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PUBLIC UTILITY COMMISSION
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**CLASS "C" WATER COMPANY
PUC ANNUAL REPORT
OF**

CCN Number

12680

Official Company Name:

siesta Estates Utilities

D/B/A Name(s)

**TO THE
PUBLIC UTILITY COMMISSION
OF TEXAS**

For the Year Ended 2018

Annual Report for Class C Water and/or Sewer Utilities

PUBLIC UTILITY COMMISSION OF TEXAS
1701 N. CONGRESS AVE., PO BOX 13326, AUSTIN, TX 78711-3326
pursuant to TWC § 13.136

I. NAME OF UTILITY, OFFICE MAILING ADDRESS AND AREA SERVED

- 1 Utility Name: Siesta Estates Water Utility
List all assumed name(s) or d/b/a names: _____
- 2 Certificate of Convenience and Necessity No. 12680 Calendar Year Ending 2018
- 3 Street Address: 1 Siesta Lane
- 4 City or Town: Amarillo, TX 79118 CCN No.: _____
- 5 Email Address: _____
- 6 County: Randall Zip Code 79118
- 7 TCEQ PWS Number(s): _____
- 8 Water Quality Discharge Permit Number(s) 191003

II. TYPE OF ORGANIZATION AND PRINCIPAL OFFICERS

- 9 Type of Ownership: ☒ Corporation: ☐ Partnership: ☐ Individual: ☐ Other: _____
- 10 If a corporation, list names and titles of the officers. If an individual or partnership, list the name of the individual or each partner and provide the title for each. For partnerships, please provide the percentage of ownership for each partner.
Bruce B. Frank President
- 11 If the controlling ownership of this utility changed during the last twelve (12) months, state the date of ownership change and the name and address of the prior owner.
- 12 Date the utility was formed or incorporated: 1992
- 13 Is the utility under common ownership or control by another corporation? Y ☒ N ☐ If yes, by _____

III. PERSON TO CONTACT REGARDING THE INFORMATION SUPPLIED ON THESE FORMS

- 14 Name and Title: Amy Chrisman
- 15 Address: 316 Mid Valley Center #132
- 16 City: Carmel, CA 93923
- 17 Telephone Number with Area Code: 831-620-1100
- 18 Cell Phone Number with Area Code: _____
- 19 Fax Number with Area Code: _____
- 20 e-mail address: _____
- 21 If not an officer, owner or employee, give name of firm employed by: _____

1. Balance Sheet

Name of Utility: Siesta Estates Water Utility

Line #

ASSETS

End of Year
mm/dd/yyyy

End of Prior Year
mm/dd/yyyy

UTILITY PLANT

- 1 101 Utility Plant in Service
- 2 TOTAL UTILITY PLANT
- 3 108 Less: Accumulated Amortization
- 4 110 Less: Accumulated Depreciation
- 5 NET UTILITY PLANT
- 6 CURRENT ASSETS
- 7 131-135 Cash
- 8 141-143 Accounts Receivable
- 9 151 Plant Materials and Supplies (not previously expensed)
- 10 171-174 Other Current Assets
- 11 TOTAL CURRENT ASSETS
- 12 TOTAL ASSETS*

13908	5762
XXXX	XXXX
11677	19536
63196	63196

Line 5 pl

LIABILITIES & EQUITY

EQUITY

- 13 201 Common Stock
- 14 211 Other paid in capital
- 15 215 Retained Earnings
- 16 218 Proprietary Capital
- 17 TOTAL STOCKHOLDERS' EQUITY

LONG-TERM DEBT

- 18 224 Long-term debt (more than 1 year)

CURRENT LIABILITIES (less than 1 year)

- 19 231 Accounts Payable
- 20 232 Notes Payable
- 21 241.0 Other Current Liabilities

TOTAL CURRENT LIABILITIES

OTHER LIABILITIES and DEFERRED CREDITS

- 22 253 Other Deferred Credits
- 23 271-272 Net Contributions in Aid of Const
- 24 TOTAL OTHER LIABILITIES and DEFERRED CREDITS
- 25 TOTAL LIABILITIES & EQUITY*

(52347)	(104705)
XXXX	XXXX
XXXX	XXXX
XXXX	XXXX
XXXX	XXXX

Add NARUC accounts as needed, and if not shown above.

2. Statements of Income

Name of Utility: Siesta Estates Water Utility

Line #

Report Calendar Year 2018

Water Report Year	Sewer Report Year	Total Report Year
A	B	C=A+B
12054		

1	Total Revenue:			
	Operating Expenses:			
2	601 O & M Salaried Labor	23050		
3	604 Employee Benefits			
4	631, 635, 636 O & M Contract labor			
5	620 Operating/Maint Supplies	1244		
6	610 Purchased Water			
7	615 Purchased Power	34		
8	635 Testing Expense			
9	618 Chemicals			
10	656-659 Insurance			
11	601 General Office Salaries			
12	675 General Office Expenses	93		
13	632 Contract Accounting			
14	633 Legal			
15	634 Management	763		
16	666 Amortization- Rate Case Expense			
17	403 Depreciation Expense	8747		
18	667-675 Other Misc. Expenses			
	Taxes:	XXXX	XXXX	XXXX
19	409 Federal Income Taxes			
20	409.0 State Franchise Taxes/Reg Asse			
21	408 All Other Taxes - Licenses	564		
22	Total Expenses	33894	\$-	\$-
23	Net Operating Income		\$-	\$-
24	421, 433 Non-Operating Income			
	Non-Operating Deductions:			
25	426 Other			
26	427 Interest			
27	Net Income	(21840)	\$-	\$-

Name of Utility: _____

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3-S. Sewer Plant-in-Service - Changes since the last Annual Report

Name of Utility: Siesta Estates Water Utility

	Date Plant Installed/Retired mm/yyyy	Plant Additions		Plant Retirements		Plant Adjustments	Changes Since the Last Annual Report
		List Major Items by	Amounts	List Major Items by	Amounts		
WATER							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
SEWER							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
TOTALS			\$0		\$0	\$0	\$0

Name of Utility:

Siesta Estates Water Utility

4. Other Operating Information

Connection information		End of Year mm/dd/yyyy	End of Prior Year	Connection information		End of Year	End of Prior Year
WATER:				SEWER:			
1 Number of active water connections		189	189	Number of active sewer connections			
5/8" or 3/4"				Residential			
3/4"				Non-residential			
1"							
1 1/2"							
2"							
List all additional meter sizes:				List all additional meter sizes:			
Unmetered water connections				Unmetered water connections			
2 Number of inactive water connections				Number of inactive water connections			
5/8" or 3/4"							
3/4"							
1"							
1 1/2"							
2"							
List all additional meter sizes:				List all additional meter sizes:			
Unmetered, inactive connections				Unmetered, inactive connections			
3 Number of active sewer connections		N/A		Number of active sewer connections			
4 Number of inactive sewer connections				Number of inactive sewer connections			
5 Total gallons purchased							
6 Total gallons pumped							
Total Water Produced		\$-					
7 Total gallons sold							
8 Gallons unaccounted for							
				Total amount of sewer treated (gallons)			

Management and Operations

Yes or No

- Do you have an Application form or formal process for new customers? *yes*
- Do you have a copy of your approved tariff and TCEQ approved drought contingency plan for customer review? *yes*
- Do you have written operating procedures for routine operations? *yes*
- Do you have a written emergency action plans? *yes*
- Do you have written personnel policies and procedures? *yes*
- Do you have risk management and safety procedures? *yes*
- Do you have customer service policies (including billing and collection)? *yes*
- Do you prepare an annual written budget for financial planning purposes? *yes*
- Provide a list of all affiliates and entities under Common Control (if any). *No*
- If you purchase wholesale water or sewer services, please list the name(s) of the wholesaler and describe the service(s) purchased from each. *No*
- If you have a current capital improvement/replacement plan, please attach a copy. *No*

Name of Utility: _____

5. Affiliated Transactions

Charges by an Affiliate to the Reporting Utility

Name of Affiliated company: _____

NARUC Account and/or type of service		Total Affiliated Company	Total Texas	Total for reporting entity
Account #	Account name or type of service	(Dollars transacted)	(Dollars transacted)	(Dollars transacted)
	N/A			

Charges by a Reporting Utility to Affiliates

Name of Affiliated company: _____

NARUC Account and/or type of service		Total Affiliated Company	Total Texas	Total for reporting entity
Account #	Account name or type of service	(Dollars transacted)	(Dollars transacted)	(Dollars transacted)
	N/A			

VERIFICATION

OATH

(To be made by the officer having control of the accounting of the respondent)

State of California

as:

County of Monterey

Amy Chrisman
(Name of affiant)

makes oath and says that he/she is

bookkeeper
(Official title of affiant)

of Siesta Estates Water Utility
(Exact legal title or name of the respondent)

The signed officer has reviewed the report.

Based on the officer's knowledge, the report does not contain any untrue statements of a material fact or omit to state a material fact necessary in order to make the statements made, in light of the circumstances under which such statements were made, not misleading.

Based on such officer's knowledge, the financial statements, and other financial information included in the report, fairly present in all material respects the financial condition and results of operations of the issuer as of, and for, the periods presented in the report.

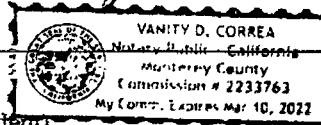
He/she swears that all other statements contained in the said report are true, and that the said report is a correct and complete statement above-named respondent during the period of time from and including 1/1/18 to and including 12/31/18

Subscribed and sworn to and before me, a Vanity D. Correa, Notary Public
in and for the State and County above-named, this 1st day of August

Amy Chrisman
(Signature of affiant)

My commission expires March 10, 2022

(Signature of officer authorized to administer oaths)



SUPPLEMENTAL OATH

(By the president or other chief officer of the respondent)

State of _____

as:

County of _____

(Name of affiant)

make:

(Official title of affiant)

of _____
(Exact legal title or name of the respondent)

that he/she has carefully examined the foregoing report; that he/she swears that all statements of fact contained in the said report are true statement of the business and affairs of the above named respondent during the period of time from and including _____ to and including _____

Subscribed and sworn to before me, a _____
in and for the State and County above-named, this _____ day of _____

(Signature of affiant)

My commission expires _____

(Signature of officer authorized to administer oaths)