

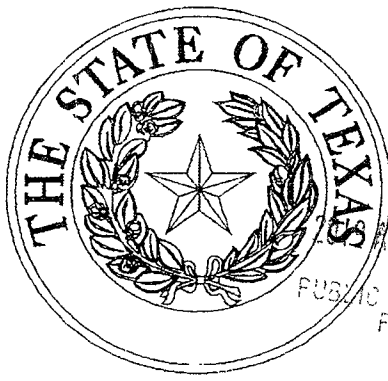


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PUBLIC UTILITY COMMISSION
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**CLASS "C" WATER COMPANY
PUC ANNUAL REPORT
OF**

48918
CCN Number

12680

Official Company Name:

Siesta Estates Utilities

D/B/A Name(s)

**TO THE
PUBLIC UTILITY COMMISSION
OF TEXAS**

For the Year Ended 2018

Annual Report for Class C Water and/or Sewer Utilities

PUBLIC UTILITY COMMISSION OF TEXAS
1701 N. CONGRESS AVE., PO BOX 13326, AUSTIN, TX 78711-3326
pursuant to TWC § 13.136

I. NAME OF UTILITY, OFFICE MAILING ADDRESS AND AREA SERVED

1 Utility Name: *Siesta Estates Water Utility*
List all assumed name(s) or d/b/a names: _____
2 Certificate of Convenience and Necessity No. *12680* Calendar Year Ending *2018*
3 Street Address: *1 Siesta Lane*
4 City or Town: *Amarillo, TX 79118* CCN No.: _____
5 Email Address: _____
6 County: *Randall* Zip Code *79118*
7 TCEQ PWS Number(s) _____
8 Water Quality Discharge Permit Number(s) *191003*

II. TYPE OF ORGANIZATION AND PRINCIPAL OFFICERS

9 Type of Ownership: ☒ Corporation: ☐ Partnership: ☐ Individual: ☐ Other:
10 If a corporation, list names and titles of the officers. If an individual or partnership, list the name of the individual or each partner and provide the title for each. For partnerships, please provide the percentage of ownership for each partner.
Bruce B. Frank President
11 If the controlling ownership of this utility changed during the last twelve (12) months, state the date of ownership change and the name and address of the prior owner.
12 Date the utility was formed or incorporated: *1992*
13 Is the utility under common ownership or control by another corporation? Y ☐ N ☒ If yes, by _____

III. PERSON TO CONTACT REGARDING THE INFORMATION SUPPLIED ON THESE FORMS

14 Name and Title: *Amy Chrisman*
15 Address: *316 Mid Valley Center #132*
16 City: *Carmel, CA 93923*
17 Telephone Number with Area Code: *831-620-1100*
18 Cell Phone Number with Area Code: _____
19 Fax Number with Area Code: _____
20 e-mail address: _____
21 If not an officer, owner or employee, give name of firm employed by: _____

1. Balance Sheet

Name of Utility:

Siesta Estates Water Utility

Line #	ASSETS	End of Year mm/dd/yyyy	End of Prior Year mm/dd/yyyy
	<u>UTILITY PLANT</u>		
1	101 Utility Plant in Service		
2	TOTAL UTILITY PLANT		
3	108 Less: Accumulated Amortization		
4	110 Less: Accumulated Depreciation	13908	5762
5	NET UTILITY PLANT		
6	<u>CURRENT ASSETS</u>	XXXX	XXXX
7	131-135 Cash	11677	19536
8	141-143 Accounts Receivable		
9	151 Plant Materials and Supplies (not previously expensed)		
10	171-174 Other Current Assets	63196	63196
11	TOTAL CURRENT ASSETS		
12	<u>TOTAL ASSETS*</u>		
Line 5 pl			
	<u>LIABILITIES & EQUITY</u>		
	<u>EQUITY</u>		
13	201 Common Stock		
14	211 Other paid in capital		
15	215 Retained Earnings		
16	218 Proprietary Capital		
17	TOTAL STOCKHOLDERS' EQUITY		
	<u>LONG-TERM DEBT</u>	XXXX	XXXX
18	224 Long-term debt (more than 1 year)		
	<u>CURRENT LIABILITIES (less than 1 year)</u>	XXXX	XXXX
19	231 Accounts Payable		
20	232 Notes Payable		
21	241.0 Other Current Liabilities		
	TOTAL CURRENT LIABILITIES		
	<u>OTHER LIABILITIES and DEFERRED CREDIT</u>	XXXX	XXXX
22	253 Other Deferred Credits		
23	271-272 Net Contributions in Aid of Const		
24	TOTAL OTHER LIABILITIES and DEFERRED CREDITS		
25	<u>TOTAL LIABILITIES & EQUITY*</u>		

Add NARUC accounts as needed, and if not shown above.

2. Statements of Income

Name of Utility: *Siesta Estates Water Utility*

Line #			
	Water	Sewer	Total
	Report Year	Report Year	Report Year
	A	B	C=A+B
1	<u>Total Revenue:</u>		
	12054		
	<u>Operating Expenses:</u>		
2	601 O & M Salaried Labor	23050	
3	604 Employee Benefits		
4	631, 635, 636 O & M Contract labor		
5	620 Operating/Maint Supplies	1244	
6	610 Purchased Water		
7	615 Purchased Power	34	
8	635 Testing Expense		
9	618 Chemicals		
10	656-659 Insurance		
11	601 General Office Salaries		
12	675 General Office Expenses	93	
13	632 Contract Accounting		
14	633 Legal		
15	634 Management	763	
16	666 Amortization- Rate Case Expense		
17	403 Depreciation Expense	8147	
18	667-675 Other Misc. Expenses		
	<u>Taxes:</u>	XXXX	XXXX
19	409 Federal Income Taxes		
20	409.0 State Franchise Taxes/Reg Asse		
21	408 All Other Taxes - Licenses	564	
22	<u>Total Expenses</u>	33894	\$-
23	<u>Net Operating Income</u>	\$-	\$-
24	421, 433 Non-Operating Income		
	<u>Non-Operating Deductions:</u>		
25	426 Other		
26	427 Interest		
27	<u>Net Income</u>	(21840)	\$-

Name of Utility: Siesta Estates Water Utility

Siesta Estates Water Utility

2/1

3-S. Sewer Plant-in-Service - Changes since the last Annual Report

Name of Utility: *Siesta Estates Water Utility*

	Date Plant Installed/Retired mm/yyyy	Plant Additions		Plant Retirements		Plant Adjustments	Changes Since the Last Annual Report
		List Major Items by	Amounts	List Major Items by	Amounts		
WATER							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
SEWER							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
TOTALS			\$0		\$0	\$0	\$0

Name of Utility: Siesta Estates Water Utility

4. Other Operating Information

Connection information		End of Year mm/dd/yyyy	End of Prior Year	Connection information		End of Year	End of Prior Year
<u>WATER:</u>		<u>Y</u>	<u>mm/dd/yyyy</u>	<u>SEWER:</u>			
1 Number of active water connections	5/8" or 3/4"	<u>189</u>	<u>189</u>	Number of active sewer connections	Residential		
	3/4"				Non-residential		
	1"						
	1 1/2"						
	2"						
List all additional meter sizes:				List all additional meter sizes:			
Unmetered water connections:				Unmetered water connections:			
2 Number of inactive water connections	5/8" or 3/4"			Number of inactive water connections			
	3/4"						
	1"						
	1 1/2"						
	2"						
List all additional meter sizes:				List all additional meter sizes:			
Unmetered, inactive connections				Unmetered, inactive connections			
3 Number of active sewer connections				Number of active sewer connections			
4 Number of inactive sewer connections				Number of inactive sewer connections			
5 Total gallons purchased							
6 Total gallons pumped							
Total Water Produced							
7 Total gallons sold							
8 Gallons unaccounted for							

- Management and Operations
- Yes or No
- Do you have an Application form or formal process for new customers? yes
 - Do you have a copy of your approved tariff and TCEQ approved drought contingency plan for customer review? yes
 - Do you have written operating procedures for routine operations? yes
 - Do you have a written emergency action plans? yes
 - Do you have written personnel policies and procedures? yes
 - Do you have risk management and safety procedures? yes
 - Do you have customer service policies (including billing and collection)? yes
 - Do you prepare an annual written budget for financial planning purposes? yes
 - Provide a list of all affiliates and entities under Common Control (if any). No
 - If you purchase wholesale water or sewer services, please list the name(s) of the wholesaler and describe the service(s) purchased from each. No
 - If you have a current capital improvement/replacement plan, please attach a copy. No

Name of Utility:

5. Affiliated Transactions

Charges by an Affiliate to the Reporting Utility

Name of Affiliated company: _____

NARUC Account and/or type of service		Total Affiliated Company	Total Texas	Total for reporting entity
Account #	Account name or type of service	(Dollars transacted)	(Dollars transacted)	(Dollars transacted)
	N/A			

Charges by an Reporting Utility to Affiliates

Name of Affiliated company: _____

NARUC Account and/or type of service		Total Affiliated Company	Total Texas	Total for reporting entity
Account #	Account name or type of service	(Dollars transacted)	(Dollars transacted)	(Dollars transacted)
	N/A			

VERIFICATION

OATH

(To be made by the officer having control of the accounting of the respondent)

State of California

as:

County of Monterey

Amy Chrisman
(Name of affiant)

makes oath and says that he/she is

bookkeeper
(Official title of affiant)

of Siesta Estates Water Utility
(Exact legal title or name of the respondent)

The signed officer has reviewed the report.

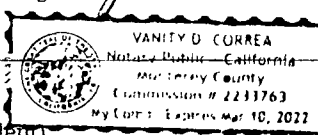
Based on the officer's knowledge, the report does not contain any untrue statements of a material fact or omit to state a material fact necessary in order to make the statements made, in light of the circumstances under which such statements were made, not misleading.

Based on such officer's knowledge, the financial statements, and other financial information included in the report, fairly present in all material respects the financial condition and results of operations of the issuer as of, and for, the periods presented in the report.

He/she swears that all other statements contained in the said report are true, and that the said report is a correct and complete statement of the above-named respondent during the period of time from and including 1/1/18 to and including 12/31/18

Subscribed and sworn to and before me, a Vanity D. Correa, Notary Public
in and for the State and County above-named, this 1st day of August

Amy Chrisman
(Signature of affiant)



My commission expires March 10, 2022

(Signature of officer authorized to administer oaths)

SUPPLEMENTAL OATH

(By the president or other chief officer of the respondent)

State of _____

as:

County of _____

(Name of affiant)

make:

(Official title of affiant)

of _____
(Exact legal title or name of the respondent)

that he/she has carefully examined the foregoing report; that he/she swears that all statements of fact contained in the said report are true statement of the business and affairs of the above named respondent during the period of time from and including _____ to and including _____

Subscribed and sworn to before me, a _____
in and for the State and County above-named, this _____ day of _____

(Signature of affiant)

My commission expires _____

(Signature of officer authorized to administer oaths)