

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Fabian Mesa, Accounting Manager II, City Controller's Office.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-109: Please refer to Attachment 1 (AW 2-1, Attachment 109-FY 2018-19 WRF thru 0918_Adjusted.xls) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This document is the source document for providing actual and projected revenue, actual and projected usage, and actual and projected number of customer accounts by class, which Austin Water provided in response to Districts' RFI No. 2-1.¹¹⁰ This data is utilized for the COS Model.
- b. This document supports Austin Water's Application, Schedule II – G, "Historic Operating Revenues-Summary."
- c. This document supports the "AW FY 18 User Characteristics.xls," which in turn was used in the AW Water COS Model Docket 49189.xlsx.

¹¹⁰ *Id.*

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d. Joseph Gonzales and David Anders are AW's witnesses who support this attachment.

e. If this is an Excel document:

i. Identify each Tab/Worksheet

Tab Name	Tab Description
Model Export	Summary tab to export data from WRF file to the financial forecast model
Summary	Water summary of all tabs for customer consumption and revenue
CBC	Community Benefit Charge summary page not incorporated into Water Forecast; retail only charge
Residential	Revenue, consumption, and number of accounts data for residential customers
SH -COS Inputs	Shady Hollow combined revenue, consumption, and number accounts
SH - ID	Shady Hollow data for In-District revenue, consumption, and number accounts
SH - OD	Shady Hollow data Out of District revenue, consumption, and number accounts
SH - AISD	Shady Hollow data for AISD revenue and consumption
SH -HOA	Shady Hollow data for Homeowner's Association revenue and consumption
Res BF Data	Residential bill frequency data for consumption per account and consumption percentage by block
Res Tier Data	Residential bill tier data using number of accounts and consumption by block intervals
Multifamily	Revenue, consumption, and number of accounts data for multifamily customers
Commercial	Revenue, consumption, and number of accounts data for commercial customers
Large Volume	Revenue, consumption, and number of accounts data for large volume customers
Wholesale	Revenue, consumption, and number of accounts data for wholesale customers
Accts By Size	Minimum monthly charge and accounts by meter size for retail accounts
Bud Allot	Monthly forecast budget allotments by customer class and by individual customer for large volume and wholesale

ii. This file has no additional links in it.

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- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Robert Rowan
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-110: Please refer to Attachment 1 (AW 2-1, Attachment 110-FY18 dump with vendor name advertising.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- c. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is advertising information supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹¹¹
- b. This source document supports Austin Water's Application, Schedule II-D-3.1, Advertising.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."

¹¹¹ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
c. If this is a Excel document:

i. Identify Each Tab/Worksheet

Pivot 2018	A pivot table of the detailed listing of all advertising expenses by category for FY 2018.
Pivot 2017	A pivot table of the detailed listing of all advertising expenses by category for FY 2017.
Pivot 2016	A pivot table of the detailed listing of all advertising expenses by category for FY 2016.
Advertising detail 2018	A detailed listing of all advertising expenses by category for FY 2018.
Prior Year Back up	A detailed listing of all advertising expenses by category for FY 2017 and FY 16.
All	A detailed listing of all advertising expenses by category for FY 2018.
UNV AP	A detailed listing of expenses that were paid in period 13 FY 2018.

- ii. This file has no additional links in it.
1. Austin Water has provided AW Districts 7-110, Attachments 1 and 2.
 2. Austin Water considered the attachment submitted in Austin Water's response to Districts RFI No. 2-1 as the source document, which was used to create the Commission schedules and workpapers.
 3. This file is used for historical reference within the source document and does not change the data used to create the Commission schedules and workpapers.
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-111: Please refer to Attachment 1 (AW 2-1, Attachment 111-FY18 dump with vendor name donations.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is contribution and donation information supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹¹²
- b. This source document supports Austin Water's Application, Schedule II-D-3.2, Contribution and Donation Expense.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."

¹¹² *Id.*

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d. AW witnesses David Anders and Joseph Gonzales support this attachment.

c. If this is a Excel document:

i. Identify Each Tab/Worksheet

Pivot with description	A pivot table of the detailed listing of all contribution and donation expenses for FY 2018 by legal name.
Pivot	A pivot table of the detailed listing of all contribution and donation expenses for FY 2018 by OC account.

ii. This file has no additional links in it.

1. Austin Water has provided AW Districts 7-110, Attachment 2.
2. Austin Water considered the attachment submitted in Austin Water's response to Districts RFI No. 2-1 as the source document, which was used to create the Commission schedules and workpapers.
3. This file is used for historical reference within the source document and does not change the data used to create the Commission schedules and workpapers.

iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd

Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-112: Please refer to Attachment 1 (AW 2-1, Attachment 112-FY18 dump with vendor name memberships.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is membership supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹¹³
- b. This source document supports Austin Water's Application, Schedule II-D-3.3, Industry Organization Membership Dues, Schedule II-D-3.4, Business and Economic Membership Dues, and Schedule II-D-3.5, Professional Membership Dues.

¹¹³ *Id.*

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- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a Excel document:
- i. Identify Each Tab/Worksheet

All	A detailed listing of membership dues for FY 2018 with category.
Sheet 1	A detailed listing of membership dues for FY 2018.
Memberships	A detailed listing of membership dues for FY 2018 with category.
Pivot	A pivot table of the detailed listing of all membership dues for FY 2018 by category.
 - ii. This file has no additional links in it.
 1. Austin Water has provided AW Districts 7-110, Attachment 2.
 2. Austin Water considered the attachment submitted in Austin Water's response to Districts RFI No. 2-1 as the source document, which was used to create the Commission schedules and workpapers.
 3. This file is used for historical reference within the source document and does not change the data used to create the Commission schedules and workpapers.
 - iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-113: Please refer to Attachment 1 (AW 2-1, Attachment 113-FY19 AW Charges_PUC Request_45dayupdate_053119_support.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsx does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is O&M expense supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹¹⁴ This is the 45-day Update support.
- b. This source document supports the 45-day Update II-D-9 Schedules.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.

¹¹⁴ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

c. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
BG0240-6A - Monthly_MAYME5020	MicroStrategy downloaded report with detailed MTD and YTD May 2019 expenses, encumbrances and Annual Budget for the Water fund, by Account Number.
BG0240-6A - Monthly_MAYME5030	MicroStrategy downloaded report with detailed MTD and YTD May 2019 expenses, encumbrances and Annual Budget for the Water fund, by Account Number.
monthly	Hard copied pivot of the Pivot_monthly tab.
pivot_monthly	Pivot table of data on tab DW&BR_YTD053119 displayed by capitalized, gross and net expense by fund and by fiscal periods 1 – 8 and by account number category.
pivot_monthly_Capitalized	Pivot table of data on tab DW&BR_YTD053119 displayed by capitalized, gross and net expense by fund and by fiscal periods 1 – 8.
pivot_category	Pivot table of data on tab DW&BR_YTD053119 displayed by account number category and account number currently filtered on gross expenses and fiscal periods.
Pivot_byOCbyfund	Pivot table of data on tab DW&BR_YTD053119 displayed by fund and account number and currently filtered on gross expenses. Also noting the account number category.
DW&BR_YTD053119	Combined listing of FY2019 YTD May 2019 expenses, encumbrances, and total summarized by month, GL account, account number and account number category of gross and capitalized payroll and benefit expense.
053119YTD BR	FY2019 YTD May 2019 summarized totals listing by month, GL account and account number of capitalized payroll and benefit expense.

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Pivot-053119YTD_BR	Pivot table of data on tab Pivot-053119YTD_BR showing the total monthly expenses for water and wastewater funds filtered by account number. Currently includes account number 8000 which is not capitalized payroll or benefits.
ORIG SUBM Pivot-013119YTD_BR	Pivot table of data on tab ORIG_SUBM_013119YTD_BR showing the total monthly expenses for water and wastewater funds filtered by account number.
ORIG SUBM 013119YTD_BR	FY2019 YTD January 2019 summarized listing by month, GL account and account number of capitalized payroll and benefit expense. Currently includes account number 8000 which is not capitalized payroll or benefits.

- ii. The is a download from the City's Advantage 3 Financial System into the Austin Water datawarehouse access reporting tool as well as manual input for the Known and Measurable adjustments. There are some linked entries from worksheet Schedule II-D-9_support_rawdata.xlsx. This file is included as part of Austin Water's Response to Districts' RFI No. 2-1.
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-114: Please refer to Attachment 1 (AW 2-1, Attachment 114-FY19-23 CIP Budget_Director Approved.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is the source documentation which Austin Water provided in response to Districts' RFI No. 2-1.¹¹⁵ This attachment was provided to support the Capital Requirements for the estimate of FY 2019, 2020, and 2021.
- b. This attachment supports Austin Water's Application, Schedule II-C-7, Capital Requirements.
- c. This attachment was provided, as requested in Districts' RFI No. 2-1, to support Schedule II-C-7. The information in this attachment is not included in the COS models.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.

¹¹⁵ *Id.*

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e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
FY19-23 CIP Budget	This tab supports schedule II-C-7_Capital Requirements.

- ii. This is a downloaded report from the City of Austin MicroStrategy reporting system. There are no linked files.
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-115: Please refer to Attachment 1 (AW 2-1, Attachment 115-FY2018-19 Misc Revenue thru 0918.xls) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
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 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This document is the source document for providing actual and projected miscellaneous revenue. This data is utilized for the COS Model.
- b. This document supports Austin Water's Application, Schedule II-G, "Historic Operating Revenues-Summary."
- c. This document supports the "AW FY 18 User Characteristics.xls."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is an Excel document:

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i. Identify Tab/Worksheet

Tab Name	Tab Description
Budget Allot	Fiscal year budgeted revenue allotments.
Rev Projection	Historical revenues, current year estimate and 10-year revenue projections.
2017-18 CYE	FY 2017-18 current year estimate.
2016-17	FY 2016-17 actual revenue.
2015-16	FY 2015-16 actual revenue.
2014-15	FY 2014-15 actual revenue.
2013-14	FY 2013-14 actual revenue.
2012-13	FY 2012-13 actual revenue.
2011-12	FY 2011-12 actual revenue.
2010-11	FY 2010-11 actual revenue.
2009-10	FY 2009-10 actual revenue.

- ii. This file does not contain additional links.
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Robert Rowan
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-116: Please refer to Attachment 1 (AW 2-1, Attachment 116-II_D_9.1c_payrollcalculation.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- c. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is benefit supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹¹⁶
- b. This source document supports Austin Water's Application, Schedule II-D-9.1.c, General Payroll Increase for Historic Test Year and Adjusted Test Year.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."

¹¹⁶ *Id.*

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PUC DOCKET NO. 49189**

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

d. AW witnesses David Anders and Joseph Gonzales support this attachment.

e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
Schedule II-D-9.1.c_calculation	This tab documents the calculation of the Adjusted Test Year General Payroll increase.

ii. There are no linked files.

iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-117: Please refer to Attachment 1 (AW 2-1, Attachment 117-II-D-10 Schedule Working File.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is the Regulatory Expenses detail working paper, which Austin Water provided in response to Districts' RFI No. 2-1.¹¹⁷
- b. This source document supports Austin Water's Application, Schedule II-D-10, Regulatory Expenses.
- c. This attachment supports Table 29, "Water O&M" in the AW Water COS Model Docket 49189.xlsx, and Table 18, "Wastewater O&M" in the AW Wastewater COS Model Docket 49189.xlsm. These attachments are part of O&M costs.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.

¹¹⁷ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

c. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
Schedule II-D-10 Final	The final regulatory expenses schedule.
Schedule II-D-10	The draft format regulatory expenses schedule.
Summary	Pivot Table summaries of the downloaded financial datawarehouse database regulatory expenses detail.
"FY2018", "FY2017 and 2016", "Li's Data", "FY2018 original", FY2017 and 2016 Original"	All of these are raw data tabs downloaded from Austin Water's financial datawarehouse database, the format of the data could vary.

ii. There are no file links.

iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-118: Please refer to Attachment 1 (AW 2-1, Attachment 118-NW Austin MUD_Final_111710.xls) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsx does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt issuance documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹¹⁸
- b. This source document supports Austin Water's Application, Schedule II-C-4, Line Nos. 4 and 5.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 71-1, "Capital Cost Budget," Line No. 11. The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 60-1, "Capital Cost Budget," Line No. 11.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.

¹¹⁸ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Purpose
Summary	Payment summary schedule
Water	Payment summary schedule by utility
Wastewater	Payment summary schedule by utility
Drainage	Payment summary schedule by utility

ii. There are no file links.

iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

The City is the source of this data.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-119: Please refer to Attachment 1 (AW 2-1, Attachment 119-O&M_sourcedata.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is O&M expense supporting documentation which Austin Water provided in response to Districts' RFI No. 2-1.¹¹⁹
- b. This source document supports Austin Water's Application for all II-D Schedules (O&M Costs).
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."

¹¹⁹ *Id.*

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

d. AW witnesses David Anders and Joseph Gonzales support this attachment.

e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
Sourcedata_detail	This tab displays summarized amounts by fund (Water and Wastewater), account GL, and account number for the date periods: Test Year, Known and Measurable Changes, Adjusted Test Year, FY 2017 Actual and FY2016 Actual expenses.

- ii. The data contained in tab Sourcedata_detail is a download from the City's Advantage 3 Financial System into the Austin Water datawarehouse access reporting tool as well as manual input for the Known and Measurable adjustments. There are some linked entries from FY2018 Close II_102418_PUCratefiling.xlsx. This file is included as part of this submission as AW Districts 7-119, Attachment No. 1.
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-120: Please refer to Attachment 1 (AW 2-1, Attachment 120-PIB_161004.xls) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt issuance documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹²⁰
- b. This source document supports Austin Water's Application, Schedule II-C-4, Line No. 7.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 71-1, "Capital Cost Budget" Line No. 11. The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 60-1, "Capital Cost Budget," Line No. 11.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is an Excel document:
 - i. Identify Each Tab/Worksheet

¹²⁰ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

Tab Name	Tab Purpose
PIBPS	Payment summary schedule
01RfgAdj	Citywide allocation summary
05RfgAdj	Citywide allocation summary
08RfgAdj	Citywide allocation summary
PostAdj Summary	Citywide allocation summary
Allocation	Citywide allocation summary
Allocation Taxable	Citywide allocation summary
DS Chart	Graph for debt service payments

- ii. There are no file links.
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

The City is the source of this data.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-121: Please refer to Attachment 1 (AW 2-1, Attachment 121-River Place MUD_180129.xls) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt issuance documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹²¹
- b. This source document supports Austin Water's Application, Schedule II-C-4, Line No. 9.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 71-1, "Capital Cost Budget," Line No. 11. The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 60-1, "Capital Cost Budget," Line No. 11.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is an Excel document:

¹²¹ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

i. Identify Each Tab/Worksheet

Tab Name	Tab Purpose
Summary	Payment summary schedule
Water	Payment summary schedule by utility
Wastewater	Payment summary schedule by utility
Drainage	Payment summary schedule by utility
Allocation Worksheet	Allocation by utility

ii. There are no file links.

iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

The City is the source of this data.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-122: Please refer to Attachment 1 (AW 2-1, Attachment 122-Schedule II-D-1_unitupdate_support.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsx does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is O&M expense supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹²²
- b. This source document supports Austin Water's Application for all II-D.1 Schedules.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.

¹²² *Id.*

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PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
Pivot_sourcedata_Test_Water	This tab is a hard copy pivot of tab Pivot_sourcedata_Test displaying Test Year expenses by account GL and account number for the Water fund.
Pivot_sourcedata_K&M_Water	This tab is a hard copy pivot of tab Pivot_sourcedata_Test displaying Known and Measurable adjustments by account GL and account number for the Water fund.
Pivot_sourcedata_AdjTestYr_Water	This tab sums the pivot of tabs Pivot_sourcedata_Test_WW and Pivot_sourcedata_K&M_WW displaying Adjusted Test Year expenses by account GL and account number for the Water fund.
Pivot_sourcedata_Test_WW	This tab is a hard copy pivot of tab Pivot_sourcedata_Test displaying Test Year expenses by account GL and account number for the Wastewater fund.
Pivot_sourcedata_K&M_WW	This tab is a hard copy pivot of tab Pivot_sourcedata_Test displaying Known and Measurable adjustments by account GL and account number for the Wastewater fund.
Pivot_sourcedata_AdjTestYr_WW	This tab sums the pivot of tabs Pivot_sourcedata_Test_WW and Pivot_sourcedata_K&M_WW displaying Adjusted Test Year expenses by account GL and account number for the Wastewater fund.
29.O&M Budget	This tab displays the Cost of Service model tab 29 as originally submitted.
WP II-D-1.1a	This tab displays WP II D-1.1a as originally submitted.
18. O&M Budget	This tab displays the Cost of Service model tab 18 as originally submitted.
WP II-D-1.1b	This tab displays WP II D-1.1b as originally submitted.
Pivot_sourcedata_Test	This tab is a pivot of tab Sourcedata_detail. It displays the Test Year expenses by account GL and account number filtered by fund. It is currently filtered for the Wastewater fund.
Pivot_sourcedata_K&M	This tab is a pivot of tab Sourcedata_detail. It displays the Known and Measurable adjustments by account GL and account number filtered by fund. It is currently filtered for the Water fund.

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

Pivot_sourcedata_AdjTestYr	This tab is a pivot of tab Sourcedata_detail. It displays the Adjusted Test Year expenses by account GL and account number filtered by fund. It is currently filtered for the Wastewater fund.
Sourcedata_detail	This tab displays summarized amounts by fund (Water and Wastewater), account GL, and account number for the date periods: Test Year, Known and Measurable Changes, Adjusted Test Year, FY 2017 Actual and FY2016 Actual expenses. The tab is created from the Sourcedata detail excel file discussed in Districts' RFI No. 7-119 (AW Districts 7-119, Attachment No. 7-119) included as part of this response.

- ii. The data contained in tab Sourcedata_detail is a download from the City's Advantage 3 Financial System into the Austin Water datawarehouse access reporting tool as well as manual input for the Known and Measurable adjustments. There are some linked entries from the following files:

File Name	Notes
AW Wastewater COS Model Docket 49189.xlsm	This file was provided in the Application.
AW Water COS Model Docket 49189.xlsm	This file was provided in the Application.
COS Model O&M Input Table-Wastewater.xlsm	This file was provided in the Application.
COS Model O&M Input Table-Water.xlsm	This file was provided in the Application.
FY2018 Close II_102418_PUCratefiling.xlsx	This file is included with Austin Water's Response to Districts' RFI No. 7-119 (AW Districts 7-119, Attachment No. 7-119).

- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

**SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189**

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-123: Please refer to Attachment 1 (AW 2-1, Attachment 123-Schedule II-D-4 working file.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is Summary of Outside Services Employed documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹²³
- b. This source document supports Austin Water's Application, Schedule II-D-4, Summary of Outside Services Employed.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."

¹²³ *Id.*

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d. AW witnesses David Anders and Joseph Gonzales support this attachment.

e. If this is an Excel document:

i. Identify each Tab (worksheet).

Tab Name	Tab Description
Schedule II-D-4	Schedule of Summary of Outside Services Employed.
WP II-D-4	Work Paper of Summary of Outside Services Employed.
Complete data	Complete data downloaded from City's Advantage 3 Financial System for Outside Services Employed.
Outside Services	Identified Outside Services Employed.
Sheet1	Using Pivot Table to sort the Outside Services Employed by Object Code and by Fund.
Sheet2	Using Pivot Table to sort the Outside Services Employed by Object Code, by Object Name, by Legal Name, and by Fund.
Index	Using Pivot Table to sort the Complete Data to identify which Object Code is fit in Outside Services Employed.

ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),

1. The linked file is "AWU FY 2019 Unit_Object_Other Table_10-19-2018.xls." Please see attachment for the details.
2. The reason this linked file was not included in AW's attachments to its response to Districts' RFI No. 2-1 is because the linked file is just a reference file to the Unit Name and Object Name.
3. The purpose of the linked file is to reference the Unit Name and Object Name.

iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-124: Please refer to Attachment 1 (AW 2-1, Attachment 124-Schedule II-D-7 working file.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsx does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is purchased water and wastewater supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹²⁴
- b. This source document supports Austin Water's Application, Schedules II-D-7(W), Purchased Water and II-D-7(WW), Purchased Wastewater.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."

¹²⁴ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
e. If this is an Excel document:
i. Identify Each Tab/Worksheet

Tab Name	Tab Description
II-D-7 (W)	Schedule II-D-7(W) Purchased Water.
II-D-7 (WW)	Schedule II-D-7(WW) Purchased Wastewater.
Loop 360 CY 2015	Loop 360 Water Supply consumption for calendar year 2015.
Loop 360 CY 2016	Loop 360 Water Supply consumption for calendar year 2016.
Loop 360 CY 2017	Loop 360 Water Supply consumption for calendar year 2017.
Loop 360 CY 2018	Loop 360 Water Supply consumption for calendar year 2018.
FY 2018 Unit 6061 OC 6165	Transaction detail for account number 6165 GL 6061 for Fiscal Year 2017-18 with gallons.
FY 2017 Unit 6061 OC 6165	Transaction detail for account number 6165 GL 6061 for Fiscal Year 2016-17 with gallons.
FY 2016 Unit 6061 OC 6165	Transaction detail for account number 6165 GL 6061 for Fiscal Year 2015-16 with gallons.
FY 2018 OC 7269	Transaction detail for account number 7269 for Fiscal Year 2017-18 with gallons.
FY 2017 OC 7269	Transaction detail for account number 7269 for Fiscal Year 2016-17 with gallons.
FY 2016 OC 7269	Transaction detail for account number 7269 for Fiscal Year 2015-16 with gallons.
FY 2018 OC 6179	Transaction detail for account number 6179 for Fiscal Year 2017-18.
FY 2017 OC 6179	Transaction detail for account number 6179 for Fiscal Year 2016-17.
FY 2016 OC 6179	Transaction detail for account number 6179 for Fiscal Year 2015-16.
FY 2018 OC 6180	Transaction detail for account number 6180 for Fiscal Year 2017-18.
FY 2017 OC 6180	Transaction detail for account number 6180 for Fiscal Year 2016-17.
FY 2016 OC 6180	Transaction detail for account number 6180 for Fiscal Year 2015-16.

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

- ii. This is a download from the City of Austin Advantage 3 Financial System. There is a linked file "Back-up for Schedule II-D-7.xlsx" that was included in AW's attachments to its response to Districts 2-1 as "AW 2-1, Attachment 94-Back up for Schedule II-D-7."
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-125: Please refer to Attachment 1 (AW 2-1, Attachment 125-Schedule II-D-9_support_rawdata.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is benefit supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹²⁵
- b. This source document supports Austin Water's Application, Schedule II-D-9.1.a through Schedule II-D-9.1.g.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."

¹²⁵ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
e. If this is an Excel document:

- i. Identify Each Tab/Worksheet

Tab Name	Tab Description
monthly	Hard copied pivot of the Pivot_monthly tab.
pivot_monthly	Pivot table of data on tab datatwarehouse_2016-2019 displayed by capitalized, gross and next payroll and benefit expense by fund and by month for FY2016, FY2017, FY2018 and FY2019 (fiscal periods 1 – 4) and by account number category.
pivot_monthly_Capitalized	Pivot table of data on tab datatwarehouse_2016-2019 displayed by fund, by year, for payroll and benefit expense by month for FY2016, FY2017, FY2018 and FY2019 (fiscal periods 1 – 4).
pivot_capitalized	Three pivot tables of data on tab datatwarehouse_2016-2019 displayed by fund, by year, by account number and account number category currently filtered on capitalized payroll and expenses.
Pivot_byOCbyfund	Pivot table of data on tab datatwarehouse_2016-2019 displayed by fund and account number and currently filtered on gross payroll and benefit expenses and FY2019.
datawarehouse_2016-2019	This tab displays summarized amounts by fund (Water and Wastewater), account GL, and account number for payroll and benefit expense by month for FY2016, FY2017, FY2018 and FY2019 (fiscal periods 1 – 4) and by account number category.
DW2019_only	FY2019 monthly summarized expense totals by GL account and account number of payroll and benefit expense for fiscal periods 1-4.

- ii. This is a download from the City of Austin Advantage 3 Financial System into the Austin Water datawarehouse access reporting tool for periods FY 2016, FY 2017, FY 2018, and FY 2019 (fiscal periods 1 – 4). There are no links
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd

Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-126: Please refer to Attachment 1 (AW 2-1, Attachment 126-Split for Other Payroll Information_Number of Employees.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is number of employees supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹²⁶
- b. This source document supports Austin Water's Application, Schedule II-D-9.3.b, Payroll Information – Number of Employees.

¹²⁶ *Id*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is an Excel document:

- i. Identify Each Tab/Worksheet

Tab Name	Tab Description
Split	Calculation of tab "PT", percentage split by fund.
PT	Pivot table of tab "Actual Exp_LY" by fund.
Actual Exp_LY	Account number 5001 detail for Fiscal Year 2017-18, Fiscal Period 12.

- ii. This is a download from the City's Advantage 3 Financial System. There are no linked files.
 - iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

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Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-127: Please refer to Attachment 1 (AW 2-1, Attachment 127-Split for Other Payroll Information_Number of Employees_2.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsxm or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is number of employees supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹²⁷
- b. This source document supports Austin Water's Application, Schedule II-D-9.3.b, Payroll Information – Number of Employees.

¹²⁷ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is an excel file

- i. Identify Each Tab/Worksheet

Tab Name	Tab Description
Split	Calculation of tab "PT", percentage split by fund.
PT	Pivot table of tab "Actual Exp_LY" by fund.
Actual Exp_LY	Account number 5001 detail for Fiscal Year 2017-18, Fiscal Period 1.

- ii. This is a download from the City's Advantage 3 Financial System. There are no linked files.
 - iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-128: Please refer to Attachment 1 (AW 2-1, Attachment 128.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF Excel document:
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is O&M known and measurable explanations supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹²⁸
- b. This source document supports Austin Water's Application II-D-1 Schedules.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document:
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. David Anders, Austin Water.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

¹²⁸ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-129: Please refer to Attachment 1 (AW 2-1, Attachment 129-Split for Other Payroll Information_Number of Employees_3.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is number of employees supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹²⁹
- b. This source document supports Austin Water's Application, Schedule II-D-9.3.b, Payroll Information – Number of Employees.

¹²⁹ *Id*

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- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is an Excel document:

- i. Identify Each Tab/Worksheet

Tab Name	Tab Description
Split	Calculation of tab "PT", percentage split by fund.
PT	Pivot table of tab "Actual Exp LY" by fund.
Actual Exp_LY	Account number 5001 detail for Fiscal Year 2012-13, Fiscal Period 12.

- ii. This is a download from the City's Advantage 3 Financial System. There are no linked files.
 - iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

ATTACHMENTS PROVIDED ON FLASH DRIVE

