

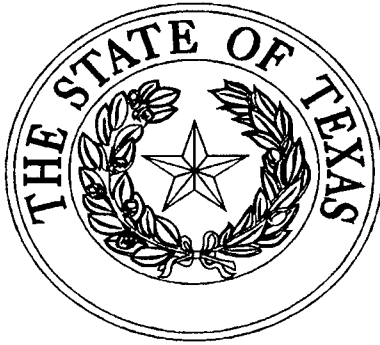


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**CLASS "C" WATER COMPANY  
PUC ANNUAL REPORT  
OF**

CCN Number

11507

Official Company Name:

ALBURY MANOR UTILITY COMPANY, INC.

D/B/A Name(s)

**TO THE  
PUBLIC UTILITY COMMISSION  
OF TEXAS**

*For the Year Ended*   2018

## Annual Report for Class C Water and/or Sewer Utilities

PUBLIC UTILITY COMMISSION OF TEXAS

1701 N. CONGRESS AVE., PO BOX 13326, AUSTIN, TX 78711-3326

pursuant to TWC § 13.136

**I. NAME OF UTILITY, OFFICE MAILING ADDRESS AND AREA SERVED**

1	Utility Name:	<u>Alburt Manor Utility Company, Inc.</u>	
	List all assumed name(s) or d/b/a names:	<u>None</u>	
2	Certificate of Convenience and Necessity No.	<u>11507</u>	Calendar Year Ending <u>2018</u>
3	Street Address:	<u>400 Randal Way, Suite 106</u>	
4	City or Town:	<u>Spring</u>	CCN No.: <u>11507</u>
5	Email Address	<u>kens@hendricksinterests.com</u>	
6	County:	<u>Harris</u>	Zip Code: <u>77388</u>
7	TCEQ PWS Number(s)	<u>PWS #1012052</u>	
8	Water Quality Discharge Permit Number(s)	<u>N/A</u>	

## **II. TYPE OF ORGANIZATION AND PRINCIPAL OFFICERS**

9 Type of Ownership: Corporation:     X     Partnership:                      Individual:              Other:             

10 If a corporation, list names and titles of the officers. If an individual or partnership, list the name of the individual or each partner and provide the title for each. For partnerships, please provide the percentage of ownership for each partner.

11 If the controlling ownership of this utility changed during the last twelve (12) months, state the date of ownership change and the name and address of the prior owner.

N/A

12 Date the utility was formed or incorporated:                     1979                    

13 Is the utility under common ownership or control by another corporation? Y     N     If yes, by whom?

### **III. PERSON TO CONTACT REGARDING THE INFORMATION SUPPLIED ON THESE FORMS**

14	Name and Title:	J. Kenneth Streeter, Manager
15	Address:	400 Randal Way, Suite 106
16	City:	Spring, Texas 77388
17	Telephone Number with Area Code:	281-350-7000
18	Cell Phone Number with Area Code:	713-253-9339
19	Fax Number with Area Code:	281-350-7020
20	e-mail address:	kens@hendricksinterests.com
21	If not an officer, owner or employee, give name of firm employed by:	Hendricks Interests

# 1. Balance Sheet

**Name of Utility:** ALBURY MANOR UTILITY COMPANY

Line #	<u>ASSETS</u>	End of Year mm/dd/yyyy 12/31/2018	End of Prior Year mm/dd/yyyy 12/31/2017
	<u>UTILITY PLANT</u>		
1	101 Utility Plant in Service	\$ 601,938.76	\$ 601,938.76
2	TOTAL UTILITY PLANT	\$ 601,938.76	\$ 601,938.76
3	108 Less: Accumulated Amortization	\$ (231,613.33)	\$ (216,242.33)
4	110 Less: Accumulated Depreciation		
5	NET UTILITY PLANT	\$ 370,325.43	\$ 385,696.43
6	<u>CURRENT ASSETS</u>	xxxx	xxxx
7	131-135 Cash	\$ 2,838.15	\$ 953.49
8	141-143 Accounts Receivable	\$ 142.10	\$ 540.86
9	151 Plant Materials and Supplies (not previously expensed)		
10	171-174 Other Current Assets		
11	TOTAL CURRENT ASSETS	\$ 2,980.25	\$ 1,494.35
12	<u>TOTAL ASSETS*</u>	\$ 373,305.68	\$ 387,190.78
	<u>LIABILITIES &amp; EQUITY</u>		
	<u>EQUITY</u>		
13	201 Common Stock	\$ 1,000.00	\$ 1,000.00
14	211 Other paid in capital		
15	215 Retained Earnings	\$ (314,742.32)	\$ (260,965.22)
16	218 Proprietary Capital		
17	TOTAL STOCKHOLDERS' EQUITY	\$ (313,742.32)	\$ (259,965.22)
	<u>LONG-TERM DEBT</u>	xxxx	xxxx
18	224 Long-term debt (more than 1 year)	\$ 677,472.00	\$ 640,772.00
	<u>CURRENT LIABILITIES (less than 1 year)</u>	xxxx	xxxx
19	231 Accounts Payable	\$ 9,576.00	\$ 6,384.00
20	232 Notes Payable		
21	241.0 Other Current Liabilities		
	TOTAL CURRENT LIABILITIES	\$ 9,576.00	\$ 6,384.00
	<u>OTHER LIABILITIES and DEFERRED CREDITS</u>	xxxx	xxxx
22	253 Other Deferred Credits		
23	271-272 Net Contributions in Aid of Construction		
24	TOTAL OTHER LIABILITIES and DEFERRED CREDITS		
25	<u>TOTAL LIABILITIES &amp; EQUITY*</u>	\$ 373,305.68	\$ 387,190.78

Add NARUC accounts as needed, and if not shown above.

## 2. Statements of Income

**Name of Utility:** ALBURY MANOR UTILITY COMPANY

Line #	Report Calendar Year 2018	Water	Sewer	Total
		Report Year	Report Year	Report Year
		A	B	C=A+B
1	<b>Total Revenue:</b>	\$ 45,873.79		
	<b>Operating Expenses:</b>			
2	601 O & M Salaried Labor			
3	604 Employee Benefits			
4	631, 635, 636 O & M Contract labor	\$ 14,658.89		
5	620 Operating/Maint Supplies	\$ 22,377.24		
6	610 Purchased Water	\$ 38,384.64		
7	615 Purchased Power	\$ 1,479.17		
8	635 Testing Expense	\$ 445.99		
9	618 Chemicals			
10	656-659 Insurance			
11	601 General Office Salaries	\$ 230.08		
12	675 General Office Expenses	\$ 1,516.80		
13	632 Contract Accounting			
14	633 Legal	\$ 968.00		
15	634 Management	\$ 3,192.00		
16	666 Amortization- Rate Case Expense			
17	403 Depreciation Expense	\$ 15,371.00		
18	667-675 Other Misc. Expenses			
	<b>Taxes:</b>	xxxx	xxxx	xxxx
19	409 Federal Income Taxes			
20	409.0 State Franchise Taxes/Reg Assess.	\$ 683.64		
21	408 All Other Taxes	\$ 555.52		
22	<b>Total Expenses</b>	\$ 99,650.89	\$ -	\$ -
23	<b>Net Operating Income</b>	\$ (53,777.10)	\$ -	\$ -
24	421, 433 Non-Operating Income			
	<b>Non-Operating Deductions:</b>			
25	426 Other			
26	427 Interest			
27	Net Income	\$ (53,777.10)	\$ -	\$ -

### 3. Water Plant-in-Service - changes since the last Annual Report

**Name of Utility:** ALBURY MANOR UTILITY COMPANY 2018

Date Plant Installed/Retired mm/yyyy	Plant Additions		Plant Retirements		Plant Adjustments	Total Change the Last Annual Report
	List Major Items by Class	Amounts	List Major Items by Class	Amounts		
						\$ -
						\$ -
						\$ -
						\$ -
						\$ -
						\$ -
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
						\$0
<b>TOTALS</b>		\$ -		\$0	\$0	\$ -

### 3-S. Sewer Plant-in-Service - Changes since the last Annual Report

**Name of Utility:** Not Applicable

	Date Plant Installed/Retired mm/yyyy	Plant Additions		Plant Retirements		Plant Adjustments	Changes Since the Last Annual Report
		List Major Items by Class	Amounts	List Major Items by Class	Amounts		
WATER							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
SEWER							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
							\$0
TOTALS			\$0		\$0	\$0	\$0

**4. Other Operating Information**

<u>Connection information</u>		End of Year mm/dd/yyyy	End of Prior Year mm/dd/yyyy	<u>Connection information</u>	End of Year mm/dd/yyyy	End of Prior Year
<b>WATER:</b>				<b>SEWER:</b>		
1	Number of active water connections	12/31/2018	12/31/2017			
	5/8" or 3/4"	41	41	Number of active sewer connections		
	3/4"	2	2	Residential		
	1"	5	5	Non-residential		
	1 1/2"	1	1			
	2"					
	List all additional meter sizes:			List all additional meter sizes:		
	Unmetered water connections			Unmetered water connections		
2	Number of inactive water connections			Number of inactive water connections		
	5/8" or 3/4"					
	3/4"					
	1"					
	1 1/2"					
	2"					
	List all additional meter sizes:			List all additional meter sizes:		
	Unmetered, inactive connections			Unmetered, inactive connections		
3	Number of active sewer connections			Number of active sewer connections		
4	Number of inactive sewer connections			Number of inactive sewer connections		
5	Total gallons purchased	7,258,000				
6	Total gallons pumped					
	Total Water Produced					
7	Total gallons sold	6,256,000				
8	Gallons unaccounted for	1,002,000				
				Total amount of sewer treated (gallons)		
<b>Management and Operations</b>				Yes or No		
1.	Do you have an Application form or formal process for new customers?			Y		
2.	Do you have a copy of your approved tariff and TCEQ approved drought contingency plan for customer review?			Y		
3.	Do you have written operating procedures for routine operations?			Y		
4.	Do you have a written emergency action plans?			Y		
5.	Do you have written personnel policies and procedures?			Y		
6.	Do you have risk management and safety procedures?			Y		
7.	Do you have customer service policies (including billing and collection)?			Y		
8.	Do you prepare an annual written budget for financial planning purposes?			Y		
9.	Provide a list of all affiliates and entities under Common Control (if any).					
10.	If you purchase wholesale water or sewer services, please list the name(s) of the wholesaler and describe the service(s) purchased from each.					
	Water is purchased from Harris County M.U.D. #401					
11.	If you have a current capital improvement/replacement plan, please attach a copy.					



**Name of Utility:** ALBURY MANOR UTILITY COMPANY

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## 5. Affiliated Transactions

### Charges by an Affiliate to the Reporting Utility

Name of Affiliated company: \_\_\_\_\_

NARUC Account and/or type of service		Total Affiliated Company	Total Texas	Total for reporting entity
Account #	Account name or type of service	(Dollars transacted)	(Dollars transacted)	(Dollars transacted)

### Charges by an Reporting Utility to Affiliates

Name of Affiliated company: \_\_\_\_\_

NARUC Account and/or type of service		Total Affiliated Company	Total Texas	Total for reporting entity
Account #	Account name or type of service	(Dollars transacted)	(Dollars transacted)	(Dollars transacted)

## VERIFICATION

### OATH

(To be made by the officer having control of the accounting of the respondent)

State of TEXAS

as:

County of HARRIS

Randal A. Hendricks makes oath and says that he/she is President  
(Name of affiant) (Official title of affiant)

of Albury Manor Utility Company, Inc.  
(Exact legal title or name of the respondent)

The signed officer has reviewed the report.

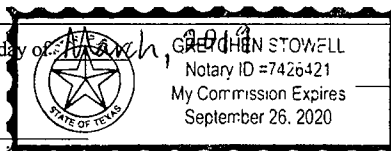
Based on the officer's knowledge, the report does not contain any untrue statements of a material fact or omit to state a material fact necessary in order to make the statements made, in light of the circumstances under which such statements were made, not misleading.

Based on such officer's knowledge, the financial statements, and other financial information included in the report, fairly present in all material respects the financial condition and results of operations of the issuer as of, and for, the periods presented in the report.

He/she swears that all other statements contained in the said report are true, and that the said report is a correct and complete statement of the business and affairs of the above-named respondent during the period of time from and including January 1, 2018 to and including December 31, 2018

Subscribed and sworn to and before me, a Notary Public  
in and for the State and County above-named, this 25<sup>th</sup> day of March, 2019

My commission expires Gretchen Stowell  
(Signature of officer authorized to administer oaths)



Randal A. Hendricks  
(Signature of affiant) Randal A. Hendricks, President

### SUPPLEMENTAL OATH

(By the president or other chief officer of the respondent)

State of TEXAS

as:

County of HARRIS

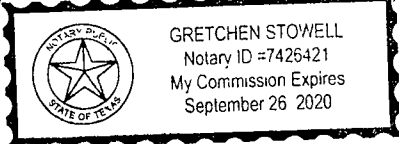
Randal A. Hendricks makes President  
(Name of affiant) (Official title of affiant)

of Albury Manor Utility Company, Inc.  
(Exact legal title or name of the respondent)

that he/she has carefully examined the foregoing report; that he/she swears that all statements of fact contained in the said report are true, and that the said report is a correct statement of the business and affairs of the above named respondent during the period of time from and including January 1, 2018 to and including December 31, 2018

Subscribed and sworn to before me, a Notary Public  
in and for the State and County above-named, this 25<sup>th</sup> day of March, 2019

My commission expires Gretchen Stowell  
(Signature of officer authorized to administer oaths)



Randal A. Hendricks  
(Signature of affiant)  
Randal A. Hendricks, President