



Control Number: 49506



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PUBLIC UTILITY COMMISSION
AGGREGATOR REGISTRATION FORM
For the Texas Retail Electric Market

PROJECT NO. **149506** (Assigned by Central Records when registration is initially filed)

Registering Party:

CNRG Group, LLC

Authorized Representative or Attorney to contact about this application:

NAME: **Jeff Thomas** TITLE: **COO**

ADDRESS: **1908 Thorndale Circle, Frisco, TX 75036**

TELEPHONE: **972-365-4099** FAX: _____

EMAIL ADDRESS: **jthomas@cnrnggroup.com**

Agent for Service of Process:

NAME: **Jeff Thomas** TITLE: **COO**

ADDRESS: **1908 Thorndale Circle, Frisco, TX 75036**

TELEPHONE: **972-365-4099** FAX: _____

EMAIL ADDRESS: **jthomas@cnrnggroup.com**

Check the most appropriate box to describe the submission of this document:

- This submission opens a new aggregator registration.
- This submission supplies additional information for a pending aggregator registration.
- This submission amends an existing, completed aggregator registration.

Review the *Instructions*. Fill out Parts A through C of this Registration Form and the Affidavit according to the aggregator classification(s) desired:

- Class I:** Parts A, B, C, and Affidavit.
- Class II.A:** Parts A, B, C, and Affidavit
- Class II.B:** Part A and Affidavit.
- Class II.C:** Part A and Affidavit.
- Class II.D:** Parts A, B, C, and Affidavit.

PART A – GENERAL INFORMATION

APPLICABLE TO ALL REGISTERING PARTIES

1. **Legal Name of Registering Party:** CNRG Group, LLC

2. **Type of registering party.** Review the definitions in the *Instructions* and check appropriate box:

- Person**
- Political subdivision**
- Political subdivision corporation**

3. **Types of aggregator registrations sought.** Review the required eligibility and operational parameters listed in the *Instructions* and check appropriate box or boxes:

- Class I:** Private entity that aggregates private customers to buy electricity from REPs.
- Class II.A:** Person that aggregates municipalities, political subdivisions, or both.
- Class II.B:** Political subdivision corporation aggregating political subdivisions.
- Class II.C:** Public body that aggregates its citizens.
- Class II.D:** Administrator of citizen aggregation.

4. **Other Names.** List any trade, commercial, and doing-business-as (d/b/a) names, other than the legal name listed in #1 above, under which the registering party intends to operate (Class I, II.A and II.B aggregators are limited to 5 operating names.):

CNRG Group, LLC does not intend to operate under any other names.

5. Address. List the registering party's Texas business address, telephone number and fax number. Provide the same details for the principal place of business, if different from the Texas business address.
Registering Party's Business Address: 1908 Thorndale Circle, Frisco, TX 75036 Phone: 972-365-4099 Fax: _____

Principal Place of Business: Same as business address reflected above.

6. Officers. Provide, as **Attachment A**, the names, business addresses, and phone numbers of the registering party's officers, directors, or partners.

7. Affiliates. Provide, as **Attachment B**, the names of the registering party's affiliates and subsidiaries that provide utility-related services, such as telecommunications, electric, gas, water or cable (if any).

8. Customer Service Contact. List the telephone number of the customer service department.

Name: Jeff Thomas

Title: COO

Phone: 972-365-4099

9. Regulatory contact person. List the name, physical business address, telephone number, fax number, and e-mail address for a regulatory contact person – and for the agent for service of process, if different.

Regulatory Contact Person: Jeff Thomas, 1908 Thorndale Circle, Frisco Texas 75036, jthomas@cnerggroup.com

Phone: 972-365-4099

Fax: _____

Registering Party's Designated Agent for Service of Process:

Phone: _____ Fax: _____

10. Customers. Circle all of the electricity customer classes in the following list that the registering party intends to aggregate:

RESIDENTIAL COMMERCIAL INDUSTRIAL MUNICIPALITIES POLITICAL SUBDIVISIONS

Please provide further detail regarding the classes you have selected:

Typically commercial customers. For example, hospitals, retail stores, convenient stores/gas stations, restaurants, distribution centers, warehouses, and any combination of these types, which are geographically close in proximity.

Additionally, industrial customers may include foundries, refineries, and processing plants.

**CLASS II.B AND CLASS II.C REGISTERING PARTIES MAY STOP HERE.
COMPLETE THE AFFIDAVIT AND SUBMIT ALL MATERIALS, INCLUDING
SUPPORTING ATTACHMENTS A AND B, TO THE COMMISSION.**

PART B – TECHNICAL AND CUSTOMER PROTECTION INFORMATION

APPLICABLE ONLY TO PARTIES REGISTERING FOR CLASS I, CLASS II.A, AND CLASS II.D

Review the attached *Instructions*. Select one of the following two options for registration by checking the appropriate box. By separate attachment, provide the information required for the option chosen.

1. STANDARD REGISTRATION. To exercise the Standard Registration option, check the box at left and provide as **Attachment C** the following additional information:

- (a) **Texas Secretary of State records.** Any applicable information on file with the Texas Secretary of State, such as copies of the registering party's endorsed certificate of incorporation certified by the Texas Secretary of State, the registering party's certificate of good standing, or other business registration on file with the Texas Secretary of State. Include any information under d/b/a (doing-business-as) names.
- (b) **Tax delinquency disclosure.** Disclose any delinquency with taxing authorities in the state of Texas, including the Comptroller's Office.
- (c) **Prior experience.** Describe prior experience, if any, of the registering party or one or more of the registering party's principals or employees in the retail electric industry or a related industry.
- (d) **Affiliate and agency relationships.** Disclose any affiliate or agency relationships and the nature of any affiliate or agency agreements with REPs or transmission and distribution utilities. If such a relationship or agreement exists, explain how the registering party will disclose this information to its customers and to the REPs with whom it does business.
- (e) **Activities in other states.** List the other states, if any, in which the registering party, and/or its affiliates and subsidiaries providing utility-related services, currently conduct business, or have previously conducted business, within the past 3 calendar years.
- (f) **Compensation sources.** Disclose the registering party's known or anticipated sources of compensation for aggregation services, and provide an explanation of its plans for disclosure of those compensation sources to its customers.
- (g) **Bankruptcy disclosure.** Disclose any bankruptcy or liquidation proceedings of the registering party, any predecessors in interest, or its affiliates, within the past 3 calendar years.
- (h) **Disclosure of fraud or liability.** List any convictions or liability findings of fraud, theft or larceny, deceit, or violations of any customer protection or deceptive trade laws in any state against the registering party, a predecessor, its principals, or its affiliates.
- (i) **Investigation status.** Provide a statement indicating whether the registering party is currently under criminal or civil investigation, either in this state or in another state or jurisdiction for violation of any customer protection law or regulation.

- (j) **Complaint history.** Provide a complete complaint history list for the past 3 calendar years as required by the Aggregator Rule (*see Instructions* for exact rule requirements).
 - (k) **Pending authorizations (Class II.A or II.D aggregators only).** List pending authorizations, if any, from public entities for the registering party to aggregate their loads or that of their citizens.
- 2. ALTERNATIVE LIMITED REGISTRATION.** To exercise the Alternative Limited Registration described in the Instructions, which limits the size of customers that may be served, check the box at left so that the statement (a) below is incorporated into the Affidavit, and then provide as **Attachment D** the information specified in (b) below.
- (a) By executing the Affidavit attached hereto, the registering party swears and affirms that it is aware of, and will comply with, P.U.C. SUBST. R. §25.111(f)(2), which applies to entities that aggregate only customers who seek to contract individually for 250 kilowatts or more of peak demand electricity. Specifically, the registering party swears and affirms that it possesses a written consent from each customer it plans to serve, authorizing the registering party to provide aggregation for that customer.
 - (b) Provide as **Attachment D** the information required by paragraphs (a), (b), (c), (g), (h), (i), and (k) of the Standard Registration requirements.¹

PART C – FINANCIAL RESOURCE INFORMATION

APPLICABLE ONLY TO PARTIES REGISTERING FOR CLASS I, CLASS II.A, AND CLASS II.D.

1. Intention to take deposits or other advance payments. Check only one of the following two statements of intention:

- YES, THE REGISTERING PARTY WILL COLLECT DEPOSITS OR OTHER ADVANCE PAYMENTS FROM ELECTRIC CUSTOMERS IN ADVANCE OF DELIVERING AGGREGATION SERVICES.**

By executing the Affidavit attached hereto, the registering party swears and affirms that it is aware of, and will comply with, P.U.C. SUBST. R. §25.111(g)(1)(A)-(D), which applies to any entities that aggregate electricity customers and intend to take any deposits or other advance payments from electricity customers for aggregation services.

If the “YES” box above is selected, proceed to Item No. 2 below to indicate the method of meeting the financial qualifications required by the Aggregator Rule.

¹ Parties registering by means of the Alternative Limited Registration option are exempted from the information required by paragraphs (d), (e), (f) and (j) of the Standard Registration and from the affiliate disclosure required by No. 7 of Part A.

- NO, THE REGISTERING PARTY WILL NOT COLLECT DEPOSITS OR OTHER ADVANCE PAYMENTS FROM CUSTOMERS IN ADVANCE OF DELIVERING AGGREGATION SERVICES.**

By executing the Affidavit attached hereto, the registering party swears and affirms that, if it later decides to take prepayments, it shall amend its registration in advance of accepting such deposits or other advance payments for aggregation services. That amended registration shall demonstrate compliance with P.U.C. SUBST. R. §25.111(g) within 90 days of receiving the first payment for aggregation services and before those services are rendered.

If the "NO" box above is selected, stop here and proceed to the *Affidavit*.

2. Method of financial qualification. Check only one of the two boxes below to select one of the two methods for financial qualification to collect deposits and advance payments. The "standard" qualifications are available to any registering party, but the "alternative" qualifications are limited to those parties who have selected the Alternative Limited Registration in Part B. Provide the respective documentation materials indicated for the method selected:

- STANDARD FINANCIAL QUALIFICATIONS.** This method of qualification is the only option for parties registering under the Standard Registration in Part B.

- (a) **Calculation methodology.** The amount of required financial resources equals the registering party's cumulative obligations to customers arising from deposits or other advance payments for aggregation services made by customers prior to the delivery of aggregation services. Provide as **Attachment E** the registering party's methodology for calculating the required amount of financial resources.
- (b) **Financial evidence.** From the following list, indicate which financial instruments are used to demonstrate the registering party's compliance with the Aggregator Rule's financial requirements. Then provide the applicable supporting documentation as required by (c), (d), (e), and (f) below (supply only the applicable attachments).

- A certificate of deposit with a bank or other financial institution;
- A letter of credit issued by a bank or other financial institution, irrevocable for a period of at least 15 months;
- A line of credit or other loan issued by a bank or other financial institution, including a bond in a form approved by the commission, irrevocable for a period of at least 15 months;
- A loan issued by a subsidiary or affiliate of the applicant or a corporation holding controlling interest in the applicant, irrevocable for a period of at least 15 months;
- A guaranty issued by a shareholder or principal of the applicant; a subsidiary or affiliate of the applicant or a corporation holding controlling interest in the applicant; irrevocable for period of at least 15 months.

- An investment grade credit rating.
- Other financial evidence approved in advance by the commission _____
-

- (c) **Financial integrity of loans or guarantees.** If applicable, provide as **Attachment F** the financial evidence showing that the lender or guarantor possesses the financial resources needed to fund the loan or guaranty.
- (d) **Unencumbered resources.** Provide as **Attachment G** evidence of cash and other instruments listed as financial resources unencumbered by pledges for collateral.
- (e) **Credit ratings.** If applicable, provide as **Attachment H** evidence of investment grade credit rating.
- (f) **Disclosure to financial backers.** Provide as **Attachment I** evidence that a copy of P.U.C. SUBST. R. 25.111 (the Aggregator Rule) has been provided to any party providing, either directly or indirectly, financial resources necessary to protect customers pursuant to this paragraph.

- ALTERNATIVE FINANCIAL QUALIFICATIONS FOR LIMITED REGISTRATION.** This method of qualification is available only to parties who register by means of the Alternative Limited Registration, specifically those that aggregate customers that individually contract for at least 250 kilowatts of peak demand electricity. Check the box at left to exercise this option and incorporate into the Affidavit the statement below:

By executing the affidavit attached hereto, the registering party swears and affirms that it is aware of, and will comply with, P.U.C. SUBST. R. 25.111(g)(2), which applies to entities that aggregate only customers that individually contract for 250 kilowatts or more of peak demand electricity. Specifically, the registering party swears and affirms that it possesses a written consent from each customer it wishes to serve, authorizing the registering party to provide aggregation for that customer *without* establishing the cash and credit resources normally required of aggregators who take deposits or other advance payments from customers.

AFFIDAVIT

BEFORE ME, the undersigned authority, on this day personally appeared the undersigned, who, after being duly sworn, stated on their oath that they are entitled to make this Affidavit, and that the statements contained below and in the foregoing are true and correct.

Check one of the following boxes:

- I am an owner, partner, or officer of the registering party, which is registering as a Class II.B or Class II.C aggregator.
- I am an owner, partner, or officer of the registering party, which is registering as a Class I, Class II.A, and/or a Class II.D aggregator.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this application form on behalf of the registering party. I further swear or affirm that all statements made in the Registration Form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the registering party understands and will comply with all requirements of the applicable law and rules, including any required submission of additional information by sworn affidavit prior to the provision of electric service.



Signature of Registering Party's Owner, Partner, or Officer

Jeff Thomas

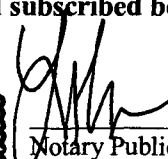
Printed Name

CNRG Group, LLC

Name of Registering Party

Sworn and subscribed before me this 30 day of April, 2019.
Month Year





Notary Public

Attachment A: Officers

P.U.C. Subst. R. 25.111(e)(3) and (f)(1) (C)

Nicholas Parker

CEO, CNRG Group LLC

Business Address: 1908 Thorndale Circle, Frisco, TX. 75036

Phone: 972-215-9829

nparker@cnrggroup.com

Jeff Thomas

Chief Operating Officer, CNRG Group LLC

Business Address: 1908 Thorndale Circle, Frisco, TX 75036

Phone: 972-365-4099

jthomas@cnrggroup.com

Attachment B: Affiliates

P.U.C. Subst. R. 25.111(e)(4) and (f)(1)(J)

CNRG Group, LLC is a subsidiary of _____, LLC.

Secretary of State
P.O. Box 13697
Austin, TX 78711-3697
FAX: 512/463-5709



**Certificate of Formation
Limited Liability Company**

Filed in the Office of the
Secretary of State of Texas
Filing #: 802190804 04/08/2015
Document #: 600379670002
Image Generated Electronically
for Web Filing

Filing Fee: \$300

Article 1 - Entity Name and Type

The filing entity being formed is a limited liability company. The name of the entity is:

CNRG Group, LLC

Article 2 - Registered Agent and Registered Office

A. The initial registered agent is an organization (cannot be company named above) by the name of:

OR

B. The initial registered agent is an individual resident of the state whose name is set forth below:

Name:

Nicholas Parker

C. The business address of the registered agent and the registered office address is:

Street Address:

5819 Sweeney Tr. Frisco TX 75034

Consent of Registered Agent

A. A copy of the consent of registered agent is attached.

OR

B. The consent of the registered agent is maintained by the entity.

Article 3 - Governing Authority

A. The limited liability company is to be managed by managers.

OR

B. The limited liability company will not have managers. Management of the company is reserved to the members.

The names and addresses of the governing persons are set forth below.

Managing Member 1: **Nicholas Parker**

Title: **Managing Member**

Address: **5819 Sweeney Tr. Frisco TX, USA 75034**

Article 4 - Purpose

The purpose for which the company is organized is for the transaction of any and all lawful business for which limited liability companies may be organized under the Texas Business Organizations Code.

Supplemental Provisions / Information

[The attached addendum, if any, is incorporated herein by reference.]

Organizer

The name and address of the organizer are set forth below.

Nicholas Parker 5819 Sweeney Tr., Frisco, Texas 75034

Effectiveness of Filing

A. This document becomes effective when the document is filed by the secretary of state.

OR

B. This document becomes effective at a later date, which is not more than ninety (90) days from the date of its signing. The delayed effective date is:

Execution

The undersigned affirms that the person designated as registered agent has consented to the appointment. The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument and certifies under penalty of perjury that the undersigned is authorized under the provisions of law governing the entity to execute the filing instrument.

Nicholas Parker

Signature of Organizer

FILING OFFICE COPY

Part B: (1) Standard Registration

(b) Tax Delinquency Disclosure:

CNRG Group, LLC does not have delinquency documents to disclose.

Part B: (1) Standard Registration

(c) Prior Experience:

Nicholas Parker, CEO, CNRG Group, LLC:

- 7+ years of experience in business development in retail power

Jeff Thomas, Chief Operating Officer, CNRG Group, LLC:

- 15+ years of experience in retail operations, including enrollment, billing, credit and collections, product development, M&A and integration of retail power portfolios, call center and customer service management.

Part B: (1) Standard Registration

(d) Affiliate and agency relationships:

CNRG Group, LLC does not believe to have any affiliate or agency relationships to disclose to the Commission at this time.

Part B: (1) Standard Registration

(e) Activities in other states:

At this time, CNRG Group, LLC (nor any of its affiliated or subsidiaries), does not provide any utility-related services in any other states

Part B: (1) Standard Registration

(f) Compensation sources:

CNRG Group, LLC anticipates that its compensation structure will be either as a consultant with a fixed cost or structured as a unit cost associated with consumers' power consumption (kilowatt hours). CNRG Group, LLC, anticipates it will disclose to its customers via retail contract or contract directly with all parties of the subject aggregation.

Part B: (1) Standard Registration

(g) Bankruptcy Disclosure:

CNRG Group, LLC does not have any bankruptcy or liquidation proceedings to disclose.

Part B: (1) Standard Registration

(h) Disclosure of fraud or liability:

CNRG Group, LLC **has not** been convicted or found liable for fraud, theft or larceny, deceit, or violations of any customer protection or deceptive trade laws in any state.

Additionally, **none** of CNRG Group, LLC's officers, directors, or principals have been convicted or found liable for fraud, theft or larceny, deceit, or violations of any customer protection or deceptive trade laws in any state.

Part B: (1) Standard Registration

(i) Investigation Status:

CNRG Group, LLC, the registering party, is **not** currently under criminal or civil investigation.

Part B: (1) Standard Registration

(j) Complaint History:

CNRG Group, LLC, the registering party, does **not** have any complaint history to disclose.

Additionally, the registering party does not have any affiliates or subsidiaries, and consequently does not have any complaint history to disclose regarding the same.

Part B: (1) Standard Registration

(k) Pending authorizations from public entities:

CNRG Group, LLC, the registering party, is not registering as either a Class II.A or II.D aggregator, therefore, the question is not applicable.