

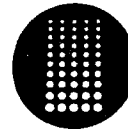


Control Number: 49103



Item Number: 4

Addendum StartPage: 0



**Monarch
Utilities I, L.P.**

A SouthWest Water Company

RECEIVED

2019 JAN 22 AM 9:39

PUBLIC UTILITY COMMISSION
FILING CLERK

January 18, 2019

Customer Service
866.654.SWWC (7992)

Public Utility Commission of Texas

Attention: Filing Clerk

1701 Congress Avenue

P.O. Box 13326

Austin, Texas 78711-3326

Re: Docket No. 49103, Application of SWWC Utilities, Inc. dba SW Utility Company and Monarch Utilities I LP for Sale Transfer, or Merger of Facilities and Certificate Rights in Harris County

To Whom It May Concern:

Section II of Order No. 1 in this docket requires certain information and documents to be provided on or before January 31, 2019. This information is timely filed.

The Order states the applicants must file the following information and supporting documentation:

1. The legal name and all assumed names, if any, under which the applicants conduct business. If the applicants use an assumed name, provide a currently valid certificate of assumed name.

The legal names of the applicants are:

Monarch Utilities I L.P. – does not use an assumed name

SWWC Utilities, Inc. dba SW Utility Company - assumed name certificate attached

2. The form of business in Texas (e.g., corporation, partnership, sole proprietorship). Charter or Authorization number, date business was formed, and date change was made (if applicable).

Monarch Utilities I L.P. is a limited partnership, Texas Secretary of State filing number 800034797. It was originally formed as Tecon Water Company, L.P. on December 10, 2001. A name change was approved on July 30, 2004 (please note the name change document was included in the original application package).

SWWC Utilities, Inc. dba SW Utility Company is a subsidiary of SWWC Utilities, Inc., a for profit corporation, Texas Secretary of State filing number 800832416. SW Utility Company was merged into SWWC Utilities, Inc. on October 14, 2008 (see Certificate of Merger attached).

3. Legal name of parent company, if any, and a description of its primary business interests and the name of any companies affiliated with the applicant with which it does any business. Provide the state and date in which the parent company is registered. (The Commission requires registration with the Secretary of State for all forms of business, except sole proprietorships.)

64

Docket No. 49103

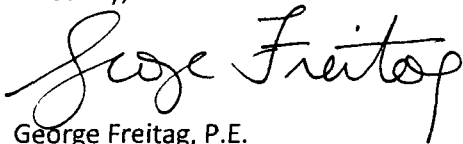
January 18, 2019

Page 2

The principal business activity of the parent company, SouthWest Water Company, is to own, operate and maintain water and wastewater infrastructure to provide utility service to retail customers. SouthWest Water was incorporated in California in 1954 and reincorporated in Delaware in 1988. SouthWest maintains corporate offices in Houston, TX. SouthWest Water Company was registered with the Texas Secretary of State on June 27, 2002. SouthWest is 100% owned by SW Merger Acquisition Company which was incorporated in Delaware on March 1, 2010.

Attached are the various backup documents. Please contact me if any additional information is required at this time.

Sincerely,

A handwritten signature in black ink that reads "George Freitag". The signature is written in a cursive, flowing style.

George Freitag, P.E.

Texas Regulatory Manager

SouthWest Water Company

Monarch Utilities I, L.P.

(512) 219-2288

gfreitag@swwc.com

Enclosures

Form 503
(Revised 01/06)

Return in duplicate to:
Secretary of State
P.O. Box 13697
Austin, TX 78711-3697
512 463-5555
FAX: 512 463-5709
Filing Fee: \$25



This space reserved for office use.

Assumed Name Certificate

Assumed Name

The assumed name under which the business or professional service is, or is to be, conducted or rendered is: SW Utility Company

Entity Information

The name of the entity filing the assumed name is:

SWWC Utilities, Inc.

State the name of the entity as currently shown in the records of the secretary of state or on its certificate of formation, if not filed with the secretary of state.

The filing entity is a: (Select the appropriate entity type below.)

- | | |
|--|---|
| <input checked="" type="checkbox"/> For-profit Corporation | <input type="checkbox"/> Professional Corporation |
| <input type="checkbox"/> Nonprofit Corporation | <input type="checkbox"/> Professional Limited Liability Company |
| <input type="checkbox"/> Cooperative Association | <input type="checkbox"/> Professional Association |
| <input type="checkbox"/> Limited Liability Company | <input type="checkbox"/> Limited Partnership |
| <input type="checkbox"/> Other | |

Specify type of entity if there is no check box applicable.

The file number, if any, issued to the filing entity by the secretary of state is: 800832416

The state, country, or other jurisdiction of formation is: Delaware

The registered or similar office of the entity in the jurisdiction of formation is:

Corporation Service Company 2711 Centerville Road, Suite 400 Wilmington, Delaware

The entity is required to maintain a registered office and agent in Texas. The address of its registered office in Texas and the name of the registered agent at such address is:
Corporation Service Company dba CSC-Lawyers Incorporating Service Company
701 Brazos Street, Suite 1050 Austin, TX 78701

The address of the principal office of the entity (if not the same as the registered office) is:
624 S. Grand Avenue, Suite 2900 Los Angeles, CA 90017

The entity is not required to maintain a registered office and agent in Texas. Its office address in Texas is: _____

The entity is not incorporated, organized or associated under the laws of Texas. The address of the principal place of business in this state is: _____

The office address of the entity is: 624 S. Grand Avenue, Suite 2900 Los Angeles, CA 90017

Period of Duration

The period during which the assumed name will be used is 10 years from the date of filing with the secretary of state.

OR

The period during which the assumed name will be used is _____ years from the date of filing with the secretary of state (not to exceed 10 years).

OR

The assumed name will be used until _____ (not to exceed 10 years).
mm/dd/yyyy

County or Counties in which Assumed Name Used

The county or counties where business or professional services are being or are to be conducted or rendered under the assumed name are:

All counties


All counties with the exception of the following counties: _____

Only the following counties: _____

Execution

The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument. If the undersigned is acting in the capacity of an attorney in fact for the entity, the undersigned certifies that the entity has duly authorized the undersigned in writing to execute this document.

Date: 2/2/09


Walter Benchi, Treasurer

Signature and title of authorized person(s) (see instructions)





Office of the Secretary of State

CERTIFICATE OF MERGER

The undersigned, as Secretary of State of Texas, hereby certifies that a filing instrument merging

DIAMOND WATER COMPANY
Domestic For-Profit Corporation
[File Number: 143602500]

HORNSBY BEND UTILITY COMPANY, INC.
Domestic For-Profit Corporation
[File Number: 77373700]

HUNTINGTON UTILITY COMPANY, L.L.C.
Domestic Limited Liability Company (LLC)
[File Number: 701538922]

INVERNESS UTILITY COMPANY, INC.
Domestic For-Profit Corporation
[File Number: 144297500]

MID-TEX UTILITIES, INC.
Domestic For-Profit Corporation
[File Number: 146375700]

SW UTILITY COMPANY
Domestic For-Profit Corporation
[File Number: 77300700]

WATER SERVICES, INC.
Domestic For-Profit Corporation
[File Number: 58377800]

WINDERMERE UTILITY CO., INC.
Domestic For-Profit Corporation
[File Number: 75146400]

Into

SWWC Utilities, Inc.
Foreign For-Profit Corporation
Delaware, USA
[File Number: 800832416]

Come visit us on the internet at <http://www.sos.state.tx.us/>



Franchise Tax Account Status

As of : 01/17/2019 13:42:36

This Page is Not Sufficient for Filings with the Secretary of State

SOUTHWEST WATER COMPANY

Texas Taxpayer Number 19518409479

Mailing Address 12535 REED RD ATT: TAX DEPARTMENT FSC
SUGAR LAND, TX 77478-2837

Right to Transact Business in Texas ACTIVE

State of Formation DE

Effective SOS Registration Date 06/27/2002

Texas SOS File Number 0800099536

Registered Agent Name CORPORATION SERVICE COMPANY D/B/A CSC-
LAWYERS INCO

Registered Office Street Address 211 E. 7TH STREET SUITE 620 AUSTIN, TX 78701