



Control Number: 47641



Item Number: 1

Addendum StartPage: 0



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2017 SEP 22 PM 4:47

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Austin, TX 78701  
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47641

September 22, 2017

Filing Clerk  
Public Utility Commission of Texas  
1701 North Congress  
Room G-113, P.O. Box 13326  
Austin, Texas 78711-3326

Re: NOTIFICATION OF NAME CHANGE – EMCAD Water and Wastewater, LLC  
to Undine Texas Environmental, LLC

Dear Filing Clerk,

On July 27, 2017, the Secretary of State for the State of Texas certified a name change for EMCAD Water and Wastewater, LLC to Undine Texas Environmental, LLC (“Undine”), with an effective date of July 27, 2017. Attached as Exhibit A is the relevant Secretary of State documentation evidencing the name change.

Please note that all other information and business aspects with respect to Undine, such as company structure and function, tax identification, office address and contacts, remain the same.

It is our understanding that this application will be processed through CADM and assigned to an administrative law judge. We note that, consistent with discussions with PUC staff, this notification is being provided contemporaneously with a separate Undine filing – the Application for Sale, Transfer, or Merger of a Retail Public Utility for the Wastewater Assets of Gulf Coast Utility Company, Inc. That application is being submitted under the new name.

Should you have any questions or comments relating to this notice, please contact me at 512-457-8000.

Sincerely,

  
Peter T. Gregg  
Attorney for  
Undine Texas Environmental, LLC

PTG:agg

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# **EXHIBIT A**

Corporations Section  
P.O.Box 13697  
Austin, Texas 78711-3697



Rolando B. Pablos  
Secretary of State

## Office of the Secretary of State

### CERTIFICATE OF FILING OF

Undine Texas Environmental, LLC  
801768069

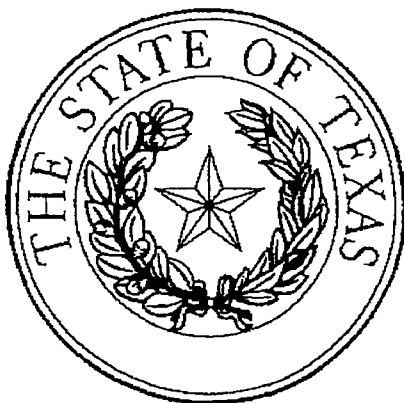
[formerly: EMCAD Water and Wastewater, LLC]

The undersigned, as Secretary of State of Texas, hereby certifies that a Certificate of Amendment for the above named entity has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing filing effective on the date shown below.

Dated: 07/27/2017

Effective: 07/27/2017



A handwritten signature in black ink, appearing to read "R. Pablos".

Rolando B. Pablos  
Secretary of State

Form 424  
 (Revised 05/11)  
 Submit in duplicate to:  
 Secretary of State  
 P.O. Box 13697  
 Austin, TX 78711-3697  
 512 463-5555  
 FAX: 512/463-5709  
 Filing Fee: See instructions



Certificate of Amendment

This space reserved for office use.

**FILED**  
 In the Office of the  
 Secretary of State of Texas  
 JUL 27 2017  
 Corporations Section

**Entity Information**

The name of the filing entity is:

EMCAD Water and Wastewater, LLC

State the name of the entity as currently shown in the records of the secretary of state. If the amendment changes the name of the entity, state the old name and not the new name.

The filing entity is a: (Select the appropriate entity type below.)

- |   |   |
|---|---|
| <input type="checkbox"/> For-profit Corporation               | <input type="checkbox"/> Professional Corporation               |
| <input type="checkbox"/> Nonprofit Corporation                | <input type="checkbox"/> Professional Limited Liability Company |
| <input type="checkbox"/> Cooperative Association              | <input type="checkbox"/> Professional Association               |
| <input checked="" type="checkbox"/> Limited Liability Company | <input type="checkbox"/> Limited Partnership                    |

The file number issued to the filing entity by the secretary of state is: 801768069

The date of formation of the entity is: April 15, 2013

**Amendments**

**1. Amended Name**

(If the purpose of the certificate of amendment is to change the name of the entity, use the following statement)

The amendment changes the certificate of formation to change the article or provision that names the filing entity. The article or provision is amended to read as follows:

The name of the filing entity is: (state the new name of the entity below)

Undine Texas Environmental, LLC

The name of the entity must contain an organizational designation or accepted abbreviation of such term, as applicable.

**2. Amended Registered Agent/Registered Office**

The amendment changes the certificate of formation to change the article or provision stating the name of the registered agent and the registered office address of the filing entity. The article or provision is amended to read as follows:

**Registered Agent**

(Complete either A or B, but not both. Also complete C.)

A. The registered agent is an organization (cannot be entity named above) by the name of:

OR

B. The registered agent is an individual resident of the state whose name is:

First Name	MI	Last Name	Suffix
The person executing this instrument affirms that the person designated as the new registered agent has consented to serve as registered agent.			

C. The business address of the registered agent and the registered office address is:

Street Address (No P.O. Box)	City	State	Zip Code
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**3. Other Added, Altered, or Deleted Provisions**

Other changes or additions to the certificate of formation may be made in the space provided below. If the space provided is insufficient, incorporate the additional text by providing an attachment to this form. Please read the instructions to this form for further information on format.

Text Area (The attached addendum, if any, is incorporated herein by reference.)

Add each of the following provisions to the certificate of formation. The identification or reference of the added provision and the full text are as follows:

Alter each of the following provisions of the certificate of formation. The identification or reference of the altered provision and the full text of the provision as amended are as follows:

Delete each of the provisions identified below from the certificate of formation.

**Statement of Approval**

The amendments to the certificate of formation have been approved in the manner required by the

Texas Business Organizations Code and by the governing documents of the entity.

**Effectiveness of Filing** (Select either A., B., or C.)

- A.  This document becomes effective when the document is filed by the secretary of state.
- B.  This document becomes effective at a later date, which is not more than ninety (90) days from the date of signing. The delayed effective date is: \_\_\_\_\_
- C.  This document takes effect upon the occurrence of a future event or fact, other than the passage of time. The 90<sup>th</sup> day after the date of signing is: \_\_\_\_\_

The following event or fact will cause the document to take effect in the manner described below: .

**Execution**

The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument and certifies under penalty of perjury that the undersigned is authorized under the provisions of law governing the entity to execute the filing instrument.


Date: June 26, 2017

By: EMCAD Water and Wastewater, LLC

Edward R Wallace Sr  
Signature of authorized person

Edward R. Wallace Sr.  
Printed or typed name of authorized person (see instructions)



<p>Form 509 (Revised 06/15)</p> <p>Submit with relevant filing instrument.</p> <p>Filing Fee: None</p>	 <p>Consent to Use of Similar Name</p>	
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(1) Undine Texas, LLC, File no. 0802339329

*Name and file number of the entity or individual who holds the existing name on file with the secretary of state*

consents to the use of

(2) Undine Texas Environmental, LLC

*Proposed name*

as the name of a filing entity or foreign filing entity in Texas for the purpose of submitting a filing instrument to the secretary of state.

(3) The undersigned certifies to being authorized by the holder of the existing name to give this consent. The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument.

Date: June 26, 2017

Edward R. Wallace Sr  
Signature of Authorized Person

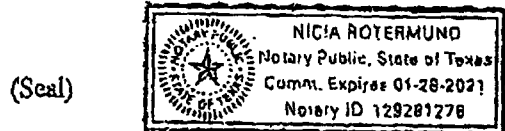
Edward R. Wallace Sr.  
Name of Authorized Person (type or print)

President, Undine Texas, LLC  
Title of Authorized Person, if any (type or print)

State of Texas

County of Harris

This instrument was acknowledged before me on 6/26/17 by Edward R. Wallace Sr.  
(date) (name of authorized person)



Nicia Rotermund  
Notary Public's signature

Corporations Section  
P.O.Box 13697  
Austin, Texas 78711-3697



Rolando B. Pablos  
Secretary of State

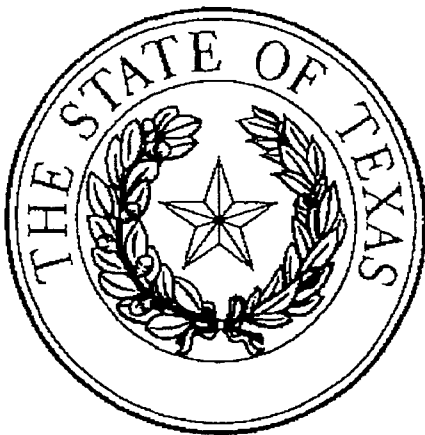
## Office of the Secretary of State

### Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Certificate of Formation for Undine Texas Environmental, LLC (file number 801768069), a Domestic Limited Liability Company (LLC), was filed in this office on April 15, 2013.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on August 03, 2017.



A handwritten signature in black ink, appearing to read "R. Pablos", written over a horizontal line.

Rolando B. Pablos  
Secretary of State

Corporations Section  
P.O.Box 13697  
Austin, Texas 78711-3697



Rolando B. Pablos  
Secretary of State

## Office of the Secretary of State

### Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Application for Registration for Undine Texas, LLC (file number 802339329), a DELAWARE, USA, Foreign Limited Liability Company (LLC), was filed in this office on November 25, 2015.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on August 04, 2017.



A handwritten signature in black ink, appearing to read "R. Pablos".

Rolando B. Pablos  
Secretary of State

Corporations Section  
P.O.Box 13697  
Austin, Texas 78711-3697



Rolando B. Pablos  
Secretary of State

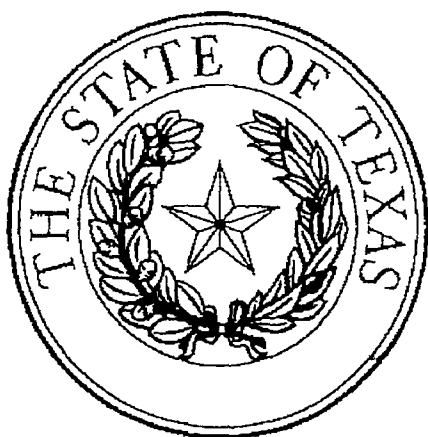
## Office of the Secretary of State

### Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Application for Registration for Undine, LLC (file number 802339315), a DELAWARE, USA, Foreign Limited Liability Company (LLC), was filed in this office on November 25, 2015.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on August 04, 2017.



A handwritten signature in black ink, appearing to read "R. Pablos".

Rolando B. Pablos  
Secretary of State