

Control Number: 49605



Item Number: 15

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2019 DEC -4 AM 10:42

APPLICATION OF CARROLL
WATER CO., INC. FOR A PRICE
INDEX RATE ADJUSTMENT

§
§
§

PUBLIC UTILITY COMMISSION
PUBLIC UTILITY COMMISSION
FILING CLEAR
OF TEXAS

COMMISSION STAFF'S MOTION FOR SUMMARY DECISION

COMES NOW the Staff of the Public Utility Commission of Texas (Staff), representing the public interest and files this motion. In support thereof, Staff would show the following:

I. BACKGROUND

On June 5, 2019, Carroll Water Co., Inc. (Carroll) filed for a price index rate adjustment for the Grande Casa Ranchitos, Spanish Grant, Emerald Forest, and Lakeview Ranchettes Estates subdivisions. Carroll holds water certificate of convenience and necessity (CCN) No. 11543. On July 11, 2019, Order No. 2 found the application to be administratively complete. On August 2, 2019, Staff supplemented its initial recommendation and recommended that the application be denied if Carroll could not demonstrate that it has fewer than 500 customers.

II. MOTION FOR SUMMARY DECISION

Pursuant to 16 Tex. Admin. Code (TAC) § 22.182, "Any party to a proceeding may move for summary decision on any or all of the issues," and such a motion "must be filed before the close of the hearing on the merits or before the issuance of a proposal for decision or proposed order if no hearing is held" Summary decision is proper when the pleadings or evidence shows that there is "no genuine issue as to any material fact and that the moving party is entitled to a decision in its favor, as a matter of law" This motion has been filed before the issuance of a proposal for decision or proposed order and no hearing has been requested or held. This pleading, therefore, is timely filed.

Pursuant to TWC § 13.002(4-a) to (4-c), Carroll does not qualify as a Class C utility because it has more than 500 customer connections and is, therefore, a Class B utility. 16 TAC § 24.49(a) provides that only Class C utilities may apply for a price index rate adjustment. Therefore, Carroll, as a Class B utility, does not qualify for a price index rate adjustment.

As such, Staff moves for Carroll's application to be summarily denied. There is no genuine issue of material fact and, therefore, denial is appropriate as a matter of law. An

affidavit by Spencer English of the Commission's Rate Regulation Division, attached hereto, further supports this motion.

III. CONCLUSION

Staff respectfully requests the issuance of an order consistent with the foregoing.

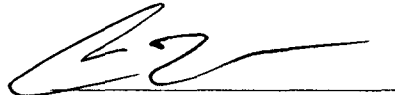
Dated: December 4, 2019

Respectfully Submitted,

**PUBLIC UTILITY COMMISSION OF TEXAS
LEGAL DIVISION**

Margaret Uhlig Pemberton
Division Director

Rachelle Nicolette Robles
Managing Attorney



Creighton R. McMurray
State Bar No. 24109536
1701 N. Congress Avenue
P.O. Box 13326
Austin, Texas 78711-3326
(512) 936-7275
(512) 936-7268 (facsimile)
creighton.mcmurray@puc.texas.gov

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CERTIFICATE OF SERVICE

I certify that a copy of this document will be served on all parties of record on this the 4th of December 2019 in accordance with 16 TAC § 22.74.

A handwritten signature in black ink, appearing to read 'CR McMurray', written over a horizontal line.

Creighton R. McMurray

APPLICATION OF CARROLL § PUBLIC UTILITY COMMISSION
WATER CO., INC. FOR A PRICE §
INDEX RATE ADJUSTMENT § OF TEXAS

**AFFIDAVIT OF COMMISSION STAFF
REGARDING THE CLASSIFICATION OF "CLASS C UTILITY"**

BEFORE ME, the undersigned authority personally appeared Spencer English, who after being by me duly sworn deposed and said:

1. "My name is Spencer English. I am over 18 years of age and competent to make this affidavit."
2. "I am a Financial Analyst for the Rate Regulation Division of the Public Utility Commission of Texas. My business address is 1701 N. Congress Ave., Austin, Texas 78701."
3. "I determined that Carroll Water Co., Inc. does not have fewer than 500 connections, supported by the 559 connection count from TCEQ's Drinking Water Watch¹ and the 588 connection count from the Applicant's 2018 Annual Report.² Furthermore, Carroll Water Co., Inc. stated the exclusion of the Red Oak Community PWS #0700056 in their original application.³"

Furthermore the affiant sayeth not.

Spencer English

Affiant Signature

12/3/19

Date

Bernice Cox

Notary Signature

12/3/19

Date



¹ Attachment SE-1
² Attachment SE-2
³ PUC Docket No. 49605, Item 11.

DOCKET NO. 49605

APPLICATION OF CARROLL	§	PUBLIC UTILITY COMMISSION
WATER CO., INC. FOR A PRICE	§	
INDEX RATE ADJUSTMENT	§	OF TEXAS

Attachment SE-1

Texas Commission on Environmental Quality	Office of Water	Drinking Water Section
County Map of TX	Water System Search	Click on compliance record to view report

Water System Detail			
Water System Facilities - Source Water Assessment Results	Violations - Enforcement Actions	Compliance Schedule	Compliance Status
Sample Points	Assistance Actions	Permitted Discharges - Discharges	Permitted Discharges - Discharges
Sample Schedules & Aves. Plans	Compliance Schedule	Groundwater Discharges	Groundwater Discharges
Site Visits - Milestones	POC - Alkalinity Results	Chemical Discharges - Discharges	Chemical Discharges - Discharges
Operators - All POC	TRAVEL HISTORY	Regulatory Status - Regulatory Status	Regulatory Status - Regulatory Status
Grossing		Permitted Discharges	

Water System Detail Information			
Water System No.	TX0700056	Service Type	C
Water System Name	RED OAK COMMUNITY WATER SERVICE	Service Category	GW
Principal County Served	ELLIS	Service Area	A
Principal City Served		Service Start Date	01-01-1913
Population	280	Service End Date	NO DATA

Water System Contacts			
Type	Contact	Communication	
AC - Administrative Contact	CARROLL, WALTER, J 513 WINDING CREEK TRL RED OAK, TX 75154-3965	Electronic Type	Value
		Phone Type	Value
		BUS - Business	972-617-0817
		MOB - Mobile	972-816-8764

Sources of Water			
Name	Type	Activity	Availability
2 - PS / CARSON ST	WL	A	P
EMERGENCY I/C WITH CITY OF RED OAK	CC	A	E
1 - CAPPED	WL	I	O

Source Water Percentages			
Surface Water	0	Surface Water Purchased	0
Ground Water	0	Ground Water Purchased	0
Ground Water UDI	0	Ground Water UDI Purchased	0

Water Purchases	
Water System / Treatment Status	
No Water Purchases	

Buyers of Water	
Water System / Population / Availability (blank, (S)easonal, (E)mergency, (D)irect, (P)ermission, (O)ther	
No Buyers	

Total Population Served = 280

Total Population Served included ALL active connections, including emergency.

Annual Operating Period(s)					
Effective Begin Date	Effective End Date	Start Month/Day	End Month/Day	Code	Population
05-24-2019	No End Date	1/1	12/31	R	280

Service Connections			
Type	Count	Water Type	Availability
RS	112	ME	0

Service Area	
Code	Name
R	RESIDENTIAL AREA

Regulating Agency(s)	
Name	Agency Inspector
TX COMMISSION ON ENVIRONMENTAL QUALITY	TCEQ

Water System Historical Names	
Historical Name(s)	

System Certification Requirements		
Certification Name	Code	Begin Date

WS Flow Rates		
Type	Quantity	Unit
MDD - Maximum Daily Demand	0.032	MGD

PPRC - Provided Production Capacity	0.169	MGD
PSPC - Provided Service Pump Capacity	0.432	MGD
ADU - Average Daily Usage	0.021	MGD

WS Measures		
Type	Quantity	Units
TSTC - Total Storage Capacity	0.055	MG
TPTC - Total Pressure Tank Capacity	0.003	MG

WS Indicators		
Type	Value	Exp.
DBP2 - Stage2 DBPR Schedule Category	4 - 4	10-01-2013
MDDD - Maximum Daily Demand Date	MDDD - Maximum Daily Demand Date	07-14-2017
POWN - Previous Ownership Type Code. This is the WUD ownership code.	INV - Investor Owned	
PRFT - Status as a For or Non Profit Entity	FOR - For Profit	
SSWP - State Source Water Program	YES - Yes	09-15-2010
XCON - Cross Connection control Program Ranking	ADQTE - Adequate	

Texas Commission on Environmental Quality	Office of Water	Public Drinking Water Section
County Map of TX	Water System Search	Office of Compliance and Enforcement

Water System Detail			
Water System Facilities Source Water Assessment Results	Violations - Total and Active	Enforcement Actions	Compliance Schedule
Sample Points	Assistance Actions	Enforcement Action Regions	Compliance Schedule
Sample Schedules TANs - Plans	Compliance Schedule	Compliance Schedule	Compliance Schedule
Site Visit - Milestones	IOC - Alkalinity Results	Violations - Total and Active	Compliance Schedule
Operator - All POC	IRAN (TTHM) Plans	Enforcement Action Regions	Compliance Schedule
Glossary		FAQ - Frequently Asked	

Water System Detail Information			
Water System No.:	TX0700057	System Type	C
Water System Name	LAKEVIEW RANCHETTES	System Type	GW
Principal County Served	ELLIS	System Type	A
Principal City Served		System Type	01-01-1913
Population	265	System Type	NO DATA

Water System Contacts			
Type	Contact	Communication	
AC - Administrative Contact	CARROLL, WALTER, J 513 WINDING CREEK TRL RED OAK, TX 75154-3965	Microphone Type	Value
		Phone Type	Value
		BUS - Business	972-617-0817
		MOB - Mobile	972-816-8764

Sources of Water			
Name	Type	Activity	Availability
2 - MILLER RD	WL	A	P
1 - OLD FT WORTH RD	WL	A	P

Source Water Percentages			
Surface Water	0	Surface Water Purchased	0
Ground Water	0	Ground Water Purchased	0
Ground Water UDI	0	Ground Water UDI Purchased	0

Water Purchases
Water System / Treatment Status
No Water Purchases

Buyers of Water
Water System / Population - Availability (Blank, (S)easonal, (E)mergency, (M)unicipal, (C)ommunity, (O)ther
No Buyers

Total Population Served = 265

Total Population Served included ALL active connections, including emergency.

Annual Operating Period(s)					
Effective Begin Date	Effective End Date	Start Month-Day	End Month-Day	Type	Population
05-21-2019	No End Date	1/1	12/31	R	265

Service Connections			
Type	Count	Meter Type	Water Use
RS	106	ME	0

Service Area	
Code	Name
R	RESIDENTIAL AREA

Regulating Agencies	
Name	Alias Inspector
TX COMMISSION ON ENVIRONMENTAL QUALITY	TCEQ

Water System Historical Names
Historical Name(s)

System Certification Requirements		
Certification Name	Code	Begin Date

WS Flow Rates		
Type	Quantity	Unit
PPRC - Provided Production Capacity	0.161	MGD
PSPC - Provided Service Pump	2.16	MGD

Capacity		
ADU - Average Daily Usage	0.022	MGD

WS Measures		
Type	Quantity	Unit
TSTC - Total Storage Capacity	0.05	MG
TPTC - Total Pressure Tank Capacity	0.008	MG

WS Indicators		
Type	Value	Date
DBP2 - Stage2 DBPR Schedule Category	4 - 4	10-01-2013
POWN - Previous Ownership Type Code. This is the WUD ownership code.	INV - Investor Owned	
PRFT - Status as a For or Non Profit Entity	FOR - For Profit	
SSWP - State Source Water Program	YES - Yes	09-15-2010
XCON - Cross Connection control Program Ranking	ADQTE - Adequate	07-03-2014

Texas Commission on Environmental Quality	Office of Water	Public Drinking Water Section
County Map of TX	Water System Search	Public Drinking Water Section

Water System Detail			
Water System Facilities - Source Water Assessment Results	Violations - Enforcement Action	Public Drinking Water Section	Public Drinking Water Section
Sample Points	Assistance Action	Public Drinking Water Section	Public Drinking Water Section
Sample Schedules - LAMS - Plans	Compliance Schedules	Public Drinking Water Section	Public Drinking Water Section
Site Visits - Milestones	TOC - Alkalinity Results	Public Drinking Water Section	Public Drinking Water Section
Operators - All POU	IRAACTHML (VAV)	Public Drinking Water Section	Public Drinking Water Section
City	County	Water System No.	System Type

Water System Detail Information			
Water System No.	TX0700058	System Type	C
Water System Name	EMERALD FOREST	Public Drinking Water Section	GW
Principal County Served	ELLIS	System Type	A
Principal City Served		System Type	01-01-1913
Population	158	System Population	NO DATA

Water System Contacts			
Type	Contact	Communication	
AC - Administrative Contact	CARROLL, WALTER, J 513 WINDING CREEK TRL RED OAK, TX 75154-3965	Electronic Type	Value
		Phone Type	Value
		BUS - Business	972-617-0817
		MOB - Mobile	972-816-8764

Sources of Water			
Name	Type	Supply	Availability
NEW 1 - PIONEER CT	WL	A	P
GW FROM BUENA VISTA BETHEL SUD	CC	I	O
2 - PIONEER CT	WL	I	O
OLD WELL PS	WL	I	O
OLD 1 - 5 MI W OF I35 / FM1446	WL	I	O

Source Water Percentages			
Surface Water	0	Surface Water Purchased	0
Ground Water	0	Ground Water Purchased	0

Ground Water UDI	0	Ground Water UDI Purchased	0
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Water Purchases	
Water System / Treatment Status	
No Water Purchases	

Buyers of Water	
Water System / Population - Availability (blank, (S)easonal, (E)mergency, (O)ther, (P)ermanent, (O)ther	
No Buyers	

Total Population Served = 158

Total Population Served included ALL active connections, including emergency.

Annual Operating Periods:					
Effective Begin Date	Effective End Date	Start Month/Day	End Month/Day	Type	Population
05-24-2019	No End Date	1/1	12/31	R	158

Service Connection			
Type	Count	Material Type	Material
RS	63	ME	0

Service Area	
Code	Name
R	RESIDENTIAL AREA

Regulating Agencies	
Name	Abbreviation
TX COMMISSION ON ENVIRONMENTAL QUALITY	TCEQ

Water System Historical Names	
Historical Name(s)	

System Certification Requirements		
Certification Name	Code	Regulation

WS Flow Rates		
Type	Quantity	LOM

PPRC - Provided Production Capacity	0.055	MGD
PSPC - Provided Service Pump Capacity	600	GPM
ADU - Average Daily Usage	0.015	MGD

WS Measures		
Type	Quantity	Unit
TSTC - Total Storage Capacity	0.02	MG
TPTC - Total Pressure Tank Capacity	0.002	MG

WS Indicators		
Type	Value	Date
DBP2 - Stage2 DBPR Schedule Category	4 - 4	10-01-2013
POWN - Previous Ownership Type Code. This is the WUD ownership code.	INV - Investor Owned	
PRFT - Status as a For or Non Profit Entity	FOR - For Profit	
SSWP - State Source Water Program	YES - Yes	09-15-2010
XCON - Cross Connection control Program Ranking	ADQTE - Adequate	07-03-2014

Texas Commission on Environmental Quality	Office of Water	Public Drinking Water System
County Map of TX	Water System Search	Table of Violations and Responses

Water System Detail			
Water System Facilities Source Water Assessment Results	Violations / Enforcement Actions	Compliance Status	Compliance History
Safety Points	Assistance Actions	Public Information / PR	Public Notices
Sample Schedules / AMLs / Plans	Compliance Schedules	Water Chemistry / TDS	Water Quality
Site Visits / Milestones	TOC / Alkalinity Results	Groundwater / Well	Groundwater Monitoring
Conductors / All POC	TRAC / THM / S / V / O	Regulatory / Reporting	Regulatory / Reporting
Glossary		Glossary	

Water System Detail Information			
Water System No.:	TX0700064	System Type	C
Water System Name	SPANISH GRANT SUBDIVISION	Supply Source Type	GW
Principal County Served	ELLIS	System Status	A
Principal City Served		System Date	01-01-1913
Population	278	System Population	NO DATA

Water System Contacts			
Type	Contact	Communication	
AC - Administrative Contact	CARROLL, WALTER, J 513 WINDING CREEK TRL RED OAK, TX 75154-3965	Electronic Type	Value
		Phone Type	Value
		BUS - Business	972-617-0817
		MOB - Mobile	972-816-8764

Sources of Water			
Name	Type	Activity	Availability
2 - SPANISH GRANT	WL	A	P
1 - W OF IH35 / FM 1446	WL	A	P

Source Water Percentages			
Surface Water	0	Surface Water Purchased	0
Ground Water	0	Ground Water Purchased	0
Ground Water UDI	0	Ground Water UDI Purchased	0

Water Purchases
Water System / Treatment Status
No Water Purchases

Buyers of Water
Water System / Population / Availability (bank, emergency, other) / Other
No Buyers

Total Population Served = 278

Total Population Served included ALL active connections, including emergency.

Annual Operating Period(s)					
Effective Begin Date	Effective End Date	Start Month-Day	End Month-Day	Type	Population
05-21-2019	No End Date	1/1	12/31	R	278

Service Connections			
Type	Count	Meter Type	Quantity
RS	111	ME	0

Service Area	
Code	Name
R	RESIDENTIAL AREA

Regulating Agencies	
Name	Alias Inspector
TX COMMISSION ON ENVIRONMENTAL QUALITY	TCEQ

Water System Historical Names
Historical Name(s)

System Certification Requirements		
Certification Name	Code	Begin Date

WS Flow Rates		
Type	Quantity	Unit
PPRC - Provided Production Capacity	0.188	MGD
PSPC - Provided Service Pump	0.72	MGD

Capacity		
ADU - Average Daily Usage	0.049	MGD

WS Metrics		
Type	Quantity	Unit
TSTC - Total Storage Capacity	0.03	MG
TPTC - Total Pressure Tank Capacity	0.003	MG

WS Indicators		
Type	Value	Date
DBP2 - Stage2 DBPR Schedule Category	4 - 4	10-01-2013
POWN - Previous Ownership Type Code. This is the WUD ownership code.	INV - Investor Owned	
PRFT - Status as a For or Non Profit Entity	FOR - For Profit	
SSWP - State Source Water Program	YES - Yes	09-15-2010
XCON - Cross Connection control Program Ranking	ADQTE - Adequate	07-03-2014

Texas Commission on Environmental Quality	Office of Water	Public Drinking Water System
County Map of TX	Water System Search	Office of Compliance and Enforcement

Water System Detail			
Water System Facilities - Source Water Assessment Results	Violations - Enforcement Actions	Groundwater Protection	Public Drinking Water System
Sample Points	Assistance Actions	Groundwater Protection	Public Drinking Water System
Sample Schedules - ENLs - Plans	Compliance Schedules	Groundwater Protection	Public Drinking Water System
Site Visits - Milestones	TOC - Alkalinity - Residual	Groundwater Protection	Public Drinking Water System
Operators - All POC	TRAACTHIVEVA	Groundwater Protection	Public Drinking Water System
Glossary		Public Drinking Water System	

Water System Detail Information			
Water System No.	TX0700063	System Type	C
Water System Name	GRANDE CASA	Primary Source Type	GW
Principal County Served	ELLIS	Service Area	A
Principal City Served		Start Date	01-01-1913
Population	501	Service Population	NO DATA

Water System Contacts			
Type	Contact	Communication	
AC - Administrative Contact	CARROLL, WALTER, J 513 WINDING CREEK TRL RED OAK, TX 75154-3965	Electron - Type	Value
		Phone - Type	Value
		BUS - Business	972-617-0817
		MOB - Mobile	972-816-8764

Sources of Water			
Name	Type	Activity	Availability
I - GRANDE CASA / OLD MAYPEARL	WL	A	P

Source Water Percentage			
Surface Water	0	Surface Water Purchased	0
Ground Water	0	Ground Water Purchased	0
Ground Water UDI	0	Ground Water UDI Purchased	0

Water Purchases
Water System / Treatment Status
No Water Purchases

Buyers of Water
Water System / Population / Availability (Blank, (Seasonal), (Emergency), (Other), (Other))
No Buyers

Total Population Served = 501

Total Population Served included ALL active connections, including emergency.

Annual Operating Period(s)					
Effective Begin Date	Effective End Date	Start Month Day	End Month Day	Type	Count
03-30-2017	No End Date	1/1	12/31	R	501

Service Connections			
Type	Count	Meter Typ	Meter Size
RS	167	ME	0

Service Area	
Code	Name
R	RESIDENTIAL AREA

Regulating Agencies	
Name	Responsible
TX COMMISSION ON ENVIRONMENTAL QUALITY	TCEQ

Water System Historical Names
Historical Name(s)

System Certification Requirements		
Certification Name	Code	Begin Date

WS Flow Rates		
Type	Quantity	Units
AVPD - Average Daily Production	0.07	MGD
PPRC - Provided Production Capacity	0.194	MGD

PSPC - Provided Service Pump Capacity	1.008	MGD
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WS Metrics		
Type	Quantity	Unit
TPTC - Total Pressure Tank Capacity	0.008	MG
PTSC - Provided Total Storage Capacity	0.5	MG

WS Indicators		
Type	Value	Date
DBP2 - Stage2 DBPR Schedule Category	4 - 4	10-01-2013
POWN - Previous Ownership Type Code. This is the WUD ownership code.	INV - Investor Owned	
PRFT - Status as a For or Non Profit Entity	FOR - For Profit	
SSWP - State Source Water Program	YES - Yes	09-15-2010
XCON - Cross Connection control Program Ranking	ADQTE - Adequate	07-03-2014

DOCKET NO. 49605

APPLICATION OF CARROLL	§	PUBLIC UTILITY COMMISSION
WATER CO., INC. FOR A PRICE	§	
INDEX RATE ADJUSTMENT	§	OF TEXAS

Attachment SE-2

2019 JUL 19 AM 9:02

WATER AND WASTEWATER UTILITIES ANNUAL REPORT

Docket No. 48918

(this number will be assigned by the Public Utility Commission after your document is filed)

of

Carroll Water Co., Inc

Exact Legal Name of Utility/Respondent

11543

Certificate of Convenience and Necessity (CCN) No.

Submitted to the



for the

Calendar Year Ended

2018

Section 1: Utility Information

Utility Name Carroll Water Co., Inc

Address 513 Winding Creek Trail
Red Oak, Tx 75154

Please check this box if your Official Address, which is noted on the enclosed letter, has changed.

Telephone Number 972-617-0817 Fax Number 972-617-0817

E-mail Address carrollwater@att.net

Contact Person Patty Hilton Title Secretary

Check the business ownership entity of the utility as filed with the Internal Revenue Service

Individual Partnership Corporation Nonprofit Association

Section 2: Utility Background

Water CCN No.	<u>11543</u>
Number of PWSs	<u>5</u>
TCEQ PWS ID No.	<u>0700063, 0700058</u>
TCEQ PWS ID No.	<u>0700057, 0700064</u>
(if the utility has more PWS ID Nos., please indicate in Section 10)	<u>0700056</u>
Number of Wastewater Systems	<u>—</u>
Sewer CCN No.	<u>—</u>
TCEQ Sewer Discharge Permit No.	<u>—</u>
TCEQ Sewer Discharge Permit No.	<u>—</u>
(if the utility has more Discharge Permit Nos., please indicate in Section 10)	<u>—</u>

Section 3: Revenues

	Water	Wastewater	Total Water + Wastewater
OPERATING REVENUES:			
Utility Service/Sales	328,251	—	328,251
Fees (Tap, Reconnection, etc.)	10,010	—	10,010
OTHER REVENUES:			
Please Identify:	0	—	0
TOTAL REVENUES	338,261	\$0.00	338,261

Section 4: Expenses

	Water	Wastewater	Total Water + Wastewater
Salaries & Wages	94,825	/	94,825
Contract Labor	2,773	/	2,773
Purchased Water	0	/	0
Chemicals for Treatment	6,722	/	6,722
Utilities (electricity)	42,056	/	42,056
Repairs/Maintenance/Supplies	133,953	/	133,953
Office Expenses (3)	12,947	/	12,947
Professional Fees (Accounting, Legal)	46,028	/	46,028
Insurance	6,438	/	6,438
Depreciation & Amortization	15,686	/	15,686
Miscellaneous (describe in remarks below) (1)	20,008	/	20,008
Subtotal	393,436	\$0.00	393,436
Taxes:			
Federal Income Taxes	498	/	498
Property and Other Taxes (Payroll, etc.)	23,431	/	23,431
Regulatory Expenses (Rate Case, Permits) (4)	22,308	/	22,308
Other (describe in remarks below) (2)	8,016	/	8,016
TOTAL EXPENSES	437,689	\$0.00	437,689

Remarks:

- (1) includes samples, training, and other fees.
- (2) includes loans & other misc.
- (3) includes bank fees & telephones
- (4) includes Prairieland fees.

Section 5: Operating Items

Debt Information:

Annual interest expense on long and/or short term debt?	\$ <u>309.78</u>
Annual principal payment on debt?	\$ <u>1,289.78</u>
Annual interest rate on debt?	<u>2.850 %</u>
Annual debt principal and interest?	\$ <u>1,599.56</u>
Principal balance on outstanding debt at end of this reporting period?	\$ <u>31,139.12</u>

Regulatory Assessment Fee:

What was the Regulatory Assessment fee amount submitted to TCEQ for the applicable Calendar Year

\$ 3406.30

0700063
 0700064
 0700057
 0700058
 0700056

Rate Change:

What was the effective date of the last Rate Change? Oct. 2017

Section 6: Customer Information

Connection Type	Number of Connections at	
	Beginning of the Calendar Year	End of the Calendar Year
Water		
Total	590	588

Connection Type	Number of Connections at	
	Beginning of the Calendar Year	End of the Calendar Year
Wastewater		
Total	—	—

Section 7: Water Production & Consumption

A What is the total amount of water produced/pumped?	77,250,100 gallons
B What is the total amount of water sold/billed?	45,661,300 gallons
C How much water was lost?	31,589,200 gallons
What is the total percent of water loss?	41%

To calculate the above, please reference the attached document Water and Wastewater Utilities Annual Report Instructions. Comments?

Section 8: Wastewater Treated

What is the total amount of wastewater treated?	NA gallons
Comments?	

Section 9: Utility Management & Operations Assessment

Utility Policy and Procedures

Do you have an Application Form or Formal Process for New Customers?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Do you have a copy of your approved tariff and drought contingency plan for customers to review?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Do you have Written Operating Procedures for Routine Operations?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Do you have Written Emergency Actions Plan(s)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Do you have Written Personnel Procedures?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Do you have Risk Management & Safety Policies?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Do you have Customer Service Policies (including billing & collection)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Do you have a Written Budget (normally updated annually)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

Did you or any utility staff attend any other utility/business related conferences?
this year? If so, please list them in Section 10.

Do you record complaints or keep a complaint log?

Yes No

Is a customer service representative, water system employee, or
answering service accessible by phone at all times to all customers?

Yes No

Rules and Regulations

If you own/operate a public water system, do you have a copy of
or have access to 30 Texas Administrative Code (TAC) Chapter 290?

Yes No

If you own/operate a sewer system, do you have a copy of or have access
to 30 TAC 30 Subchapter J, 30 TAC 217, 30 TAC 308, and 30 TAC 309?

Yes No

Do you have a copy of or have access to the TAC 16?

Yes No

Do you have a copy of or have access to Texas Water Code Chapter 13?

Yes No

Administrative Information

Do you notify customers prior to shutting down the system for repairs?

Yes No Sometimes Only if greater than 2 hours

How do you keep your customers informed?

Billing Statements Newsletter Meetings

Other _____

Are water records kept separate from other business and personal records?

Yes No

Are records kept for additions to fixed assets?

Yes No

Is the financial position of the system reviewed at least quarterly?

Yes No

Are accounting records for water and wastewater kept separately?

Yes No

Section 10: Remarks (please feel free to attach additional pages if necessary)

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Section 11: Sworn Statement

I HEREBY CERTIFY THAT THE INFORMATION PROVIDED IN THIS REPORT IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE AND BELIEF. (This document MUST be signed by the President or Owner of the Utility)

This 13 day of June, 2019.

President or Owner:

Walter J. Carroll

(Signature)

Walter Carroll

(Printed name)