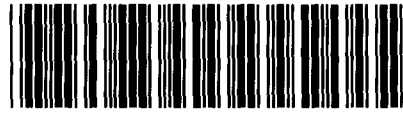


Control Number: 49779



Item Number: 1102

Addendum StartPage: 0



ELECTRICITY BROKER REGISTRATION FORM

2019 SEP 17 AM 9:17
PUBLIC UTILITY COMMISSION

PROJECT NO. 49779

1. Check the most appropriate box to describe this submission:

This is a new broker registration

This supplies information for a pending broker registration

This amends an existing, completed broker registration

Provide an explanation of the amendment:

2. Authorized Representative or Attorney to contact about this application

Name Duane Lock	Title President
Business Address 1026 Texan Trail, Suite 201	
City Grapevine	State Texas
Zip 76051	
Telephone Number (972) 241-1970	
Email Address dlock@lockgroup.com	

3. Registering Entity List the registering entity's legal name, business address, and telephone number

Name Mablock Consulting, LLC dba Lock Group	
Business address 1026 Texan Trail, Suite 201	
City Grapevine	State Texas
Zip 76051	
Telephone Number (972) 241-1970	

4. Type of organization of registering entity.

Sole proprietor Other

Corporation

Limited Liability Company, L.L.C

Limited Partnership

1107

5. Description of the brokerage services provided by the registering entity and type of customers served		
Description of Services: Energy Brokering and Consulting		
Types of Customers: <i>Check all that apply</i>		
<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Industrial	<input type="checkbox"/> Other
<input checked="" type="checkbox"/> Commercial	<input checked="" type="checkbox"/> Municipalities	
6. Other Names List any trade, commercial, and doing-business-as (d b a) names, other than the legal name listed in 7.3 above, under which the registering entity intends to operate. Any name in which a corporation intends to operate must be registered with the Secretary of State		
1 st	2 nd	
3 rd	4 th	5 th
7. Officers Provide, as Attachment A , the names, business addresses, email addresses, and phone numbers of the registering entity's officers, directors, and partners, as applicable		
<input checked="" type="checkbox"/> Attachment A		
8. Customer Service Contact List the telephone number and email address of the customer service department. If the registering entity does not have a dedicated customer service department, then provide the name, title, address, email address, and telephone number of the customer service contact person.		
Customer Service Department	Telephone Number (972) 241-1970	Email Address dlock@lockgroup.com
Name Austin Lock		Title CFO and Admin
Business address 1026 Texan Trail, Suite 201		
City Grapevine	State Texas	Zip 76051
Telephone Number (972) 241-1970		
Email Address dlock@lockgroup.com		
9. Regulatory contact person. List the name, physical business address, telephone number, and email address for a regulatory contact person.		
Name Duane Lock		Title President
Business address 1026 Texan Trail, Suite 201		
City Grapevine	State Texas	Zip 76051
Telephone Number (972) 241-1970		
Email Address dlock@lockgroup.com		

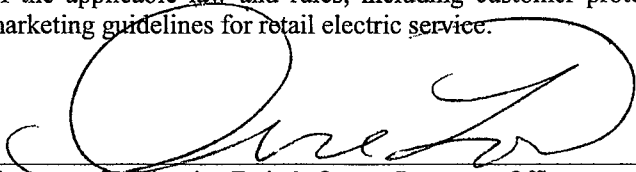
10. Secretary of State Record. Entities who must register with the Secretary of State must provide a copy of the certificate of status issued by the Texas Secretary of State certifying that the registering entity is authorized to transact business in Texas.

Copy of Secretary of State certificate of status is attached.

AFFIDAVIT

My name is Duane Lock. I am the President of the Applicant.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering entity. I further swear or affirm that all statements made in the Registration Form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the registering entity understands and will comply with all requirements of the applicable law and rules, including customer protection provisions, disclosure requirements, and marketing guidelines for retail electric service.



Signature of Registering Entity's Owner, Partner, or Officer

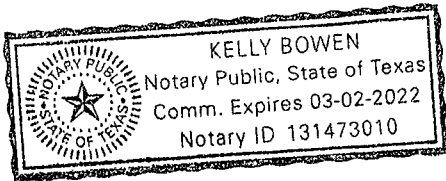
Duane Lock

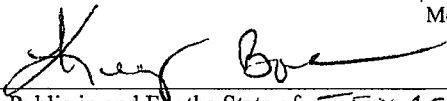
Printed Name LLC

MALock CONSULTING by Duane Lock Group

Name of Registering Entity

Sworn and subscribed before me this 12th day of September, 2019.
Month Year





Notary Public in and For the State of TEXAS
My commission expires on 03/02/2022

Date: September 11, 2019

NAME AND ADDRESS OF THE OFFICER OF THE REGISTERING ENTITY:

NAME: Duane Lock

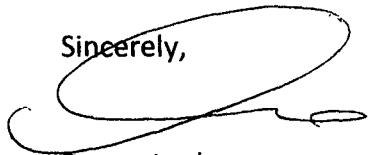
TITLE: President,
Officer and Owner

Company: Mablock Consulting dba Lock Group
Address: 1026 Texan Trail, Suite 201
Grapevine, Texas 76051

Phone: 972-241-1970

Please contact me should you have questions.

Sincerely,



Duane Lock





Office of the Secretary of State

Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Articles of Organization for MABLOCK CONSULTING, LLC (file number 800230207), a Domestic Limited Liability Company (LLC), was filed in this office on July 25, 2003.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on September 13, 2019.



A handwritten signature in black ink, appearing to read "Ruth R. Hughs".

Ruth R. Hughs
Secretary of State