

**SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189**

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-61: Please refer to Attachment 1 (AW 2-1, Attachment 61.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This attachment is the source file, which Austin Water provided in response to Districts' RFI No. 2-1.⁶² It is an electronic mail communication between the City Controller's Office and Austin Water validating the bad debt expense amount.
- b. This document supports Austin Water's Application, Schedule II-A-3.5, Uncollectible Accounts for Fiscal Year 2016, and Schedule II-D-2, Bad Debt Expense for Fiscal Years 2015 and 2016.
- c. This file is used for historical reference within the source document and does not change the data used to create the Application schedules and workpapers.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source:
 1. Fabian Mesa, Accounting Manager II, City Controller's Office.
 2. Crystal Lopez, Senior Accountant, City Controller's Office.
 3. Bethany Owen, Financial Analyst IV, Austin Water.

⁶² *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-62: Please refer to Attachment 1 (AW 2-1, Attachment 62.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsx does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This attachment is the source file, which Austin Water provided in response to Districts' RFI No. 2-1.⁶³ It is an electronic mail communication between the City Controller's Office and Austin Water validating the bad debt expense amount. The documentation is a continuation of the same discussion provided in AW 2-1, Attachment 62. This was submitted to supplement the response.
- b. This source document supports Austin Water's Application, Schedule II-D-2, Bad Debt Expense.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 1A-2, "Outside City Adjustments Summary and Toggle for Model Incorporation," Line No. 36. The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 1A-2, "Outside City Adjustments Summary and Toggle for Model Incorporation," Line No. 36.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source
 1. Fabian Mesa, Accounting Manager II, City Controller's Office.

⁶³ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

2. Crystal Lopez, Senior Accountant, City Controller's Office.
3. Bethany Owen, Financial Analyst IV, Austin Water.

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-63: Please refer to Attachment 1 (AW 2-1, Attachment 63.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is Industry Organization Membership dues supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁶⁴
- b. This source document supports Austin Water's Application, Schedule II-D-3.3, Industry Organization Membership Dues, updated to include Amount Attributable to Lobbying.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Frank Blaha, P.E., the Water Research Foundation.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁶⁴ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-64: Please refer to Attachment 1 (AW 2-1, Attachment 64.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is Industry Organization Membership dues supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁶⁵
- b. This source document supports Austin Water's Application, Schedule II-D-3.3, Industry Organization Membership Dues, updated to include Amount Attributable to Lobbying.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ☐ i. Identify the source of any non-superfluous information or data:
 1. Dan Hartnett, Association of Metropolitan Water Agencies.

⁶⁵ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-65: Please refer to Attachment 1 (AW 2-1, Attachment 65.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is Industry Organization Membership dues supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁶⁶
- b. This source document supports Austin Water's Application, Schedule II-D-3.3, Industry Organization Membership Dues, updated to include Amount Attributable to Lobbying.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Denise Avery, Austin Water.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁶⁶ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-66: Please refer to Attachment 1 (AW 2-1, Attachment 66.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is Industry Organization Membership dues supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁶⁷
- b. This source document supports Austin Water's Application, Schedule II-D-3.3, Industry Organization Membership Dues, updated to include Amount Attributable to Lobbying.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Cristina Ahmadpour, Isle Utilities.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁶⁷ *Id*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-67: Please refer to Attachment 1 (AW 2-1, Attachment 67.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsx does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is Industry Organization Membership dues supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁶⁸
- b. This source document supports Austin Water's Application, Schedule II-D-3.3, Industry Organization Membership Dues, updated to include Amount Attributable to Lobbying.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Songli Floyd, Austin Water.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁶⁸ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-68: Please refer to Attachment 1 (AW 2-1, Attachment 68.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsx does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is Industry Organization Membership dues supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁶⁹
- b. This source document supports Austin Water's Application, Schedule II-D-3.3, Industry Organization Membership Dues, updated to include Amount Attributable to Lobbying.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. David Anders, Austin Water.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁶⁹ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-69: Please refer to Attachment 1 (AW 2-1, Attachment 69.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is Industry Organization Membership dues supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁷⁰
- b. This source document supports Austin Water's Application, Schedule II-D-3.3, Industry Organization Membership Dues, updated to include Amount Attributable to Lobbying.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. David Anders, Austin Water.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁷⁰ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-70: Please refer to Attachment 1 (AW 2-1, Attachment 70.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is Industry Organization Membership dues supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁷¹
- b. This source document supports Austin Water's Application, Schedule II-D-3.3, Industry Organization Membership Dues, updated to include Amount Attributable to Lobbying.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Frank Blaha, the Water Research Foundation.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁷¹ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-71: Please refer to Attachment 1 (AW 2-1, Attachment 71.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is benefit supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁷²
- b. This source document supports Austin Water's Application, Schedule II-D-9.1.a through Schedule II-D-9.1.g.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. David Anders, Austin Water.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁷² *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-72: Please refer to Attachment 1 (AW 2-1, Attachment 72.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is the documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁷³ This attachment was provided to support the MPL for fixed asset functionalization.
- b. This attachment supports the "2018 Fixed Asset Pivot Table.xlsx," as AW included in the Application.
- c. This attachment supports Table 72, Asset Functionalization in the AW Water COS Model Docket 49189.xlsm.
- d. Pending attorney
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. The source of this attachment is an email from Brandy Rose, Financial Analyst IV, Austin Water.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

⁷³ *Id.*

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-73: Please refer to Attachment 1 (AW 2-1, Attachment 73.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt issuance documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁷⁴
- b. This source document supports Austin Water's Application, Schedule II-C-4, Long Term Debt, Lines Nos. 28 & 29.
- c. Schedule II-C-4 is a schedule of outstanding debt, which includes the debt service requirement for the 2018 test year. The table in the AW Water COS Model Docket 49189.xlsx is Table 71-1, "Capital Cost Budget," Line No. 11. The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 60-1, "Capital Cost Budget," Line No. 11.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Belinda Weaver, Interim City Treasurer, City Treasury.
 2. Bryan Rivera, Deputy City Treasurer, City Treasury.

⁷⁴ *Id.*

**SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189**

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-74: Please refer to Attachment 1 (AW 2-1, Attachment 74.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt issuance documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁷⁵
- b. This source document supports Austin Water's Application, Schedule II-C-4, Long Term Debt, Line No. 31.
- c. Schedule II-C-4 is a schedule of outstanding debt, which includes the debt service requirement for the 2018 test year. The table in the AW Water COS Model Docket 49189.xlsx is Table 71-1, "Capital Cost Budget," Line No. 11. The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 60-1, "Capital Cost Budget," Line No. 11.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Belinda Weaver, Interim City Treasurer, City Treasury.
 2. Bryan Rivera, Deputy City Treasurer, City Treasury.

⁷⁵ *Id.*

**SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189**

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-75: Please refer to Attachment 1 (AW 2-1, Attachment 75.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is purchased water supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁷⁶
- b. This source document supports Austin Water's Application, Schedule II-D-7(W), Purchased Water.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Teri Hawkins, Administrative Specialist, Austin Water.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁷⁶ *Id*

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-76: Please refer to Attachment 1 (AW 2-1, Attachment 76.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is the source documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁷⁷ This attachment was provided to support the MPL for fixed asset functionalization specifically the net book value of River Place MUD.
- b. This attachment supports the "2018 Fixed Asset Pivot Table," as AW included in the Application.
- c. This attachment supports Tab 61, Asset Functionalization in the AW Wastewater COS Model Docket 49189.xlsm
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. The source of this attachment is an email from Christina Romero, Financial Manager III, Austin Water.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

⁷⁷ *Id.*

**SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189**

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-77: Please refer to Attachment 1 (AW 2-1, Attachment 77.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is pension and Other Post-Employment Benefits (OPEB) supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁷⁸ These are support documents for the May 45-day Update.
- b. This source document supports the 45-day Update Schedules II-D-9.2.a through II-D-9.2.i, Pension and OPEB Benefits.
- c. The water and wastewater COS models are based on the 2018 test year with known and measurable changes. The 45-day Update has not been incorporated into the cost of service models.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Marija Jukic, Deputy Controller, City Controller's Office.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁷⁸ *Id*

**SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189**

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-78: Please refer to Attachment 1 (AW 2-1, Attachment 78.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is pension and OPEB benefits supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁷⁹
- b. This source document supports Austin Water's Application, Schedules II-D-9.2.a through II-D-9.2.i, Pension and OPEB Benefits.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Vickie Schubert, Controller's Office Staff, City Controller's Office.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁷⁹ *Id.*

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-79: Please refer to Attachment 1 (AW 2-1, Attachment 79.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is the documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁸⁰ This attachment was provided to support the Debt Service Requirement amounts that rolled into the COS.
- b. This attachment supports the Fund Summaries of Water and Wastewater, that are in the Tabs in the water and wastewater COS models.
- c. This attachment supports Tab 1.FS, "Water" and Tab 71, "Cap Cost Budget," in the AW Water COS Model Docket 49189.xlsm, and Tab 1.FS and Tab 60, "Cap Cost Budget" in the AW Wastewater COS Model Docket 49189.xlsm.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. The source of this attachment is an email from Christina Romero, Financial Manager III, Austin Water.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

⁸⁰ *Id.*

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-80: Please refer to Attachment 1 (AW 2-1, Attachment 80.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is FY18 commercial paper interest expense documentation which Austin Water provided in response to Districts' RFI No. 2-1.⁸¹
- b. This source document supports Austin Water's Application, Schedule II-C-5, Weighted Average Cost of Short-Term Debt. This is electronic mail confirming the interest payments made in fiscal year 2018.
- c. Schedule II-C-5 is a schedule of outstanding commercial paper, which includes the debt service requirement for the 2018 test year. The table in the AW Water COS Model Docket 49189.xlsx is Table 71-1, "Capital Cost Budget," Line No. 11. The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 60-1, "Capital Cost Budget," Line No. 11.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Belinda Weaver, Interim City Treasurer, City Treasury.
 2. Bryan Rivera, Deputy City Treasurer, City Treasury.

⁸¹ *Id.*

**SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189**

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-81: Please refer to Attachment 1 (AW 2-1, Attachment 81.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is email correspondence among internal City Staff, which Austin Water provided in response to Districts' RFI No. 2-1.⁸²
- b. The attachment provided support for "Other Tax Supported Debt," as referenced in the Comprehensive Annual Financial Report (CAFR), footnote 6, page 85. Please see AW 2-1, Attachment No. 118, "NW Austin MUD_Final_111710," and AW 2-1, No. 121, "River Place MUD_180129."
- c. This correspondence was provided to reconcile submitted documents that support Table 71-1, "Capital Cost Budget," Line No. 11 in the AW Water COS Model Docket 49189.xlsx.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Fabian Mesa, Accounting Manager II, City Controller's Office.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

⁸² *Id.*

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-82: Please refer to Attachment 1 (AW 2-1, Attachment 82.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is the source documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁸³ This attachment was provided to support the MPL for fixed asset functionalization.
- b. This attachment supports the tabs in the "2018 Fixed Asset Pivot Table," as included in the Application.
- c. This attachment supports Tab 72, "Asset Functionalization in the AW Water COS Model Docket 49189.xlsx," and Tab 61, "Asset Functionalization in the AW Wastewater COS Model Docket 49189.xlsm."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. The source of this attachment is an email from Christina Romero, Financial Manager III, Austin Water.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

⁸³ *Id.*

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-83: Please refer to Attachment 1 (AW 2-1, Attachment 83.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is capitalized employee payroll and benefit expense supporting documentation which Austin Water provided in response to Districts' RFI No. 2-1.⁸⁴ These are support documents for the 45-day Update.
- b. This source document supports the 45-day Update II-D-9 Schedules.
- c. The water and wastewater COS models are based on the 2018 test year with known and measurable changes. The 45-day Update has not been incorporated into the COS models.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Brandy Rose, Financial Analyst IV, Austin Water.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁸⁴ *Id.*

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-84: Please refer to Attachment 1 (AW 2-1, Attachment 84.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is O&M expense supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁸⁵
- b. This source document supports the Application II-D-1 Schedules.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Songli Floyd, Financial Manager III, Austin Water.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁸⁵ *Id.*

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PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-85: Please refer to Attachment 1 (AW 2-1, Attachment 85.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is supporting documentation regarding number of employees, which Austin Water provided in response to Districts' RFI No. 2-1.⁸⁶ These are support documents for the 45-day Update.
- b. This source document supports 45-day Update Schedule II-D-9.3.b, Payroll Information – Number of Employees
- c. The water and wastewater COS models are based on the 2018 test year with known and measurable changes. The 45-day Update has not been incorporated into the COS models.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Nancy Rumbo, Human Resources Supervisor, Austin Water.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁸⁶ *Id.*

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-86: Please refer to Attachment 1 (AW 2-1, Attachment 86.pdf) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is a PDF document,
 - i. Identify any information or data in this document that is superfluous to the Application
 - ii. Identify the source of any non-superfluous information or data:
 1. If the source is from the City of Austin, identify the name, title, and department of the preparer

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is payroll information supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁸⁷
- b. This source document supports Austin Water's Application, Schedule II-D-9.3.c, Other Payroll Information – Vacation Pay.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is a PDF document,
 - i. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - ii. Identify the source of any non-superfluous information or data:
 1. Fabian Mesa, Corporate Accounting Manager.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

⁸⁷ *Id.*

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-87: Please refer to Attachment 1 (AW 2-1, Attachment 87-08_WWVariableRateBonds.xls) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- . Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 - 1. provide these linked files
 - 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 - 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt issuance documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁸⁸
- b. This source document supports Austin Water's Application, Schedule II-C-4 Line No. 6.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 71-1, "Capital Cost Budget," Line No. 11. The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 60-1, "Capital Cost Budget," Line No. 11.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is an Excel document:

⁸⁸ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
Debt Schedule	Payment summary schedule
FY08	Payments by year
FY09	Payments by year
FY10	Payments by year
FY11	Payments by year
FY12	Payments by year
FY13	Payments by year
FY14	Payments by year
FY15	Payments by year
FY16	Payments by year
FY17	Payments by year
FY18	Payments by year

ii. There are no linked files.

iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-88: Please refer to Attachment 1 (AW 2-1, Attachment 88-08_WW_FixedSwapRate_sifma.xls) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt issuance documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁸⁹
- b. This source document supports Austin Water's Application, Schedule II-C-4 Line No. 6.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 71-1, "Capital Cost Budget," Line No. 11. The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 60-1, "Capital Cost Budget," Line No. 11.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is an Excel document:

⁸⁹ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
SWAP_Refunding2008	Payment summary schedule

ii. There are no linked files.

iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

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PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-89: Please refer to Attachment 1 (AW 2-1, Attachment 89-18_WW DFS_05-22-18.xls) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so

If this is an Excel document:

- i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
- ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
- iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
- iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt issuance documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁹⁰
- b. This source document does not support any schedules.
- c. The source document is only for historical purposes, and the AW Water COS Model Docket 49189.xlsx and AW Wastewater COS Model Docket 49189.xlsm.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is an Excel document:

⁹⁰ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
SeparateLien	Payment summary schedule for all debt as of 5.22.18
Allocation	Allocation by utility
Series 2017 Total DS Schedule	Payment schedule
17 Rfg Tax Exempt	Various series refundings
17 Rfg CP	Various series refundings
DS per FY Chart	Graph for all debt

ii. There are no linked files.

iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

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PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-90: Please refer to Attachment 1 (AW 2-1, Attachment 90-08_WWW Swap_Analysis.xls) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt issuance documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁹¹
- b. This source document does not support any schedules.
- c. Please see Austin Water's response to Staff RFI No. 1-7. The source document is an interest rate analysis on fixed rates versus variable rates and does not support the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.

⁹¹ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
Net Amount	Interest rate analysis on fixed rates versus variable rates

ii. There are no linked files.

iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Christina Romero

Sponsored by: David Anders and Joseph Gonzales

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PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-91: Please refer to Attachment 1 (AW 2-1, Attachment 91-2018-19 WWRF thru 0918 COS Submittal.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This document is the source document for providing actual and projected revenue, actual and projected usage, and the number of customer accounts by class, which Austin Water provided in response to Districts' RFI No. 2-1.⁹² This data is utilized for the COS Model.
- b. This document supports Austin Water's Application, Schedule II-G, "Historic Operating Revenues-Summary."
- c. This document supports the "AW FY 18 User Characteristics. xls".
ustAW witnesses David Anders and Joseph Gonzales support this attachment.
- e. See below

⁹² *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
Model Export	Summary tab to export data from WWRF file to the financial forecast model
Summary	Wastewater summary of all tabs for customer consumption and revenue
RevSum	Current and projected wastewater revenues
Residential	Revenue, consumption, and number of accounts data for residential customers
SumFlow	Summary of current and projected wastewater flows.
SumAcct	Average number of Wastewater Accounts
Multifamily	Revenue, consumption, and number of accounts data for multifamily customers
Commercial	Revenue, consumption, and number of accounts data for commercial customers
Large Volume	Revenue, consumption, and number of accounts data for large volume customers
Wholesale	Revenue, consumption, and number of accounts data for wholesale customers

- ii. If this file links to other spreadsheets,
1. This file contains links to FY 18 User Characteristics prepared by Austin Water.
 2. Not applicable; this file was provided in response to Districts' RFI No. 2-1.
 3. The purpose of FY 18 User Characteristics is to provide actual revenue, actual consumption, and the number of customer accounts by class.
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Robert Rowan
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-92: Please refer to Attachment 1 (AW 2-1, Attachment 92-2019 Debt Recon to COS.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt documentation which Austin Water provided in response to Districts' RFI No. 2-1.⁹³
- b. This source document does not support any schedules.
- c. The debt summary tab in this document supports AW Water COS Model Docket 49189.xlsx is Table 1-1, "Debt Service Requirements," Line Nos. 73 to 77, and Table 71-1, "Capital Cost Budget," Line No. 11. The debt summary tab in this document supports AW Wastewater COS Model Docket 49189.xlsx Table 1-1, "Debt Service Requirements," Line Nos. 66 to 70, and Table 60-1, "Capital Cost Budget," Line No. 11.

⁹³ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
Debt Summary	Summary for principal and interest for all debt
GO BOND	Payment summary schedule for GO debt
WD	Payment summary schedule for Water District debt
CUSR	Payment summary schedule for Combined Utility System Revenue debt
PriorLien	Payment summary schedule for Water and Wastewater System Revenue Refunding Bonds
SubLien	Payment summary schedule for Water and Wastewater System Revenue Refunding Bonds
SeparateLien	Payment summary schedule for Water and Wastewater System Revenue Refunding Bonds
SepLien V2008	Payment summary schedule for Water and Wastewater System Variable Rate Revenue Refunding Bonds, Series 2008

ii. Linked files:

Name of Linked File	Purpose	Why not included in AW Attachments?
16_WW Refunding and Defeasance_6-1-16.xls	Payment summary schedule for all debt as of 6.1.16	This linked document is for historical purposes and was not used by AW in the preparation of the Application schedules and workpapers. Austin Water has provided this as AW Districts 7-92, Attachment 1.
Copy of 18_WW TWDB_11-20-18.xls	Payment summary schedule for all debt as of 11.20.18	This linked document is for historical purposes and was not used by AW in the preparation of the Application schedules and workpapers. Austin Water has provided this as AW Districts 7-92, Attachment 2.
CUSR_2016_AWU Defeasance.xls	See Austin Water's response to Districts' RFI No. 104 and AW 2-1, Attachment No. 104.	Yes; it was attached. See Austin Water's response to Districts' RFI No. 104 and AW 2-1, Attachment No. 104.
CUSR_AWU 2016 defeasance.xls	See Austin Water's response to Districts' RFI No. 104 and AW 2-1, Attachment No. 104.	Yes; it was attached. See Austin Water's response to Districts' RFI No. 104 and AW 2-1, Attachment No. 104.
Debt Model_FY 2019-2020_11_14_18.xlsm	See Austin Water's response to Districts' RFI No. 98 and AW 2-1, Attachment No. 98.	Yes; it was attached. See Austin Water's response to Districts' RFI No. 98 and AW 2-1, Attachment No. 98.
NWAustin MUD Final 111710.xls	See Austin Water's response to Districts' RFI No. 118 and AW 2-1, Attachment No. 118.	Yes; it was attached. See Austin Water's response to Districts' RFI No. 118 and AW 2-1, Attachment No. 118.
PIB 161004.xls	See Austin Water's response to Districts' RFI No. 120 and AW 2-1, Attachment No. 120.	Yes; it was attached. See Austin Water's response to Districts' RFI No. 120 and AW 2-1, Attachment No. 120.
River Place	See Austin Water's response	Yes; it was attached. See Austin

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

MUD 180129.xls	to Districts' RFI No. 121 and AW 2-1, Attachment No. 121.	Water's response to Districts' RFI No. 121 and AW 2-1, Attachment No. 121
WWW FY16.xls	Payment summary schedule for GO debt	This linked document is for historical purposes and was not used by AW in the preparation of the Application schedules and workpapers. Austin Water has provided this as AW Districts 7-92, Attachment 3.

- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-93: Please refer to Attachment 1 (AW 2-1, Attachment 93-AW Prepaid Data Download 2018.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is prepaid expense documentation which Austin Water provided in response to Districts' RFI No. 2-1.⁹⁴
- b. This source document supports Austin Water's Application, Schedule II-A-3, Balance Sheet, Line No. 20 and WP/II-A-3.6, Prepayments.
- c. Prepayments are initially recorded as assets on the balance sheet and later recognized as expenses on the income statement as O&M expenses for the appropriate accounting period when the services purchased under prepayment occurred. These expenses are captured on WP/II-D-1, Summary of Adjusted Test O&M Expense, which are embedded

⁹⁴ *Id.*

SOAH DOCKET NO. 473-19-6297.WS
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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

in the AW Water COS Model Docket 49189.xlsx, Table 29, O&M Budget and AW Wastewater COS Model Docket 49189.xlsm, Table 18, O&M Budget.

d. AW witnesses David Anders and Joseph Gonzales support this attachment.

e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
Other Prepaid	Detailed listing of all prepaid expense entries excluding prepaid rent for Water, Reclaimed Water, and Wastewater during the test year.
Other Prepaid WW	An applied filter for all Wastewater entries excluding prepaid rent.
Other Prepaid Water	An applied filter for all Water entries excluding prepaid rent.
Prepaid rent	A detailed listing of all entries for prepaid rent expense for both Water and Wastewater.

ii. This is a download from the City of Austin Advantage 3 Financial System. There are no linked files.

iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-94: Please refer to Attachment 1 (AW 2-1, Attachment 94-Back up for Schedule II-D-7.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsx does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is purchased water and wastewater supporting documentation which Austin Water provided in response to Districts' RFI No. 2-1.⁹⁵
- b. This source document supports Austin Water's Application, Schedules II-D-7(W), Purchased Water and II-D-7(WW), Purchased Wastewater.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."

⁹⁵ *Id.*

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

d. AW witnesses David Anders and Joseph Gonzales support this attachment.

e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
FY 2018 OC 6179	Transaction detail for account number 6179 for Fiscal Year 2017-18.
FY 2017 OC 6179	Transaction detail for account number 6179 for Fiscal Year 2016-17.
FY 2016 OC 6179	Transaction detail for account number 6179 for Fiscal Year 2015-16.
FY 2018 OC 6180	Transaction detail for account number 6180 for Fiscal Year 2017-18.
FY 2017 OC 6180	Transaction detail for account number 6180 for Fiscal Year 2016-17.
FY 2016 OC 6180	Transaction detail for account number 6180 for Fiscal Year 2015-16.
FY 2018 Unit 6061 OC 6165	Transaction detail for account number 6165 GL 6061 for Fiscal Year 2017-18.
FY 2017 Unit 6061 OC 6165	Transaction detail for account number 6165 GL 6061 for Fiscal Year 2016-17.
FY 2016 Unit 6061 OC 6165	Transaction detail for account number 6165 GL 6061 for Fiscal Year 2015-16.
FY 2018 OC 7269	Transaction detail for account number 7269 for Fiscal Year 2017-18.
FY 2017 OC 7269	Transaction detail for account number 7269 for Fiscal Year 2016-17.
FY 2016 OC 7269	Transaction detail for account number 7269 for Fiscal Year 2015-16.

- ii. This is a download from the City of Austin Advantage 3 Financial System. There are no linked files.
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-95: Please refer to Attachment 1 (AW 2-1, Attachment 95-Back-up for OC 6160.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is purchased water supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁹⁶
- b. This source document supports Austin Water's Application, Schedule II-D-7(W), Purchased Water.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is an Excel document:

⁹⁶ *Id.*

**SOAH DOCKET NO. 473-19-6297.WS
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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
FY 2018	Transaction detail for purchased water cost charged to a different object code for Fiscal Year 2017-18.
FY 2017	Transaction detail for purchased water cost charged to a different object code for Fiscal Year 2016-17.
FY 2016	Transaction detail for purchased water cost charged to a different object code for Fiscal Year 2015-16.

- ii. This is a download from the City of Austin Advantage 3 Financial System. There are no linked files.
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-96: Please refer to Attachment 1 (AW 2-1, Attachment 96-CIP 2018 ActualsV1.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is the source documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁹⁷ This attachment was provided to support the Capital Requirements for the test year.
- b. This attachment supports Austin Water's Application, Schedule II-C-7, Capital Requirements.
- c. This attachment was provided, as requested in Districts' RFI No. 2-1, to support Schedule II-C-7. The information in this attachment was not rolled to the COS models.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.

⁹⁷ *Id.*

**SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189**

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
CIP Exp by FDU by FY	This tab shows the amount for Reclaimed Water that was excluded in the COS.
FY18-FY23	This tab shows the Water and Wastewater FY18 actual CIP expense to support Schedule II-C-7.

- ii. This is a downloaded report from the City's MicroStrategy reporting system. There are no linked files. FY19-23 CIP Budget_Directr approved.xlsx was submitted as attachment No. 114 in Response to Districts' RFI No. 2-1
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-97: Please refer to Attachment 1 (AW 2-1, Attachment 97-Copy of BG0240-6A - Monthly Actuals by Fund - Jan2019.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- . What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is O&M expense supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁹⁸
- b. This source document supports Austin Water's Application II-D-1 Schedules.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.

⁹⁸ *Id.*

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PUC DOCKET NO. 49189**

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

c. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
BG0240-6A - January 2019	MicroStrategy detailed YTD January 2019 expenses by Water and Wastewater funds, by Account Number.

- ii. This is a downloaded report from the City's MicroStrategy reporting system. There are no linked files.
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-98: Please refer to Attachment 1 (AW 2-1, Attachment 98-Copy of Debt Model_FY 2019-2020_ 11_14_18.xlsm) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsx does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. , which Austin Water provided
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt documentation, which Austin Water provided in response to Districts' RFI No. 2-1.⁹⁹
- b. This source document does not support any schedules.
- c. The debt summary tab in this document supports AW Water COS Model Docket 49189.xlsx is Table 1-1, "Debt Service Requirements," Line Nos. 73 to 77, and Table 71-1, "Capital Cost Budget," Line No. 11. The debt summary tab in this document supports AW Wastewater COS Model Docket 49189.xlsx Table 1-1, "Debt Service Requirements," Line Nos. 66 to 70, and Table 60-1, "Capital Cost Budget," Line No. 11.

⁹⁹ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is an Excel document:
 - i. See AW Districts 7-98, Attachment 1 – TabNames_LinkedFiles.xlsx. AW Districts 7-98. See AW Districts 7-98, Attachments 2 through 8, which are files linked in AW Districts 7-98, Attachment 1.
 - ii. See AW Districts 7-98, Attachment 1 – TabNames_LinkedFiles.xlsx. AW Districts 7-98. See AW Districts 7-98, Attachments 2 through 8, which are files linked in AW Districts 7-98, Attachment 1.
 - iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
 - iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-99: Please refer to Attachment 1 (AW 2-1, Attachment 99-Copy of FS0918 Bond Counsel-From Controller.xlsm) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- c. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt issuance documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹⁰⁰
- b. This source document supports Austin Water's Application, Schedule II-C-4, Long Term Debt.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 71-1, "Capital Cost Budget," Line No. 11. The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 60-1, "Capital Cost Budget," Line No. 11.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.

¹⁰⁰ *Id.*

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
A1. Cprbs34	This tab is to round the Total column from the 'B1. COMBBS' tab to the nearest thousand, to compile the Statement of Net Position as presented in the CAFR.
A2. Cpris34	This tab is to round the Total column from the 'B2. COMBIS' tab to the nearest thousand, to compile the Statement of Revenues, Expenses and Changes in Fund Net Position as presented in the CAFR.
A3. BS_Compare	Historically used to explain Statement of Net Position variances between the current fiscal year and the prior fiscal year. Updated as needed to answer external auditor questions.
A4. IS_Compare	Historically used to explain Statement of Revenues, Expenses and Changes in Fund Net Position variances between the current fiscal year and the prior fiscal year. Updated as needed to answer external auditor questions.
B1. COMBBS	Compiles data from tabs 'E1. 5020ADJTB', 'E2. 5030ADJTB', 'E3. 5025ADJTB', and 'E4. 5029ADJTB' into the Statement of Net Position, rounded to the nearest dollar.
B2. COMBIS	Compiles data from tabs 'F1. 5020ADJIS', 'F2. 5020ADJIS', 'F3. 5020ADJIS', and 'F4. 5020ADJIS' into the Statement of Revenues, Expenses and Changes, rounded to the nearest dollar.
D1. BSA cleanup	Historically used to note information related to balances in specific balance sheet accounts.
D2.Recon to Net Assets	Compares balance sheet items labeled "Restricted" to the amounts included in the Restricted Net Assets calculations.
AWU Funds	List of funds included in Austin Water financial statements.
E1. 5020ADJTB	Fund 5020 trial balance data from 'TRIALBAL' tab + adjusting journal entries from 'G1. Waje' tab.
F1. 5020ADJIS	Fund 5020 revenue and expense data from 'REVEXPDL' tab + adjusting journal entries from 'G1. Waje' tab.
F4. 5020REV	This tab is used to update the quarterly bond counsel file. It is a summary of 5020 revenue by unit and revenue source. The beginning balance data is pulled from the City's Advantage 3 Financial System, and the adjusting journal entries are pulled from tabs 'G1.WAJE' and 'G4. FSAje – dept'l use only'. The revenue on this tab ties to the revenue noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
F7. 5020EXP	This tab is used to update the quarterly bond counsel file. It is a summary of 5020 expense by unit and program. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G1.WAJE' and 'G4. FSAje-Dept'l use only'. The total expense on this tab ties to the expense noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
G1. Waje	Adjusting journal entries for fund 5020.

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

E2. 5030ADJTB	Fund 5030 trial balance data from 'TRIALBAL' tab + adjusting journal entries from 'G2. Wwaje' tab.
F2. 5030ADJIS	Fund 5030 revenue and expense data from 'REVEXPDL' tab + adjusting journal entries from 'G2. Wwaje' tab.
F5. 5030REV	This tab is used to update the quarterly bond counsel file. It is a summary of 5030 revenue by unit and revenue source. The beginning balance data is pulled from the City's Advantage 3 Financial System, and the adjusting journal entries are pulled from tabs 'G2.Wwaje' and 'G4. FSAje – dept'l use only'. The revenue on this tab ties to the revenue noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
F8. 5030EXP	This tab is used to update the quarterly bond counsel file. It is a summary of 5030 expense by unit and program. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G2.Wwaje' and 'G4. FSAje-Det'l use only'. The total expense on this tab ties to the expense noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
G2. Wwaje	Adjusting journal entries for fund 5030.
E3. 5025ADJTB	Fund 5025 trial balance data from 'TRIALBAL' tab + adjusting journal entries from 'G3. Raje' tab.
F3. 5025ADJIS	Fund 5025 revenue and expense data from 'REVEXPDL' tab + adjusting journal entries from 'G3. Raje' tab.
F6. 5025REV	This tab is used to update the quarterly bond counsel file. It is a summary of 5025 revenue by unit and revenue source. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G3.Raje' and 'G4. FSAje – dept'l use only'. The revenue on this tab ties to the revenue noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
F9. 5025EXP	This tab is used to update the quarterly bond counsel file. It is a summary of 5025 expense by unit and program. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G3. Raje' and 'G4. FSAje-Det'l use only'. The total expense on this tab ties to the expense noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
G3. Raje	Adjusting journal entries for fund 5030.
E4. 5029ADJTB	Fund 5029 trial balance data from 'TRIALBAL' tab + adjusting journal entries from 'G4. Cajе' tab.
F4. 5029ADJIS	Fund 5029 revenue and expense data from 'TRIALBAL' tab + adjusting journal entries from 'G4. Cajе' tab.
F7. 5029REV	This tab is used to update the quarterly bond counsel file. It is a summary of 5029 revenue by unit and revenue source. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G4.Caje' and 'G4. FSAje – dept'l use only'.

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F10. 5029EXP	This tab is used to update the quarterly bond counsel file. It is a summary of 5029 expense by unit and program. The beginning balance data is pulled from the City's Advantage 3 Financial System, and the adjusting journal entries are pulled from tab 'G4. Caja'.
G4. Caja	Adjusting journal entries for fund 5029.
G4. FSAje-dept'l use only	Adjusting journal entries for 5020, 5030, and 5025.
operA614	Downloaded DXR trial balance report that includes beginning balance for the month, debit/credit adjustments, and ending balance.
TBDXR	The 'TRIALBAL' worksheet uses the VLOOKUP function to pull balance sheet account information from this worksheet. This worksheet is a result of a DXR download of the TB.
TRIALBAL	This worksheet is used to distribute balance sheet account information to the rest of the workbook. The amounts are obtained from the 'TBDXR' tab and compared to balances in ADJTb and ADJIS tabs for each fund.
Cprbs&is34_X1	cprbs34_X1' has historically been used to note any changes made to the quarterly statements after they are cross-referenced and submitted for initial review. By using this worksheet, it is not necessary to re-cross-reference the statements after changes are made.
REVEXPDL	This worksheet is used to distribute revenue/expense information to the rest of the workbook. The source data is from the City's Advantage 3 Financial System, tied to 'TRIALBAL' totals.
Prem,Disc,BIC	This worksheet has historically been used for calculations in the Deferred Depreciation workbook prepared at year-end.
GASB53	This worksheet was historically used to calculate the year-end GASB 53 entry when is posted as a Period 13 audit adjustment.
DATEINFO	Date information used to update dates throughout the workbook.
Reporting Tool	Used to determine additional entries needed to be posted in the reporting tool in order to make the reporting tool display the AW financial statements correctly.
Vlookup	Totals from ADJTb and ADJIS tabs, to be used on the Reporting Tool tab.

ii. **File Links to Other Files**

Name of Linked File	Purpose	Why not included in AW attachments?
08_WW_FixedSwapRate_sifma.xls	This file is used for historical reference within the source document and does not change the data used to create the Application schedules and workpapers	Austin Water considered the attachment (AW 2-1, Attachment No. 88) submitted in response to Districts' RFI No. 2-1, as the source

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		<p>document, which was used to create the Application schedules and workpapers. The source document submitted was prepared by the City Controller's office by accounting professionals overseen by the City Controller and is used to create the audited Comprehensive Annual Financial Report for the City. The links to other documents from this source file prepared by the Controller's office were not used by Austin Water in the preparation of the Application schedules and workpapers.</p> <p>This document has been provided as AW Districts 7-99, Attachment 1.</p>
AWU CBC fund postings.xlsx	See above statement.	<p>See above statement. This document has been provided as AW Districts 7-99, Attachment 2.</p>
BSA2550.xls	See above statement.	<p>See above statement. This document has been provided as AW Districts 7-99, Attachment 3.</p>
CIP0913 Bond Counsel.xlsm	See above statement.	<p>See above statement. This document has been provided as AW Districts 7-99, Attachment 4.</p>

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CIP0916.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 5.
CIP0918 BC.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 6.
CUSR Reserve_FY2013_updated.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 7.
DXR 2015 Budget Expenditures as of 05-31.15	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 8.
DXR 2015 Budget Expenditures as of 08-31.15	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 9.
DXR 2015 Budget Expenditures as of 09-31.15	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 10.
DXR 2015 Budget Expenditures as of 04-30.15	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 11.
DXR 2015 Budget Expenditures as of 06-30.15	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 12.
DXR 2015 Budget Expenditures as of 08-31.15	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 13.

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DXR 2015 Budget Expenditures as of 09-30.15	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 14.
FS0615.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 15.
FS0913.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 16.
FS0914.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 17.
FS0915.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 18.
FS1215.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 19.
FS1217.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 20.
Microstrategy Queries Dec2015.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 21.
Microstrategy Queries Sep2018.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 22.

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O-3_5734.4a_FY17 Deferred Depreciation Calc.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 23.
Reclaimed Water_revenues – Fund summ excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 24.
Reclaimed GAAP expenses – Fund summary excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 32.
TR 04_WWVariableRateBonds_with interest swaps FY2011	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment No.25.
Trustee Recon.xlsx	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 26.
Wastewater_GAAP expenses – fund summ exl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 27.
Wastewater_revenues – fund summary excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 28.
Water_GAAP expenses – fund summary excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 29.
WV Land Sales Tracking Q4.xlsx	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 30.

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

WWW Stmt.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-99, Attachment 31.
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- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The source of this document is the City's Advantage 3 Financial System.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-100: Please refer to Attachment 1 (AW 2-1, Attachment 100-TY16-TY19 AW TK Charges PUC Request BR.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsx does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is capitalized employee payroll and benefit expense supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹⁰¹
- b. This source document supports Austin Water's Application, Schedule II-D-9.1.a through Schedule II-D-9.1.g.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."

¹⁰¹ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
2016 AW CIP TK Charges	FY2016 summarized listing by month, GL account and account number of capitalized payroll and benefit expense.
2017 AW CIP TK Charges	FY2017 summarized listing by month, GL account and account number of capitalized payroll and benefit expense.
2018 AW CIP TK Charges	FY2018 summarized listing by month, GL account and account number of capitalized payroll and benefit expense.
2019 AW CIP TK_Thru 1_31_2019	FY2019 YTD January 2019 summarized listing by month, GL account and account number of capitalized payroll and benefit expense.
Sheet1	FY2019 YTD January 2019 listing by month, GL account and account number of capitalized payroll and benefit expense. (duplicate of tab 4, 2019 AW CIP TK_Thru 1_31_2019 without totals)

- ii. This is a download from the City of Austin Advantage 3 Financial System. There are no linked files.
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-101: Please refer to Attachment 1 (AW 2-1, Attachment 101-Copy of FY19 AW TK PUC update_through 5_31_2019.xlsb.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is capitalized employee payroll and benefit expense supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹⁰² These are support documents for the 45-day Update.
- b. This source documents support the 45-day Update II-D-9 Schedules.
- c. The water and wastewater COS models are based on the 2018 test year with known and measurable changes. The 45-day Update has not been incorporated into the COS models.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
- e. If this is an Excel document:

¹⁰² *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
2019 AW CIP TK_Thru May 2019	FY2019 YTD May 2019 summarized totals listing by month, GL account and account number of capitalized payroll and benefit expense.
Pivot thru 6_18_2019	Pivot table of the FY2019 YTD May 2019 summarized totals listing by month, GL account and account number of capitalized payroll and benefit expense.
2019 AW CIP TK_Thru 6_18_2019	FY2019 YTD May 2019 summarized listing by month, GL account and account number of capitalized payroll and benefit expense. No totals.
B. YTD Summary	Validation and reconciliation of downloaded reports to AIMS Financial system.
C. AW CIP Labor TK Charges	FY2019 YTD May 2019 summarized totals listing by GL account of capitalized payroll and benefit expense.
BQ29LV2 download_8505 8507 Exp	Download of the BQ29LV2 report with the summarized YTD May 2019 expense reimbursement account numbers 8505 and 8507 for Water and Wastewater.
BQ29LV2 download_budget	Download of the BQ29LV2 report with the summarized Budgeted expense reimbursement account numbers 8505 and 8507 for Water and Wastewater.

- ii. This is a download from the City of Austin Advantage 3 Financial System. Any links in the spreadsheets are internal to other tabs within the same file.
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

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PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-102: Please refer to Attachment 1 (AW 2-1, Attachment 102-Copy of FY2018 Close II to FY2019 Approved by Object Code.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is O&M expense supporting documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹⁰³
- b. This source document supports Austin Water's Application II-D-1 Schedules.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets." The table in the AW Wastewater COS Model Docket 49189.xlsx is Table 18-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets."
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.

¹⁰³ *Id.*

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PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
FY18 Close II vs FY19 Approved	Consolidated Water and Wastewater by Account Number, FY2018 Amended Budget, FY2018 Close II data, FY2019 Approved budget and variance calculations between periods. Listed in Account Number order.
Sort	Same data as in the first tab above, except sorted in descending variance order comparing FY2019 Approved Budget and FY2018 Close II.

- ii. This is a download from the City's Advantage 3 Financial System MicroStrategy reporting tool. There are no linked files.
- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Songli Floyd
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-103: Please refer to Attachment 1 (AW 2-1, Attachment 103-Copy of WWW TB-From Controller-Copy.xls) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is supporting documentation for the Balance Sheet and Income Statement, which Austin Water provided in response to Districts' RFI No. 2-1.¹⁰⁴
- b. This source document supports Austin Water's Application, Schedules II-A-2, Statement of Income and II-A-3, Balance Sheet.
- c. This workbook is the trial balance of accounts for the Statement of Income and Balance Sheet. The Statement of Income expenses are recorded in AW Water COS Model Docket 49189.xlsx, Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets," and Table 1A-2, "Outside City Adjustments Summary and Toggle for

¹⁰⁴ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

Model Incorporation.” Expenses are also recorded in AW Wastewater COS Model Docket 49189.xlsm, Table 18-1, Detail of O&M Budget Before Application of Non-Rate Revenue Offsets, and Table 1A-1, Outside City Adjustments Summary.

d. AW witnesses David Anders and Joseph Gonzales support this attachment.

e. If this is a Excel document:

i. Identify Each Tab/Worksheet

Worksheet	Purpose
PivotTB (2)	A pivot table of the detailed listing of both Balance Sheet accounts and Income Statement account for the test year entries of ACTUAL GAAP (Generally Accepted Accounting Principles), AJE (Adjusted Journal Entry) Debit, AJE (Adjusted Journal Entry) Credit, and Adjustments to GAAP for both Water and Wastewater.
Pivot TB_Dept	A pivot table of the “Fund Line ID” which is used by the City of Austin Controller’s Office to classify all account codes to the Income Statement. The purpose of this tab is to make sure there are no rounding differences on the Income Statement Department tab.
Adj TB-detail	This is a trial balance of the Income Statement for Water and Wastewater with rounding. The purpose is to make sure there are no rounding differences on the Income Statement Department tab.
PivotTB- detail	A pivot table of the “Fund Line ID” that details all Balance Sheet Accounts and Objects Codes that roll up to the Balance Sheet and Income Statement. These are used by the City Controller’s Office to classify all account codes to financial statements.
PivotTB	A pivot table of the “Fund Line ID” which is used by the City’s Controller’s Office to classify all account codes to the Income Statement. The purpose of this tab is to ensure numbers are pulling correctly.
Adj TB	This is a trial balance of the Balance Sheet for Water and Wastewater with rounding. The purpose is to make sure there are no rounding differences on Balance Sheet Department tab.

ii. The linked file(s)

Name of linked File	Purpose	Why not included in AW attachments?
FS0918	Ensure accurate reconciliation and rounding of the Balance Sheet and Income Statement	Yes; it was attached. See Austin Water’s response to District’s RFI No. 2-1 and AW 2-1, Attachment No. 108

iii. As best as can be determined, all documents provided in Austin Water’s responses to Districts’ RFI No. 2-1 are non-superfluous to Austin Water’s Application. Austin Water interprets “superfluous” in this question as being responsive to the discovery request.

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The source of this document is the City's Advantage 3 Financial System.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

SOAH DOCKET NO. 473-19-6297.WS
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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-104: Please refer to Attachment 1 (AW 2-1, Attachment 104-CUSR_2016_AWU Defeasance.xls) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt issuance documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹⁰⁵
- b. This source document supports Austin Water's Application, Schedule II-C-4, Line Nos. 1, 2, and 3.
- c. City's Treasury Office maintains an excel workbook that details the AW payment schedules related to outstanding bond issuances. The original source is the official statements, initially submitted as part of Austin Water's Response to Districts' RFI No. 2-1, for each bond issued that is represented in the workbook. The payment schedules in

¹⁰⁵ *Id.*

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this workbook are modified for any debt refundings and debt defeasances, if any occurred after the original official statements were issued.

d. AW witnesses David Anders and Joseph Gonzales support this attachment.

e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Purpose
Prior	Payment schedules of the outstanding prior lien bond issuances.
Subordinate	Payment schedules of the outstanding subordinate bond issuances.
Summary	Total payment schedules of the outstanding revenue bond issuances above.

ii. There are no file links.

iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The source of this document is the City's Treasury excel workbook.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-105: Please refer to Attachment 1 (AW 2-1, Attachment 105-ENVIRONMENTAL REMEDIATION BREAKOUT FY16 and beyond.xls) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is debt issuance documentation, which Austin Water provided in response to Districts' RFI No. 2-1.¹⁰⁶
- b. This source document supports Austin Water's Application, Schedule II-C-4, Line Nos. 7 and 15.
- c. The table in the AW Water COS Model Docket 49189.xlsx is Table 71-1, "Capital Cost Budget," Line No. 11. The table in the AW Wastewater COS Model Docket 49189.xlsm is Table 60-1, "Capital Cost Budget," Line No. 11.
- d. AW witnesses David Anders and Joseph Gonzales support this attachment.

¹⁰⁶ *Id.*

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e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Purpose
EnvironmentalRemediation	Payment summary schedule (Cells R3 and R18)

ii. There are no file links.

iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.

iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-106: Please refer to Attachment 1 (AW 2-1, Attachment 106-FS0916FINAL.xlsx) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsx does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is supporting documentation for the Balance Sheet and Income Statement, which Austin Water provided in response to Districts' RFI No. 2-1.¹⁰⁷
- b. This source document supports Austin Water's Application, Schedules II-A-2, Statement of Income and II-A-3, Balance Sheet.
- c. This workbook is the Statement of Income and Balance Sheet for Fiscal Year 2016. This was used as a reference for reporting purposes. The Statement of Income expenses are recorded in AW Water COS Model Docket 49189.xlsx, Table 29-1, "Detail of O&M Budget Before Application of Non-Rate Revenue Offsets," and Table 1A-2, "Outside

¹⁰⁷ *Id.*

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City Adjustments Summary and Toggle for Model Incorporation.” Expenses are also recorded in AW Wastewater COS Model Docket 49189.xlsm, Table 18-1, “Detail of O&M Budget Before Application of Non-Rate Revenue Offsets,” and Table 1A-1, “Outside City Adjustments Summary.”

- d. AW witnesses David Anders and Joseph Gonzales support this attachment.
e. If this is an Excel document:

i. Identify Each Tab/Worksheet

Tab Name	Tab Description
B1. COMBBS	Utility combined balance sheet for FY 2016.
B2. COMBIS	Utility combined Income Statement for FY 2016.

ii. File Links to Other Files

Name of Linked File	Purpose	Why not included in AW attachments?
CIP0916.xlsm	This file is used for historical reference within the source document and does not change the data used to create the Application schedules and workpapers.	Austin Water considered the attachment submitted in Austin Water's Response to Districts' RFI No. 2-1 as the source document, which was used to create the Application schedules and workpapers. The source document submitted was prepared by the City Controller's Office by accounting professionals overseen by the City Controller, and is used to create the audited CAFR for the City. The links to other documents from this source file prepared by the Controller's Office were not used by Austin Water in the preparation of the Application schedules and workpapers.
FS0915.xls	See above statement.	See above statement.
FS0916.xlsm	Reference for comparative analysis.	Yes; it was attached. see AW 2-1, Attachment No. 106-FS0916FINAL

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Fabian Mesa, Accounting Manager II, Controller's Office.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-107: Please refer to Attachment 1 (AW 2-1, Attachment 107-FS0917_Final.xlsm) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is supporting documentation for the Balance Sheet and Income Statement for historical reference and reconciliation, which Austin Water provided in response to Districts' RFI No. 2-1.¹⁰⁸
- b. This source document supports Austin Water's Application, Schedules II-A-2, Statement of Income and II-A-3, Balance Sheet.
- c. This workbook is the Statement of Income and Balance Sheet for Fiscal Year 2017. This was used as a reference for reporting purposes. The Statement of Income expenses are recorded in AW Water COS Model Docket 49189.xlsx, Table 29-1, "Detail of O&M

¹⁰⁸ *Id.*

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

Budget Before Application of Non-Rate Revenue Offsets,” and Table 1A-2, “Outside City Adjustments Summary and Toggle for Model Incorporation.” Expenses are also recorded in AW Wastewater COS Model Docket 49189.xlsm, Table 18-1, “Detail of O&M Budget Before Application of Non-Rate Revenue Offsets,” and Table 1A-1, “Outside City Adjustments Summary.”

d. AW witnesses David Anders and Joseph Gonzales support this attachment.

e. If this is an Excel document:

i. Identify Each Tab:

Tab Name	Tab Description
A1. cprbs34	This tab is to round the Total column from the 'B1. COMBBS' tab to the nearest thousand, to compile the Statement of Net Position as presented in the CAFR.
A2. cpris34	This tab is to round the Total column from the 'B2. COMBIS' tab to the nearest thousand, to compile the Statement of Revenues, Expenses and Changes in Fund Net Position as presented in the CAFR.
A3. BS_Compare	Historically used to explain Statement of Net Position variances between the current fiscal year and the prior fiscal year. Updated as needed to answer external auditor questions.
A4. IS_Compare	Historically used to explain Statement of Revenues, Expenses and Changes in Fund Net Position variances between the current fiscal year and the prior fiscal year. Updated as needed to answer external auditor questions.
B1. COMBBS	Compiles data from tabs 'E1. 5020ADJTB', 'E2. 5030ADJTB', 'E3. 5025ADJTB', and 'E4. 5029ADJTB' into the Statement of Net Position, rounded to the nearest dollar.
B2. COMBIS	Compiles data from tabs 'F1. 5020ADJIS', 'F2. 5020ADJIS', 'F3. 5020ADJIS', and 'F4. 5020ADJIS' into the Statement of Revenues, Expenses and Changes, rounded to the nearest dollar.
D1. BSA cleanup	Historically used to note information related to balances in specific balance sheet accounts.
D2.Recon to Net Assets	Compares balance sheet items labeled "Restricted" to the amounts included in the Restricted Net Assets calculations.
AWU Funds	List of funds included in Austin Water financial statements.
E1. 5020ADJTB	Fund 5020 trial balance data from 'TRIALBAL' tab + adjusting journal entries from 'G1. Waje' tab.
F1. 5020ADJIS	Fund 5020 revenue and expense data from 'REVEXPDL' tab + adjusting journal entries from 'G1. Waje' tab.
F4. 5020REV	This tab is used to update the quarterly bond counsel file. It is a summary of 5020 revenue by unit and revenue source. The beginning balance data is pulled from the City's Advantage 3 Financial System, and the adjusting journal entries are pulled from tabs 'G1.WAJE' and 'G4. FSAje - dept'l use only'. The revenue on this tab ties to the revenue noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.

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F7. 5020EXP	This tab is used to update the quarterly bond counsel file. It is a summary of 5020 expense by unit and program. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G1.WAJE' and 'G4. FSAje-Dept'l use only'. The total expense on this tab ties to the expense noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
G1. Waje	Adjusting journal entries for fund 5020.
E2. 5030ADJTB	Fund 5030 trial balance data from 'TRIALBAL' tab + adjusting journal entries from 'G2. WWaje' tab.
F2. 5030ADJIS	Fund 5030 revenue and expense data from 'REVEXPDL' tab + adjusting journal entries from 'G2. WWaje' tab.
F5. 5030REV	This tab is used to update the quarterly bond counsel file. It is a summary of 5030 revenue by unit and revenue source. The beginning balance data is pulled from the City's Advantage 3 Financial System, and the adjusting journal entries are pulled from tabs 'G2.WWaje' and 'G4. FSAje - dept'l use only'. The revenue on this tab ties to the revenue noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
F8. 5030EXP	This tab is used to update the quarterly bond counsel file. It is a summary of 5030 expense by unit and program. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G2.WWaje' and 'G4. FSAje-Dept'l use only'. The total expense on this tab ties to the expense noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
G2.WWaje	Adjusting journal entries for fund 5030.
E3. 5025ADJTB	Fund 5025 trial balance data from 'TRIALBAL' tab + adjusting journal entries from 'G3. Raje' tab.
F3. 5025ADJIS	Fund 5025 revenue and expense data from 'REVEXPDL' tab + adjusting journal entries from 'G3. Raje' tab.
F6. 5025REV	This tab is used to update the quarterly bond counsel file. It is a summary of 5025 revenue by unit and revenue source. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G3.Raje' and 'G4. FSAje - dept'l use only'. The revenue on this tab ties to the revenue noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
F9. 5025EXP	This tab is used to update the quarterly bond counsel file. It is a summary of 5025 expense by unit and program. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G3. Raje' and 'G4. FSAje-Dept'l use only'. The total expense on this tab ties to the expense noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
G3. Raje	Adjusting journal entries for fund 5030.

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AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

E4. 5029ADJTB	Fund 5025 trial balance data from 'TRIALBAL' tab + adjusting journal entries from 'G4. Cajé' tab.
F4. 5029ADJIS	Fund 5029 revenue and expense data from 'TRIALBAL' tab + adjusting journal entries from 'G4. Cajé' tab.
F7. 5029REV	This tab is used to update the quarterly bond counsel file. It is a summary of 5029 revenue by unit and revenue source. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G4.Cajé' and 'G4. FSAje - dept'l use only'.
F10. 5029EXP	This tab is used to update the quarterly bond counsel file. It is a summary of 5029 expense by unit and program. The beginning balance data is pulled from the City's Advantage 3 Financial System, and the adjusting journal entries are pulled from tab 'G4. Cajé'.
G4. Cajé	Adjusting journal entries for fund 5029.
G4. FSAje-dept'l use only	Adjusting journal entries for 5020, 5030, and 5025.
operA614	Downloaded DXR trial balance report that includes beginning balance for the month, debit/credit adjustments, and ending balance.
TBDXR	The 'TRIALBAL' worksheet uses the VLOOKUP function to pull balance sheet account information from this worksheet. This worksheet is a result of a DXR download of the TB.
TRIALBAL	This worksheet is used to distribute balance sheet account information to the rest of the workbook. The amounts are obtained from the 'TBDXR' tab and compared to balances in ADJTB and ADJIS tabs for each fund.
cprbs&is34_X1	cprbs34_X1' has historically been used to note any changes made to the quarterly statements after they are cross-referenced and submitted for initial review. By using this worksheet, it is not necessary to re-cross-reference the statements after changes are made.
REVEXPDL	This worksheet is used to distribute revenue/expense information to the rest of the workbook. The source data is from the City's Advantage 3 Financial System, tied to 'TRIALBAL' totals.
Prem,Disc,BIC	This worksheet has historically been used for calculations in the Deferred Depreciation workbook prepared at year-end.
GASB53	This worksheet was historically used to calculate the year-end GASB 53 entry when is posted as a Period 13 audit adjustment.
DATEINFO	Date information used to update dates throughout the workbook.
Reporting Tool	Used to determine additional entries needed to be posted in the reporting tool in order to make the reporting tool display the AW financial statements correctly.

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Vlookup	Totals from ADJTB and ADJIS tabs, to be used on the Reporting Tool tab.
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ii.

File Links to other spreadsheets		
Name of Linked File	Purpose	Why not included in AW attachments?
08_WW_FixedSwapRate_sifma.xls	This file is used for historical reference within the source document and does not change the data used to create the Application schedules and workpapers.	Austin Water considered the attachment submitted in RFI 2-1 as the source document, which was used to create the Application schedules and workpapers. The source document submitted was prepared by the City Controller's office by accounting professionals overseen by the City Controller and is used to create the audited CAFR for the City. The links to other documents from this source file prepared by the Controller's Office were not used by Austin Water in the preparation of the Application schedules and workpapers. This document has been provided as AW Districts 7-107, Attachment 1.
BSA 5030-3809 093015.xlsx	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 2.

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BSA2550.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 3.
CIP0913 Bond Counsel.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 4.
CIP0916.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 5.
CIP0917.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 6.
CIP1216.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 7.
CUSR Reserve_FY2013_updated.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 8.
DXR 2015 Budget Expenditures as of 05-31-15	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 9.
DXR 2015 Budget Expenditures as of 08-31-15	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 10.
DXR 2015 Budget Expenditures as of 09-30-15	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 11.

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DXR 2015 Budget Expenditures as of 04-30-15	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 12.
DXR 2015 Budget Expenditures as of 06-30-15	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 13.
DXR 2015 Budget Expenditures as of 08-31-15	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 14.
DXR 2015 Budget Expenditures as of 09-30-15	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 15.
FS0615.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 16.
FS0913.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 17.
FS0914.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 18.
FS0915.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 19.
FS0916.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 20.

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Microstrategy Queries Dec2015.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 21.
Microstrategy Queries Jun2017.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 22.
Microstrategy Queries Mar2017.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 23.
Microstrategy Queries Sep2017.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 24.
O-3_5734.4a_FY17 Deferred Depreciation Calc.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment No. 25.
Reclaimed Water_revenues – Fund summ excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 26.
Reclaimed GAAP expenses – Fund summary excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 27.
TR 04_WWVariableRateBonds_with interest swaps FY2011	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment No. 28.
TR WWW_FY12.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 29.

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Wastewater_GAAP expenses – fund summ excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 30.
Wastewater_revenues – fund summary excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 31.
Water_GAAP expenses – fund summary excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 32.
WW Stmt.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-107, Attachment 33.

- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.
- iv. The Commission does not have a Rate Filing Package (RFP) for Municipally Owned Utilities (MOU), and therefore, not all of the Commission's RFP requirements for Investor Owned Utilities (IOU) apply to Austin Water's Application. The source information has been provided in response to the referenced discovery request. The majority of this information comes from the City's financial system.

Fabian Mesa, Accounting Manager II, City Controller's Office.

Prepared by: Christina Romero
Sponsored by: David Anders and Joseph Gonzales

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PUC DOCKET NO. 49189

AUSTIN WATER'S RESPONSE TO DISTRICTS' SEVENTH RFI

DISTRICTS 7-108: Please refer to Attachment 1 (AW 2-1, Attachment 108-FS0918 Statements as of 1.31.19 from Controllers.xlsm) of Austin Water's Response to Districts' Second Request for Information, DISTRICTS 2-1:

- a. Please explain how this attachment is associated with the development of the Application.
- b. What RFP schedule(s) in the Application does this attachment support? If none, please so state.
- c. What Table(s) in the AW Water COS Model Docket 49189.xlsx or AW Wastewater COS Model Docket 49189.xlsm does this attachment support? If none, please so state.
- d. Please confirm that David Anders is AW's only witness who supports this attachment; if this attachment's sponsoring witness needs to be modified in AW's response, please do so
- e. If this is an Excel document:
 - i. Identify each Tab (worksheet) in this workbook, and state the purpose of each tab.
 - ii. If this file links to other spreadsheets (click Data/Edit Links for list of linked files),
 1. provide these linked files
 2. explain why these files were not included in AW's attachments to its response to Districts 2-1
 3. State the purpose of each linked file
 - iii. Identify any worksheets and/or data in the attachment and linked file(s) that is superfluous to the Application
 - iv. Only for worksheets and/or data not identified as superfluous: For each cell in this workbook that is hard-coded, please "provide the source of information for the hard-coded data in narrative format by including detailed explanatory information in 'comments' within the cells" as required by the RFP Requirements.

If the source is external to the City of Austin, identify specifically the source of that data, and state the relationship of the source to the City (e.g., vendor, volunteer, customer, etc.).

RESPONSE:

- a. This is supporting documentation for the Balance Sheet and Income Statement for historical reference and reconciliation, which Austin Water provided in response to Districts' RFI No. 2-1.¹⁰⁹
- b. This source document supports Austin Water's Application, Schedules II-A-2, Statement of Income and II-A-3, Balance Sheet.
- c. This workbook is the Statement of Income and Balance Sheet for Fiscal Year 2018. This was used as a reference and reconciliation for reporting purposes. The Statement of Income expenses are recorded in AW Water COS Model Docket 49189.xlsx, Table 29-1,

¹⁰⁹ *Id.*

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“Detail of O&M Budget Before Application of Non-Rate Revenue Offsets,” and Table 1A-2, “Outside City Adjustments Summary and Toggle for Model Incorporation.” Expenses are also recorded in AW Wastewater COS Model Docket 49189.xlsm, Table 18-1, “Detail of O&M Budget Before Application of Non-Rate Revenue Offsets,” and Table 1A-1, “Outside City Adjustments Summary.”

d. AW witnesses David Anders and Joseph Gonzales support this attachment.

e. If this is an Excel document:

i. Identify Each Tab:

Tab Name	Tab Description
A1. cprbs34	This tab is to round the Total column from the 'B1. COMBBS' tab to the nearest thousand, to compile the Statement of Net Position as presented in the CAFR.
A2. cpris34	This tab is to round the Total column from the 'B2. COMBIS' tab to the nearest thousand, to compile the Statement of Revenues, Expenses and Changes in Fund Net Position as presented in the CAFR.
A3. BS_Compare	Historically used to explain Statement of Net Position variances between the current fiscal year and the prior fiscal year. Updated as needed to answer external auditor questions.
A4. IS_Compare	Historically used to explain Statement of Revenues, Expenses and Changes in Fund Net Position variances between the current fiscal year and the prior fiscal year. Updated as needed to answer external auditor questions
B1. COMBBS	Compiles data from tabs 'E1. 5020ADJTB', 'E2. 5030ADJTB', 'E3. 5025ADJTB', and 'E4. 5029ADJTB' into the Statement of Net Position, rounded to the nearest dollar.
B2. COMBIS	Compiles data from tabs 'F1. 5020ADJIS', 'F2. 5020ADJIS', 'F3. 5020ADJIS', and 'F4. 5020ADJIS' into the Statement of Revenues, Expenses and Changes, rounded to the nearest dollar.
D1. BSA cleanup	Historically used to note information related to balances in specific balance sheet accounts.
D2.Recon to Net Assets	Compares balance sheet items labeled "Restricted" to the amounts included in the Restricted Net Assets calculations.
AWU Funds	List of funds included in Austin Water financial statements.
E1. 5020ADJTB	Fund 5020 trial balance data from 'TRIALBAL' tab + adjusting journal entries from 'G1. Waje' tab.
F1. 5020ADJIS	Fund 5020 revenue and expense data from 'REVEXPDL' tab + adjusting journal entries from 'G1. Waje' tab.
F4. 5020REV	This tab is used to update the quarterly bond counsel file. It is a summary of 5020 revenue by unit and revenue source. The beginning balance data is pulled from the City's Advantage 3 Financial System, and the adjusting journal entries are pulled from tabs 'G1.WAJE' and 'G4. FSAje - dept'l use only'. The revenue on this tab ties to the revenue noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.

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F7. 5020EXP	This tab is used to update the quarterly bond counsel file. It is a summary of 5020 expense by unit and program. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G1.WAJE' and 'G4. FSAje-Dept'l use only'. The total expense on this tab ties to the expense noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
G1. Waje	Adjusting journal entries for fund 5020.
E2. 5030ADJTB	Fund 5030 trial balance data from 'TRIALBAL' tab + adjusting journal entries from 'G2. WWaje' tab.
F2. 5030ADJIS	Fund 5030 revenue and expense data from 'REVEXPDL' tab + adjusting journal entries from 'G2. WWaje' tab.
F5. 5030REV	This tab is used to update the quarterly bond counsel file. It is a summary of 5030 revenue by unit and revenue source. The beginning balance data is pulled from the City's Advantage 3 Financial System, and the adjusting journal entries are pulled from tabs 'G2.WWaje' and 'G4. FSAje - dept'l use only'. The revenue on this tab ties to the revenue noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
F8. 5030EXP	This tab is used to update the quarterly bond counsel file. It is a summary of 5030 expense by unit and program. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G2.WWaje' and 'G4. FSAje-Det'l use only'. The total expense on this tab ties to the expense noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
G2.WWaje	Adjusting journal entries for fund 5030.
E3. 5025ADJTB	Fund 5025 trial balance data from 'TRIALBAL' tab + adjusting journal entries from 'G3. Raje' tab.
F3. 5025ADJIS	Fund 5025 revenue and expense data from 'REVEXPDL' tab + adjusting journal entries from 'G3. Raje' tab.
F6. 5025REV	This tab is used to update the quarterly bond counsel file. It is a summary of 5025 revenue by unit and revenue source. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G3.Raje' and 'G4. FSAje - dept'l use only'. The revenue on this tab ties to the revenue noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
F9. 5025EXP	This tab is used to update the quarterly bond counsel file. It is a summary of 5025 expense by unit and program. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G3. Raje' and 'G4. FSAje-Det'l use only'. The total expense on this tab ties to the expense noted on the 'B1. COMBIS' tab, as noted at the bottom of this tab.
G3. Raje	Adjusting journal entries for fund 5030.

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E4. 5029ADJTB	Fund 5025 trial balance data from 'TRIALBAL' tab + adjusting journal entries from 'G4. Cajе' tab.
F4. 5029ADJIS	Fund 5029 revenue and expense data from 'TRIALBAL' tab + adjusting journal entries from 'G4. Cajе' tab.
F7. 5029REV	This tab is used to update the quarterly bond counsel file. It is a summary of 5029 revenue by unit and revenue source. The beginning balance data is pulled from tab 'REVEXPDL', and the adjusting journal entries are pulled from tabs 'G4.Cajе' and 'G4. FSAje - dept'l use only'.
F10. 5029EXP	This tab is used to update the quarterly bond counsel file. It is a summary of 5029 expense by unit and program. The beginning balance data is pulled from the City's Advantage 3 Financial System, and the adjusting journal entries are pulled from tab 'G4. Cajе'.
G4. Cajе	Adjusting journal entries for fund 5029.
G4. FSAje-dept'l use only	Adjusting journal entries for 5020, 5030, and 5025.
operA614	Downloaded DXR trial balance report that includes beginning balance for the month, debit/credit adjustments, and ending balance.
TBDXR	The 'TRIALBAL' worksheet uses the VLOOKUP function to pull balance sheet account information from this worksheet. This worksheet is a result of a DXR download of the TB.
TRIALBAL	This worksheet is used to distribute balance sheet account information to the rest of the workbook. The amounts are obtained from the 'TBDXR' tab and compared to balances in ADJTB and ADJIS tabs for each fund.
cprbs&is34_X1	cprbs34_X1' has historically been used to note any changes made to the quarterly statements after they are cross-referenced and submitted for initial review. By using this worksheet, it is not necessary to re-cross-reference the statements after changes are made.
REVEXPDL	This worksheet is used to distribute revenue/expense information to the rest of the workbook. The source data is from the City's Advantage 3 Financial System, tied to 'TRIALBAL' totals.
Prem,Disc,BIC	This worksheet has historically been used for calculations in the Deferred Depreciation workbook prepared at year-end.
GASB53	This worksheet was historically used to calculate the year-end GASB 53 entry when is posted as a Period 13 audit adjustment.
DATEINFO	Date information used to update dates throughout the workbook.

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Reporting Tool	Used to determine additional entries needed to be posted in the reporting tool in order to make the reporting tool display the AW financial statements correctly.
Vlookup	Totals from ADJTB and ADJIS tabs, to be used on the Reporting Tool tab.

ii. **File Links to other spreadsheets**

Name of Linked File	Purpose	Why not included in AW attachments?
08_WW_FixedSwapRate_sifma.xls	This file is used for historical reference within the source document and does not change the data used to create the Application schedules and workpapers.	Austin Water considered the attachment submitted in Austin Water's response to Districts' RFI No. 2-1 as the source document, which was used to create the Application schedules and workpapers. The source document submitted was prepared by the City Controller's Office by accounting professionals overseen by the City Controller, and is used to create the audited CAFR for the City. The links to other documents from this source file prepared by the Controller's Office were not used by Austin Water in the preparation of the Application schedules and workpapers. This document has been provided as AW Districts 7-108, Attachment 1.
AWU CBC fund postings.xlsx	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 2.

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BSA 5030-3809 093015.xlsx	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 33.
BSA2550.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 3.
CIP0913 Bond Counsel.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 4.
CIP0916.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 5.
CIP0918.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 6.
CUSR Reserve_FY2013_updated.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 7.
DXR 2015 Budget Expenditures as of 05-31-15	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 8.
DXR 2015 Budget Expenditures as of 08-31-15	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 9.
DXR 2015 Budget Expenditures as of 09-30-15	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 10.
DXR 2015 Budget Expenditures as of 04-30-15	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 11.
DXR 2015 Budget Expenditures as of 06-30-15	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 12.

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DXR 2015 Budget Expenditures as of 08-31-15	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 13.
DXR 2015 Budget Expenditures as of 09-30-15	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 14.
FS0615.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 15.
FS0913.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 16.
FS0914.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 17.
FS0915.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 18.
FS1215.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 19.
FS1217.xlsm	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 20.
Microstrategy Queries Dec2015.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 21.
Microstrategy Queries Sep2018.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 22.
O-3_5734.4a_FY17 Deferred Depreciation Calc.xls	See above statement.	See above statement. This document has been provided as AW 7-108, Attachment 32.

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Reclaimed Water_revenues – Fund summ excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 23.
Reclaimed GAAP expenses – Fund summary excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 24.
TR 04_WWVariableRateBonds_with interest swaps FY2011	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 25.
Trustee Recon.xlsx	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 26.
Wastewater_GAAP expenses – fund summ excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 27.
Wastewater_revenues – fund summary excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 28.
Water_GAAP expenses – fund summary excl.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 29.
WV Land Sales Tracking Q4.xlsx	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 31.
WWW Stmt.xls	See above statement.	See above statement. This document has been provided as AW Districts 7-108, Attachment 30.

- iii. As best as can be determined, all documents provided in Austin Water's responses to Districts' RFI No. 2-1 are non-superfluous to Austin Water's Application. Austin Water interprets "superfluous" in this question as being responsive to the discovery request.