

Control Number: 49779



Item Number: 1039

Addendum StartPage: 0



## ELECTRICITY BROKER REGISTRATION FORM 9: 28

## **PROJECT NO. 49779**

1. Check the most appropriate box to describe this submission:				
This is a new broker registration				
☐ This supplies information for a pending broker registration				
☑ This amends an existing, completed broker registration				
Provide an explanation of the amendment:				
2. Authorized Representative or Attorney to contact about this application.				
Name  Joe Rader  Business Address  Title  Partner				
Business Address 347 N Sam Houston Plany E 475-H				
Business Address  367 N Sam Honston Plany E 475-H  City Honston  State TX  77060				
Telephone Number 832 - 240 - 8091				
Email Address into Capartoneneryy 10.10m				
3. Registering Entity. List the registering entity's legal name, business address, and telephone number,				
Name Sparten Energy DFW LLC				
Business address  397 N Sam Honston Play E 475-H				
Business address  397 N Sam Honston Pkry E 475-H  City State Zip  77060				
Telephone Number				
4. Type of organization of registering entity:				
☐ Sole proprietor ☐ Other				
□ Corporation  ☑ Limited Liability Company, L.L.C				
☐ Limited Partnership				

VI TO

5. Description of the bi	rokerage services provided by th	e registering entity and type of customers served.	
Description of Service			
(ommercial E.	• •		
Types of Customers: (	Check all that apply		
☑ Residential	<b>☑</b> Industrial	☐ Other	
<b>▼</b> Commercial	🙇 Municipalities		
listed in #3 above, und		ng-business-as (d'b a) names, other than the legal name atends to operate. Any name in which a corporation of State.	
1 <sup>st</sup>		2 <sup>nd</sup>	
3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>	
	s <b>Attachment A,</b> the names, bu officers, directors, and partners.	siness addresses, email addresses, and phone numbers of as applicable.	Í`
■ Attachment A			
department. If the regr	stering entity does not have a de	ber and email address of the customer service dicated customer service department, then provide the per of the customer service contact person.	
department If the regr name, title, address, em <b>Customer Service</b>	stering entity does not have a de ail address, and telephone numb Telephone Number	dicated customer service department, then provide the per of the customer service contact person.  Email Address	
department If the regr name, title, address, on Customer Service Department	stering entity does not have a denail address, and telephone number  Telephone Number  \$32-240-8091	dicated customer service department, then provide the per of the customer service contact person.  Email Address	
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department If the regramme, title, address, em  Customer Service  Department  Name  Mark Gaterna  Business address  307 N Sam H  City  Houston  Telephone Number	Telephone Number  §32-240-8091  on the Ruy E # 475-H  State	Email Address  Info C spantenenengy (0. com  Title	
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department If the regressment, title, address, em  Customer Service Department  Name Mark Gaterna  Business address 397 N Sam H  City Hamston  Telephone Number  Email Address  9. Regulatory contact	Telephone Number  832-240-8001  Constant Play E # 475-H  State  TX  632/240-8001  info @ spartneregy co. coin  person List the name, physica	dicated customer service department, then provide the per of the customer service contact person.  Email Address  Info C spanting energy to com  Title  Zip  77000  Title  Title	
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department If the regression ame, title, address, em  Customer Service Department  Name  Mark Gaterna  Business address  307 N Sam H  City  Telephone Number  Email Address  9. Regulatory contact for a regulatory contact  Name  Joe Kader  Business address  307 N Sam H  Sam H  Customer Service  Service  City  Address  9. Regulatory contact  Name  Joe Kader  Business address	State  TX  State	dicated customer service department, then provide the per of the customer service contact person.  Email Address  Info C spandeneway (0. com  Title  Partner  Zip  Title  Partner  Zip  Zip	
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**10. Secretary of State Record.** Intities who must register with the Secretary of State must provide a copy of the certificate of status issued by the Texas Secretary of State certifying that the registering entity is authorized to transact business in Texas.

🖄 Copy of Secretary of State certificate of status is attached.

## **AFFIDAVIT**

My name is $\int_{\partial \mathcal{L}} / \mathcal{L} \cdot \mathcal{L} \cdot$ . I am the $\mathcal{L}$	of the Applicant.
competent to testify to them, and that I have registering entity. I further swear or affirm correct and complete and that any substantial Utility Commission of Texas in a timely man	edge of the facts stated in the attached registration, that I am the authority to submit this application form on behalf of the that all statements made in the Registration Form are true, I changes in such information will be provided to the Public mer. I swear or affirm that the registering entity understands he applicable law and rules, including customer protection acting guidelines for retail electric service.
	<i></i>
Sign	ature of Registering Entity's Owner, Partner, or Officer
•	
	Jac Kider ed Name
Printe	ed Name
	Sparton Energy DFW LL( e of Registering Entity
Sworn and subscr	ibed before me this 30 <sup>th</sup> day of Avgust, 2019.
	Mondi
	ry Public in and For the State of TEXTS.
My c	ommission expires on <u>D4-30-2019</u> ZDZZ. Q+C
	THIN NCY CA ATTILL
	TO STATE OF THE ST
	11/11/1 04-30-20 MINING



## CERTIFICATE OF FILING OF

Spartan Energy DFW LLC File Number: 803401708

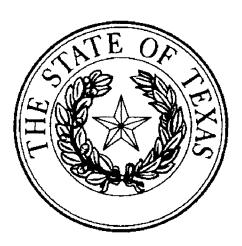
The undersigned, as Secretary of State of Texas, hereby certifies that a Certificate of Formation for the above named Domestic Limited Liability Company (LLC) has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing filing effective on the date shown below.

The issuance of this certificate does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated: 08/22/2019

Effective: 08/23/2019



Ruth R. Hughs Secretary of State Mark Gatermann - Partner/Owner

Business Address: 397 N Sam Houston Pkwy E #475H, Houston, Tx 77060

Business Contact Number: 832-240-8091

Joe Kader - Partner/Owner

Business Address: 397 N Sam Houston Pkwy E #475H, Houston, Tx 77060

Business Contact Number: 832-240-8091