services.com</i>		

9. Regulatory contact person. List the name, physical business address, telephone number, and email address for a regulatory contact person.

Name <i>Thomas Sherman</i>	Title <i>President</i>	
Business address <i>24700 Center Ridge Rd., Suite 390</i>		
City <i>Westlake</i>	State <i>OH</i>	Zip <i>44145</i>
Telephone Number <i>440-773-5044</i>		
Email Address <i>tom@sustainable-energy-services.com</i>		

10. Secretary of State Record. Entities who must register with the Secretary of State must provide a copy of the certificate of status issued by the Texas Secretary of State certifying that the registering entity is authorized to transact business in Texas.

Copy of Secretary of State certificate of status is attached.

AFFIDAVIT

My name is Thomas Sherman. I am the President of the Registering Entity.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that all statements made in the Registration Form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the registering entity understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.

[Handwritten Signature]

Signature of Registering Entity's Owner, Partner, or Officer

Thomas Sherman

Printed Name

Sustainable Energy Services, Inc.
Name of Registering Entity

Sworn and subscribed before me this 13th day of January, 2020.
Month Year



DEBORAH E. MITTERMOLTER
Notary Public, State of Ohio

[Handwritten Signature]

My Comm. Expires October 22, 2023 Public in and For the State of Ohio.
Recorded in Lorain County Commission expires on October 22, 2023.



Office of the Secretary of State

**CERTIFICATE OF FILING
OF**

**Sustainable Energy Services, Inc.
File Number: 803511254**

The undersigned, as Secretary of State of Texas, hereby certifies that an Application for Registration for the above named Foreign For-Profit Corporation to transact business in this State has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing the authority of the entity to transact business in this State from and after the effective date shown below for the purpose or purposes set forth in the application under the name of

Sustainable Energy Services, Inc.

The issuance of this certificate does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated: 01/07/2020

Effective: 01/07/2020



A handwritten signature in black ink, appearing to read "Ruth R. Hughs".

Ruth R. Hughs
Secretary of State

ATTACHMENT A

Officers of Sustainable Energy Services, Inc.

Laura Sherman, Owner, CEO and Board Chairperson

24700 Center Ridge Road, Suite 390

Westlake, OH 44145

440-665-9491

laura@sustainable-energy-services.com

Thomas Sherman, Owner, President and Board Secretary

24700 Center Ridge Road, Suite 390

Westlake, OH 44145

440-773-5044

tom@sustainable-energy-services.com