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SOAH DOCKET NO. 473-19-3864
PUC DOCKET NO. 49421

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APPLICATION OF CENTERPOINT § BEFORE THE STATE OFFICE
ENERGY HOUSTON ELECTRIC, LLC § FILED CLERK
FOR AUTHORITY TO CHANGE RATES § ADMINISTRATIVE HEARINGS

**TEXAS INDUSTRIAL ENERGY CONSUMERS' SECOND SET OF
REQUESTS FOR INFORMATION TO CENTERPOINT ENERGY HOUSTON, LLC**

Pursuant to 16 T.A.C. § 22.144, Texas Industrial Energy Consumers ("TIEC") requests that CenterPoint Energy Houston Electric, LLC ("CenterPoint") provide all of the information requested in Exhibit "A" within the time frame specified under the procedural schedule entered in this proceeding.

Pursuant to 16 T.A.C. § 22.144(c)(2), TIEC further requests that answers to the requests for information be made under oath. Each answer should identify the person responsible for preparing that answer (other than the purely clerical aspects of its preparation) and the name of the witness in this proceeding who will sponsor the answer and who can vouch for its accuracy. In producing documents pursuant to this request for information, please indicate the specific request(s) to which the document is being produced. These requests are continuing in nature, and should there be, for any reason, a change in circumstances which would modify or change an answer supplied by you, such changed answer should be submitted immediately as a supplement to your original answer pursuant to 16 T.A.C. § 22.144(i). Please answer each request and sub-request in the order in which they are listed and in sufficient detail to provide a complete and accurate answer to the request. TIEC further requests that each item of information be made available as it is completed, rather than upon compilation of all information requested.

All information responsive to the requests on the attached Exhibit "A" should be sent to the following persons via overnight courier, on a piecemeal basis as individual items become available:

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Ms. Katherine L. Coleman
Mr. Michael McMillin
Ms. Diane B. Tran
Thompson & Knight LLP
98 San Jacinto Blvd., Suite 1900
Austin, TX 78701
(512) 469.6100
(512) 469.6180 (fax)
katie.coleman@tklaw.com
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diane.tran@tklaw.com
tk.eservice@tklaw.com

DEFINITIONS AND INSTRUCTIONS

A. “CenterPoint” “the Company” or “you” refers to CenterPoint Energy Houston Electric, LLC, and its affiliates, subsidiaries, and any person acting or purporting to act on their behalf, including without limitation, attorneys, agents, advisors, investigators, representatives, employees or other persons.

B. “Applicant” refers to CenterPoint Energy Houston Electric, LLC, and their affiliates, subsidiaries, and any person acting or purporting to act on their behalf, as it relates to the true-up proceeding and related appeals, including without limitation, attorneys, agents, advisors, investigators, representatives, employees or other persons.

C. The terms “document” or “documents” are used in their broadest sense to include, by way of illustration and not limitation, all written or graphic matter of every kind and description whether printed, produced, reproduced or stored by any process whether visually, magnetically, mechanically, electronically or by hand, whether final or draft, original or reproduction, whether or not claimed to be privileged or otherwise excludable from discovery, and whether or not in your actual or constructive possession, custody, or control. The terms include writings, correspondence, telegrams, memoranda, studies, reports, surveys, statistical compilations, notes, calendars, tapes, computer disks, data on computer drives, e-mail, cards, recordings, contracts, agreements, invoices, licenses, diaries, journals, accounts, pamphlets, books, ledgers, publications, microfilm, microfiche and any other data compilations from which information can be obtained and translated, by you if necessary, into reasonably usable form. The definition includes electronic information that has been deleted. “Document” or “documents” shall also include every copy of a document where the copy contains any commentary or notation of any kind that does not appear on the original or any other copy.

D. Pursuant to Rule 196.4 of the Texas Rules of Civil Procedure, TIEC specifically requests that any electronic or magnetic information (which is included in the definition of “document”) that is responsive to a request herein be produced on CD-ROM in a format that is compatible with Adobe Acrobat, Microsoft, Macintosh and/or Word Perfect and be produced with your response to these requests. If emails are responsive to these requests, please provide a

searchable .pdf copy of the entire email string. Attachments to emails should be provided with the email in searchable .pdf form, unless it is stored in a different format, in which the attachment should be produced in its native format and provided on CD-Rom.

E. The terms “and” and “or” shall be construed both disjunctively and conjunctively as necessary to make the request inclusive rather than exclusive.

F. “Each” shall be construed to include the word “every” and “every” shall be construed to include the word “each.”

G. “Any” shall be construed to include “all” and “all” shall be construed to include “any.”

H. The term “concerning,” or one of its inflections, includes the following meanings: relating to; referring to; pertaining to; regarding; discussing; mentioning; containing; reflecting; evidencing; describing; showing; identifying; providing; disproving; consisting of; supporting; contradicting; in any way legally, logically or factually connected with the matter to which the term refers; or having a tendency to prove or disprove the matter to which the term refers.

I. The term “including,” or one of its inflections, means and refers to “including but not limited to.”

J. Words used in the plural shall also be taken to mean and include the singular. Words used in the singular shall also be taken to mean and include the plural.

K. The present tense shall be construed to include the past tense, and the past tense shall be construed to include the present tense.

L. If any document is withheld under any claim of privilege, please furnish a list identifying each document for which a privilege is claimed, together with the following information: date, sender, recipients of copies, subject matter of the document, and the basis upon which such privilege is claimed.

M. Pursuant to 16 T.A.C. § 22.144(h)(4), if the response to any request is voluminous, please provide a detailed index of the voluminous material.

N. If the information requested is included in previously furnished exhibits, workpapers, and responses to other discovery inquiries or otherwise, in hard copy or electronic format, please furnish specific references thereto, including Bates Stamp page citations and detailed cross-references.

O. The term “emails” includes the entire email string and all attachments found anywhere within the email string. Please refer to paragraph “D.” regarding specific instructions for producing such items.

P. “Communications” refers to correspondence of any kind, including emails.

Q. “Identify” and “describe” shall have the meaning set forth below according to the context in which the term is used:

- i. When used in reference to an individual, shall mean to state his or her full name, present or last known residence address, business affiliation and business address, and residence and business telephone number;
- ii. When used in reference to a corporation, shall mean to state its full name, its state of incorporation, its address and its principal place of business;
- iii. When used in reference to any entity other than an individual or corporation, shall mean to state its official name, its organizational form and its address;
- iv. When used in reference to a document, shall mean to state the type of document, date, author, addressee, title, its present location, the name and address of its custodian, and the substance of the contents thereof. In lieu of identifying any document, copies thereof may be furnished; and
- v. When used in reference to a communication, shall mean to state the form of the communication (e.g., telephone conversation, letter, telegram, teletype, telecopy, written memorandum, face to face conversation, or any other form), the date of the communication or the dates on which the communication was sent and/or received if not the same, the parties to the communication, the party who initiated it, the substance of the communication, and the present location and the name and address of the custodian if the communication was non-verbal and/or of any written memorialization of the communication.

Respectfully submitted,

THOMPSON & KNIGHT LLP



Katherine L. Coleman

State Bar No. 24059596

Michael McMillin

State Bar No. 24088034

Diane B. Tran

State Bar No. 24110446

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Austin, Texas 78701

(512) 469.6100

(512) 469.6180 (fax)

**ATTORNEYS FOR TEXAS INDUSTRIAL
ENERGY CONSUMERS**

CERTIFICATE OF SERVICE

I, Diane B. Tran, Attorney for TIEC, hereby certify that a copy of the foregoing document was served on all parties of record in this proceeding on this 25th day of April, 2019, by facsimile, electronic mail and/or first Class, U.S. Mail, Postage Prepaid.



Diane B. Tran

EXHIBIT A

**SOAH DOCKET NO. 473-19-3864
PUC DOCKET NO. 49421**

APPLICATION OF CENTERPOINT	§	BEFORE THE STATE OFFICE
ENERGY HOUSTON ELECTRIC, LLC	§	OF
FOR AUTHORITY TO CHANGE RATES	§	ADMINISTRATIVE HEARINGS

**TEXAS INDUSTRIAL ENERGY CONSUMERS' SECOND SET OF
REQUESTS FOR INFORMATION TO CENTERPOINT ENERGY HOUSTON, LLC**

- TIEC 2-1** Please provide all credit rating agency reports in your possession, custody, or control that are related to CenterPoint Energy Houston Electric, LLC (CenterPoint) and/or CenterPoint Energy, Inc. (CNP) and were created over the last three years.
- TIEC 2-2** Please provide all equity analyst reports in your possession, custody, or control that are related to CNP and were created over the last three years.
- TIEC 2-3** Please provide all credit rating agency reports in your possession, custody, or control that are related to Oncor Electric Delivery Company, LLC (Oncor). The scope of this question is limited to documents created in the last three years.
- TIEC 2-4** Please provide all credit rating agency reports in your possession, custody, or control that are related to AEP Texas, Inc. (AEP Texas). The scope of this question is limited to documents created in the last three years.
- TIEC 2-5** Please provide all equity analyst reports in your possession, custody, or control that are related to any other Texas transmission and distribution utilities operating in ERCOT. The scope of this question is limited to documents created in the last three years.
- TIEC 2-6** Do any of CenterPoint's debt or credit contracts, agreements, or other related documents contain cross-default provisions between CenterPoint and any of its affiliates or CNP? If so, please list the document(s) and the associated amount of debt.
- TIEC 2-7** Do any of CenterPoint's debt or credit contracts, agreements, or other related documents contain any financial covenants or rating agency triggers related to any entity other than CenterPoint? If so, please list all such documents and describe the debt, the covenant, and/or the trigger.
- TIEC 2-8** Has CenterPoint pledged any assets in respect of or guaranteed any debt or obligation of any of its affiliates or CNP? If so, please list the entity and describe the pledged debt or obligation.
- TIEC 2-9** Does CenterPoint share credit facilities with any affiliate(s) or CNP? If so, please list the affiliate/parent and describe the shared credit facility.
- TIEC 2-10** Please explain in detail the governance process by which CenterPoint declares dividends for distribution to CNP. Are there any limitations on CNP's ability to

determine the dividends CenterPoint must pay? If so, please list and describe all such limitations.

TIEC 2-11 Within the last ten years, has CenterPoint ever requested a non-consolidation legal opinion that a bankruptcy court would not consolidate the assets and liabilities of CenterPoint with CNP and/or any of CenterPoint's affiliates? If so, please provide all such opinions.