

Control Number: 49686



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Public Utility Commission of Texas

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PUBLIC UTILITY COMMISSION FILING CLERK

APPLICATION FOR CERTIFICATION, RE-QUALIFICATION, OR AMENDMENT TO A SERVICE PROVIDER CERTIFICATE OF OPERATING AUTHORITY OR A CERTIFICATE OF OPERATING AUTHORITY

TITLE PAGE

docket/project no. $\Gamma 49686$

APPLICANT(s):

Ashton Communications Corporation

Authorized Representative for this Application:

NAME: R S Ashton TITLE: President ADDRESS: 1418 Beech Ave 119A, McAllen TX 78501 TELEPHONE: +1 956 657-7000 FAX: +1 956 657-7000 EMAIL ADDRESS: legal.services@AcNet.net or rashton@ashton.com



Regulatory Representative:

NAME: R S Ashton TITLE: President ADDRESS: 1418 Beech Ave 119A, McAllen TX 78501 TELEPHONE: +1 956 657-7000 FAX: +1 956 657-7000 EMAIL ADDRESS: <u>legal.services@AcNet.net</u> or <u>rashton@ashton.com</u>

Complaint Representative:

NAME: R S Ashton TITLE: President ADDRESS: 1418 Beech Ave 119A, McAllen TX 78501 TELEPHONE: +1 956 657-7000 FAX: +1 956 657-7000 EMAIL ADDRESS: <u>legal.services@AcNet.net</u> or <u>rashton@ashton.com</u>

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AFFIDAVIT

STATE OF TEXAS § SCOUNTY OF HIDALGO §

1. My name is R. S. Ashton. I am President of the Applicant Ashton Communications Corporation.

2. I swear or affirm that I have personal knowledge of the facts stated in this Application for a Service Provider Certificate of Operating Authority, that I am competent to testify to them, and that I have the authority to make this Application on behalf of the Applicant. I further swear or affirm that all of the statements and representations made in this Application for a Service Provider Certificate of Operating Authority are true and correct. I swear or affirm that the Applicant understands and will comply with all requirements of law applicable to a Service Provider Certificate of Operating Authority.

R S Ashton

SWORN TO AND SUBSCRIBED before me on the 28 day of June, 2019.

Notary Public in and for the State of Texas

My commission expires: 12-08-19





Check only one of the following Requests:
(a)

Application Amending SPCOA No. 60461

(b) If you are filing an amendment, check one or more of the following as requests made in this amendment filing:

Change in Ownership/Control

(c) Provide a summary explanation of all items checked in "b" above.

Move – Reorganization of the SPCOA and CLEC operations from Telspirion USA, LLC to its one of its parent companies; Ashton Communications Corporation removing a level of duplication and complexity in operations.

Note, there is NO effective change in ownership in this Amendment, given Telspirion USA, LLC is owned 50% by Ashton Communications Corporation and 50% by Ashton Systems Corporation, both Corporations owned 100% by R S Ashton, thus no change in ownership as the same beneficial owner, R S Ashton maintains 100% and after this change the same beneficial owner will still have 100%..

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- 2. Provide a description of the Applicant, which shall include the following:
 - (a) Legal name and all assumed names under which the Applicant conducts business, if any;

Ashton Communications Corporation d/b/a: AcNet

(b) Address of principal office and business office;

1418 Beech Ave 119A, McAllen TX 78501

- (c) Principal office/business office telephone number +1 956 657-7000 Fax number: +1 956 657-7001 Website address: www.ashton.com E-mail address: <u>clec@acnet.net</u> Toll-free customer service telephone number. +1 800 551-1185;
- (d) FCC Carrier Identification Code (CIC) or National Exchange Carriers Association (NECA) Operating Carrier Numbers (OCNs), if available;

5700 & 5701

(e) Form of business in Texas:

A Texas Domestic Corporation, formed August 5, 2009 under Texas SOS ID: 801155387

(f) A list of the names, titles, phone number and office e-mail address of each director, officer, or partner;

R S Ashton, President, 1418 Beech Ave 119A, McAllen TX 78501 +1 956 657-7000, rashton@ashton.com J H Reyna, Secretary, 1418 Beech Ave 119A, McAllen TX 78501 +1 956 657-7000, jreyna@jhreyna.com A Berrondo, Treasurer, 1418 Beech Ave 119A, McAllen TX 78501 +1 956 657-7000, aberrondo@berrondo.com

(g) Name, address, and office address of each of the five largest shareholders, if not publicly traded;

R S Ashton, President, 1418 Beech Ave 119A, McAllen TX 78501

(h) Legal name of parent company, if any, and a description of its primary business interests; and,

N/A

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(i) Legal name of all affiliated companies that are public utilities or that are providing telecommunications services and the states in which they are providing service. Give a description of all affiliates and explain in detail the relationship between the Applicant and its affiliates. An organizational chart should be provided, if available.

Telspirion USA, LLC, Texas, the subject firm of this Application



- State the name **and only one name**, in which the Applicant wants the Commission to issue its certificate. Provide the following information from the Applicants registration with the Office of the Secretary of State of Texas or registration with another state or county, as applicable:
 - (a) Requested name: Ashton Communications Corporation
 - (b) Assumed names: AcNet

- (c) Texas Secretary of State file number: 801155387
- (d) Texas Comptroller's Tax Identification number: 32040167671
- (e) Other Applicable certification/file numbers: N/A
- (f) Date the business was registered: August 5, 2009



13. (a) Any complaint history, disciplinary record and compliance record during the 60 months immediately preceding the filing of the application regarding: the applicant; the applicant's affiliates that provide utility-like services such as telecommunications, electric, gas, water, or cable service; the applicant's principals; and any person that merged with any of the preceding persons. The information should include, but not be limited to, the type of complaint, in which state or federal agency the complaint was made, the status of the complaint, the resolution of the complaint and the number of customers in each state where complaints occurred.

No complaint history, disciplinary record and compliance record.

(b) Is the Applicant, or the applicant's principals currently under investigation or have the Applicant or its principals been penalized by an attorney general or any state or federal regulatory agency for the violation of any deceptive trade or consumer protection law or regulation? If yes, please explain.

No.

(c) Disclose whether any owners, directors, officers, or partners in the organization are convicted felons? Also disclose whether the applicant or applicant's principals have been convicted or found liable for fraud, theft, larceny, deceit, or violations of any securities laws, customer protection laws, or deceptive trade laws in any state. If yes, please explain.

No owners, directors, officers, or partners in the organization are convicted felons.

- (b) Provide the number of customers per state (including Texas) for the past 60 months, for which the Applicant, its parent company, and/or any affiliates are providing telecommunications services.
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14. (a) Provide a detailed description of the Applicant's technical qualifications to provide the local exchange service, basic local telecommunications service, and/or switched access service proposed in this Application.

Ashton Communications Corporation being the parent firm is the one which provides the technical and operational expertise for the firm today, no changes are contemplated.

(b) If the Applicant plans to rely upon a consultant to meet the technical qualifications requirements, provide the following information: (1) name, address, and phone number of consultant, (2) a copy of the contract between the principals and the consultant. (3) consultant's resume or a detailed description of the consultants experience, (4) information regarding any professional registrations or certifications that the consultant holds. (5) percentage of the consultant's time being contracted, and (6) a list of other telecommunications companies served by the consultant and the percentage of time allotted to each company.

Ashton Communications Corporation will **NOT** rely upon a consultant to meet the technical qualifications requirements.

(c) Provide a detailed description or individual resumes setting forth the qualifications of the Applicant's key personnel. Descriptions or resumes shall include (1) Key Personnel Names, (2) Applicant Company Titles, (3) Detailed Telecommunications or Related Experience, and (4) Years of Experience.

No Change

15. Attach a completed Service Quality Questionnaire.

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No Change

16. Provide an audited or unaudited balance sheet for the applicants most recent quarter that demonstrates the shareholders' equity required by P.U.C. Subst. R. 26.111(f). The audited balance sheet must include the independent auditor's report. The unaudited balance sheet must include a sworn statement from the executive officer of the applicant attesting to the accuracy, in all material respects, of the information provided in the unaudited balance sheet.

No Change and not applicable as there is NO effective change in ownership in this Amendment, given Telspirion USA, LLC is owned 50% by Ashton Communications Corporation and 50% by Ashton Systems Corporation, both Corporations owned 100% by R S Ashton, thus no change in ownership as the same beneficial owner, R S Ashton maintains 100%. ,

17. Provide a summary of any history of insolvency, bankruptcy, dissolution, merger or acquisition of the applicant or any predecessors in interest during the 60 months immediately preceding this Application.

Applicant has NOT had history of insolvency, bankruptcy, dissolution, merger or acquisition of the applicant or any predecessors during the 60 months immediately preceding this Application



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