

Control Number: 49779



Item Number: 1165

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Public Utility Commission of Texas Application for Electricity Broker Registration

General Information

Individual employees and agents of broker firms and persons acting only as agents of retail electric providers are not required to register as brokers.

Under Public Utility Regulatory Act (PURA) Section 39.3555, any person that provides brokerage services for compensation or other consideration must register as a broker with the Public Utility Commission of Texas (Commission). "Brokerage services" means providing advice or procurement services to, or acting on behalf of, a retail electric customer regarding the selection of a retail electric provider, or a product or service offered by a retail electric provider. Brokers do not sell or take title to electric energy.

Registered brokers must comply with customer protection provisions, disclosure requirements, and marketing guidelines established by the Commission. An administrative penalty may be assessed for failure to comply with PURA or Commission rules.

Registration Form

Answer all of the questions on the registration form completely, providing all relevant information. If substantive changes occur while the application is pending, promptly notify the Commission by filing seven copies (six copies and one original) of the registration form showing the changes with the Commission's Filing Clerk under the control number assigned to the application.

Affidavit

The owner, partner, or officer of the registering entity must swear to and affirm the truthfulness, correctness, and completeness of the information provided in this registration by attaching a signed and notarized copy of the Affidavit provided with this registration form.

Filing Instructions

Submit seven copies (an original and six copies) of the completed registration form and signed and notarized Affidavit to:

Central Records Filing Clerk Public Utility Commission of Texas 1701 N. Congress Avenue P.O. Box 13326 Austin, Texas 78711-3326 Telephone: (512) 936-7180

1165



ELECTRICITY BROKER REGISTRATION FORM

PROJECT NO. 49779

| 1. Check the most appropriate box to describe this submission: | | | | | | |
|--|------------------------------------|-------------------|-------------------------|--|--|--|
| ☑ This is a new broker registration | | | | | | |
| ☐ This supplies information for a pending broker registration | | | | | | |
| ☐ This amends an existing, completed broker registration | | | | | | |
| Provide an explana | tion of the amendment: | | | | | |
| 2. Authorized Represo | entative or Attorney to contact ab | out this | s application: | | | |
| Name Eric Greenberg | | | Title Managing Director | | | |
| Business Address 142 Mineola Ave., #3F | | | | | | |
| City Roslyn Heights | State NY | Zip ₁₁ | 577 | | | |
| Telephone Number (516) 801-4331 | | | | | | |
| Email Address eric.greenberg@solution-energy.com | | | | | | |
| 3. Registering Entity : List the registering entity's legal name, business address, and telephone number. | | | | | | |
| Name Solution Energy LLC Colution Energy TX, LLC | | | | | | |
| Business address 142 Mineola Ave., #3F | | | | | | |
| City Roslyn Heights | State _{NY} | Zip ₁₁ | 577 | | | |
| Telephone Number (5 | 16) 801-4331 | | | | | |
| 4. Type of organization of registering entity: | | | | | | |
| ☐ Sole proprietor ☐ Corporation ☑ Limited Liability Co ☐ Limited Partnership | □ Other | | | | | |

| 5. Description of the br | okerage services provided by th | e reg | gistering entity and type of customers served. | |
|--|--|----------------------|--|--|
| Description of Services We help clients navigate | s: te retail electric supply contracts | | | |
| Types of Customers: C | Check all that apply | | | |
| ☐ Residential ☑ Commercial | ✓ Industrial □ Municipalities | | Other | |
| 6. Other Names . List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Secretary of State. | | | | |
| 1st Solution Env | WAY TX, LLE | | 2 nd | |
| 3 rd | 441 | | 5 th | |
| | s Attachment A, the names, bu officers, directors, and partners, | | ss addresses, email addresses, and phone numbers of pplicable. | |
| ☑ Attachment A | | | | |
| 8. Customer Service Contact . List the telephone number and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, address, email address, and telephone number of the customer service contact person. | | | | |
| Customer Service Department | Telephone Number | 3 | Email Address | |
| Name Ronald Glickman | | Title _{CEO} | | |
| Business address 142 Mineola Ave., #3F | | | | |
| City Roslyn Heights | State _{NY} | | Zip ₁₁₅₇₇ | |
| Telephone Number 5168014331 | | | | |
| Email Address ronald.glickman@solution-energy.com | | | | |
| 9. Regulatory contact person . List the name, physical business address, telephone number, and email address for a regulatory contact person. | | | | |
| Name Roanld Glickman | 1 | Tit | leCEO | |
| Business address 142 M | Mineola Ave., #3F | | | |
| City Roslyn Heights | State _{NY} | | Zip ₁₁₅₇₇ | |
| Telephone Number (516) 801-4331 | | | | |
| Email Address ronald.glickman@solution-energy.com | | | | |

10. Secretary of State Record. Entities who must register with the Secretary of State must provide a copy of the certificate of status issued by the Texas Secretary of State certifying that the registering entity is authorized to transact business in Texas.

☑ Copy of Secretary of State certificate of status is attached.

SOLUTION ENERGY

Attachment A

Ronald Glickman CEO 142 Mineola Ave., #3F Roslyn Heights, NY 11577 Ronald.glickman@solution-energy.com (516)801-4331

Eric Greenberg
Managing Director
142 Mineola Ave., #3F
Roslyn Heights, NY 11577
Eric.greenberg@solution-energy.com
(516)801-4331



Office of the Secretary of State

CERTIFICATE OF FILING OF

Solution Energy, LLC File Number: 803428570

The undersigned, as Secretary of State of Texas, hereby certifies that an Application for Registration for the above named Foreign Limited Liability Company (LLC) to transact business in this State has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing the authority of the entity to transact business in this State from and after the effective date shown below for the purpose or purposes set forth in the application under the name of

Solution Energy TX, LLC

The issuance of this certificate does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated: 09/24/2019

Effective: 11/01/2019



Ruth R. Hughs Secretary of State

Fax: (512) 463-5709 Dial: 7-1-1 for Relay Services TID: 10308 Document: 915828920002

AFFIDAVIT

| My name is LNi Cycen My. I am the MANGNY Niww of the Registering Entity. |
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| · · · · · · · · · · · · · · · · · · · |
| I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am |
| competent to testify to them, and that I have the authority to submit this application form on behalf of the |
| registering entity. I further swear or affirm that all statements made in the Registration Form are true, |
| correct and complete and that any substantial changes in such information will be provided to the Public |
| Utility Commission of Texas in a timely manner. I swear or affirm that the registering entity understands |
| and will comply with all requirements of the applicable law and rules, including customer protection |
| provisions, disclosure requirements, and marketing guidelines for retail electric service. |
| |
| |

Name of Registering Entity

Sworn and subscribed before me this

Notary Public in and For the State of My commission expires on NOVO

NATALIE M. NAU
Notary Public, State of New York
No. 01NA6230499
Qualified in Nassau County
Commission Expires Nov. 01, 20

Broker Registration Form Last Updated August 8, 2019