

Control Number: 47807



Item Number: 1

Addendum StartPage: 0

November 17, 2017

To:

Public Utility Commission of Texas

North Congress Avenue

P.O. Box 13326

Austin, Texas 78711-3326

From: Goldenrod Estates Homeowners Association Inc.

Goldenrod Water Supply Corporation Inc.

3910 Lost Goldenrod Drive

Richmond, TX 77406

281-232-6500 Home

281-202-7238 Mobile

Subject: Application for Sale, Transfer, or Merger of a Retail Public Utility

The Board of Directors of Goldenrod Estates Homeowners Association has operated our water well (CCN 12933) as a Community Water System for more than 13 years. The current homeowners recently voted to become a Water Supply Corporation.

All of the water well assets will transfer to Goldenrod Water Supply Corporation (GWSC). The Goldenrod Estates residents will not change. The water well will continue to be under the full control of the residents who will elect the Board of Directors of Goldenrod Water Supply Corporation just as they elected the HOA Board. We are not selling the water well.

The developer ceded all of the common area assets, streets, land, and the water well / distribution system, to the homeowners association around 2002. We do not know the cost of the well distribution infrastructure any more than we know the cost of our streets. We learned the cost to drill the well because the driller kindly provided that information to us, as requested, when we filed our rate tariff application (43330 - 05/23/2014).

Goldenrod Water Supply Corporation has no operating history. The proposed GWSC customer base is finite at 22 connections. All 26 lots are sold. Some residents own more than one lot with no plans to build another home. There is only one lot where a home may be built in the near future. That owner plans to build a home and a water meter/service was hooked up but there is no home on Lot 1 at this time.

The financial assets presented for GWSC Year 1, are based on our last rate tariff application with depreciation of 3 additional years since those numbers were generated in 2013.

Sincerely,

HOA Treasurer and WSC Board Member



Application for Sale, Transfer, or Merger of a Retail Public Utility

Pursuant to Chapter 13.251 of the Texas Walton Chap

PUBLIC "TILITY COMMISSION FILING CLEAK

Docket Number:	
----------------	--

(this number will be assigned by the Public Utility Commission after your application is filed)

7 copies of the application, including the original, along with one copy of the portable electronic storage medium (such as CD or DVD) containing the GIS data shall be filed with

Public Utility Commission of Texas Attention: Filing Clerk 1701 N. Congress Avenue P.O. Box 13326 Austin, Texas 78711-3326

No later than seven days after filing the application for the boundary change, provide a copy of each paper map and a portable electronic storage medium (such as CD, flash drive or DVD) containing complete and identical data to the portable electronic storage medium submitted above to

Texas Natural Resources Information System 1700 N. Congress Ave, Room B40 Austin, Texas 78701

TABLE OF CONTENTS

Part A – General Information	
Part B – Current Service Provider or Seller Information	2
Part C – Purchaser or Transferee Information	
Part D – Historicial Financial Information	9
Part E - Projected Information	12
Part F - Public Water System Information	
Part G – Oaths and Notices	18

Pa	rt A – General Information
*RN# 101230415 *CN#	* (PRIOR TCEQ ID numbers)
Proposed action of application (check a Sale of All Portion of the Acquisition Lease/Rental	Il the boxes that apply): Water system(s) under CCN No.: Sewer system(s) under CCN No.:
X Transfer of X All Portion of the	Certificated water service area – CCN No.: 12933 Certificated sewer service area – CCN No.: N/A
If only a portion of a system or certificated sor subdivision involved:	service area is affected by this transaction, please specify the are
N/A	
 Obtain a CCN for the transferee (purcha Amend the transferee's CCN No.: Merge or consolidate public utilities Cancel CCN of the transferor (seller) Proposed effective date of this transacti 	ser) – indicate if purchaser will take the seller's CCN The CCN is unchanged. The people are the same. We are becoming a Water Supply Corporation on: 5/1/2018
	(Must be at least 120 days after proper notice is provided)
Part B – Current	Service Provider or Seller Information
	ansferor (current service provider or seller)
3. For the current CCN holder or service	
A. Name: Goldenrod Estate Homeowr	ners Association. Inc.
A. Name: Goldenrod Estate Homeowr	ners Association, Inc. ndividual, Corporation or Other Legal Entity)
	ndividual, Corporation or Other Legal Entity)
(Ir	ove): N/A

PUCT Sale Merger Transfer (Previous TCEQ Form 10516)
Page 2 of 23 9/1/2014

Fax: (281) 232-6500		Email: layman.cu	urt@gmail.com
4. About the last rate increase for the system or fa	acilities being		
transferred:			
A. What was the effective date of the last rate	2/1/201	7	
increase?			
B. Was notice of this increase provided to the or a predecessor regulatory authority?		nmission of Texas	
No X Yes- Application/Docket Number: 4333	SU	Dat	0/23/2014
5. Please provide a list of all customers affected by or seller utility, if any, and include the following in	nformation (attac	h additional she	ets if necessary):
Name and Address of Utility Customer	Date of	Amount of	Amount of Unpaid
	Deposit	Deposit	Interest on Deposi
No Deposits Held			to the state of th
Part C — Purchaser or Questions 6 through 16 refer to the transferee of For the person or entity acquiring the facilities and	r purchaser.	mation	
Applicant: Goldenrod Water Supply Corporation		,_ ,	
	ooration, or Other I	.egal Entity)	
Utility Name: Goldenrod Water Supply Corporation			
	rent than above)		
Utility Address: 3910 Lost Goldenrod Drive, Richmond,	TX 77406		
Fax: (281) 232-6500 Email: layman.curt@g	mail.com	elephone (AC):	(281) 232-6500
CCN Numbers held prior to the filing of this appli	cation: None		
7. Check the appropriate box and provide informa applicant: Individual Home or Property Owners Association Partnership; attach copy of partnership a	greement		
Texas: 802654562		• • •	
Non-profit, member owned, member-owned, memb	•	•	on (Article 1434(a)

PUCT Sale Merger Transfer (Previous TCEQ Form 10516)

Page 3 of 23 9/1/2014

Cc	unty	_			
Ot	her (plea	ase explain):			
					•
If the app	olicant is	an Individual	l or sole proprieto	rship, provide the following infor	mation. If not, skip
the next	question	1.			
Nar	ne:	N/A		Email N/A	\
Add	ress	N/A			
Telepho	ne (AC):			Fax (AC):	
If the app	olicant is	other than ar	n <i>Individual,</i> provi	de the following information rega	arding the officers c
				sfer. You must complete either q	-
-			nsferee applicant	•	acononio on questi
J, WINCIIC	ver app	ics to the tra	nsieree applicant	,	
•Name:	Paula Ar	doin		Telephone (AC):	(713) 582-2090
Address:		aly Court, Richm	nond TX 77406	receptions (Ao).	1(. 10) 002 2000
Position:	+			Ownership % (if applicable):	0.00%
CSICIOIII	1000.0	<u></u>		Ownership // (ii applicable).	0.0070
•Name:	Curtis M.	Layman		Telephone (AC):	(281) 232-6500
Address:	+		ve, Richmond, TX 774		
Position:	Board M			Ownership % (if applicable):	0.00%
•Name:	Cheryl W	/alker		Telephone (AC):	(832) 922-2176
Address:	4427 Tha	ddeus Court, Ri	ichmond, TX 77406		
Position:	Board Me	ember		Ownership % (if applicable):	0.00%
				Telephone (AC):	
•Name:					
Name: Address: Position:				Ownership % (if applicable):	0.00%
Address:				Ownership % (if applicable):	0.00%
Address: Position:				Ownership % (if applicable): Telephone (AC):	0.00%
Address: Position: •Name:					0.00%
Address: Position: Name: Address:					0.00%
Address: Position: Name: Address: Position:				Telephone (AC): Ownership % (if applicable):	
Address: Position: Name: Address: Position: Name:				Telephone (AC):	
Address: Position: Name: Address: Position:				Telephone (AC): Ownership % (if applicable):	

Important:
 If the applicant is a for-profit corporation, please provide a copy of the corporation's
 "Certification of Account Status" from the State Comptroller Office. This "Certification of Account Status" can be obtained from:

8.

9.

Texas Comptroller of Public Accounts

P. O. Box 13528, Capitol Station Austin, Texas 78711 1-800-252-5555

• If the applicant is an Article 1434a water supply or sewer service corporation or other non-profit corporation, please provide a copy of the Articles of Incorporation and By-Laws.

10.	Contact person. Please provide information about the person to be contacted regarding this
	application. Indicate if this person is the owner, operator, engineer, attorney or accountant.

Name:	Curtis M. Layman	Title:	HOA Treasurer			
Address:	3910 Lost Goldenrod Drive	Telephone	(AC): (281) 232-6500			
Fax #	(281) 232-6500	Email	layman.curt@gmail.com			
Relations	Relationship to the applicant: HOA Treasurer & WSC Board Member					

- F THERE ARE MORE THAN TWO PARTIES INVOLVED IN THIS TRANSACTION, PLEASE ATTACH SHEETS PROVIDING THE INFORMATION REQUIRED IN QUESTION 6

 THROUGH QUESTION 10 FOR EACH PARTY
- 11. Please respond to each of the following questions. Attach additional sheets if necessary.
 - A. Describe the experience and qualifications of the applicant to provide adequate utility service to the requested area

Goldenrod Estates Homeowners Association Inc. (GEHOA) has operated Public Water System 0790383 for more than 10 years. The members of GEHOA voted to become a Water Supply Corporation. The members of GEHOA will continue to operate the water system by electing a board of directors from the membership. The principle qualification is the successful track record and experience obtained from 10 years of operating experience. Current residents of this 22 home community possess professional qualification in business management, accounting, and engineering. The board is prepared to hire professional engineering and certified public accountants as needed.

В.	Has the applicant acquiring the CCN or facilities or an affiliated interest of the applicant been under enforcement action by the PUC, TCEQ, Texas Department of Health (TDH), the Office of the Attorney General (OAG) or the Environmental Protection Agency (EPA) in the past for noncompliance with rules, orders or State Statutes? Yes X No
	If yes, please attach copies of any correspondence with these regulatory agencies concerning these enforcement actions and describe any actions and efforts to comply with those requirements. Attach additional sheets if needed.
N/A	

C. Describe the source and availability of funds required to make the planned or required improvements, if any, to meet minimum requirements of the TCEQ and PUC and ensure continuous and adequate service.

No improvements are planned or required at this time or in the foreseeable future. The water system has a separate bank account and the finances of the Homeowners Association are separate and distinct from the water well. The water well currently has approximately \$29,000 dollars of reserve capital in the bank and continuing revenue from the sale of water to the homeowners of Goldenrod Estates Subdivision The amount of money, currently on hand, is sufficient to repair/replace the well pump, the distribution tank, or the control systems. We would need to secure funding if all of these systems failed simultaneously.

D. Describe the anticipated impact of this transaction on the quanticipated changes in the quality of service.		,
We do not anticipate any change or impact to the quality of service to the customers (GWSC). The water well and equipment remain unchanged. The TCEQ licensed corprinciple responsible parties currently overseeing the operation of the well will provide	ntractor, Flow-Tech, rema	ins unchanged, the
E. How will the transaction serve the public interest?		
The public interest of the Goldenrod Estates Homeowners Association will be served because a dedicated mat operated by Goldenrod Water Supply Corporation. The members of Goldenrod Estates Homeowners Associate the members a dedicated water well team. The HOA members believe that the formation of Goldenrod WSC with HOA members believe that access to USDA loans and the availability of time sensitive rate changes will help to the contract of the sensitive rate changes will help to the contract of the contract	tion Inc. voted to form a Water Su vill assure an uninterrupted water	apply Corporation to provide supply to the members. The
2. Please describe the nature of the proposed transaction:		
Goldenrod Estates Homeowners Association owns and open Goldenrod Estates Homeowners Association is forming a W	_	<u>-</u> ,
3. If the transferee applicant is an Investor Owned Utility (IOU) a the PUC, please provide the following information. Water suppolitical subdivisions of the state should mark this section N/A	oply or sewer servic	•
A. • Total Purchase Price: \$ 0.00		
 Total Original Cost (as recorded on books of seller or 	r merging entity):	\$ 0.00
Accumulated Depreciation as of the proposed effect	tive date of the	\$ 0.00
transaction: • Contributions in Aid of Construction:		<u></u> .
Specific surcharges approved by TCEQ or	\$ 0.00	
PUC:	V 0.00	
 Revenues from explicit customer agreements: 	\$ 0.00	
- Developer Contributions (please explain):		
he developer, Michael Baldwin, transferred ownership to Gold ssociation and no monies or fees were exchanged. The HOA he infrastructure. We learned the cost of the well because we	does not know the	e original cost of
- Other Contributions (please explain):		
'A		
	0.00	
	0.00	

		by the PUC, the TWC or the To	CEQ, please p	provide the Application,	/Docket N	lumber and date:
		Application/Docket Number:	N/A		Date:	
	GP	If the applicant is not under the information related to Contrib	_		-	hase price and
		e provide any other information d be given consideration if not [attach additional sheet(explained els	ewhere in the applicati		u believe
	See cov	er letter.				
C.		Notes Par Mortgage Pay Others (please list): N/A As the purchaser, I understand provide written evidence and used and useful for providing u	al entries may ive limitation ervice: \$ 0.00 ment: \$ 0.00 chase: \$ 0.00 Cash: \$ 29,0 yable: \$ 0.00 dthat it is my support for thutility service	be made; the followings. 0 000.00 responsibility in any functions and instance.	g are sug	e proceeding to
1 /	Dloaco	Purchaser's Initials: CML	Date:	12/1/2017	abargad t	a the offeeted
14.	custom	indicate the proposed effect of ers: stomers will be charged the same All customers will be charged transaction.	rates as they	were charged before the	transactio	on.
······································	T Sale Mers	 ger Transfer (Previous TCEO Form	n 10516)			

If the Original Cost or any of the above items has been established in a rate case proceeding

PUCT Sale Merger Transfer (Previous TCEQ Form 10516)
Page 7 of 23 9/1/2014

If ra	tes are changing, please explain:
	N/A .
app	Applicant is an IOU and intends to file with the commission or municipal regulatory authority an dication to change rates of some/all of its customers as a result of this transaction. If so, please explain:
	N/A
	Other. Please explain:
	N/A
15.	List all neighboring water and /or sewer utilities, cities, and political subdivisions providing the same service within two (2) miles of area affected by this proposed transaction. This information should be available from the water utility database (WUD) or Applicant's licensed water operator.
	Pecan Grove MUD (7039000); Fort Bend Mud 143 (3082126); Fort Bend County MUD 165 (3079350); Fort Bend County MUD 146 (3080007); Fort Bend County MUD 194 (3076125); Fort bend County MUD 118 (3084800)

16. Financial, Managerial and Technical information for the acquiring entity.

Part D – Historical Financial Information

HISTORICAL BALANCE SHEETS	CURRENT YEAR (A)	A-1 YEAR	A-2 YEAR	A-3 YEAR	A-4 YEAR	A-5 YEAR
CURRENT ASSETS						
Cash	0.00					
Accounts Receivable	0.00					
Inventories	0.00					
Income Tax Receivable	0 00					
Other	0 00					
Total	0 00					
FIXED ASSETS						
Land	0.00	· · · · · · · · · · · · · · · · · · ·				
Collection/Distribution System	0.00					
Buildings	0.00					
Equipment	0.00					
Other	0.00	*			<u> </u>	
Less: Accum. Depreciation or Reserves	0.00					
Total	0.00					
TOTAL ASSETS	0.00					
CURRENT LIABILITIES				-		
Accounts Payable	0.00					
Notes Payable, Current	0.00	**************************************				
Accrued Expenses	0.00					
Other	0.00					····.
TOTAL	0 00	····				
LONGTERM LIABILITIES						
Notes Payable, Long-term	0 00					
Other	0.00					
TOTAL LIABILITIES	0 00					
OWNER'S EQUITY						
Paid in Capital	0.00	*****				
Retained Equity	0.00					
Other	0.00					
Current Period Profit or Loss	0.00					
TOTAL OWNER'S EQUITY	0.00					
TOTAL LIABILITIES AND EQUITY	0 00					
WORKING CAPITAL	0.00					
CURRENT RATIO	0.00			***		1. 1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.
DEBT TO EQUITY RATIO EQUITY TO TOTAL		1400				· · · · · · · · · · · · · · · · · · ·
ASSETS	0.00	0.00	0.00	0 00	0.00	0.00

HISTORICAL INCOME STATEMENT	CURRENT	A-1 YEAR	A-2 YEAR	A-3 YEAR	A-4 YEAR	A-5 YEAR
	YEAR (A)					
METER NUMBER						
Existing Number of Taps	0.00					
New Taps Per Year	0.00					
Total Meters at Year End	0.00					
METER REVENUE						
Fees Per Meter	0 00					
Cost Per Meter	0 00					
Operating Revenue Per Meter	0.00					
GROSS WATER REVENUE						
Fees	0.00					
Other	0.00					
Gross Income	0					
OPERATING EXPENSES						
General & Administrative	0.00					
Interest	0 00					
Other	0.00					
NET INCOME	0.00	0.00	0.00	0.00	0.00	0.00

HISTORICAL EXPENSE DETAIL	CURRENT YEAR (A)	A-1 YEAR	A-2 YEAR	A-3 YEAR	A-4 YEAR	A-5 YEAR
GENERAL/ADMINISTRATIVE	I EAR (A)					
EXPENSES						
Salaries	0.00					
Office Expense	0.00					
Computer Expense	0.00					-
Auto Expense	0.00					
Insurance Expense	0.00					
Telephone Expense	0 00					
Utilities Expense	0.00	•				
Depreciation Expense	0.00					
Property Taxes	0.00					
Professional Fees	0 00					
Other	0.00			-		
Total	0 00					~ 1
% Increase Per Year						
OPERATIONAL EXPENSES						
Salaries	0.00					
Auto Expense	0.00					-
Utilities Expense	0.00					
Depreciation Expense	0.00	·				
Repair & Maintenance	0.00					
Supplies	0.00					
Other	0.00					
Total	0.00					
% Increase Per Year	0 00					
ASSUMPTIONS						
Interest Rate/Terms	0.00					
Utility Cost/gal.	0 00					
Depreciation Schedule	0.00					
Other	0.00	0.00	0.00	0.00	0 00	0.00

Part E – Projected Information

PROJECTED BALANCE SHEETS

	START UP	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR 5
CURRENT ASSETS						
Cash	29,000.00	29,000.00	29,000.00	29,000.00	29,000.00	29,000 00
Accounts Receivable	0.00	0.00	0.00	0 00	0 00	0.00
Inventories	0.00	0.00	0.00	0.00	0.00	0.00
Income Tax Receivable	0 00	0.00	0.00	0.00	0 00	0.00
Other	0 00	0.00	0.00	0.00	0.00	0.00
Total	29,000.00	29,000.00	29,000.00	29,000.00	29,000.00	29,000.00
FIXED ASSETS						
Land	520.00	520 00	520 00	520.00	520.00	520.00
Collection/Distribution System	0.00	0.00	0 00	0.00	0.00	0.00
Buildings	0.00	0.00	0.00	0 00	0.00	0.00
Equipment	48,135.00	43,850.00	39,565 00	35,280.00	30,995.00	26,710 00
Other	0.00	0.00	0.00	0.00	0.00	0.00
Less: Accum. Depreciation or Reserves	0.00	4,285.00	4,285 00	4,285.00	4,285.00	4,285 00
Total	48,655.00	44,370.00	40,085 00	35,800.00	31,515 00	27,230.00
TOTAL ASSETS	77,655 00	73,370.00	69,085.00	64,800 00	60,515.00	56,230.00
CURRENT LIABILITIES						
Accounts Payable	0.00	0.00	0.00	0.00	0.00	0.00
Notes Payable, Current	0.00	0.00	0.00	0.00	0.00	0.00
Accrued Expenses	0.00	0 00	0.00	0.00	0.00	0.00
Other	0.00	0.00	0.00	0 00	0.00	0.00
Total	0.00	0.00	0.00	0.00	0 00	0.00
LONGTERM LIABILITIES						
Notes Payable, Long-term	0 00	0.00	0.00	0.00	0.00	0.00
Other	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL LIABILITIES	0 00	0 00	0.00	0.00	0.00	0.00
OWNER'S EQUITY						
Paid in Capital	0.00	0.00	0.00	0.00	0.00	0.00
Retained Equity	0.00	0.00	0 00	0.00	0.00	0.00
Other	0.00	0.00	0.00	0.00	0.00	0 00
Current Period Profit or Loss	3,960.00	3,960.00	3,960.00	3,960.00	3,960.00	3,960.00
TOTAL OWNER'S EQUITY	77,655 00	73,370.00	69,085.00	64,800.00	60,515.00	56,230 00
TOTAL LIABILITIES AND EQUITY	77,655.00	73,370.00	69,085.00	64,800.00	60,515 00	56,230.00
WORKING CAPITAL	29,000.00	29,000.00	29,000.00	29,000.00	29,000.00	29,000.00
CURRENT RATIO	0 00	0.00	0.00	0.00	0.00	0.00
DEBT TO EQUITY RATIO	0.01	0 01	0.01	0 01	0 01	0.01
EQUITY TO TOTAL ASSETS	100.00	100.00	100.00	100 00	100.00	100 00

PROJECTED INCOME STATEMENT

	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR 5	TOTALS
METER NUMBER						
Existing Number of Taps	22	22	22	22	22	22
New Taps Per Year	0	0	0	0	0	. 0
Total Meters at Year End	22	22	22	22	22	22
METER REVENUE						
Fees Per Meter	0.00	0.00	0.00	0.00	0.00	0.00
Cost Per Meter	0.00	0.00	0.00	0.00	0.00	0 00
Operating Revenue Per Meter	0.00	0 00	0.00	0.00	0 00	0.00
GROSS WATER REVENUE						
Fees	17,000.00	17,000.00	17,000 00	17,000.00	17,000.00	85,000.00
Other	0 00	0.00	0.00	0.00	0.00	0.00
Gross Income	17,000.00	17,000 00	17,000.00	17,000.00	17,000.00	85,000.00
OPERATING EXPENSES						
General & Administrative	22,412.00	2,412.00	2,412.00	2,412 00	2,412.00	12,060.00
Interest	0.00	0.00	0 00	0 00	0.00	0.00
Other	10,650.00	10,650 00	10,650.00	10,650.00	10,650.00	53,520.00
NET INCOME	3,960 00	3,960.00	3,960 00	3,960 00	3,960 00	19,800 00

PROJECTED EXPENSE DETAIL

	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR 5	TOTALS
GENERAL/ADMINISTRATIVE EXPENSES						
Salaries	0 00	0 00	0.00	0.00	0.00	0.00
Office Expense	25.00	25.00	25.00	25.00	25 00	125.00
Computer Expense	50.00	50.00	50.00	50.00	50.00	250.00
Auto Expense	0.00	0.00	0.00	0.00	0.00	0 00
Insurance Expense	1,500.00	1,500.00	1,500.00	1,500.00	1,500.00	7,500.00
Telephone Expense	0.00	0.00	0.00	0.00	0 00	0.00
Utilities Expense	0.00	0.00	0 00	0.00	0.00	0.00
Depreciation Expense	0.00	0 00	0.00	0.00	0.00	0.00
Property Taxes	337.00	337.00	337.00	337.00	337.00	1,685.00
Professional Fees	500.00	500.00	500.00	500.00	500.00	2,500.00
Other	0.00	0.00	0.00	0.00	0 00	0.00
Total	2,412.00	2,412.00	2,412.00	2,412.00	2,412.00	12,060.00
% Increase Per Year	0.00%	0.00%	0 00%	0.00%	0.00%	0.00%
OPERATIONAL EXPENSES						
Salaries	7,200.00	7,200.00	7,200.00	7,200.00	7,200.00	36,000.00
Auto Expense	0.00	0.00	0 00	0.00	0.00	0.00
Utilities Expense	1,300.00	1,100.00	1,100.00	1,100.00	1,100.00	5,500.00
Depreciation Expense	0.00	0.00	0.00	0.00	0 00	0.00
Repair & Maintenance	1,100 00	500 00	500.00	500.00	500.00	2,500.00
Supplies	50.00	50.00	50 00	50.00	50 00	250.00
Other	1,000 00	1,000.00	1,000.00	1,000 00	1,000.00	5,000.00
Total	10,650 00	10,650.00	10,650.00	10,650.00	10,650.00	53,520.00
% Increase Per Year	0 00%	0.00%	0 00%	0.00%	0.00%	0.00%
ASSUMPTIONS						
Interest Rate/Terms	0.00	0 00	0 00	0.00	0 00	0.00
Utility Cost/gal.	0.01	0.00	0.00	0 00	0.00	0.00
Depreciation Schedule	0.00	0.00	0.00	0.00	0 00	0.00
Other	0.00	0.00	0.00	0.00	0.00	0 00

PROJECTED SOURCES AND USES OF CASH STATEMENTS

	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR 5	TOTALS
SOURCES OF CASH						
Net Income	17,000.00	17,000.00	17,000.00	17,000.00	17,000.00	85,000.00
Depreciation (If Funded)	0.00	0 00	0.00	0.00	0.00	0.00
Loan Proceeds	0.00	0.00	0.00	0 00	0.00	0.00
Other	0.00	0.00	0.00	0.00	0 00	0.00
Total Sources	17,000.00	17,000.00	17,000 00	17,000.00	17,000.00	85,000.00
USES OF CASH						
Net Loss	0.00	0.00	0.00	0.00	0.00	0.00
Principle Portion of Pmts.	0.00	0.00	0.00	0.00	0.00	0 00
Fixed Asset Purchase	0.00	0 00	0.00	0.00	0.00	0.00
Reserve	0.00	0.00	0.00	0 00	0.00	0.00
Other	0.00	0.00	0.00	0.00	0.00	0.00
Total Uses	0.00	0 00	0.00	0 00	0.00	0.00
NET CASH FLOW	17,000.00	17,000.00	17,000.00	17,000.00	17,000.00	85,000.00
DEBT SERVICE COVERAGE						
Cash Available for Debt	0.00	0.00	0.00	0.00	0.00	0.00
SERVICE (CADS)						
Net Income (Loss)	0 00	0.00	0.00	0 00	0.00	0.00
Depreciation, or Reserve Interest	0.00	0.00	0.00	0 00	0 00	0 00
Total	0.00	0.00	0.00	0.00	0.00	0.00
REQUIRED DEBT SERVICE (RDS)						
Principle Plus Interest	0 00	0.00	0.00	0.00	0 00	0.00
DEBT SERVICE COVERAGE RATIO						
CADS Divided by RDS	0.00	0.00	0.00	0.00	0.00	0.00

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for transferred or acquired.	or each physically Distinct	system being
17. A. For Water Systems. TCEQ Public Water System Identify	ication Number: 0 7	9 0 3 8 3
Date of last inspection: August 23, 2016		
B. For Wastewater Systems:		
-TCEQ Discharge Permit Number: W Q -Name of Permitee: N/A -Date of application to transfer Discharge Permiter -Date -Dat	t submitted:	
18. A. Are any improvements required to meet TCEQ or PUC standards?	Yes No. If yes,	please explain:
	No. If yes, please explain	1:
C. Provide details of each required major capital improvement TCEQ or PUC standards (attach additional sheets if necessary)	ary):	
Description of the Required Improvement N/A	Schedule to Complete	Estimated Cost
 19. Does the system being transferred operate within the city limit boundaries? Yes X No If yes, indicate the number of customers within the city limit Water Sewer 		in district
Water Sewer Attach copy of franchise agreement or consent letter from the	e city or district.	

PUCT Sale Merger Transfer (Previous TCEQ Form 10516)
Page 16 of 23 9/1/2014

20. Do you currently purchase Water Sewer		or sewer treatment of Purchased on a	capacity from Regular		s X No tergency Basis
• Source: N/A				% of total supply: 0.00)%
21. List the number of exist Water O -Non Metered 9 -5/8" or 3/4" meter	0 -	nections to be effective 2"meter 3" meter		transaction. Sewer Residential Connection Commercial Connection	
13 -1" meter		4" meter		Industrial Connection	
0 -1 1/2" meter		Other	9790	Other	
Total Water Connecti		22	7.85	Total Sewer Connections	
N/A					
23. List the name, class, and	license	number of the oper	rator(s) that	will be responsible for the s	ystem:
Name			Class	License#	
Harrison Williams		В		WG00014737	
24. Attach the following map	s with e	each copy of the ap	plication:		

- - a. One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
 - b. One large scale map showing the proposed service area boundaries being sold, transferred, or merged and, if available, the existing and proposed facilities. Color coding should be used to differentiate existing from proposed facilities. Facilities and service area boundaries should be shown with such exactness that they can be located on the ground. If transferring area not currently in a CCN or a portion of an existing CCN area please attach the following hard copy maps with each copy of the application:
 - 1. A general location map delineating the proposed service area with enough detail to accurately locate the proposed area within the county.
 - 2. A map showing only the proposed area by:
 - metes and bounds survey certified by a licensed state or registered professional land i. surveyor; or
 - ii. projectable digital data with metadata (proposed areas should be in a single record and clearly labeled, data disk should be included); or
 - following verifiable natural and man-made landmarks, or iii.
 - a copy of recorded plat map with metes and bounds.
 - 3. A written description of the proposed service area.

Part G - Oaths and Notices

OATH FOR SELLER OR FORMER SERVICE PROVIDER

STATE OF	Texas	
COUNTY OF	Fort Bend	
I, James K. Reed		, being duly sworn, file this application for
(indicate relations) representative of a familiar with the do and, that all such st other parties are m	pplicant); that, in such capacity, I am ocuments filed with this application, a atements made and matters set forth	Vice-President, Goldenrod Estates Homeowners Association inc. ber of partnership, title as officer of corporation, or other authorized qualified and authorized to file and verify such application, am personally and have complied with all the requirements contained in the application; a therein with respect to applicant are true and correct. Statements about her state that the application is made in good faith and that this application ission.
I further state that	I have provided to the purchaser or tr	ansferee a written disclosure statement about any contributed property as
		anding Orders of the Texas Commission on Environmental Quality, the and have also complied with the notice requirements in Section 13.301(k) of
the Texas Water Co		ma nove also complica with the notice regalitements in section 13.501(k) of
		JakRee
		AFFIANT (Utility's Authorized Representative)
	form is any person other than the so torney must be enclosed.	le owner, partner, officer of the Applicant, or its attorney, a properly
SUBSCRIBED AND S	WORN, TO BEFORE ME, a Notary Publ of <i>Novello</i> 20 <u>/ 7</u> .	c in and for the State of Texas, this
SEAL	, PAULA ARDOIN	Laula audoin
×	MY COMMISSION EXPIRES June 30, 2018	NOTARY PUBLIC IN AND FOR THE STATE OF TEXAS Paula Ardoin
		MY COMMISSION EXPIRES 6/36//8

One copy of this page must be submitted for each utility involved in this transaction.

OATH FOR PURCHASER OR ACQUIRING ENTITY

STA	ATE OF	Texas	-
со	UNTY OF	Fort Bend	-
l,	Curtis M Layma	an	, being duly sworn, file this application for
rep per the cor good	dicate relations resentative of a sonally familiar application; an rect. Statement of faith and that also authorized ironmental Quadrilities being a	applicant); that, in such capacity, I am qualified an with the documents filed with this application, and, that all such statements made and matters sets about other parties are made on information at this application does not duplicate any filing proceed and do agree to be bound by and comply with ality, the Public Utility Commission of Texas or the	nership, title as officer of corporation, or other authorized authorized to file and verify such application, am and have complied with all the requirements contained in forth therein with respect to applicant are true and and belief. I further state that the application is made in
<u>do i</u>	<u>not comply.</u>		(Utility's Authorized Representative)
		s form is any person other than the sole owner, p must be enclosed.	artner, officer of the Applicant, or its attorney, a properly verified
Арр	licant represen	ts that all other parties to this transaction have b	een furnished copies of this completed application.
SUB day		WORN TO BEFORE ME, a Notary Public in and fo of Devention 20	the State of Texas, this
	X	PAULA ARDOIN MY COMMISSION EXPIRES June 30, 2018	NOTARY PUBLIC IN AND FOR THE STATE OF TEXAS
			PRINT OR TYPE NAME OF NOTARY
			MY COMMISSION EXPIRES 6/30/18

One copy of this page must be submitted for each utility involved in this transaction.

Page 19 of 23

Docket No.		
IJUKKELIMU.		

Notice to Current Customers, Neighboring Systems and Cities

Golder	nrod Estates Homeowners Association Inc.		_ 'S		
(Selle	er's or Transferor's Name)				
NOTI	CE OF INTENT TO SELL FACILITIES AND	TRANSFER CERTIFICA	TE OF CONVENIENCE AND		
	SSITY (CCN) NO CCN 12933			Supply Corporation	
				chaser's or Transferee's Nam	ne)
IN	Fort Bend		COUNTY, TEXAS		-,
					
To:			Date Notice Mailed	, 20	
	(Name of Customer, Neighboring Syste	em or City)			
	/Addross)				
	(Address)				
City	State Zip				
·	·				
Golden	rod Estates Homeowners Association Inc.,	C/O Treasurer 3901 Lost	Goldenrod Dr., Richmond, TX	77406	
S	ellers or Transferors' Name	Address	City/State/Zi) Code	
	ubmitted an application with the Public	-			
water	or sewer (please select) CCN No.	12933	in Fort B	ind (County	y Name]
Count	ty to:				
Count	.,				
Golden	rod Water Supply Corporation, Inc. 3910 Lis	t Goldenrod Drive, Richr	nond, TX 77406		
	chasers or Transferee's Name	Addı		Zip Code	
				•	
	ale is scheduled to take place as approv		(V.T.C.A., Water Code §13	301). The transaction and t	he transfer of
the Co	CN include the following subdivision(s):				
,	Deldemand Federa				
_	Goldenrod Estates				
Those	rea subject to this transaction is located	d annrovimatoly	7 miles	North East (dispersion	16
down			7 miles Texas, and is generally bou	North East [direction	nj ot
Empress			ones Creek	nded on the north by	
	e south by Jones Creek	and on the		ad .	
,011 (11	c south by the control of the contro	,und on the	- West by		
The to	otal area being requested includes appr	oximately	65 acres and serves	22 current cus	tomers.
	ransaction will have the following effect				
	ct. The rates will remain unchanged for the f				
	3.00			*	
Affect	ed persons may file written protests an	d/or request a public	hearing within 30 days of th	is notice.	
To rec	juest a hearing, you must:				
	(1) state your name, mailing address				
	(2) state the applicant's name, applicant			to this application;	
	(3) include the statement "I/we requ				
	(4) write a brief description of how y			est would be	
	adversely affected by the propose			•	
	(5) state your proposed adjustment:	to the application or C	CN which would satisfy you	r concerns and	

cause you to withdraw your request for a hearing.

Only those persons who submit a written request to be notified of a hearing will receive notice if a hearing is scheduled. The Commission will issue the CCN requested in the referenced application unless a hearing is scheduled to consider the transaction. If no protests or requests for hearing are filed during the comment period, the Commission may issue the CCN 30 days after publication of this notice.

Persons who wish to protest or request a hearing on this application should write the:

Filing Clerk
Public Utility Commission of Texas
1701 North Congress Avenue
P.O. Box 13326
Austin, Texas 78711-3326

Se desea informacion en Espanol, puede llamar al 1-888-782-8477

Utility Representative

Goldenrod Water Supply Corporation

Utility Name

Transfer for Sale, Transfer, or Merger of a Retail Public Utility Goldenrod Estates Homeowners Association to Goldenrod Water Supply Corporation

List of Attachments:

- 1. Proposed Agreement Between Parties
- 2. Copy of the last TCEQ onsite inspection August 29, 2016
- 3. Proposed Rate Tariff (Same as the approved R/T from the PUC)
- 4. Service Area Maps

Attachment #: N/A Page # N/A

Goldenrod Water Supply Corporation 3910 Lost Goldenrod Dr. Richmond, TX 77406

Asset Transfer Agreement between Goldenrod Estates Homeowners Association Inc. and Goldenrod Water Supply Corporation Inc.

Goldenrod Estates Homeowners Association Inc. (GEHOA) is a Texas Non-Profit Corporation. Goldenrod Water Supply Corporation (GWSC) is a Texas non-profit corporation duly signed pursuant to the provisions of Chapter 67 of the Texas Water Code.

Goldenrod Estates Is a subdivision in Fort Bend County, Texas according to the map or plat thereof recorded under Slide 1799A of the Map Records of Fort bend County, Texas.

The members of GEHOA and GWSC live in the Goldenrod Estates subdivision and all of the members of the GEHOA are members of GWSC. Each lot owner has one vote per lot and the one vote per lot rule applies for the GEHOA and the GWSC.

The duly elected Board of Directors of the GEHOA has operated a Community Water System for about 13 years. The developer of GEHOA operated the water well beginning in about 2001 prior to the GEHOA Board assuming operational control of the water service.

The members of GEHOA voted to form a Water Supply Corporation in 2017. The majority vote also approved the transfer of all assets of the GEHOA water system to the newly formed GWSC. The GEHOA is not charging a fee, monies or property, and the GWSC is not remitting anything of value to the GEHOA in exchange for the water system assets to include any money in a bank account where the water system funds are kept separate from the homeowner's association funds.

The GEHOA members voted, and they elected, three residents to the Board of Directors of the GWSC. The GWSC will serve the residents of Goldenrod Estates, provide water to the homes as has been the practice for about 16 years, and comply with all rules and regulations that apply to the operation of a public water system in Texas.

Upon final approval from the Public Utilities Commission of Texas, and all of the required notification periods have elapsed, this transfer of assets agreement will become effective and legally binding, and operated according to the bylaws and articles of incorporation of the Goldenrod Water Supply Corporation.

GEHOA – President Date	GWSC Board Member Date
State of Texas County Of Fort Bend.	This instrument was acknowledged before me on
Seal	Notary Signature:
Attachment #: / Page # X	My Commission Expires:
Attacimient # rage #)_

Goldenrod Water Supply Corporation 3910 Lost Goldenrod Dr. Richmond, TX 77406 Bryan W. Shaw, Ph.D., P.E., Chairman Toby Baker, Commissioner Jon Niermann, Commissioner Richard A. Hyde, P.E., Executive Director



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

August 29, 2016

Attachment #: 2 Page # 5

Goldenrod Water Supply Corporation 3910 Lost Goldenrod Dr. Richmond, TX 77406

James Reed, Vice President Goldenrod Estates HOA 3926 Lost Goldenrod Dr. Richmond, Texas 77406-7680

Re:

Comprehensive Compliance Investigation at:

Goldenrod Estates Homeowners Association, 3910 Lost Goldenrod Dr., Richmond, Fort

Bend County, Texas

Regulated Entity No.: 101439008

TCEQ ID No.: 0790383

Investigation No.: 1338044

Dear Mr. Reed:

On August 23, 2016, Ms. Nicole Reed and Ms. Destiny Winning, of the Texas Commission on Environmental Quality (TCEQ) Houston Region Office conducted an investigation of the above-referenced facility to evaluate compliance with the applicable requirements for public water supply systems. No violations are being alleged as a result of the investigation. In addition, please be advised that a violation could be issued upon further review of your system's records or self-reported documentation.

The TCEQ appreciates your assistance in this matter and your compliance efforts to ensure protection of the State's environment. If you or members of your staff have any questions regarding these matters, please feel free to contact Ms. Reed, in the Houston Region Office at (713) 767-3650.

Sincerely,

Latrichia Spikes, Team Leader

Public Water Supply Houston Region Office

LS/NR/mar

cc: Fort Bend County Public Health and Environmental Services

Harrison Williams, Operations Manager, PO Box 690521, Houston, TX 77269-0521



Attachment #: 3 Page # 5

Goldenrod Water Supply Corporation 3910 Lost Goldenrod Dr. Richmond, TX 77406

WATER UTILITY TARIFF

Docket Number: 43330

Goldenrod Estates Homeowners Association, Inc. (Utility Name)

Mail: 3910 Lost Goldenrod Dr.
Physical: 4317 Sealy Court
(Address)

Richmond, Texas 77406 (City, State, Zip Code)

(281) 232-6500 (Area Code/Telephone)

This tariff is effective for utility operations under the following Certificate of Convenience and Necessity:

12933

This tariff is effective in the following county:

Fort Bend

This tariff is effective in the following cities or unincorporated towns (if any):

None

This tariff is effective in the following subdivisions or systems:

Goldenrod Estates Homeowners Association Inc., PWS No. 0790383

TABLE OF CONTENTS

The above utility lists the following sections of its tariff (if additional pages are needed for a section, all pages should be numbered consecutively):

SECTION 1.0 RATE SCHEDULE	2
SECTION 2.0 - SERVICE RULES AND POLICIES	4
SECTION 3.0 EXTENSION POLICY	10
SECTION 4.0 DROUGHT CONTINGENCY PLAN	14
APPENDIX A SAMPLE SERVICE AGREEMENT	

SECTION 1.0 - RATE SCHEDULE

Section 1.01 - Rates

Meter Size 5/8" or 3/4" 1" 11/2" 2" 3"	Monthly Minimum Charge (Includes 4,000 gallons) \$16.69 \$41.73 \$83.45 \$133.52 \$250.35	Gallonage Charge \$3.07 per 1000 gallons and thereafter
Cash X , Check X THE UTILITY PAYMENTS MA FOR CASH PAY	DE USING MORE THAN \$1.00 IN SMALL (MENTS.	Other (specify) AYMENTS AND MAY REFUSE TO ACCEPT COINS. A WRITTEN RECEIPT WILL BE GIVEN
REGULATORY AS PUC RULES RE BILL.	SESSMENTQUIRE THE UTILITY TO COLLECT A FEE	OF ONE PERCENT OF THE RETAIL MONTHLY
Section 1.02 - Misce	llaneous Fees	
TAP FEE COVI RESIDENTIAL : LISTED ON THI	ERS THE UTILITY'S COSTS FOR MATERIA 5/8" or 3/4" METER. AN ADDITIONAL FEE S TARIFF.	\$950.00 ALS AND LABOR TO INSTALL A STANDARD TO COVER UNIQUE COSTS IS PERMITTED IF
TAP FEE (Unique conformed for example)	osts), , A ROAD BORE FOR CUSTOMERS OUTSID	E OF SUBDIVISIONS OR RESIDENTIAL AREAS.
TAP FEE (Large me TAP FEE IS THI	eter) E UTILITY'S ACTUAL COST FOR MATERIAI	Actual Cost LS AND LABOR FOR METER SIZE INSTALLED.
METER RELOCAT THIS FEE MAY	TON FEE Actual BE CHARGED IF A CUSTOMER REQUESTS	Relocation Cost, Not to Exceed Tap Fee THAT AN EXISTING METER BE RELOCATED.
THIS FEE WH REQUESTS A S	ICH SHOULD REFLECT THE UTILITY'S	COST MAY BE CHARGED IF A CUSTOMER AR PERIOD AND THE TEST INDICATES THAT Y NOT EXCEED \$25.

SECTION 1.0 RATE SCHEDULE (Continued)

RECONNECTION FEE THE RECONNECT FEE MUST BE PAID BEFORE SERVICE CAN BE RESTORED TO A CUSTOMER WHO HAS BEEN DISCONNECTED FOR THE FOLLOWING REASONS (OR OTHER REASONS LISTED UNDER SECTION 2.0 OF THIS TARIFF): a) Non-payment of bill (Maximum \$25.00)					
TRANSFER FEE					
LATE CHARGE (EITHER \$5.00 OR 10% OF THE BILL)					
RETURNED CHECK CHARGE\$20.00 RETURNED CHECK CHARGES MUST BE BASED ON THE UTILITY'S DOCUMENTED COST.					
CUSTOMER DEPOSIT RESIDENTIAL (Maximum \$50)\$0.00					
COMMERCIAL & NON-RESIDENTIAL DEPOSIT. 1/6TH OF ESTIMATED ANNUAL BILL					
GOVERNMENTAL TESTING, INSPECTION AND COSTS SURCHARGE WHEN AUTHORIZED IN WRITING BY TCEQ AND AFTER NOTICE TO CUSTOMERS, THE UTILITY MAY INCREASE RATES TO RECOVER INCREASED COSTS FOR INSPECTION FEES AND WATER TESTING. [30 TAC 24.21(K)(2)]					
LINE EXTENSION AND CONSTRUCTION CHARGES: REFER TO SECTION 3.0-EXTENSION POLICY FOR TERMS, CONDITIONS, AND CHARGES WHEN NEW CONSTRUCTION IS NECESSARY TO PROVIDE SERVICE.					
Attachment #: <u>3</u> Page # <u>5</u>					
Goldenrod Water Supply Corporation 3910 Lost Goldenrod Dr.					

Richmond, TX 77406

SECTION 2.0 - SERVICE RULES AND POLICIES

The utility will have the most current Public Utility Commission of Texas (Commission or PUC) Rules, Chapter 24, available at its office for reference purposes. The Rules and this tariff shall be available for public inspection and reproduction at a reasonable cost. The latest Rules or Commission approved changes to the Rules supersede any rules or requirements in this tariff.

Section 2.01 - Application for Water Service

All applications for service will be made on the utility's standard application or contract form (attached in the Appendix to this tariff), will be signed by the applicant, any required fees (deposits, reconnect, tap, extension fees, etc. as applicable) will be paid and easements, if required, will be granted before service is provided by the utility. A separate application or contract will be made for each service location.

Section 2.02 - Refusal of Service

The utility may decline to serve an applicant until the applicant has complied with the regulations of the regulatory agencies (state and municipal regulations) and for the reasons outlined in the PUC Rules. In the event that the utility refuses to serve an applicant, the utility will inform the applicant in writing of the basis of its refusal. The utility is also required to inform the applicant that a complaint may be filed with the Commission.

Section 2.03 - Fees and Charges & Easements Required Before Service Can Be Connected

(A) Customer Deposits

If a residential applicant cannot establish credit to the satisfaction of the utility, the applicant may be required to pay a deposit as provided for in Section 1.02 - Miscellaneous Fees of this tariff. The utility will keep records of the deposit and credit interest in accordance with PUC Rules.

Residential applicants 65 years of age or older may not be required to pay deposits unless the applicant has an outstanding account balance with the utility or another water or sewer utility which accrued within the last two years.

Nonresidential applicants who cannot establish credit to the satisfaction of the utility may be required to make a deposit that does not exceed an amount equivalent to one-sixth of the estimated annual billings.

Refund of deposit - If service is not connected, or after disconnection of service, the utility will promptly refund the customer's deposit plus accrued interest or the balance, if any, in excess of the unpaid bills for service furnished. The utility may refund the deposit at any time prior to termination of utility service but must refund the deposit plus interest for any customer who has paid 18 consecutive billings without being delinquent.

(B) Tap or Reconnect Fees

SECTION 2.0 - SERVICE RULES AND POLICIES (CONT.)

A new customer requesting service at a location where service has not previously been provided must pay a tap fee as provided in Section 1. A customer requesting service where service has previously been provided must pay a reconnect fee as provided in Section 1. Any applicant or existing customer required to pay for any costs not specifically set forth in the rate schedule pages of this tariff shall be given a written explanation of such costs prior to request for payment and/or commencement of construction. If the applicant or existing customer does not believe that these costs are reasonable or necessary, the applicant or existing customer shall be informed of their right to appeal such costs to the PUC or such other regulatory authority having jurisdiction over the utility's rates in that portion of the utility's service area in which the applicant's or existing customer's property(ies) is located.

Fees in addition to the regular tap fee may be charged to cover unique costs not normally incurred as permitted by 30 TAC 24.86(a)(1)(C) if they are listed on this approved tariff. For example, a road bore for customers outside a subdivision or residential area could be considered a unique cost.

(C) Easement Requirement

Where recorded public utility easements on the service applicant's property do not exist or public road right-of-way easements are not available to access the applicant's property, the Utility may require the applicant to provide it with a permanent recorded public utility easement on and across the applicant's real property sufficient to provide service to that applicant. easement(s) shall not be used for the construction of production, storage, transmission or pressure facilities unless they are needed for adequate service to that applicant.

Section 2.04 - Utility Response to Applications for Service

After the applicant has met all the requirements, conditions and regulations for service, the utility will install tap, meter and utility cut-off valve and/or take all necessary actions to initiate service. The utility will serve each qualified applicant for service within 5 working days unless line extensions or new facilities are required. If construction is required to fill the order and if it cannot be completed within 30 days, the utility will provide the applicant with a written explanation of the construction required and an expected date of service.

Except for good cause where service has previously been provided, service will be reconnected within one working day after the applicant has met the requirements for reconnection.

Section 2.05 - Customer Responsibility

The customer will be responsible for furnishing and laying the necessary customer service pipe from the meter location to the place of consumption. Customers will not be allowed to use the utility's cutoff valve on the utility's side of the meter. Existing customers may install cutoff valves on their side of the meter and are encouraged to do so. All new customers may be required to install and maintain a cutoff valve on their side of the meter.

Docket Number: 43330

3910 Lost Goldenrod Dr.

SECTION 2.0 - SERVICE RULES AND POLICIES (CONT.)

No direct connection between a public water supply system and any potential source of contamination or between a public water supply system and a private water source (ex. private well) will be allowed. A customer shall not connect, or allow any other person or party to connect, onto any water lines on his premises.

Section 2.06 - Customer Service Inspections

Applicants for new service connections or facilities which have undergone extensive plumbing modifications are required to furnish the utility a completed customer service inspection certificate. The inspection certificate shall certify that the establishment is in compliance with the Texas Commission on Environmental Quality (TCEQ) Rules and Regulations for Public Water Systems, Section 290.46(j). The Utility is not required to perform these inspections for the applicant/customer, but will assist the applicant/customer in locating and obtaining the services of a certified inspector.

Section 2.07 - Back Flow Prevention Devices

No water connection shall be made to any establishment where an actual or potential contamination or system hazard exists without an approved air gap or mechanical backflow prevention assembly. The air gap or backflow prevention assembly shall be installed in accordance with the American Water Works Association (AWWA) standards C510, C511 and AWWA Manual M14 or the University of Southern California Manual of Cross-Connection Control, current edition. The backflow assembly installation by a licensed plumber shall occur at the customer's expense.

The back flow assembly shall be tested upon installation by a recognized prevention assembly tester and certified to be operating within specifications. Back flow prevention assemblies which are installed to provide protection against high health hazards must be tested and certified to be operating within specifications at least annually by a recognized back flow prevention device tester. The maintenance and testing of the back flow assembly shall occur at the customer=s expense.

Section 2.08 - Access to Customer's Premises

The utility will have the right of access to the customer's premises at all reasonable times for the purpose of installing, testing, inspecting or repairing water mains or other equipment used in connection with its provision of water service, or for the purpose of removing its property and disconnecting lines, and for all other purposes necessary to the operation of the utility system including inspecting the customer's plumbing for code, plumbing or tariff violations. The customer shall allow the utility and its personnel access to the customer's property to conduct any water quality tests or inspections required by law. Unless necessary to respond to equipment failure, leak or other condition creating an immediate threat to public health and safety or the continued provision of adequate utility service to others, such entry upon the customer's property shall be during normal business hours and the utility personnel will attempt to notify the customer that they will be working on the customer's property.

3910 Lost Goldenrod Dr

SECTION 2.0 - SERVICE RULES AND POLICIES (CONT.)

The customer may require any utility representative, employee, contractor, or agent seeking to make such entry identify themselves, their affiliation with the utility, and the purpose of their entry.

All customers or service applicants shall provide access to meters and utility cutoff valves at all times reasonably necessary to conduct ordinary utility business and after normal business hours as needed to protect and preserve the integrity of the public drinking water supply.

Section 2.09 - Meter Requirements, Readings, and Testing

One meter is required for each residential, commercial, or industrial connection. All water sold by the utility will be billed based on meter measurements. The utility will provide, install, own and maintain meters to measure amounts of water consumed by its customers.

Meters will be read at monthly intervals and as nearly as possible on the corresponding day of each monthly meter reading period unless otherwise authorized by the Commission.

Meter tests. The utility will, upon the request of a customer, and, if the customer so desires, in his or her presence or in that of his or her authorized representative, make without charge a test of the accuracy of the customer's meter. If the customer asks to observe the test, the test will be made during the utility's normal working hours at a time convenient to the customer. Whenever possible, the test will be made on the customer's premises, but may, at the utility's discretion, be made at the utility's testing facility. If within a period of two years the customer requests a new test, the utility will make the test, but if the meter is found to be within the accuracy standards established by the American Water Works Association, the utility will charge the customer a fee which reflects the cost to test the meter up to a maximum \$25 for a residential customer. which reflects the cost to test the meter up to a maximum \$25 for a residential customer. Following the completion of any requested test, the utility will promptly advise the customer of the date of removal of the meter, the date of the test, the result of the test, and who made the test.

Section 2.10 - Billing

 $\stackrel{\mathcal{E}}{\simeq}$ (A) Regular Billing $\stackrel{\mathcal{E}}{\simeq}$ Bills from the utility will be mailed monthly unless otherwise authorized by the Commission. The due date of bills for utility service will be at least sixteen (16) days from the date of issuance. The postmark on the bill or, if there is no postmark on the bill, the recorded date of mailing by the utility will constitute proof of the date of issuance. Payment for utility service is delinquent if full payment, including late fees and the regulatory assessment, is not received at the utility or the utility's authorized payment agency by 5:00 p.m. on the due date. If the due date falls on a holiday or weekend, the due date for payment purposes will be the next workday after the due date.

(B) Late Fees

A late penalty of either \$5.00 or 10.0% will be charged on bills received after the due date. The penalty on delinquent bills will not be applied to any balance to which the penalty was applied in a previous billing. The utility must maintain a record of the date of mailing to charge the late penalty.

SECTION 2.0 - SERVICE RULES AND POLICIES (CONT.)

(C) Information on Bill

Each bill will provide all information required by the PUC Rules. For each of the systems it operates, the utility will maintain and note on the monthly bill a local or toll-free telephone number (or numbers) to which customers can direct questions about their utility service.

(D) <u>Prorated Bills</u> - If service is interrupted or seriously impaired for 24 consecutive hours or more, the utility will prorate the monthly base bill in proportion to the time service was not available to reflect this loss of service.

Section 2.11- Payments

All payments for utility service shall be delivered or mailed to the utility's business office. If the business office fails to receive payment prior to the time of noticed disconnection for non-payment of a delinquent account, service will be terminated as scheduled. Utility service crews shall not be allowed to collect payments on customer accounts in the field.

Payment of an account by any means that has been dishonored and returned by the payor or payee's bank, shall be deemed to be delinquent. All returned payments must be redeemed with cash or valid money order. If a customer has two returned payments within a twelve month period, the customer shall be required to pay a deposit if one has not already been paid.

Section 2.12 - Service Disconnection

(A) With Notice

Utility service may be disconnected if the bill has not been paid in full by the date listed on the termination notice. The termination date must be at least 10 days after the notice is mailed or hand delivered.

The utility is encouraged to offer a deferred payment plan to a customer who cannot pay an outstanding bill in full and is willing to pay the balance in reasonable installments. However, a customer's utility service may be disconnected if a bill has not been paid or a deferred payment agreement entered into within 26 days from the date of issuance of a bill and if proper notice of termination has been given.

Notice of termination must be a separate mailing or hand delivery in accordance with the PUC Rules.

(B) Without Notice

Utility service may also be disconnected without notice for reasons as described in the PUC Rules.

Section 2.13 - Reconnection of Service

Utility personnel must be available during normal business hours to accept payments on the day service is disconnected and the following day unless service was disconnected at the customer's request or due to a hazardous condition.

3910 Lost Goldenrod Dr.

SECTION 2.0 - SERVICE RULES AND POLICIES (CONT.)

Service will be reconnected within 24 hours after the past due bill, reconnect fees and any other outstanding charges are paid or the conditions which caused service to be disconnected are corrected.

Section 2.14 - Service Interruptions

The utility will make all reasonable efforts to prevent interruptions of service. If interruptions occur, the utility will re-establish service within the shortest possible time. Except for momentary interruptions due to automatic equipment operations, the utility will keep a complete record of all interruptions, both emergency and scheduled and will notify the Commission in writing of any service interruptions affecting the entire system or any major division of the system lasting more than four hours. The notice will explain the cause of the interruptions.

Section 2.15 - Quality of Service

The utility will plan, furnish, and maintain production, treatment, storage, transmission, and distribution facilities of sufficient size and capacity to provide a continuous and adequate supply of water for all reasonable consumer uses. Unless otherwise authorized by the Commission, the utility will maintain facilities as described in the TCEQ Rules and Regulations for Public Water Systems.

Section 2.16 - Customer Complaints and Disputes

If a customer or applicant for service lodges a complaint, the utility will promptly make a suitable investigation and advise the complainant of the results. Service will not be disconnected pending completion of the investigation. If the complainant is dissatisfied with the utility's response, the utility must advise the complainant that he has recourse through the Commission complaint process. Pending resolution of a complaint, the commission may require continuation or restoration of service.

The utility will maintain a record of all complaints which shows the name and address of the complainant, the date and nature of the complaint and the adjustment or disposition thereof, for a period of two years after the final settlement of the complaint.

In the event of a dispute between a customer and a utility regarding any bill for utility service, the utility will conduct an investigation and report the results to the customer. If the dispute is not resolved, the utility will inform the customer that a complaint may be filed with the Commission.

Section 2.17 - Customer Liability

Customer shall be liable for any damage or injury to utility-owned property shown to be caused by the customer.

SECTION 3.0 - EXTENSION POLICY

Section 3.01 - Standard Extension Requirements

LINE EXTENSION AND CONSTRUCTION CHARGES.

NO CONTRIBUTION IN AID OF CONSTRUCTION MAY BE REQUIRED OF ANY CUSTOMER EXCEPT AS PROVIDED FOR IN THIS APPROVED EXTENSION POLICY.

The customer will be given an itemized statement of the costs, options such as rebates to the customer, sharing of construction costs between the utility and the customer, or sharing of costs between the customer and other applicants prior to beginning construction.

Unless an exception is granted by the Commission, the residential service applicant shall not be required to pay for costs of main extensions greater than 2" in diameter for water distribution and pressure wastewater collection lines and 6" in diameter for gravity wastewater lines.

Exceptions may be granted by the Commission if:

- adequate service cannot be provided to the applicant using the maximum line sizes listed due to distance or elevation, in which case, it shall be the utility's burden to justify that a larger diameter pipe is required for adequate service;
- or larger minimum line sizes are required under subdivision platting requirements or building codes of municipalities within whose corporate limits or extraterritorial jurisdiction the point of use is located; or the residential service applicant is located outside the CCN service area.

If an exception is granted, the Utility shall establish a proportional cost plan for the specific extension or a rebate plan which may be limited to seven years to return the portion of the applicant's costs for oversizing as new customers are added to ensure that future applicants for service on the line pay at least as much as the initial service applicant.

The utility shall bear the cost of any over-sizing of water distribution lines or waste water collection lines necessary to serve other potential service applicants for customers in the immediate area.

For purposes of determining the costs that service applicants shall pay, commercial customers with service demands greater than residential customer demands in the certificated area, industrial, and wholesale customers shall be treated as developers. A service applicant requesting a one inch meter for a lawn sprinkler system to service a residential lot is not considered nonstandard service.

If an applicant requires service other than the standard service provided by the utility, such applicant will be required to pay all expenses incurred by the utility in excess of the expenses that would be incurred in providing the standard service and connection beyond 200 feet and throughout his property including the cost of all necessary transmission facilities.

3910 Lost Goldenrod Dr.

Richmond, TX 77406

SECTION 3.0 - EXTENSION POLICY (CONT.)

Residential customers will be charged the equivalent of the costs of extending service to their property from the nearest transmission or distribution line even if that line does not have adequate capacity to serve the customer. However, if the customer places unique, non-standard service demands upon the system, the customer may be charged the additional cost of extending service to and throughout their property, including the cost of all necessary transmission and storage facilities necessary to meet the service demands anticipated to be created by that property.

Section 3.02 - Costs Utilities Shall Bear

The utility will bear the full cost of any oversizing of water mains necessary to serve other customers in the immediate area. The individual residential customer shall not be charged for any additional production, storage, or treatment facilities. Contributions in aid of construction may not be required of individual residential customers for production, storage, treatment or transmission facilities unless otherwise approved by the Commission under this specific extension policy.

Within its certificate area, the utility will pay the cost of the first 200 feet of any water main or distribution line necessary to extend service to an individual residential customer within a platted subdivision. However, if the residential customer requesting service purchased the property after the developer was notified of the need to provide facilities to the utility, the utility may charge for the first 200 feet. The utility must also be able to document that the developer of the subdivision refused to provide facilities compatible with the utility's facilities in accordance with the utility's approved extension policy after receiving a written request from the utility.

The Utility is not required to extend service to any applicant outside of its certificated service area and will only do so under terms and conditions mutually agreeable to the Utility and the applicant, in compliance with PUC rules and policies, and upon extension of the Utility's certificated service area boundaries by the PUC.

Section 3.03 - Contributions in Aid of Construction

Developers may be required to provide contributions in aid of construction in amounts sufficient to furnish the development with all facilities necessary to provide for reasonable local demand requirements and to comply with TCEQ minimum design criteria for facilities used in the production, transmission, pumping, or treatment of water or TCEQ minimum requirements. For purposes of this subsection, a developer is one who subdivides or requests more than two meters on a piece of property. Commercial, industrial, and wholesale customers will be treated as developers.

Any applicant who places unique or non-standard service demands on the system may be required to provide contributions in aid of construction for the actual costs of any additional facilities required to maintain compliance with the TCEQ minimum design criteria for water production, treatment, pumping, storage and transmission.

SECTION 3.0 - EXTENSION POLICY (CONT.)

Any service extension to a subdivision (recorded or unrecorded) may be subject to the provisions and restrictions of 30 TAC 291.86(d). When a developer wishes to extend the system to prepare to service multiple new connections, the charge shall be the cost of such extension, plus a prorata charge for facilities which must be committed to such extension compliant with the TCEQ minimum design criteria. As provided by 30 TAC 24.85(e)(3), for purposes of this section, commercial, industrial, and wholesale customers shall be treated as developers.

A utility may only charge a developer standby fees for unrecovered costs of facilities committed to a developer's property under the following circumstances:

- Under a contract and only in accordance with the terms of the contract; or
- if service is not being provided to a lot or lots within two years after installation of facilities necessary to provide service to the lots has been completed and if the standby fees are included on the utility's approved tariff after a rate change application has been filed. The fees cannot be billed to the developer or collected until the standby fees have been approved by the Commission.
- For purposes of this section, a manufactured housing rental community can only be charged standby fees under a contract or if the utility installs the facilities necessary to provide individually metered service to each of the rental lots or spaces in the community.

Section 3.04 - Appealing Connection Costs

The imposition of additional extension costs or charges as provided by Sections 3.0 - Extension Policy of this tariff shall be subject to appeal as provided in this tariff, PUC rules, or the rules of such other regulatory authority as may have jurisdiction over the utility's rates and services. Any applicant required to pay for any costs not specifically set forth in the rate schedule pages of this tariff shall be given a written explanation of such costs prior to payment and/or commencement of construction. If the applicant does not believe that these costs are reasonable or necessary, the applicant shall be informed of the right to appeal such costs to the PUC or such other regulatory authority having jurisdiction over the utility's rates in that portion of the utility's service area in which the applicant's property(ies) is located.

Section 3.05 - Applying for Service

The Utility will provide a written service application form to the applicant for each request for service received by the Utility's business offices. A separate application shall be required for each potential service location if more than one service connection is desired by any individual applicant. Service application forms will be available at the Utility's business office during normal weekday business hours. Service applications will be sent by prepaid first class United States mail to the address provided by the applicant upon request. Completed applications should be returned by hand delivery in case there are questions which might delay fulfilling the service request. Completed service applications may be submitted by mail if hand delivery is not possible.

SECTION 3.0 - EXTENSION POLICY (CONT.)

Where a new tap or service connection is required, the service applicant shall be required to submit a written service application and request that a tap be made. A diagram, map, plat, or written metes and bounds description of precisely where the applicant desires each tap or service connection is to be made and, if necessary, where the meter is to be installed, along the applicant's property line may also be required with the tap request. The actual point of connection and meter installation must be readily accessible to Utility personnel for inspection, servicing, and meter reading while being reasonably secure from damage by vehicles and mowers. If the Utility has more than one main adjacent to the service applicant's property, the tap or service connection will be made to the Utility's nearest service main with adequate capacity to service the applicant's full potential service demand. Beyond the initial 200 feet, the customer shall bear only the equivalent cost of extending from the nearest main. If the tap or service connection cannot be made at the applicant's desired location, it will be made at another location mutually acceptable to the applicant and the Utility. If no agreement on location can be made, the applicant may refer the matter to the PUC for resolution.

Section 3.06 - Qualified Service Applicant

A "qualified service applicant" is an applicant who has: (1) met all of the Utility's requirements for service contained in this tariff, PUC rules and/or PUC order, (2) has made payment or made arrangement for payment of tap fees, (3) has provided all necessary easements and rights-of-way necessary to provide service to the requested location, (4) delivered an executed customer service inspection certificate to the Utility, if applicable, and (5) has executed a customer service application for each location to which service is being requested.

The Utility shall serve each qualified service applicant within its certificated service area as soon as practical after receiving a completed service application. All service requests will be fulfilled within the time limits prescribed by PUC rules once the applicant has met all conditions precedent to achieving "qualified service applicant" status. If a service request cannot be fulfilled within the required period, the applicant shall be notified in writing of the delay, its cause and the anticipated date that service will be available. The PUC service dates shall not become applicable until the service applicant has met all conditions precedent to becoming a qualified service applicant as defined by PUC rules.

Section 3.07 - Developer Requirements

As a condition of service to a new subdivision, the Utility shall require a developer (as defined by PUC rule) to provide permanent recorded public utility easements as a condition of service to any location within the developer's property.

Attachment #: 3 Page # 5

Goldenrod Water Supply Corporation 3910 Lost Goldenrod Dr. Richmond, TX 77406

Water Utility Tariff Page No. 14

Goldenrod Estates Homeowners Association, Inc. (Utility Name)

SECTION 4.0 – DROUGHT CONTINGENCY PLAN (Utility must attach copy of TCEQ approved Drought Contingency Plan)

Richmond, TX 77406

APPENDIX A

Chapter 290.47(b) Sample Service Agreement SERVICE AGREEMENT

- I. PURPOSE. The NAME OF THE WATER SYSTEM is responsible for protecting the drinking water supply from contamination or pollution which could result from improper private water distribution system construction or configuration. The purpose of this service agreement is to notify each customer of the restrictions which are in place to provide this protection. The utility enforces these restrictions to ensure the public health and welfare. Each customer must sign this agreement before the NAME OF WATER SYSTEM will begin service. In addition, when service to an existing connection has been suspended or terminated, the water system will not re-establish service unless it has a signed copy of this agreement.
- II. RESTRICTIONS. The following unacceptable practices are prohibited by State regulations.
 - A. No direct connection between the public drinking water supply and a potential source of contamination is permitted. Potential sources of contamination shall be isolated from the public water system by an air-gap or an appropriate backflow prevention device.
 - B. No cross-connection between the public drinking water supply and a private water system is permitted. These potential threats to the public drinking water supply shall be eliminated at the service connection by the installation of an air-gap or a reduced pressure-zone backflow prevention device.
 - C. No connection which allows water to be returned to the public drinking water supply is permitted.
 - D. No pipe or pipe fitting which contains more than 8.0% lead may be used for the installation or repair of plumbing at any connection which provides water for human use.
 - E. No solder or flux which contains more than 0.2% lead can be used for the installation or repair of plumbing at any connection which provides water for human use.
- III. SERVICE AGREEMENT. The following are the terms of the service agreement between the NAME OF WATER SYSTEM (the Water System) and NAME OF CUSTOMER (the Customer).
 - A. The Water System will maintain a copy of this agreement as long as the Customer and/or the premises is connected to the Water System.
 - B. The Customer shall allow his property to be inspected for possible cross-connections and other potential contamination hazards. These inspections shall be conducted by the Water System or its designated agent prior to initiating new water service; when there is reason to believe that cross-connections or other potential contamination hazards exist; or after any major changes to the private

- water distribution facilities. The inspections shall be conducted during the Water System's normal business hours.
- C. The Water System shall notify the Customer in writing of any cross-connection or other potential contamination hazard which has been identified during the initial inspection or the periodic reinspection.
- D. The Customer shall immediately remove or adequately isolate any potential cross-connections or other potential contamination hazards on his premises.
- E. The Customer shall, at his expense, properly install, test, and maintain any backflow prevention device required by the Water System. Copies of all testing and maintenance records shall be provided to the Water System.
- IV. ENFORCEMENT. If the Customer fails to comply with the terms of the Service Agreement, the Water System shall, at its option, either terminate service or properly install, test, and maintain an appropriate backflow prevention device at the service connection. Any expenses associated with the enforcement of this agreement shall be billed to the Customer.

CUSTOMER'S		•		*	•	•	
SIGNATURE:	. 1		, .			 	
DATE:					,		

GOLDENROD ESTATES



PRIVATE DEVELOPMENT WATER WELL & SEPTIC PAVING - OPEN BAR DITCHES

FORT BEND COUNTY, TEXAS

Attachment #: 4 Page # 17

Goldenrod Water Supply Corporation 3910 Lost Goldenrod Dr. Richmond, TX 77406

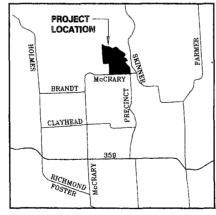
TITLE SHEEL NO

COVER

FAVING & DRAINAGE OVERALL
SUBDIMISION PLAT
GENERAL NOTES
GOLDENROD DR (STA 20400 TO 27400)
GOLDENROD DR (STA 27+00 TO STA 33+4733)
SEALY CT
FOND DETAILS
FPATTIC CONTROL LAYOUT
LAYING DETAILS
GOLDENROD PREVENTION LOCATION

12

FIRST CONTROL OF THE REPORT OF THE PROPERTY OF



VICINITY MAP FORT BEND COUNTY, TEXAS NOTE

THE SUBDIVISION IS NOT LOCATED WITHIN THE CITY OF MOUSTON HAW AF A MADE SUBDIVISION IS NOT LOCATED WITHIN THE CITY OF MOUSTON HAW AF A MADE SUBDIVISION RECORDANCY OF THE PLAT DOES NOT GRAVATE WILL SUBDIVISION RECORDANCY OF THE PLAT DOES NOT GRAVATE HE CITY OF HOUSTON, OR ANY GOVERNMENTAL AUTHORITY TO PROJUD HE WASTERNET MEATHER. LOCATED YAND SERVEY FOR THIS SUBMISHED ON THE MINERAL PLANTED AND THE PLAT DOES NOT THE CITY OF THIS SUBMISHED ON THE MINERAL PLANTED AND THE PLAT DOES NOT THE PLANTED AND T

DATE: SEPTEMBER 1998

The supplication of the su

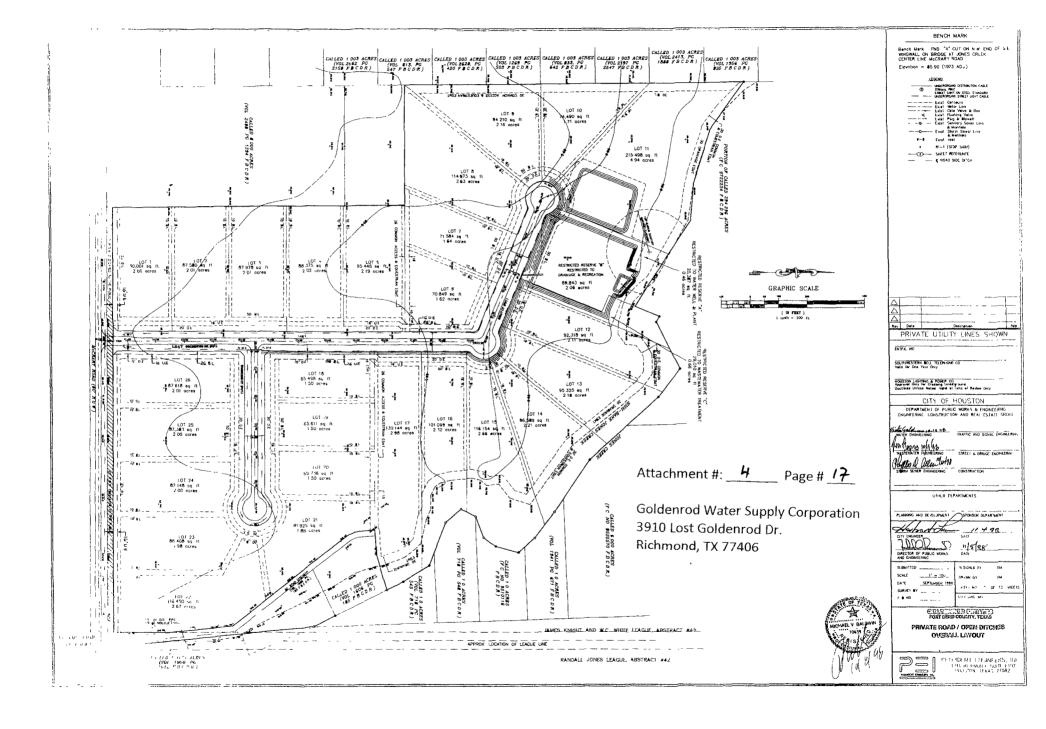
CITY DWG NO

CONTRACTOR SHALL HOTHY THE CITY OF HOUSIGN DEPARTMENT OF PUBLIC WORKS AND ENGINEERING ENGINEERING CONSTRUCTION AND FAL ESTATES GROUP (TELEPHONE NO 750-0700) 48 HOURS DEFORE STARTING WORK ON THIS PROBLEC!

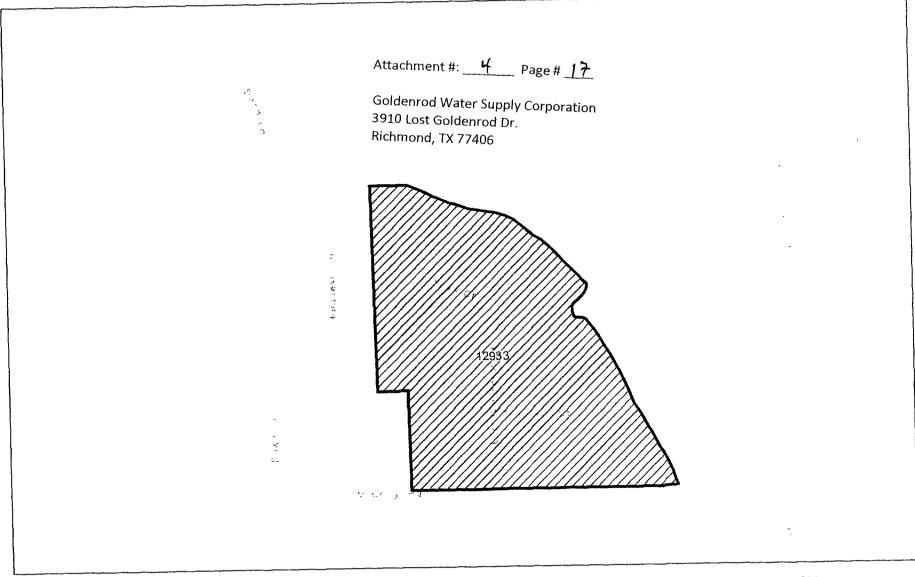
"PRIOR TO THE CONSTRUCTION OF THESE FACILITIES WITHIN UL 31 THE DISTRICT THE OSSTRUCT OR ITS EXUMPLER WILL QUE WRITTEN NOTICE BY REGOLARLO OR CARLING AND LONGERHORE OF PUBLICA WORKS AND LONGERHORE STAND OF LABLE SUL HIS STAND OF THE STAND OF LOAD STAND O







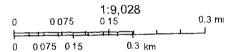
Goldenrod Estates HOA



November 10, 2017

//// Water CCN Service Areas

TxDOT Counties



Sources, Esn, HERE, DeLorme, USGS, Intermap, INCREMENT P, NRCan, Esri Japan, METI, Esn China (Hong Kong), Esri Korea, Esri (Thailand),