

Control Number: 46494



Item Number: 1

Addendum StartPage: 0



Application for Sale, Transfer, or Merger of a Retail Public Utility

Pursuant to Chapter 13.251 of the Texas Water Code

Docket Number: 46494

(this number will be assigned by the Public Utility Commission after your application is filed)

7 copies of the application, including the original, along with one copy of the portable electronic storage medium (such as CD or DVD) containing the GIS data shall be filed with

Public Utility Commission of Texas
Attention: Filing Clerk
1701 N. Congress Avenue
P.O. Box 13326
Austin, Texas 78711-3326

RECEIVED
2016 OCT 28 PM 1:07
PUBLIC UTILITY COMMISSION
FILING CLERK

No later than seven days after filing the application for the boundary change, provide a copy of each paper map and a portable electronic storage medium (such as CD, flash drive or DVD) containing complete and identical data to the portable electronic storage medium submitted above to

Texas Natural Resources Information System
1700 N. Congress Ave, Room B40
Austin, Texas 78701

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Part A – General Information

*RN# *CN# * (PRIOR TCEQ ID numbers)

1. Proposed action of application (check all the boxes that apply):

<input type="checkbox"/> Sale of	<input type="checkbox"/> All	<input type="checkbox"/> Portion	of the	<input type="checkbox"/> Water system(s) under CCN No.:	<input type="text"/>
<input type="checkbox"/> Acquisition				<input type="checkbox"/> Sewer system(s) under CCN No.:	<input type="text"/>
<input type="checkbox"/> Lease/Rental					

<input checked="" type="checkbox"/> Transfer of	<input checked="" type="checkbox"/> All	<input type="checkbox"/> Portion	of the	<input checked="" type="checkbox"/> Certificated water service area – CCN No.:	<input type="text" value="12052"/>
				<input type="checkbox"/> Certificated sewer service area – CCN No.:	<input type="text"/>

If only a portion of a system or certificated service area is affected by this transaction, please specify the areas or subdivision involved:

and to:

<input type="checkbox"/> Obtain a CCN for the transferee (purchaser) – indicate if purchaser will take the seller's CCN	
<input checked="" type="checkbox"/> Amend the transferee's CCN No.:	<input type="text" value="11106, SWWC Utilities, Inc. dba Water Services, Inc."/>
<input checked="" type="checkbox"/> Merge or consolidate public utilities	<input type="text"/>
<input checked="" type="checkbox"/> Cancel CCN of the transferor (seller)	<input type="text" value="12052, Wiedenfeld, Water Works, Inc."/>

2. Proposed effective date of this transaction:
(Must be at least 120 days after proper notice is provided)

Part B – Current Service Provider or Seller Information

Questions 3 through 5 apply to the transferor (current service provider or seller)

3. For the current CCN holder or service provider please indicate:

A. Name:
(Individual, Corporation or Other Legal Entity)

who is a(n): of Individual Corporation WSC HOA or POA Other

B. Utility Name (if different than above):
Address: Telephone: (AC)

C. Contact person. Please provide information about the person to be contacted regarding this application. Indicate if this person is the owner, operator, engineer, attorney or accountant.

Name: Title:
Address: Telephone: (AC)

Fax: (512) 472-0532

Email: ltownsend@lglawfirm.com

4. About the last rate increase for the system or facilities being transferred:

A. What was the effective date of the last rate increase?

2/20/2015

B. Was notice of this increase provided to the Public Utility Commission of Texas (commission or PUC) or a predecessor regulatory authority?

No Yes- Application/Docket Number: Docket 43242 Date 09/14/2014

5. Please provide a list of all customers affected by this transaction who have deposits held by the transferor or seller utility, if any, and include the following information (attach additional sheets if necessary):

Name and Address of Utility Customer	Date of Deposit	Amount of Deposit	Amount of Unpaid Interest on Deposits
See Attached List Appendix B			

Part C – Purchaser or Transferee Information

Questions 6 through 16 refer to the transferee or purchaser.

6. For the person or entity acquiring the facilities and/or CCN:

Applicant: SWWC Utilities, Inc. dba Water Services, Inc. (Individual, Corporation, or Other Legal Entity)

Utility Name: (If different than above)

Utility Address: 12535 Reed Road, Sugar Land, TX 77478

Fax: (281) 207-5940 Email: gfreitag@swwc.com Telephone (AC): (281) 207-5800

CCN Numbers held prior to the filing of this application: 11106

7. Check the appropriate box and provide information regarding the legal status of the transferee applicant:

- Individual
- Home or Property Owners Association
- Partnership; attach copy of partnership agreement
- Corporation; provide charter number as recorded with the Office of the Secretary of State for Texas: SWWC Utilities, Inc. 0800832416
- Non-profit, member owned, member-controlled Cooperative Corporation (Article 1434(a) Water Sewer Service Corporation); provide charter number:

<input type="checkbox"/>	Municipally-owned utility
<input type="checkbox"/>	District (MUD, SUD, WCID, etc.)
<input type="checkbox"/>	County
<input type="checkbox"/>	Other (please explain): <input type="text"/>

8. If the applicant is an *Individual* or sole proprietorship, provide the following information. If not, skip to the next question.

Name:	<input type="text"/>	Email:	<input type="text"/>
Address:	<input type="text"/>		
Telephone (AC):	<input type="text"/>	Fax (AC):	<input type="text"/>

9. If the applicant is other than an *Individual*, provide the following information regarding the officers or partners of the legal entity applying for the transfer. You must complete either question 8 or question 9, whichever applies to the transferee applicant.

•Name:	Michael O. Quinn,	Telephone (AC):	(281) 207-5800
Address:	12535 Reed Road, Sugar Land, TX 77478		
Position:	Chief Executive Officer, President,	Ownership % (if applicable):	0.00%

•Name:	Charles W. Profflet, Jr.	Telephone (AC):	(281) 207-5800
Address:	12535 Reed Road, Sugar Land, TX 77478		
Position:	Vice President, Managing Director,	Ownership % (if applicable):	0.00%

•Name:	Kirk Michael	Telephone (AC):	(281) 207-5800
Address:	12535 Reed Road, Sugar Land, TX 77478		
Position:	Chief Financial Officer, Treasurer,	Ownership % (if applicable):	0.00%

•Name:	William K. Dix	Telephone (AC):	(281) 207-5800
Address:	12535 Reed Road, Sugar Land, TX 77478		
Position:	Vice President, Secretary	Ownership % (if applicable):	0.00%

•Name:	<input type="text"/>	Telephone (AC):	<input type="text"/>
Address:	<input type="text"/>		
Position:	<input type="text"/>	Ownership % (if applicable):	0.00%

•Name:	<input type="text"/>	Telephone (AC):	<input type="text"/>
Address:	<input type="text"/>		
Position:	<input type="text"/>	Ownership % (if applicable):	0.00%

- Attach additional sheet(s) if necessary -

- Important:** • If the applicant is a for-profit corporation, please provide a copy of the corporation's "Certification of Account Status" from the State Comptroller Office. This "Certification of Account Status" can be obtained from:

See Appendix C

Texas Comptroller of Public Accounts

P. O. Box 13528, Capitol Station

Austin, Texas 78711

1-800-252-5555

- If the applicant is an Article 1434a water supply or sewer service corporation or other non-profit corporation, please provide a copy of the Articles of Incorporation and By-Laws.

10. Contact person. Please provide information about the person to be contacted regarding this application. Indicate if this person is the owner, operator, engineer, attorney or accountant.

Name:	Lambeth Townsend	Title:	Attorney
Address:	816 Congress Ave, Ste. 1900, Austin, TX 78701	Telephone (AC):	(512) 322-5830
Fax #	(512) 472-0532	Email	ltownsend@lglawfirm.com
Relationship to the applicant:	Attorney		

IF THERE ARE MORE THAN TWO PARTIES INVOLVED IN THIS TRANSACTION, PLEASE ATTACH SHEETS PROVIDING THE INFORMATION REQUIRED IN QUESTION 6 THROUGH QUESTION 10 FOR EACH PARTY

11. Please respond to each of the following questions. Attach additional sheets if necessary.

A. Describe the experience and qualifications of the applicant to provide adequate utility service to the requested area

SWWC Utilities, Inc. (SWWC) has owned and operated Wiedenfeld Water Works (WWW) since March 2016. SWWC and its Texas affiliates are the second largest IOU in Texas and have the resources and structure to successfully provide quality service to customers of WWW.

B. Has the applicant acquiring the CCN or facilities or an affiliated interest of the applicant been under enforcement action by the PUC, TCEQ, Texas Department of Health (TDH), the Office of the Attorney General (OAG) or the Environmental Protection Agency (EPA) in the past for noncompliance with rules, orders or State Statutes? Yes No

If yes, please attach copies of any correspondence with these regulatory agencies concerning these enforcement actions and describe any actions and efforts to comply with those requirements. Attach additional sheets if needed.

There are no enforcement actions for SWWC d/b/a Water Services, Inc. or WWW. See Appendix D for a summary of pending actions against Monarch Utilities I, LP, an affiliate of SWWC.

C. Describe the source and availability of funds required to make the planned or required improvements, if any, to meet minimum requirements of the TCEQ and PUC and ensure continuous and adequate service.

SWWC has more than adequate capitalization and will use a variety of sources including investors' equity, line of credit, and internally generated funds.

D. Describe the anticipated impact of this transaction on the quality of utility service and explain any anticipated changes in the quality of service.

SWWC has already been operating WWW since it acquired the utility in early 2016 through a stock purchase. There will be no change in daily customer service and operations will not be affected. Except for the name change, the transfer will be seamless to the customers.

E. How will the transaction serve the public interest?

Customer service and operations will not be changed. There will be improved administrative efficiencies within SWWC and there will be fewer regulatory filings.

12. Please describe the nature of the proposed transaction:

The proposed transaction is an inter-company transfer of WWW assets to SWWC Utilities, Inc. dba Water Services, through an inter-company accounting entry. This is not a purchase of the assets.

13. If the transferee applicant is an Investor Owned Utility (IOU) and will be under the rate jurisdiction of the PUC, please provide the following information. Water supply or sewer service corporations and political subdivisions of the state should mark this section N/A:

A.	• Total Purchase Price:	<input type="text"/>	
	• Total Original Cost (as recorded on books of seller or merging entity):		<input type="text" value="\$ 2,827,000.00"/>
	• Accumulated Depreciation as of the proposed effective date of the transaction:		<input type="text" value="\$ 1,116,000.00"/>

• Contributions in Aid of Construction:

- Specific surcharges approved by TCEQ or PUC:

- Revenues from explicit customer agreements:

- Developer Contributions (please explain):

- Other Contributions (please explain):

Total Contributions in Aid of Construction

• Net Book Value:

Assets being transferred are listed in Exhibit A to Appendix I.

- If the Original Cost or any of the above items has been established in a rate case proceeding by the PUC, the TWC or the TCEQ, please provide the Application/Docket Number and date:

Application/Docket Number: Date:

- If the applicant is not under the rate jurisdiction of the TCEQ, only the purchase price and information related to Contributions in Aid of Construction is required.

Please provide any other information concerning the nature of the transaction you believe should be given consideration if not explained elsewhere in the application.

[attach additional sheet(s) if necessary]:

SWWC Utilities, Inc. purchased the stock of Wiedenfeld Water Works, Inc. (WWW) in 2016 and also is the parent company of SWWC Utilities Inc. dba Water Services, Inc. (Water Services). This application is to merge WWW into Water Services. Daily operations of the WWW systems will not be affected. The assets of WWW will be combined with the assets of Water Services on the books of SWWC Utilities, Inc. Customer accounts will be transferred to Water Services - including customer deposits where appropriate. No change to the existing service area boundaries of either Water Services or WWW is requested.

- C. Complete the following proposed entries listed below as shown in books of purchasing (or surviving) company. Additional entries may be made; the following are suggested only, and not intended to pose descriptive limitations.

Utility Plant in Service:	\$ 2,827,000.00
Plant Acquisition Adjustment:	
Extraordinary Loss on Purchase:	
Accumulated Depreciation of Plant:	\$ 1,116,000.00
Cash:	
Notes Payable:	
Mortgage Payable:	
Others (please list):	

As the purchaser, I understand that it is **my responsibility** in any future rate proceeding to provide written evidence and support for the original cost and installation date of all facilities used and useful for providing utility service.

Purchaser's Initials: Date:
FOR SWWC UTILITIES, INC.

14. Please indicate the proposed effect of this transaction on the rates to be charged to the affected customers:

All the customers will be charged the same rates as they were charged before the transaction.
 Some All customers will be charged different rates than they were charged before the transaction.

If rates are changing, please explain:

Applicant is an IOU and intends to file with the commission or municipal regulatory authority an application to change rates of some/all of its customers as a result of this transaction. If so, please explain:

Other. Please explain:

At some point after the transfer of water facilities, SWWC d/b/a Water Services, Inc. will file a consolidated rate change application.

15. List all neighboring water and /or sewer utilities, cities, and political subdivisions providing the same service within two (2) miles of area affected by this proposed transaction. This information should be available from the water utility database (WUD) or Applicant's licensed water operator.

See attached list in Appendix E.

16. Financial, Managerial and Technical information for the acquiring entity.

SWWC has owned and operated WWW since acquiring the stock of WWW in March 2016. SWWC and affiliates have been successfully operating in Texas for many years and collectively are the second largest IOU in the state. SWWC has the necessary Financial, Managerial, and Technical resources to continue to provide quality service to the customers of WWW.

In lieu of Historical Financial Worksheets below, Financial Statements for SWWC are submitted as Appendix F under CONFIDENTIALITY.

Part D – Historical Financial Information

HISTORICAL BALANCE SHEETS	CURRENT YEAR (A)	A-1 YEAR	A-2 YEAR	A-3 YEAR	A-4 YEAR	A-5 YEAR
CURRENT ASSETS						
Cash						
Accounts Receivable						
Inventories						
Income Tax Receivable			See Appendix F			
Other						
Total						
FIXED ASSETS						
Land						
Collection/Distribution System						
Buildings						
Equipment						
Other						
Less: Accum. Depreciation or Reserves						
Total						
TOTAL ASSETS						
CURRENT LIABILITIES						
Accounts Payable						
Notes Payable, Current						
Accrued Expenses						
Other						
TOTAL						
LONGTERM LIABILITIES						
Notes Payable, Long-term						
Other						
TOTAL LIABILITIES						
OWNER'S EQUITY						
Paid in Capital						
Retained Equity						
Other						
Current Period Profit or Loss						
TOTAL OWNER'S EQUITY						
TOTAL LIABILITIES AND EQUITY						
WORKING CAPITAL						
CURRENT RATIO						
DEBT TO EQUITY RATIO EQUITY TO TOTAL ASSETS						

HISTORICAL INCOME STATEMENT	CURRENT YEAR (A)	A-1 YEAR	A-2 YEAR	A-3 YEAR	A-4 YEAR	A-5 YEAR
METER NUMBER						
Existing Number of Taps						
New Taps Per Year						
Total Meters at Year End				See Appendix F		
METER REVENUE						
Fees Per Meter						
Cost Per Meter						
Operating Revenue Per Meter						
GROSS WATER REVENUE						
Fees						
Other						
Gross Income						
OPERATING EXPENSES						
General & Administrative						
Interest						
Other						
NET INCOME						

HISTORICAL EXPENSE DETAIL	CURRENT YEAR (A)	A-1 YEAR	A-2 YEAR	A-3 YEAR	A-4 YEAR	A-5 YEAR
GENERAL/ADMINISTRATIVE EXPENSES						
Salaries						
Office Expense						
Computer Expense						
Auto Expense			See Appendix F			
Insurance Expense						
Telephone Expense						
Utilities Expense						
Depreciation Expense						
Property Taxes						
Professional Fees						
Other						
Total						
% Increase Per Year						
OPERATIONAL EXPENSES						
Salaries						
Auto Expense						
Utilities Expense						
Depreciation Expense						
Repair & Maintenance						
Supplies						
Other						
Total						
% Increase Per Year						
ASSUMPTIONS						
Interest Rate/Terms						
Utility Cost/gal.						
Depreciation Schedule						
Other						

Part E – Projected Information

PROJECTED BALANCE SHEETS

	START UP	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR 5
CURRENT ASSETS						
Cash						
Accounts Receivable						
Inventories						
Income Tax Receivable			See Appendix F			
Other						
Total						
FIXED ASSETS						
Land						
Collection/Distribution System						
Buildings						
Equipment						
Other						
Less: Accum. Depreciation or Reserves						
Total						
TOTAL ASSETS						
CURRENT LIABILITIES						
Accounts Payable						
Notes Payable, Current						
Accrued Expenses						
Other						
Total						
LONGTERM LIABILITIES						
Notes Payable, Long-term						
Other						
TOTAL LIABILITIES						
OWNER'S EQUITY						
Paid in Capital						
Retained Equity						
Other						
Current Period Profit or Loss						
TOTAL OWNER'S EQUITY						
TOTAL LIABILITIES AND EQUITY						
WORKING CAPITAL						
CURRENT RATIO						
DEBT TO EQUITY RATIO						
EQUITY TO TOTAL ASSETS						

PROJECTED INCOME STATEMENT

	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR 5	TOTAL
METER NUMBER						
Existing Number of Taps						
New Taps Per Year			See Appendix F			
Total Meters at Year End						
METER REVENUE						
Fees Per Meter						
Cost Per Meter						
Operating Revenue Per Meter						
GROSS WATER REVENUE						
Fees						
Other						
Gross Income						
OPERATING EXPENSES						
General & Administrative						
Interest						
Other						
NET INCOME						

PROJECTED EXPENSE DETAIL

	YEAR 1	YEAR-2	YEAR 3	YEAR 4	YEAR 5	TOT.
GENERAL/ADMINISTRATIVE EXPENSES						
Salaries						
Office Expense						
Computer Expense						
Auto Expense		See Appendix F				
Insurance Expense						
Telephone Expense						
Utilities Expense						
Depreciation Expense						
Property Taxes						
Professional Fees						
Other						
Total						
% Increase Per Year	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
OPERATIONAL EXPENSES						
Salaries						
Auto Expense						
Utilities Expense						
Depreciation Expense						
Repair & Maintenance						
Supplies						
Other						
Total						
% Increase Per Year	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ASSUMPTIONS						
Interest Rate/Terms						
Utility Cost/gal.						
Depreciation Schedule						
Other						

PROJECTED SOURCES AND USES OF CASH STATEMENTS

	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR 5	TOTAL
SOURCES OF CASH						
Net Income						
Depreciation (If Funded)						
Loan Proceeds			See Appendix F			
Other						
Total Sources						
USES OF CASH						
Net Loss						
Principle Portion of Pmts.						
Fixed Asset Purchase						
Reserve						
Other						
Total Uses						
NET CASH FLOW						
DEBT SERVICE COVERAGE						
Cash Available for Debt						
SERVICE (CADS)						
Net Income (Loss)						
Depreciation, or Reserve Interest						
Total						
REQUIRED DEBT SERVICE (RDS)						
Principle Plus Interest						
DEBT SERVICE COVERAGE RATIO						
CADS Divided by RDS						

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.

17. A. For Water Systems. TCEQ Public Water System Identification Number:

1	3	3	0	0	1	9
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Date of last inspection:

04/26/2016

Cedar Springs

B. For Wastewater Systems:

-TCEQ Discharge Permit Number: W Q

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-Name of Permittee:

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-Date of application to transfer Discharge Permit submitted:

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-Date of application to transfer Discharge Permit approved by TCEQ:

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18. A. Are any improvements required to meet TCEQ or PUC standards? Yes No. If yes, please explain:

Extend wellhead casing to a minimum of 18 inches above the floor within the sealed well enclosure.

B. Is there a moratorium on new connections? Yes No. If yes, please explain:

C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):

Description of the Required Improvement	Schedule to Complete	Estimated Cost

19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No

If yes, indicate the number of customers within the city limits or district boundaries:
 Water _____ Sewer _____

Attach copy of franchise agreement or consent letter from the city or district.

20. Do you currently purchase water or sewer treatment capacity from another source? Yes No
 Water Sewer Purchased on a Regular Seasonal Emergency Basis

• Source: _____ % of total supply: 0.00%

21. List the number of existing connections to be effected by this transaction.

Water			Sewer		
	-Non Metered		-2" meter		-Residential Connection
43	-5/8" or 3/4" meter		-3" meter		-Commercial Connection
	-1" meter		-4" meter		-Industrial Connection
	-1 1/2" meter		-Other		-Other
Total Water Connections:			Total Sewer Connections		

20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes No
 If yes, please explain what steps are being taken to address the capacity issues:

Service area not expected to grow significantly. A capacity exception report has been filed with TCEQ.

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G		

24. Attach the following maps with each copy of the application: See Appendix H
- a. One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
 - b. One large scale map showing the proposed service area boundaries being sold, transferred, or merged and, if available, the existing and proposed facilities. Color coding should be used to differentiate existing from proposed facilities. Facilities and service area boundaries should be shown with such exactness that they can be located on the ground. If transferring area not currently in a CCN or a portion of an existing CCN area please attach the following hard copy maps with each copy of the application:
 1. A general location map delineating the proposed service area with enough detail to accurately locate the proposed area within the county.
 2. A map showing only the proposed area by:
 - i. metes and bounds survey certified by a licensed state or registered professional land surveyor; or
 - ii. projectable digital data with metadata (proposed areas should be in a single record and clearly labeled, data disk should be included); or
 - iii. following verifiable natural and man-made landmarks, or
 - iv. a copy of recorded plat map with metes and bounds.
 3. A written description of the proposed service area.

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.

17. A. For Water Systems. TCEQ Public Water System Identification Number:

1	3	3	0	0	0	7
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Date of last inspection:

06/22/2016

Center Point

B. For Wastewater Systems:

-TCEQ Discharge Permit Number: W Q

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-Name of Permittee:

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-Date of application to transfer Discharge Permit submitted:

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-Date of application to transfer Discharge Permit approved by TCEQ:

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18. A. Are any improvements required to meet TCEQ or PUC standards? Yes No. If yes, please explain:

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B. Is there a moratorium on new connections? Yes No. If yes, please explain:

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C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):

Description of the Required Improvement	Schedule to Complete	Estimated Cost

19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No

If yes, indicate the number of customers within the city limits or district boundaries:

Water Sewer

Attach copy of franchise agreement or consent letter from the city or district.

20. Do you currently purchase water or sewer treatment capacity from another source? Yes No
 Water Sewer Purchased on a Regular Seasonal Emergency Basis

• Source: _____ % of total supply: 0.00%

21. List the number of existing connections to be effected by this transaction.

Water			Sewer		
	-Non Metered		-2" meter		-Residential Connection
54	-5/8" or 3/4" meter		-3" meter		-Commercial Connection
2	-1" meter		-4" meter		-Industrial Connection
	-1.1/2" meter		-Other		-Other
Total Water Connections:			Total Sewer Connections		

20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes No
 If yes, please explain what steps are being taken to address the capacity issues:

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G		

24. Attach the following maps with each copy of the application: See Appendix H
- One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
 - One large scale map showing the proposed service area boundaries being sold, transferred, or merged and, if available, the existing and proposed facilities. Color coding should be used to differentiate existing from proposed facilities. Facilities and service area boundaries should be shown with such exactness that they can be located on the ground. If transferring area not currently in a CCN or a portion of an existing CCN area please attach the following hard copy maps with each copy of the application:
 - A general location map delineating the proposed service area with enough detail to accurately locate the proposed area within the county.
 - A map showing only the proposed area by:
 - metes and bounds survey certified by a licensed state or registered professional land surveyor; or
 - projectable digital data with metadata (proposed areas should be in a single record and clearly labeled, data disk should be included); or
 - following verifiable natural and man-made landmarks, or
 - a copy of recorded plat map with metes and bounds.
 - A written description of the proposed service area.

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.

17. A. For Water Systems. TCEQ Public Water System Identification Number:

1	3	3	0	0	8	0
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Date of last inspection:

11/17/2015

Heritage Park

B. For Wastewater Systems:

-TCEQ Discharge Permit Number: W Q

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-Name of Permittee:

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-Date of application to transfer Discharge Permit submitted:

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-Date of application to transfer Discharge Permit approved by TCEQ:

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18. A. Are any improvements required to meet TCEQ or PUC standards? Yes No. If yes, please explain:

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B. Is there a moratorium on new connections? Yes No. If yes, please explain:

--

C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):

Description of the Required Improvement	Schedule to Complete	Estimated Cost

19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No

If yes, indicate the number of customers within the city limits or district boundaries:
 Water Sewer

Attach copy of franchise agreement or consent letter from the city or district.

20. Do you currently purchase water or sewer treatment capacity from another source? Yes No
 Water Sewer Purchased on a Regular Seasonal Emergency Basis

• Source: _____ % of total supply: 0.00%

21. List the number of existing connections to be effected by this transaction.

Water			Sewer		
	-Non Metered		-2" meter		-Residential Connection
27	-5/8" or 3/4" meter		-3" meter		-Commercial Connection
	-1" meter		-4" meter		-Industrial Connection
	-1 1/2" meter		-Other		-Other
Total Water Connections:			Total Sewer Connections		

20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes No
 If yes, please explain what steps are being taken to address the capacity issues:

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G		

24. Attach the following maps with each copy of the application: See Appendix H
- a. One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
 - b. One large scale map showing the proposed service area boundaries being sold, transferred, or merged and, if available, the existing and proposed facilities. Color coding should be used to differentiate existing from proposed facilities. Facilities and service area boundaries should be shown with such exactness that they can be located on the ground. If transferring area not currently in a CCN or a portion of an existing CCN area please attach the following hard copy maps with each copy of the application:
 1. A general location map delineating the proposed service area with enough detail to accurately locate the proposed area within the county.
 2. A map showing only the proposed area by:
 - i. metes and bounds survey certified by a licensed state or registered professional land surveyor; or
 - ii. projectable digital data with metadata (proposed areas should be in a single record and clearly labeled, data disk should be included); or
 - iii. following verifiable natural and man-made landmarks, or
 - iv. a copy of recorded plat map with metes and bounds.
 3. A written description of the proposed service area.

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.

17. A. For Water Systems. TCEQ Public Water System Identification Number:

1	3	3	0	0	3	0
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Hills and Dales

Date of last inspection:

B. For Wastewater Systems:

-TCEQ Discharge Permit Number: W Q -

-Name of Permittee:

-Date of application to transfer Discharge Permit submitted:

-Date of application to transfer Discharge Permit approved by TCEQ:

18. A. Are any improvements required to meet TCEQ or PUC standards? Yes No. If yes, please explain:

B. Is there a moratorium on new connections? Yes No. If yes, please explain:

C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):

Description of the Required Improvement	Schedule to Complete	Estimated Cost

19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No

If yes, indicate the number of customers within the city limits or district boundaries:
 Water Sewer

Attach copy of franchise agreement or consent letter from the city or district.

20. Do you currently purchase water or sewer treatment capacity from another source? Yes No
 Water Sewer Purchased on a Regular Seasonal Emergency Basis

• Source: _____ % of total supply: 0.00%

21. List the number of existing connections to be effected by this transaction.

Water			Sewer		
	-Non Metered		-2" meter		-Residential Connection
73	-5/8" or 3/4" meter		-3" meter		-Commercial Connection
	-1" meter		-4" meter		-Industrial Connection
	-1 1/2" meter		-Other		-Other
Total Water Connections:			Total Sewer Connections		

20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes No
 If yes, please explain what steps are being taken to address the capacity issues:

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G		

24. Attach the following maps with each copy of the application: See Appendix H
- a. One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
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 2. A map showing only the proposed area by:
 - i. metes and bounds survey certified by a licensed state or registered professional land surveyor; or
 - ii. projectable digital data with metadata (proposed areas should be in a single record and clearly labeled, data disk should be included); or
 - iii. following verifiable natural and man-made landmarks, or
 - iv. a copy of recorded plat map with metes and bounds.
 3. A written description of the proposed service area.

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.

17. A. For Water Systems. TCEQ Public Water System Identification Number:

1	3	3	0	1	3	4
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Date of last inspection:

04/26/2016

Oak Ridge Estate

B. For Wastewater Systems:

-TCEQ Discharge Permit Number: W Q

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 -Name of Permittee:

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 -Date of application to transfer Discharge Permit submitted:

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 -Date of application to transfer Discharge Permit approved by TCEQ:

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18. A. Are any improvements required to meet TCEQ or PUC standards? Yes No. If yes, please explain:

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B. Is there a moratorium on new connections? Yes No. If yes, please explain:

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C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):

Description of the Required Improvement	Schedule to Complete	Estimated Cost

19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No

If yes, indicate the number of customers within the city limits or district boundaries:
 Water Sewer

Attach copy of franchise agreement or consent letter from the city or district.

20. Do you currently purchase water or sewer treatment capacity from another source? Yes No
 Water Sewer Purchased on a Regular Seasonal Emergency Basis

• Source: _____ % of total supply: 0.00%

21. List the number of existing connections to be effected by this transaction.

Water			Sewer		
	-Non Metered		-2" meter		-Residential Connection
42	-5/8" or 3/4" meter		-3" meter		-Commercial Connection
	-1" meter		-4" meter		-Industrial Connection
	-1 1/2" meter		-Other		-Other
Total Water Connections:			Total Sewer Connections		

20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes No
 If yes, please explain what steps are being taken to address the capacity issues:

Service area not expected to grow significantly. A capacity exception report has been filed with TCEQ.

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G		

24. Attach the following maps with each copy of the application: See Appendix H
- a. One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
 - b. One large scale map showing the proposed service area boundaries being sold, transferred, or merged and, if available, the existing and proposed facilities. Color coding should be used to differentiate existing from proposed facilities. Facilities and service area boundaries should be shown with such exactness that they can be located on the ground. If transferring area not currently in a CCN or a portion of an existing CCN area please attach the following hard copy maps with each copy of the application:
 1. A general location map delineating the proposed service area with enough detail to accurately locate the proposed area within the county.
 2. A map showing only the proposed area by:
 - i. metes and bounds survey certified by a licensed state or registered professional land surveyor; or
 - ii. projectable digital data with metadata (proposed areas should be in a single record and clearly labeled, data disk should be included); or
 - iii. following verifiable natural and man-made landmarks, or
 - iv. a copy of recorded plat map with metes and bounds.
 3. A written description of the proposed service area.

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.

17. A. For Water Systems. TCEQ Public Water System Identification Number:

1	3	0	0	0	3	5
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Date of last inspection:

04/26/2016

Platten Creek

B. For Wastewater Systems:

-TCEQ Discharge Permit Number: W Q

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-Name of Permittee:

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-Date of application to transfer Discharge Permit submitted:

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-Date of application to transfer Discharge Permit approved by TCEQ:

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18. A. Are any improvements required to meet TCEQ or PUC standards? Yes No. If yes, please explain:

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B. Is there a moratorium on new connections? Yes No. If yes, please explain:

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C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):

Description of the Required Improvement	Schedule to Complete	Estimated Cost

19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No

If yes, indicate the number of customers within the city limits or district boundaries:

Water Sewer

Attach copy of franchise agreement or consent letter from the city or district.

20. Do you currently purchase water or sewer treatment capacity from another source? Yes No
 Water Sewer Purchased on a Regular Seasonal Emergency Basis

• Source: _____ % of total supply: 0.00%

21. List the number of existing connections to be effected by this transaction.

Water			Sewer		
	-Non Metered		-2" meter		-Residential Connection
37	-5/8" or 3/4" meter		-3" meter		-Commercial Connection
	-1" meter		-4" meter		-Industrial Connection
	-1 1/2" meter		-Other		-Other
Total Water Connections:			Total Sewer Connections		

20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes No
 If yes, please explain what steps are being taken to address the capacity issues:

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G		

24. Attach the following maps with each copy of the application: See Appendix H
- a. One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
 - b. One large scale map showing the proposed service area boundaries being sold, transferred, or merged and, if available, the existing and proposed facilities. Color coding should be used to differentiate existing from proposed facilities. Facilities and service area boundaries should be shown with such exactness that they can be located on the ground. If transferring area not currently in a CCN or a portion of an existing CCN area please attach the following hard copy maps with each copy of the application:
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 2. A map showing only the proposed area by:
 - i. metes and bounds survey certified by a licensed state or registered professional land surveyor; or
 - ii. projectable digital data with metadata (proposed areas should be in a single record and clearly labeled, data disk should be included); or
 - iii. following verifiable natural and man-made landmarks, or
 - iv. a copy of recorded plat map with metes and bounds.
 3. A written description of the proposed service area.

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.

17. A. For Water Systems. TCEQ Public Water System Identification Number:

1	6	3	0	0	3	8
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Date of last inspection:

Rocky Creek

B. For Wastewater Systems:

-TCEQ Discharge Permit Number: W Q -

-Name of Permittee:

-Date of application to transfer Discharge Permit submitted:

-Date of application to transfer Discharge Permit approved by TCEQ:

18. A. Are any improvements required to meet TCEQ or PUC standards? Yes No. If yes, please explain:

B. Is there a moratorium on new connections? Yes No. If yes, please explain:

C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):

Description of the Required Improvement	Schedule to Complete	Estimated Cost

19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No

If yes, indicate the number of customers within the city limits or district boundaries:
 Water Sewer

Attach copy of franchise agreement or consent letter from the city or district.

20. Do you currently purchase water or sewer treatment capacity from another source? Yes No
 Water Sewer Purchased on a Regular Seasonal Emergency Basis

• Source: _____ % of total supply: 0.00%

21. List the number of existing connections to be effected by this transaction.

Water			Sewer		
	-Non Metered		-2" meter		-Residential Connection
39	-5/8" or 3/4" meter		-3" meter		-Commercial Connection
	-1" meter		-4" meter		-Industrial Connection
	-1 1/2" meter		-Other		-Other
Total Water Connections:			Total Sewer Connections		

20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes No
 If yes, please explain what steps are being taken to address the capacity issues:

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G		

24. Attach the following maps with each copy of the application: See Appendix H

- a. One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
- b. One large scale map showing the proposed service area boundaries being sold, transferred, or merged and, if available, the existing and proposed facilities. Color coding should be used to differentiate existing from proposed facilities. Facilities and service area boundaries should be shown with such exactness that they can be located on the ground. If transferring area not currently in a CCN or a portion of an existing CCN area please attach the following hard copy maps with each copy of the application:
 1. A general location map delineating the proposed service area with enough detail to accurately locate the proposed area within the county.
 2. A map showing only the proposed area by:
 - i. metes and bounds survey certified by a licensed state or registered professional land surveyor; or
 - ii. projectable digital data with metadata (proposed areas should be in a single record and clearly labeled, data disk should be included); or
 - iii. following verifiable natural and man-made landmarks, or
 - iv. a copy of recorded plat map with metes and bounds.
 3. A written description of the proposed service area.

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.

17. A. For Water Systems. TCEQ Public Water System Identification Number:

1	3	3	0	1	2	8
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 Date of last inspection: Southern Hills

B. For Wastewater Systems:

-TCEQ Discharge Permit Number: W Q

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 -Name of Permittee:
 -Date of application to transfer Discharge Permit submitted:
 -Date of application to transfer Discharge Permit approved by TCEQ:

18. A. Are any improvements required to meet TCEQ or PUC standards? Yes No. If yes, please explain:

B. Is there a moratorium on new connections? Yes No. If yes, please explain:

C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):

Description of the Required Improvement	Schedule to Complete	Estimated Cost

19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No

If yes, indicate the number of customers within the city limits or district boundaries:
 Water Sewer

Attach copy of franchise agreement or consent letter from the city or district.

20. Do you currently purchase water or sewer treatment capacity from another source? Yes No
 Water Sewer Purchased on a Regular Seasonal Emergency Basis

• Source: _____ % of total supply: 0.00%

21. List the number of existing connections to be effected by this transaction.

Water			Sewer		
	-Non Metered		-2" meter		-Residential Connection
287	-5/8" or 3/4" meter		-3" meter		-Commercial Connection
	-1" meter		-4" meter		-Industrial Connection
	-1 1/2" meter		-Other		-Other
Total Water Connections:			Total Sewer Connections		

20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes No
 If yes, please explain what steps are being taken to address the capacity issues:

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G		

24. Attach the following maps with each copy of the application: **See Appendix H**
- a. One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
 - b. One large scale map showing the proposed service area boundaries being sold, transferred, or merged and, if available, the existing and proposed facilities. Color coding should be used to differentiate existing from proposed facilities. Facilities and service area boundaries should be shown with such exactness that they can be located on the ground. If transferring area not currently in a CCN or a portion of an existing CCN area please attach the following hard copy maps with each copy of the application:
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 2. A map showing only the proposed area by:
 - i. metes and bounds survey certified by a licensed state or registered professional land surveyor; or
 - ii. projectable digital data with metadata (proposed areas should be in a single record and clearly labeled, data disk should be included); or
 - iii. following verifiable natural and man-made landmarks, or
 - iv. a copy of recorded plat map with metes and bounds.
 3. A written description of the proposed service area.

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.

17. A. For Water Systems. TCEQ Public Water System Identification Number:

1	3	3	0	0	2	7
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Verde Park

Date of last inspection:

B. For Wastewater Systems:

-TCEQ Discharge Permit Number: W Q. -

-Name of Permittee:

-Date of application to transfer Discharge Permit submitted:

-Date of application to transfer Discharge Permit approved by TCEQ:

18. A. Are any improvements required to meet TCEQ or PUC standards? Yes No. If yes, please explain:

Yes No. If yes, please explain:

B. Is there a moratorium on new connections? Yes No. If yes, please explain:

C: Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):

Description of the Required Improvement	Schedule to Complete	Estimated Cost

19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No

If yes, indicate the number of customers within the city limits or district boundaries:
 Water Sewer

Attach copy of franchise agreement or consent letter from the city or district.

20. Do you currently purchase water or sewer treatment capacity from another source? Yes No
 Water Sewer Purchased on a Regular Seasonal Emergency Basis

• Source: _____ % of total supply: 0.00%

21. List the number of existing connections to be effected by this transaction.

Water			Sewer	
	-Non Metered		-2" meter ¹	-Residential Connection
69	-5/8" or 3/4" meter		-3" meter	-Commercial Connection
	-1" meter		-4" meter	-Industrial Connection
	-1 1/2" meter		-Other	-Other
Total Water Connections:				Total Sewer Connections

20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes No
 If yes, please explain what steps are being taken to address the capacity issues:

Service area not expected to grow significantly. A capacity exception report has been filed with TCEQ.

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G		

24. Attach the following maps with each copy of the application: See Appendix H

- a. One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
- b. One large scale map showing the proposed service area boundaries being sold, transferred, or merged and, if available, the existing and proposed facilities. Color coding should be used to differentiate existing from proposed facilities. Facilities and service area boundaries should be shown with such exactness that they can be located on the ground. If transferring area not currently in a CCN or a portion of an existing CCN area please attach the following hard copy maps with each copy of the application:
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 - ii. projectable digital data with metadata (proposed areas should be in a single record and clearly labeled, data disk should be included); or
 - iii. following verifiable natural and man-made landmarks, or
 - iv. a copy of recorded plat map with metes and bounds.
 3. A written description of the proposed service area.

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.

17. A. For Water Systems. TCEQ Public Water System Identification Number:

1	3	3	0	1	6	9
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Date of last inspection: 11/17/2015

Vista Hills

B. For Wastewater Systems:

-TCEQ Discharge Permit Number: W Q -

-Name of Permittee:

-Date of application to transfer Discharge Permit submitted:

-Date of application to transfer Discharge Permit approved by TCEQ:

18. A. Are any improvements required to meet TCEQ or PUC standards? Yes No. If yes, please explain:

B. Is there a moratorium on new connections? Yes No. If yes, please explain:

C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):

Description of the Required Improvement	Schedule to Complete	Estimated Cost

19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No

If yes, indicate the number of customers within the city limits or district boundaries:
 Water Sewer

Attach copy of franchise agreement or consent letter from the city or district.

20. Do you currently purchase water or sewer treatment capacity from another source? Yes No
 Water Sewer Purchased on a Regular Seasonal Emergency Basis

• Source: _____ % of total supply: 0.00%

21. List the number of existing connections to be effected by this transaction.

Water		Sewer	
	-Non Metered		-2" meter
13	-5/8" or 3/4" meter		-3" meter
	-1" meter		-4" meter
	-1 1/2" meter		-Other
Total Water Connections:		Total Sewer Connections	

20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes No
 If yes, please explain what steps are being taken to address the capacity issues:

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G		

24. Attach the following maps with each copy of the application: **See Appendix H**
- a. One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
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 - iii. following verifiable natural and man-made landmarks, or
 - iv. a copy of recorded plat map with metes and bounds.
 3. A written description of the proposed service area.

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.

17. A. For Water Systems. TCEQ Public Water System Identification Number:

1	3	3	0	0	1	5
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Westwood Oaks

Date of last inspection:

B. For Wastewater Systems:

-TCEQ Discharge Permit Number: W Q

-Name of Permittee:

-Date of application to transfer Discharge Permit submitted:

-Date of application to transfer Discharge Permit approved by TCEQ:

18. A. Are any improvements required to meet TCEQ or PUC standards? Yes No. If yes, please explain:

B. Is there a moratorium on new connections? Yes No. If yes, please explain:

C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):

Description of the Required Improvement	Schedule to Complete	Estimated Cost

19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No.

If yes, indicate the number of customers within the city limits or district boundaries:
 Water _____ Sewer _____

Attach copy of franchise agreement or consent letter from the city or district.

20. Do you currently purchase water or sewer treatment capacity from another source? Yes No
 Water Sewer Purchased on a Regular Seasonal Emergency Basis

• Source: _____ % of total supply: 0.00%

21. List the number of existing connections to be effected by this transaction.

Water		Sewer	
-Non Metered	-2" meter	-Residential Connection	
-5/8" or 3/4" meter	-3" meter	-Commercial Connection	
-1" meter	-4" meter	-Industrial Connection	
-1 1/2" meter	-Other	-Other	
Total Water Connections:		Total Sewer Connections	

20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes No
 If yes, please explain what steps are being taken to address the capacity issues:

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G		

24. Attach the following maps with each copy of the application: See Appendix H
- One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
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 - A map showing only the proposed area by:
 - metes and bounds survey certified by a licensed state or registered professional land surveyor; or
 - projectable digital data with metadata (proposed areas should be in a single record and clearly labeled, data disk should be included); or
 - following verifiable natural and man-made landmarks, or
 - a copy of recorded plat map with metes and bounds.
 - A written description of the proposed service area.

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.

17. A. For Water Systems. TCEQ Public Water System Identification Number:

1	3	3	0	1	4	1
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Windwood Oaks

Date of last inspection:

B. For Wastewater Systems:

-TCEQ Discharge Permit Number: W Q -

-Name of Permittee:

-Date of application to transfer Discharge Permit submitted:

-Date of application to transfer Discharge Permit approved by TCEQ:

18. A. Are any improvements required to meet TCEQ or PUC standards? Yes No. If yes, please explain:

B. Is there a moratorium on new connections? Yes No. If yes, please explain:

C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):

Description of the Required Improvement	Schedule to Complete	Estimated Cost

19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No

If yes, indicate the number of customers within the city limits or district boundaries:
 Water Sewer

Attach copy of franchise agreement or consent letter from the city or district.

20. Do you currently purchase water or sewer treatment capacity from another source? Yes No
 Water Sewer Purchased on a Regular Seasonal Emergency Basis

• Source: _____ % of total supply: 0.00%

21. List the number of existing connections to be effected by this transaction.

Water				Sewer	
	-Non Metered		-2" meter	-Residential Connection	
20	-5/8" or 3/4" meter		-3" meter	-Commercial Connection	
	-1" meter		-4" meter	-Industrial Connection	
	-1 1/2" meter		-Other	-Other	
Total Water Connections:				Total Sewer Connections	

20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes No
 If yes, please explain what steps are being taken to address the capacity issues:

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G		

24. Attach the following maps with each copy of the application: **See Appendix H**
- a. One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
 - b. One large scale map showing the proposed service area boundaries being sold, transferred, or merged and, if available, the existing and proposed facilities. Color coding should be used to differentiate existing from proposed facilities. Facilities and service area boundaries should be shown with such exactness that they can be located on the ground. If transferring area not currently in a CCN or a portion of an existing CCN area please attach the following hard copy maps with each copy of the application:
 1. A general location map delineating the proposed service area with enough detail to accurately locate the proposed area within the county.
 2. A map showing only the proposed area by:
 - i. metes and bounds survey certified by a licensed state or registered professional land surveyor; or
 - ii. projectable digital data with metadata (proposed areas should be in a single record and clearly labeled, data disk should be included); or
 - iii. following verifiable natural and man-made landmarks, or
 - iv. a copy of recorded plat map with metes and bounds.
 3. A written description of the proposed service area.

Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.

17. A. For Water Systems. TCEQ Public Water System Identification Number:

1	3	3	0	0	2	4
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Date of last inspection:

Woodhaven MHP

B. For Wastewater Systems:

-TCEQ Discharge Permit Number: W Q

-Name of Permittee:

-Date of application to transfer Discharge Permit submitted:

-Date of application to transfer Discharge Permit approved by TCEQ:

18. A. Are any improvements required to meet TCEQ or PUC standards? Yes No. If yes, please explain:

B. Is there a moratorium on new connections? Yes No. If yes, please explain:

C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):

Description of the Required Improvement	Schedule to Complete	Estimated Cost

19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No

If yes, indicate the number of customers within the city limits or district boundaries:
 Water Sewer

Attach copy of franchise agreement or consent letter from the city or district.

20. Do you currently purchase water or sewer treatment capacity from another source? Yes No
 Water Sewer Purchased on a Regular Seasonal Emergency Basis

• Source: _____ % of total supply: 0.00%

21. List the number of existing connections to be effected by this transaction.

Water			Sewer		
	-Non Metered		-2"meter		-Residential Connection
31	-5/8" or 3/4" meter		-3" meter		-Commercial Connection
	-1" meter		-4" meter		-Industrial Connection
	-1 1/2" meter		-Other		-Other
Total Water Connections:			Total Sewer Connections		

20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes No
 If yes, please explain what steps are being taken to address the capacity issues:

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G		

24. Attach the following maps with each copy of the application: See Appendix H
- a. One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
 - b. One large scale map showing the proposed service area boundaries being sold, transferred, or merged and, if available, the existing and proposed facilities. Color coding should be used to differentiate existing from proposed facilities. Facilities and service area boundaries should be shown with such exactness that they can be located on the ground. If transferring area not currently in a CCN or a portion of an existing CCN area please attach the following hard copy maps with each copy of the application:
 1. A general location map delineating the proposed service area with enough detail to accurately locate the proposed area within the county.
 2. A map showing only the proposed area by:
 - i. metes and bounds survey certified by a licensed state or registered professional land surveyor; or
 - ii. projectable digital data with metadata (proposed areas should be in a single record and clearly labeled, data disk should be included); or
 - iii. following verifiable natural and man-made landmarks, or
 - iv. a copy of recorded plat map with metes and bounds.
 3. A written description of the proposed service area.

Part G – Oaths and Notices

OATH FOR SELLER OR FORMER SERVICE PROVIDER

STATE OF Texas

COUNTY OF Fort Bend

I, Charles W. Profflet, Jr., being duly sworn, file this application for sale, lease, rental or merger or consolidation as Managing Director, Wiedenfeld Water Works, Inc. (*indicate relationship to applicant*) that is, owner, member of partnership; title as officer of corporation, or other authorized representative of applicant); that, in such capacity, I am qualified and authorized to file and verify such application, am personally familiar with the documents filed with this application, and have complied with all the requirements contained in the application; and, that all such statements made and matters set forth therein with respect to applicant are true and correct. Statements about other parties are made on information and belief. I further state that the application is made in good faith and that this application does not duplicate any filing presently before the Commission.

I further state that I have provided to the purchaser or transferee a written disclosure statement about any contributed property as required under Section 13.301(j) and copies of any outstanding Orders of the Texas Commission on Environmental Quality, the Public Utility Commission of Texas, or Attorney General and have also complied with the notice requirements in Section 13.301(k) of the Texas Water Code.

Charles W Profflet Jr

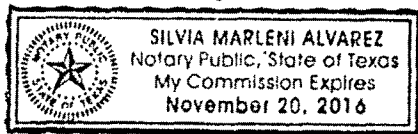
AFFIANT

(Utility's Authorized Representative)

If the Affiant to this form is any person other than the sole owner, partner, officer of the Applicant, or its attorney, a properly verified Power of Attorney must be enclosed.

SUBSCRIBED AND SWORN TO BEFORE ME, a Notary Public in and for the State of Texas, this day 26th of October, 20 1105.

SEAL



Silvia Marleni Alvarez

NOTARY PUBLIC IN AND FOR THE STATE OF TEXAS

Silvia Marleni Alvarez

PRINT OR TYPE NAME OF NOTARY

MY COMMISSION EXPIRES

11/20/2016

One copy of this page must be submitted for each utility involved in this transaction.

OATH FOR PURCHASER OR ACQUIRING ENTITY

STATE OF Texas

COUNTY OF Fort Bend

I, Charles W. Profilet, Jr., being duly sworn, file this application for

sale, lease, rental or merger or consolidation as Vice President, SWWC Utilities, Inc.
(indicate relationship to applicant) that is, owner, member of partnership, title as officer of corporation, or other authorized representative of applicant); that, in such capacity, I am qualified and authorized to file and verify such application, am personally familiar with the documents filed with this application, and have complied with all the requirements contained in the application; and, that all such statements made and matters set forth therein with respect to applicant are true and correct. Statements about other parties are made on information and belief. I further state that the application is made in good faith and that this application does not duplicate any filing presently before the Commission.

I am also authorized and do agree to be bound by and comply with any outstanding orders of the Texas Commission on Environmental Quality, the Public Utility Commission of Texas or the Attorney General which have been issued to the system or facilities being acquired and recognize that I will be subject to administrative penalties or other enforcement actions if I do not comply.

Charles W. Profilet, Jr.

AFFIANT

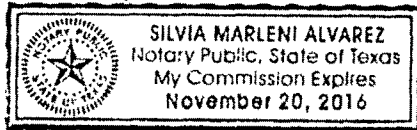
(Utility's Authorized Representative)

If the Affiant to this form is any person other than the sole owner, partner, officer of the Applicant, or its attorney, a properly verified Power of Attorney must be enclosed.

Applicant represents that all other parties to this transaction have been furnished copies of this completed application.

SUBSCRIBED AND SWORN TO BEFORE ME, a Notary Public in and for the State of Texas, this day 26th of October, 20 16.

SEAL



Silvia Alvarez

NOTARY PUBLIC IN AND FOR THE STATE OF TEXAS

Silvia Marleni Alvarez

PRINT OR TYPE NAME OF NOTARY

MY COMMISSION EXPIRES

11/20/2016

One copy of this page must be submitted for each utility involved in this transaction.

Notice to Current Customers, Neighboring Systems, and Cities

**WIEDENFELD WATER WORKS, INC. NOTICE OF INTENT TO
TRANSFER WATER FACILITIES AND CERTIFICATE OF
CONVENIENCE AND NECESSITY (CCN) NO. 12052, IN KENDALL,
KERR, AND MEDINA COUNTIES, TEXAS TO SWWC UTILITIES, INC.
dba WATER SERVICES, INC., CCN NO. 11106.**

To: _____ Date Notice Mailed: _____, 201____
(Name of Customer, Neighboring System, or City)

(Address)

(City State Zip)

SWWC Utilities, Inc. dba Water Services, Inc. 12535 Reed Road Sugar Land, TX 77478
(Purchaser's or Transferee's Name) (Address) (City) (State) (Zip Code)

has submitted an application with the Public Utility Commission of Texas (Commission) to transfer water service area under CCN No. 12052, in Kendall, Kerr, and Medina Counties, TX from:

Wiedenfeld Water Works, Inc. 12535 Reed Road Sugar Land, TX 77478
(Seller's or Transferor's Name) (Address) (City) (State) (Zip Code)

The transfer is scheduled to take place as approved by the Commission (V.T.C.A., Water Code § 13.301). The transaction and the transfer of the CCN includes the following subdivisions: Cedar Springs Mobile Home Village, Center Point, Heritage Park, Hills and Dales, Montebello, Oak Ridge Estates, Platten Creek, Rocky Creek, Silver Creek, Southern Hills, Verde Park Estates, Vista Hills, Westwood Park, Windwood Oaks, and Woodhaven Mobile Home Park,

The areas subject to this transaction are:

KENDALL COUNTY:

PLATTEN CREEK WATER is located approximately 6.4 miles north of Sisterdale and is bounded on the west by FM 1376 and the back property lines along Platten Creek Road and Whitworth Drive. Total area is approximately 242.8 acres.

KERR COUNTY:

CEDAR SPRINGS MOBILE HOME VILLAGE is located approximately 2 miles west of Ingram and is generally bounded by Highway 39 on the south, Lakeshore Trail on the west, Ingram Lake RV Park Road on the north, and White Oak Street West on the east. Total area is approximately 22.2 acres.

CENTER POINT is located approximately 0.5 miles north of downtown Center Point and is generally bounded by the Guadalupe River on the south, Bowlin Drive East and Depot Alley on

the west, Highway 27 on the north, and San Antonio Street on the east. Total area is approximately 93 acres.

HERITAGE PARK is located approximately 2 miles east of Center Point and is generally bounded by Burney Road North on the west and Total area is approximately 212 acres.

HILLS and DALES is located approximately 5 miles north of Ingram and is bounded on the south by Highway 39, on the west by Spring Lakes Parkway West, and Cypress Parkway West on the north. Total area is approximately 188 acres.

OAK RIDGE ESTATES is located approximately 0.25 miles east of Center Point and is bounded by Stoneleigh Road on the south. Total area is approximately 21.6 acres.

SOUTHERN HILLS is located approximately 4 miles south of Kerrville and is generally bounded by Highway 16 (Medina Highway) on the south, West Creek and Madrona Drive on the west, and Calcote Road and Nixon Lane on the north and east. Total area is approximately 1,349 acres.

VERDE PARK ESTATES is located approximately 1 mile south of Center Point, and is generally bounded on the west by Highway 480, on the north by Verde Creek Drive and Austin Lane East, and on the east by Elm Park Road. Total area is approximately 490 acres.

VISTA HILLS is located approximately miles from Kerrville and is bounded by Scenic Hills road on the west and south and generally bounded by Scenic Valley Road on the east. Total area is approximately 92.3 acres.

WESTWOOD is located approximately 0.5 miles west of Comfort and is generally bounded by Schadoer Road on the west, Sherwood road on the north, Marilyn Drive North on the east, and on the south by Highway 27. Total area is approximately 220 acres.

WINDWOOD OAKS SUBDIVISION is located approximately south of Kerrville on Hwy 2771 and is generally bounded by Turtle Creek on the west and south, and by Oak Wood Road East on the north. Total area is approximately 48 acres.

WOODHAVEN MOBILE HOME PARK is located approximately 1 mile east of Ingram and generally bounded by Riverview Road on the west, Linn Drive South on the south, Nichols Cemetary LP on the south and east, and Highway 27 on the north. Total area is approximately 17.3 acres.

MEDINA COUNTY:

ROCKY CREEK SUBDIVISION is located approximately miles southwest of Medina Lake and is generally bounded by County Road 265 on the north, County road 266 on the west, and county Road 271 on the east. Total area is approximately 310 acres.

This transaction will have the following effect on the current customers' rates and services: Current customer rates will remain the same, service quality will remain the same, and SWWC Utilities, Inc. service policies and fees will remain the same.

Affected persons may file written protests and/or request a public hearing within 30 days of this notice.

To request a hearing, you must:

- (1) state your name, mailing address and daytime telephone number;
- (2) state the applicant's name, application number or another recognizable reference to this application;
- (3) the statement "I/we request a public hearing";
- (4) write a brief description of how you, the persons you represent, or the public interest would be adversely affected by the proposed transaction and transfer of the CCN; and
- (5) state your proposed adjustment to the application or CCN which would satisfy your concerns and cause you to withdraw your request for a hearing.

Only those persons who submit a written request to be notified of a hearing will receive notice if a hearing is scheduled.

Persons who wish to intervene or comment should file a request in writing within thirty (30) days from the date of this publication or notice with:

Filing Clerk
Public Utility Commission of Texas
1701 North Congress Avenue
P.O. Box 13326
Austin, Texas 78711-3326

A public hearing will be held only if a legally sufficient hearing request is received or if the Commission on its own motion requests a hearing. Only those individuals who submit a written hearing request or a written request to be notified if a hearing is set will receive notice if a hearing is scheduled.

If a public hearing is requested, Commission will not transfer the CCN and will forward the application to the State Office of Administrative Hearings (SOAH) for a hearing. If no settlement is reached and an evidentiary hearing is held, the SOAH will submit a recommendation to the Commission for final decision. If an evidentiary hearing is held, it will be a legal proceeding similar to a civil trial in state district court.

Si desea informacion en Espanol, puede llamar al 1-888-782-8477

Utility Representative

Utility Name

APPENDIX A

WIEDENFELD WATER WORKS, INC.

TCEQ CN NUMBER 600650725

PWS ID Number	TCEQ RN Number	Regulated Entity Name (TCEQ)	County
1330019	RN101215291	CEDAR SPRINGS MOBILE HOME VILLAGE	KERR
1330007	RN101241511	CENTER POINT	KERR
1330080	RN102680717	HERITAGE PARK WATER SERVICE	KERR
1330030	RN101213296	HILLS AND DALES	KERR
1330134	RN101284438	OAK RIDGE ESTATES WATER SYSTEM	KERR
1300035	RN101206829	PLATTEN CREEK WATER SYSTEM	KENDALL
1630038	RN102676459	ROCKY CREEK SUBDIVISION WATER SYSTEM	MEDINA
1330128	RN101224384	SOUTHERN HILLS	KERR
1330027	RN101210961	VERDE PARK ESTATES	KERR
1330169	RN105915359	VISTA HILLS	KERR
1330015	RN101285476	WESTWOOD WATER SYSTEM	KERR
1330141	RN102681996	WINDWOOD OAKS WATER SYSTEM	KERR
1330024	RN101266070	WOODHAVEN MOBILE HOME PARK	KERR

APPENDIX B

Cont. Acct	Reason	Start Date	Return	Crcy	Req. Amt.	Payment	Status	Descript.
1000068286	REQD	4/7/2016	10/7/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000068995	REQD	5/20/2016	11/20/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068346	REQD	4/14/2016	10/14/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068439	REQD	5/19/2016	11/19/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068440	REQD	5/20/2016	11/20/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068907	REQD	6/3/2016	12/3/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068550	REQD	5/5/2016	11/5/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068585	REQD	6/3/2016	12/3/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000069203	REQD	6/7/2016	12/7/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068624	REQD	5/11/2016	11/11/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068720	REQD	5/12/2016	11/12/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068623	REQD	5/16/2016	11/16/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068633	REQD	5/7/2016	11/7/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000068905	REQD	4/17/2016	10/17/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000069162	REQD	7/1/2016	1/1/2018	USD	\$ 50.00	\$ 50.00	4	Paid
1000069403	REQD	6/7/2016	12/7/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000069293	REQD	5/13/2016	11/13/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000070009	REQD	7/5/2016	1/5/2018	USD	\$ 50.00	\$ 50.00	4	Paid
1000070239	REQD	9/16/2016	3/16/2018	USD	\$ 50.00	\$ 50.00	4	Paid
1000070125	REQD	7/20/2016	1/20/2018	USD	\$ 50.00	\$ 50.00	4	Paid
1000070322	REQD	8/26/2016	2/26/2018	USD	\$ 50.00	\$ 50.00	4	Paid
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1000070720	REQD	9/19/2016	3/19/2018	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067239	CONV	2/25/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067244	CONV	2/11/2016	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067258	CONV	12/3/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067274	CONV	7/2/2009	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067298	CONV	1/13/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid

Cont. Acct	Reason	Start Date	Return	Crcy	Req. Amt.	Payment	Status	Descript.
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1000067314	CONV	12/11/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067367	CONV	7/16/2012	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067368	CONV	6/30/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067381	CONV	4/26/2011	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067382	CONV	8/31/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067394	CONV	9/24/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067423	CONV	6/29/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067438	CONV	1/7/2010	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067470	CONV	4/7/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067523	CONV	6/17/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067527	CONV	5/12/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067534	CONV	7/3/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067547	CONV	7/18/2008	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067563	CONV	12/29/2010	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067588	CONV	1/25/2011	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067592	CONV	10/6/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067599	CONV	10/1/2013	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067602	CONV	8/7/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067603	CONV	4/2/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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Cont. Acct	Reason	Start Date	Return	Crcy	Req. Amt.	Payment	Status	Descript.
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1000067621	CONV	6/30/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067622	CONV	5/29/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067624	CONV	5/6/2013	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067626	CONV	6/29/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067634	CONV	6/15/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067637	CONV	5/14/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067642	CONV	10/21/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067663	CONV	4/28/2010	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067673	CONV	2/12/2004	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067677	CONV	3/3/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067708	CONV	11/28/2006	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067715	CONV	9/3/2012	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067719	CONV	11/22/2010	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067725	CONV	10/28/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067731	CONV	11/25/2013	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067737	CONV	3/7/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067754	CONV	5/23/2011	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000071155	REQD	8/4/2016	2/4/2018	USD	\$ 50.00	\$ 50.00	4	Paid
1000067784	CONV	5/27/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067797	CONV	12/16/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067804	CONV	6/12/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067808	CONV	4/2/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067818	CONV	12/14/2015	9/21/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067820	CONV	8/31/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067834	CONV	3/25/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067835	CONV	10/22/2013	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067841	CONV	12/14/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000071657	REQD	10/11/2016	4/11/2018	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067860	CONV	7/3/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000069257	REQD	6/2/2016	12/2/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067868	CONV	6/15/2012	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067887	CONV	2/18/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
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1000067898	CONV	3/24/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067904	CONV	8/31/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067909	CONV	10/28/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067911	CONV	8/7/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067916	CONV	5/14/2013	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067923	CONV	6/18/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid

Cont. Acct	Reason	Start Date	Return	Crcy	Req. Amt.	Payment	Status	Descript.
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1000067955	CONV	9/24/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067958	CONV	1/5/2016	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067963	CONV	8/29/2013	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067966	CONV	2/22/2010	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067993	CONV	2/13/2015	9/21/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000067998	CONV	3/3/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068009	CONV	8/4/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068012	CONV	2/25/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068018	CONV	11/2/2009	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068019	CONV	2/9/2016	9/21/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068025	CONV	4/2/2015	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068031	CONV	12/21/2010	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068038	CONV	9/9/2013	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068045	CONV	4/26/2010	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068047	CONV	12/5/2014	9/15/2017	USD	\$ 50.00	\$ 50.00	4	Paid
1000068051	REQD	8/24/2016	2/24/2018	USD	\$ 50.00	\$ 50.00	4	Paid
1000071151	REQD	9/6/2016	3/6/2018	USD	\$ 50.00	\$ 50.00	4	Paid

APPENDIX C



Franchise Tax Account Status

As of : 09/29/2016 16:06:04 PM

This Page is Not Sufficient for Filings with the Secretary of State

SWWC UTILITIES, INC.

Texas Taxpayer Number 12602872199

Mailing Address 12535 REED RD ATTN: TAX DEPT (FSC) SUGAR
LAND, TX 77478-2837

Right to Transact Business in Texas ACTIVE

State of Formation DE

Effective SOS Registration Date 06/19/2007

Texas SOS File Number 0800832416

Registered Agent Name CORPORATION SERVICE COMPANY DBA CSC -
LAWYERS INCO

Registered Office Street Address 211 E. 7TH STREET SUITE 620 AUSTIN, TX 78701

APPENDIX D

ACTIVE COMPLIANCE ORDERS

Monarch Utilities I, L.P.

Line No	AGREED ORDER (a)	DATE (b)	REGULATED ENTITY (TCEQ) (c)	ALLEGED VIOLATIONS (d)	COMPLIANCE ACTIONS (e)	ADMINISTRATIVE PENALTY (f)	STATUS (g)
1	Docket 2014-0068-PWS-E	7/2/2014	RN102987856 Pinwah Pines	Failure to comply with MCL for Radium 226-Radium 228. Failure to comply with Gross Alpha Particle activity	Submit feasibility study within 185 days and achieve compliance within 1095 days	\$1,404 deferred pending compliance by 1095 day deadline	Feasibility study completed 10/30/2014. Interconnect plans with neighboring PWS approved by TCEQ on 11/24/2015. Interconnect under construction and expected to be operational by late 2016.
2	Docket 2016-0124-PWS-E	5/26/2016	Aurora Vista PWS No. 2490051	Failure to comply with MCL of 0.080 mg/l for total trihalomethanes. Based on the locational running annual average.	Implement public notifications within 30 days and achieve compliance within 365 days.	\$276	Wholesale supplier (City of Rhome) is supplying water that is exceeding the MCL. We have installed aerators in our storage tank to reduce the concentration. System remains out of compliance to date.
3	Docket 2016-0183-PWS-E	8/3/2016	Cherokee Shores Water Supply PWS No. 1070206	Failure to comply with MCL of 0.080 mg/l for total trihalomethanes. Based on the locational running annual average.	Achieve compliance within 365 days of date of order	\$351	As of Q3 2016, we are compliant with the MCL. We will submit Certification of Compliance to TCEQ in October 2016.
4	Docket 2016-0866-PWS-E	8/19/2016	Falcon Crest Addition PWS No. 1260076	Failure to comply with the acute MCL for microbial contaminants and failure to provide public notification and copy of the notification to the Executive Director.	Begin complying with applicable coliform monitoring requirements and provide water that meets the provisions regarding microbial contaminants, implement notification procedures, and submit documentation of compliance within 225 days after effective date of Order.	\$1,205	Notification procedures have been updated to cover future public notification processes. System has been in compliance with the total coliform rule for the past 6 months. We will submit Certification of Compliance to TCEQ in October 2016.
5	Docket 2016-1048-MWD-E	8/29/2016	Holiday Villages of Livingston WW	Failure to obtain authorization from the TCEQ to commence construction of additional facilities when the facility reached 90% of permitted daily average flow for three consecutive months. Failure to prevent the discharge of untreated wastewater into or adjacent to the waters of the state.	Within 30 days of the effective date of the Order initiate engineering and financial plans for expansion and/or upgrade to facilities. Within 45 days of effective date of the Order submit documentation.	\$1,971 and \$492 deferred contingent on satisfactory compliance with the Order.	Engineering plans have been submitted and approved by TCEQ. Plant construction began in October 2016. Certification of Compliance will be submitted to TCEQ in October 2016.
6	Docket 2016-0428-PWS-E	10/5/2016	Ridgecrest Addition, PWS No. 0910035	Failure to comply with MCL of 0.060 mg/l for haloacetic acids for Stage 2 disinfection byproducts based on the locational running annual average.	Within 365 days after the effective date return to compliance with the locational running annual average MCL for HAAS.	\$172	Wholesale supplier (Red River Authority) is supplying water that is exceeding the MCL. We are working with the supplier to reduce the concentration. System remains out of compliance to date.

APPENDIX E

Wiedenfeld Water Works, Inc. CCN 12052

Neighboring Entities to be Provided Notice

Shalako Estates and Canyon Springs CCN 12685

Kerr County Water Systems LLC CCN 13166

Aqua Texas Inc. CCN 12902

Aqua Utilities CCN 11157

Ingram Oaks Company Inc. CCN 13134

City of Kerrville CCN 12928

Wood Trails Water Supply CCN 11880

Mary Meade Water Company CCN 11570

Kerrville South Water Company CCN 11484

Woods WSC CCN 11879

Fremont Water Company CCN 11821

Hill River Water Works CCN 12939

Verde Hills WSC CCN 12093

Kendall County WCID 1 CCN 10685

Sherwood Mt Pisgah WSC CCN 13050

Yancy WSC CCN 11464

Kendall County

Kerr County

Medina County

City of Kerrville

Headwaters Groundwater Conservation District

Cow Creek Groundwater Conservation District

Medina County Groundwater Conservation District

Edwards Aquifer Authority

APPENDIX F

**Confidential Documents
to be submitted separately**

APPENDIX G

TX UTILITIES OPERATOR'S CERTIFICATIONS

Employees available for SWWC Utilities, Inc. dba Water Services, Inc. and Wiedenfeld Water Works, Inc.

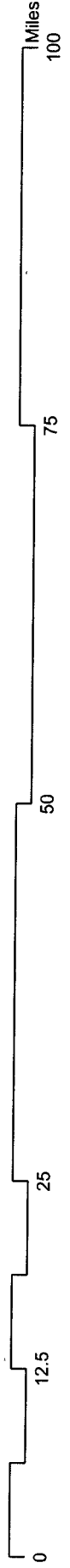
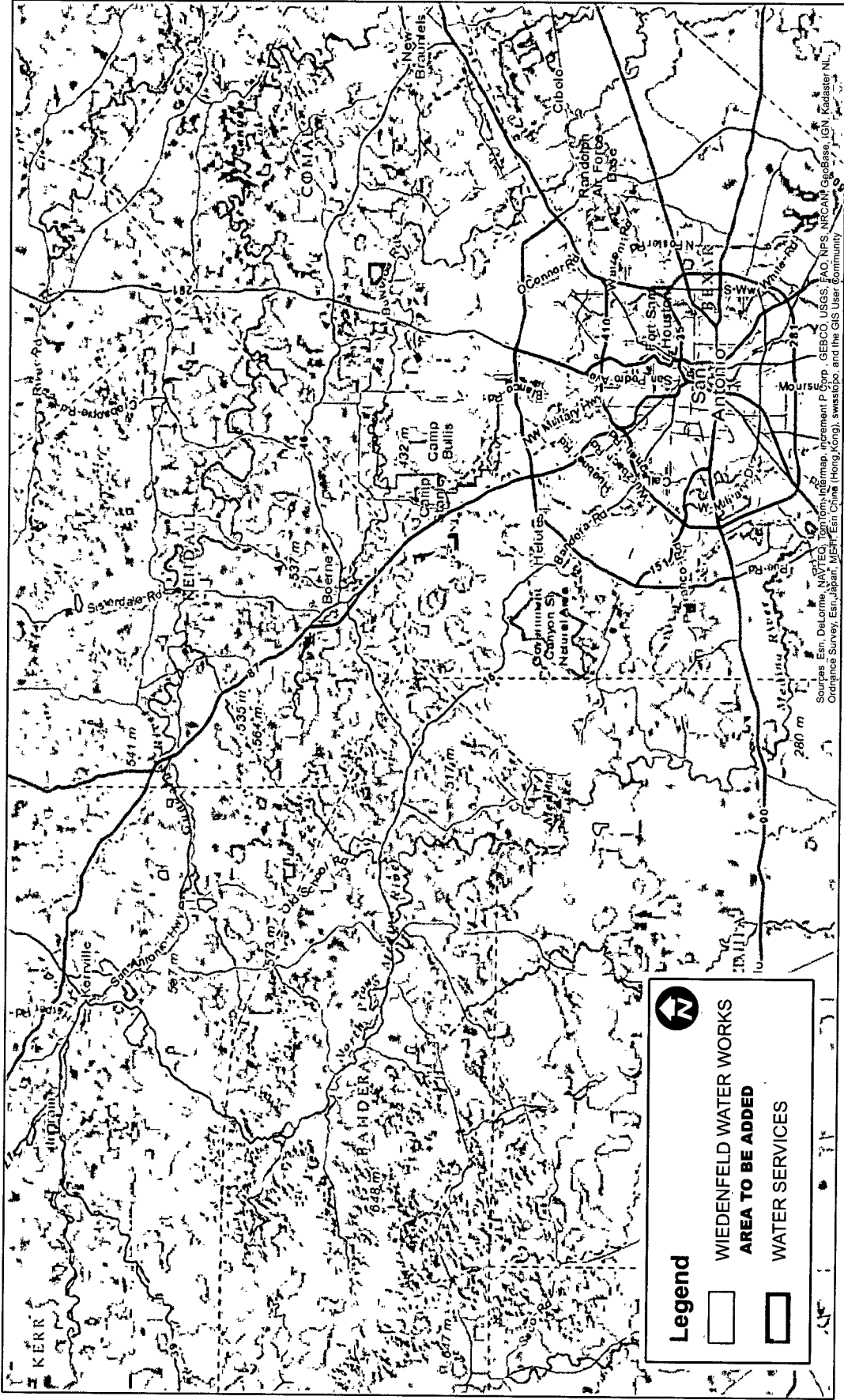
as of September 1, 2016

EMPLOYEE NAME	CERTIFICATIONS	CERTIFICATE NUMBER
Amezquita, Albert	Texas Surface Water Certificate - B	WS0008829
Barry, Chuck	Texas Surface Water Certificate - C	WS0010562
Barry, Chuck	Texas Wastewater Certificate - C	WW0044841
Cartagena, Colin	Texas Water Certificate - D	WO0036860
Cartagena, Colin	Texas Wastewater Certificate - D	WW0054290
Cortez, Dominic	Texas Ground Water Certificate - C	WG0014467
Delao, Ernest	Texas Water Certificate - D	WO0037711
Delao, Ernest	Texas Wastewater Certificate - B	WW0025973
Hernandez, Matthew	Texas Water Certificate - D	WO0037376
Jackson, Randall W	Texas Water Certificate - D	WO0026769
Jackson, Randall W	Texas Wastewater Certificate - D	WW0049446
Ledesma, Amado Jr.	Texas Water Certificate - D	WO0036863
Maldonado, Daniel	Texas Water Certificate - A	WO0033139
Maldonado, Daniel	Texas Wastewater Certificate - C	WW0053457
Maldonado, Daniel	Customer Service Inspector	CI0004833
Moreno, Benjamin	Texas Ground Water Certificate - C	WG0011550
Moreno, Benjamin	Texas Wastewater Certificate - D	WW0046714
Rios, Juan C	Texas Ground Water Certificate - B	WG0014827
Rios, Juan C	Texas Wastewater Collection Operator I	WW0049405
Rios, Juan C	Texas Wastewater Certificate - D	WW0052411
Ruiz, Manuel	Texas Water Certificate - D	WO0036865
Ruiz, Manuel	Texas Wastewater Certificate - B	WW0025031
Williford, Tim	Texas Wastewater Certificate - A	WW0047685
Williford, Tim	Texas Water Certificate - A	WO0032204

APPENDIX H

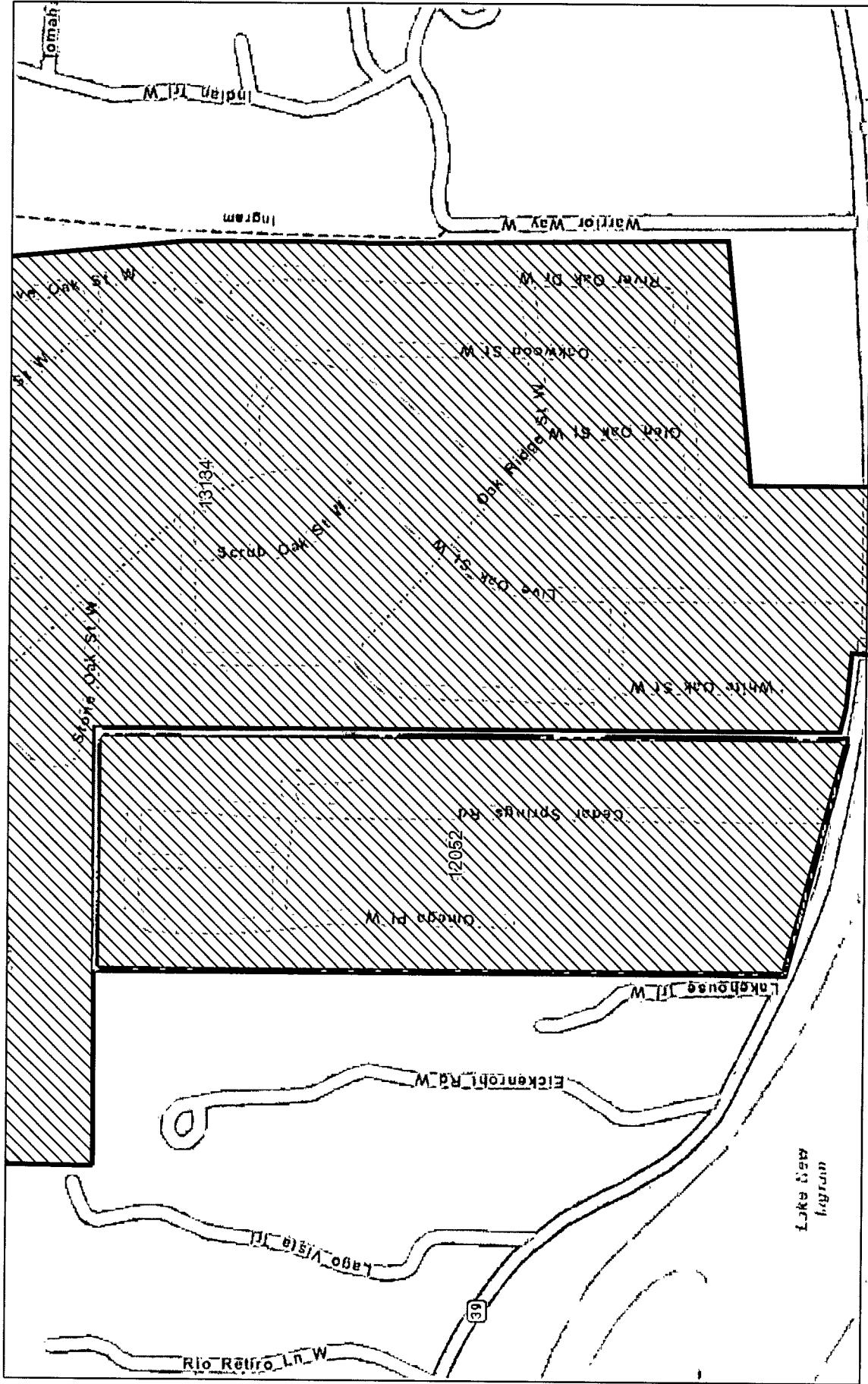
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WATER SERVICES, INC. - CCN 11106 SERVICE AREA MAP



Sources: Esri, DeLorme, NAVTEQ, TomTom, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, Geobase, IGN, Kasaster, N, Ordnance Survey, Esri, Japan, MEF, Esri, China (Hong Kong), Swastika, and the GIS User Community

Cedar Springs PWS 1330019



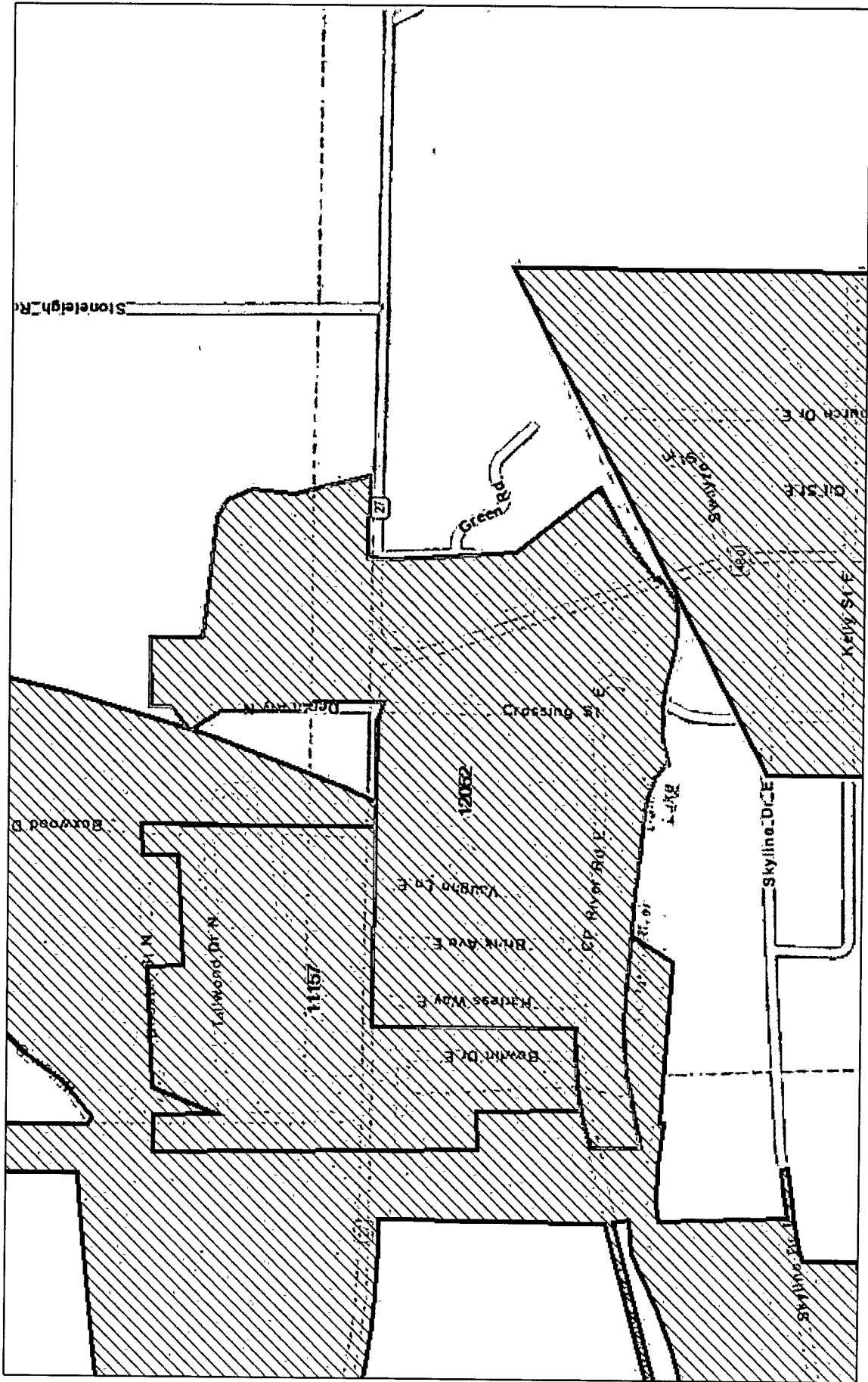
September 21, 2016

 Water CCN Service Areas

TxDOT Counties

Sources: Esri, HERE, DeLorme, USGS, Intermap, increment P Corp., NRCAN, Esri Japan, METI, Esri China (Hong Kong), Esri (Thailand).

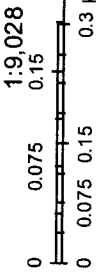
Center Point PWS 1330007



October 26, 2016

Water CCN Service Areas

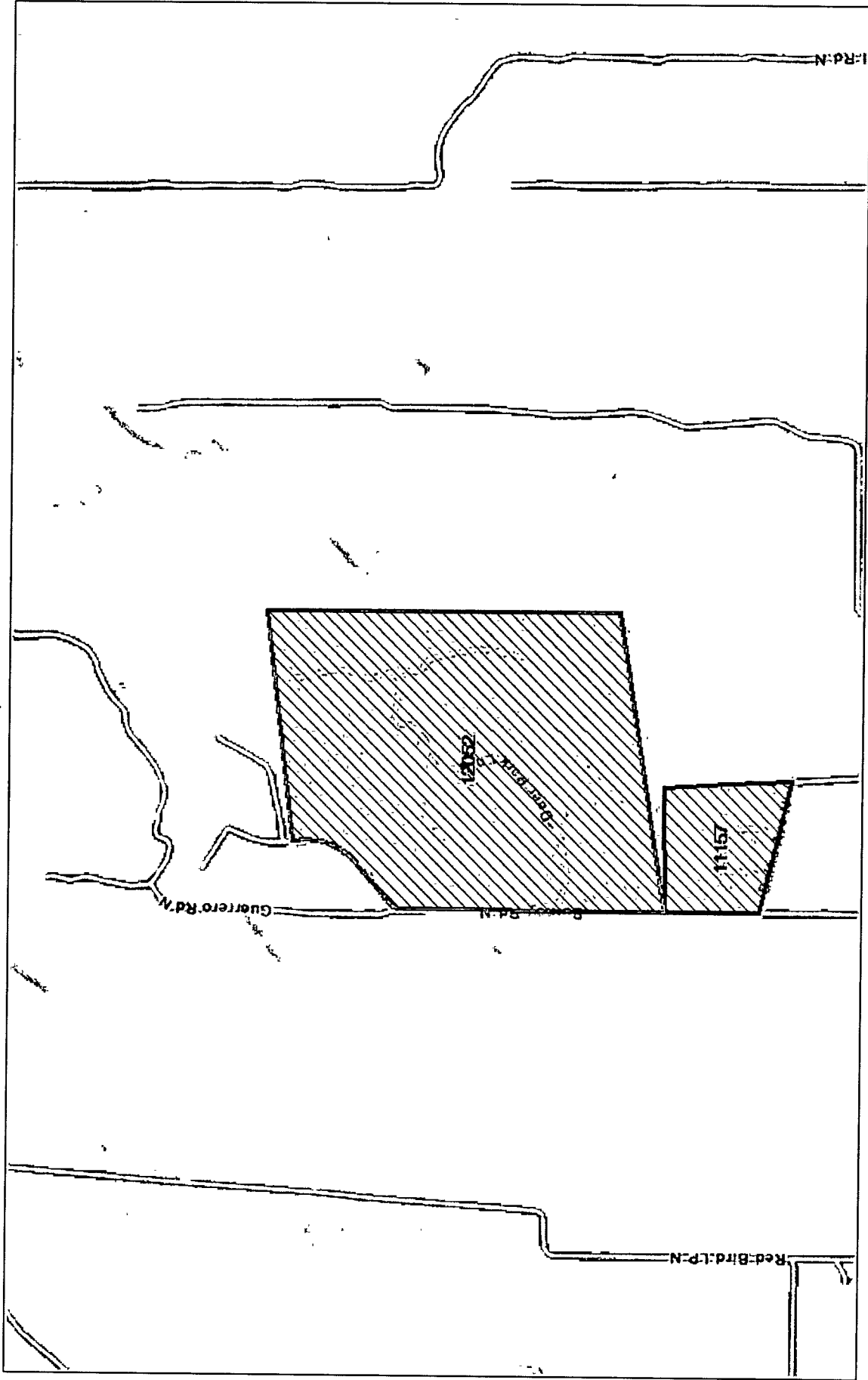
TxDOT Counties




1:9,028

Sources: Esri, HERE, DeLorme, USGS, Intermap, INCREMENT P, NRCan, Esri Japan, METI, Esri China (Hong Kong), Esri Korea, Esri (Thailand),

Heritage Park PWS 1330007



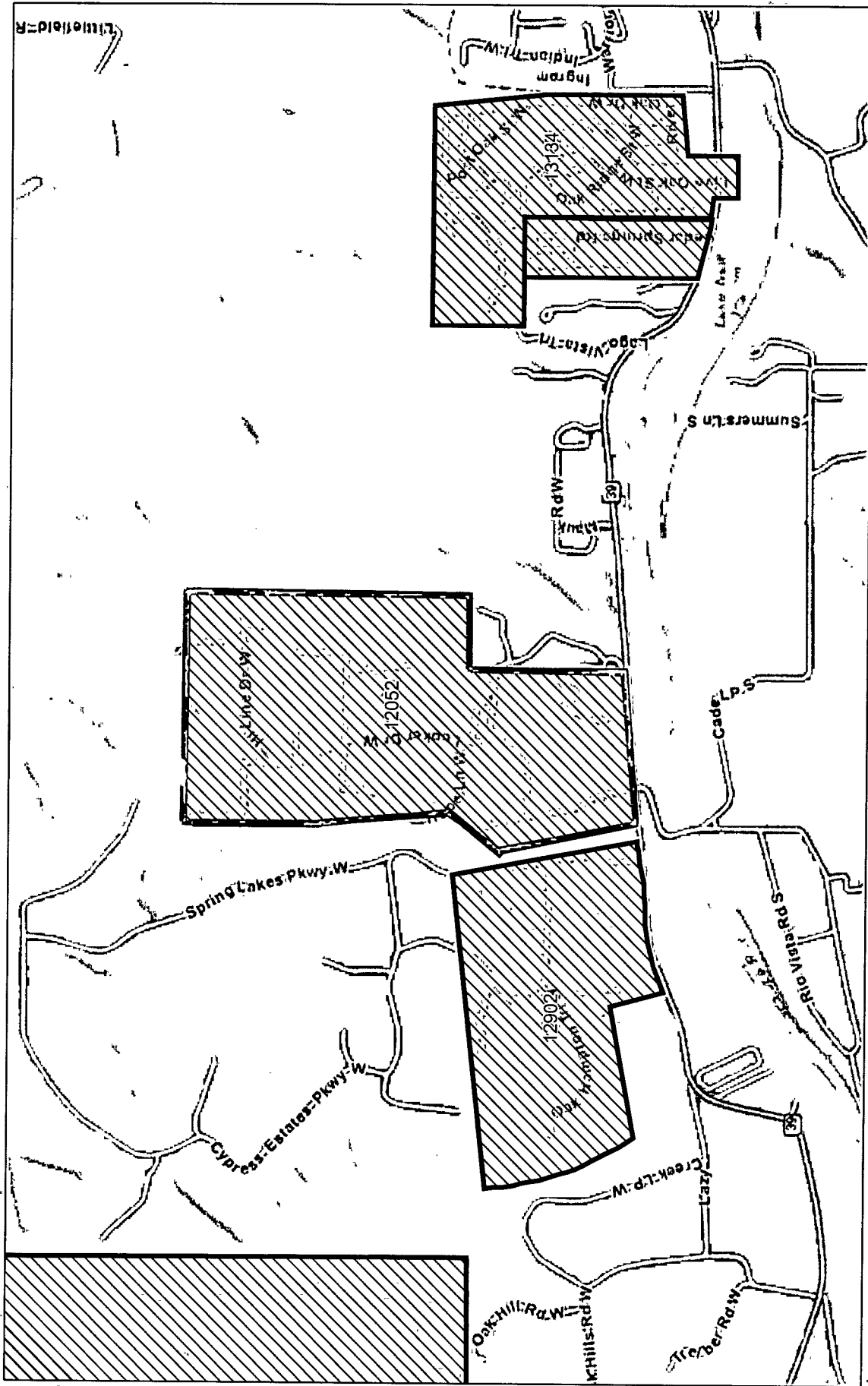
September 23, 2016

 Water CCN Service Areas

TxDOT Counties

Sources: Esri, HERE, DeLorme, USGS, Intermap, increment P Corp., NRCAN, Esri Japan, METI, Esri China (Hong Kong), Esri (Thailand).

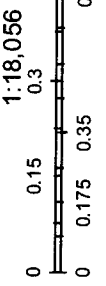
Hills and Dales PWS 1330030



September 21, 2016

Water CCN Service Areas

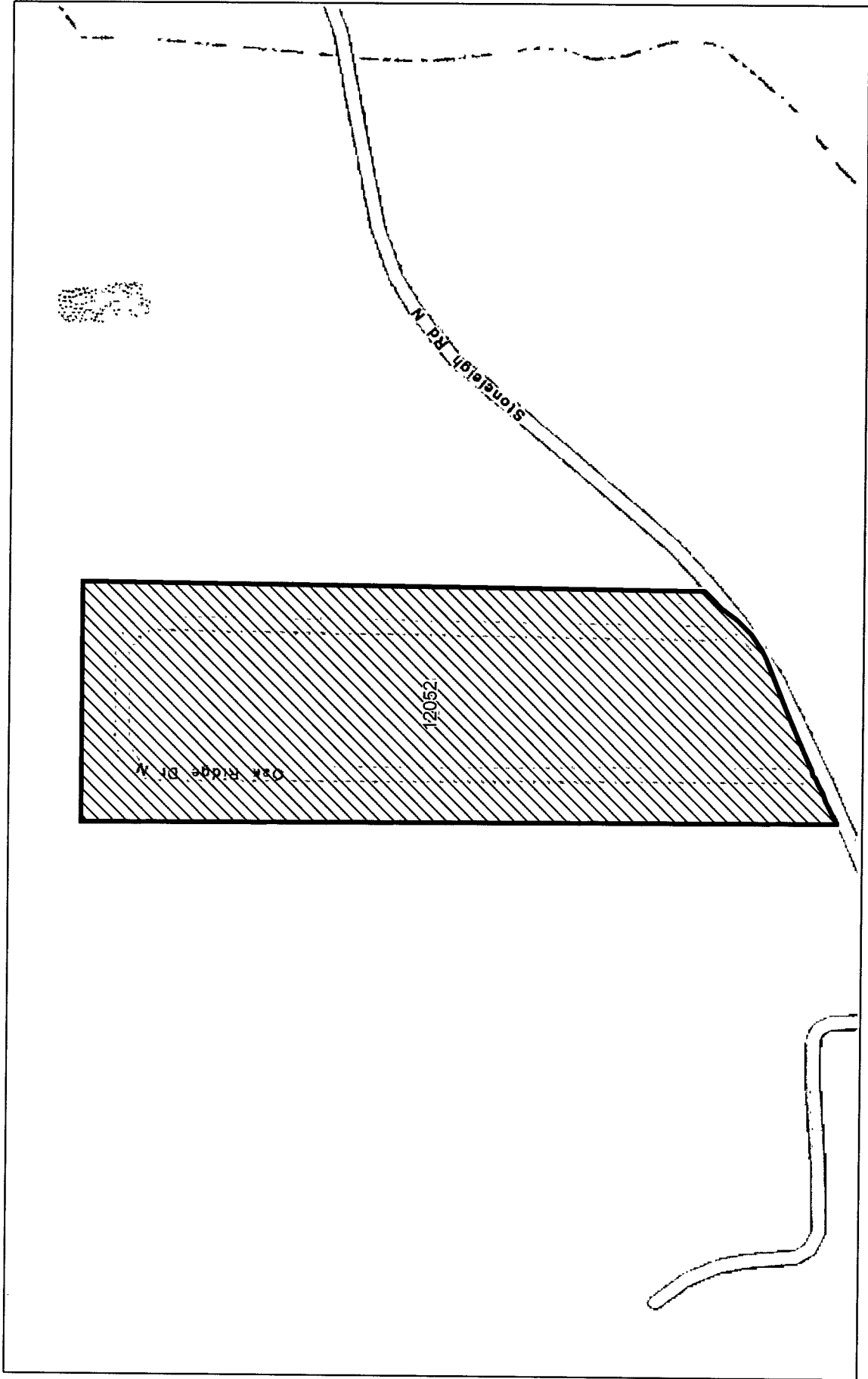
TxDOT Counties




1:18,056

Sources: Esri, HERE, DeLorme, USGS, Intermap, increment P Corp., NRCAN, Esri Japan, METI, Esri China (Hong Kong), Esri (Thailand).

Oak Ridge PWS 1330134

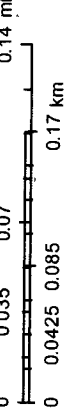


September 21, 2016

 Water CCN Service Areas

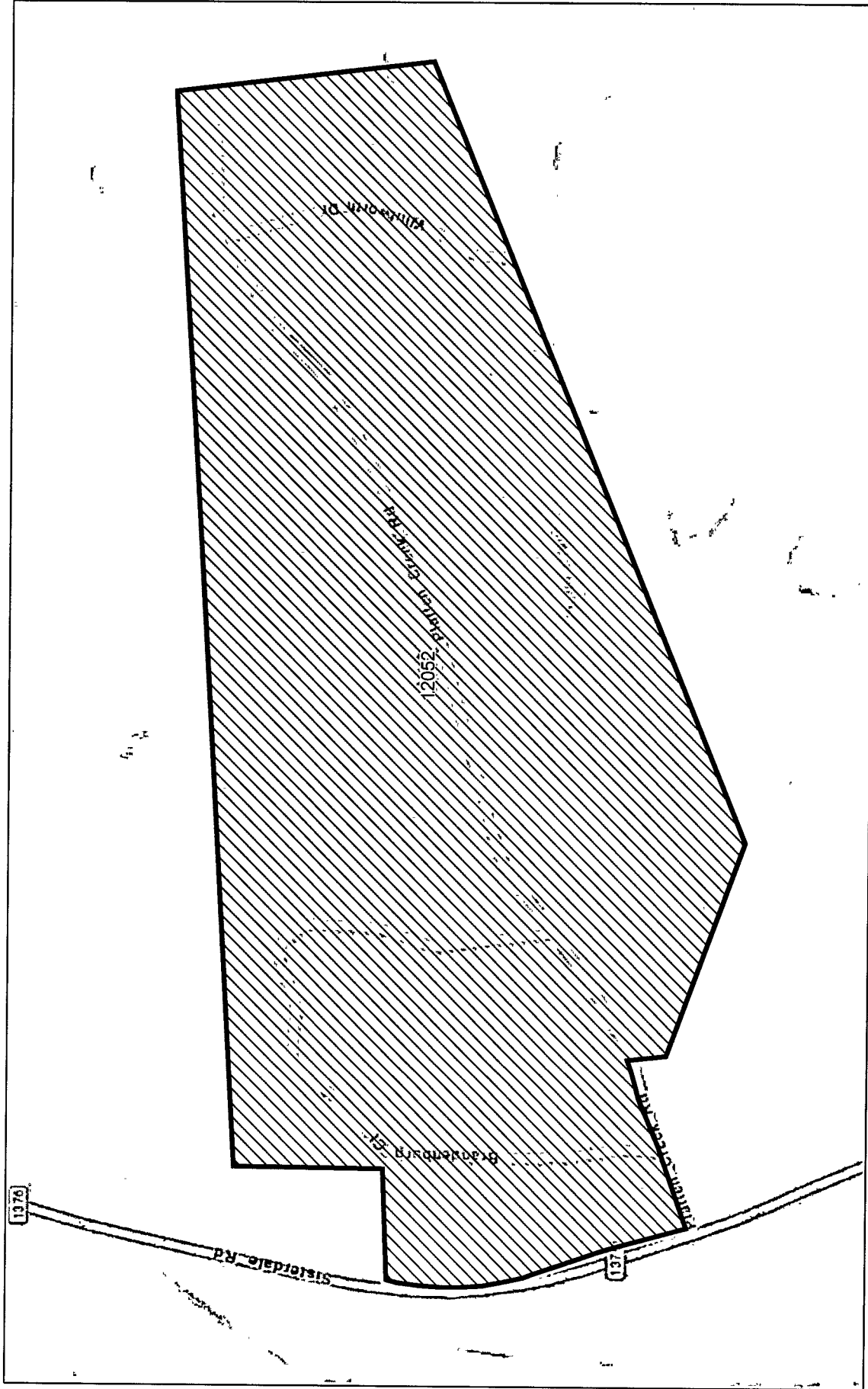
TxDOT Counties

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Sources: Esri, HERE, DeLorme, USGS, Intermap, increment P Corp., NRCAN, Esri Japan, METI, Esri China (Hong Kong), Esri (Thailand).

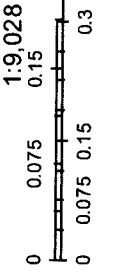
Platten Creek PWS 1300035



September 21, 2016

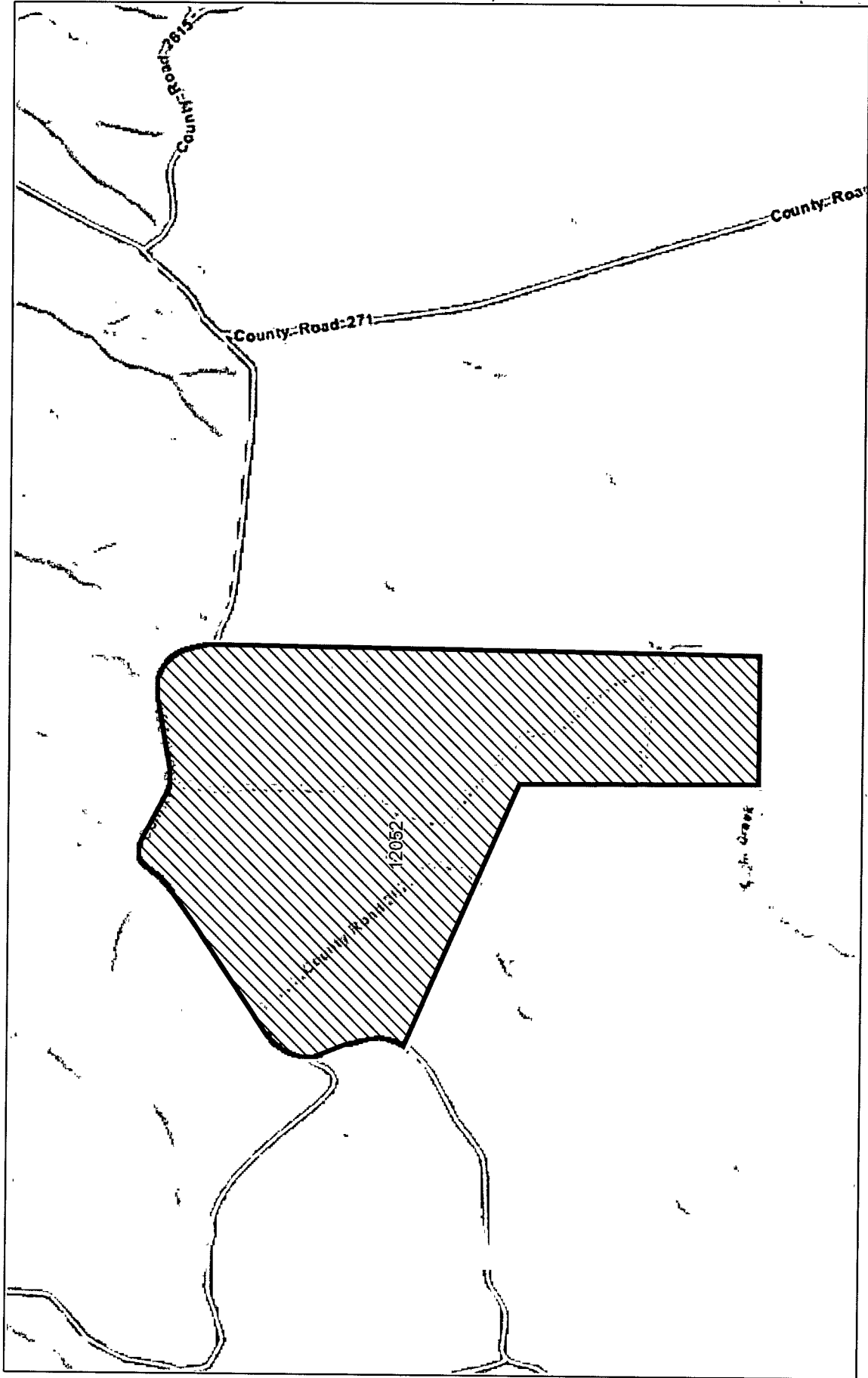
 Water CCN Service Areas

TxDOT Counties



Sources: Esri, HERE, DeLorme, USGS, Intermap, increment P Corp., NRCAN, Esri Japan, METI, Esri China (Hong Kong), Esri (Thailand).

Rocky Creek PWS 1630038



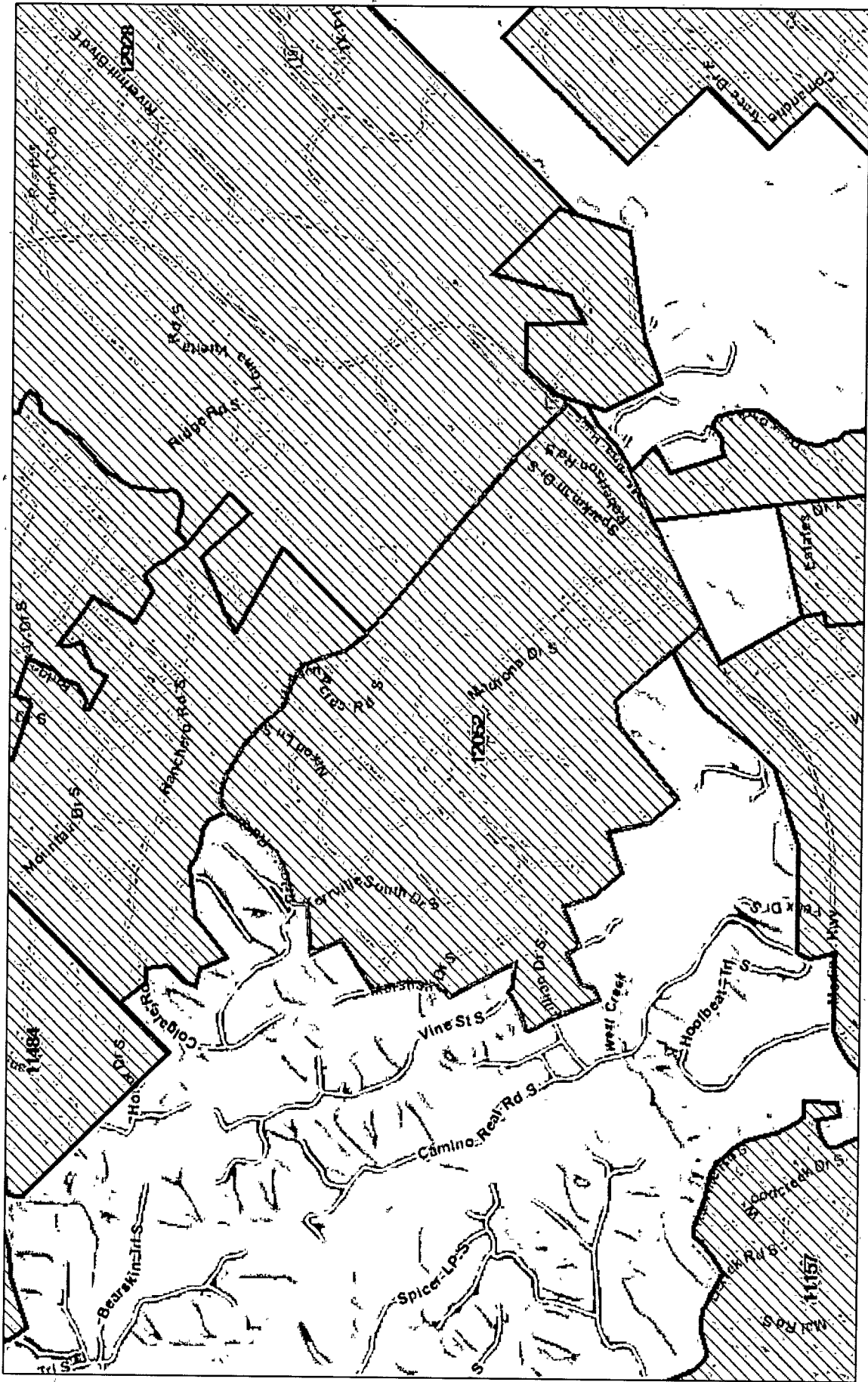
September 21, 2016

 Water CCM Service Areas


TxDOT Counties

Sources: Esri, HERE, DeLorme, USGS, Intermap, increment P Corp., NRCAN, Esri Japan, METI, Esri China (Hong Kong), Esri (Thailand).

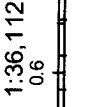
Southern Hills PWS 1330128



October 26, 2016

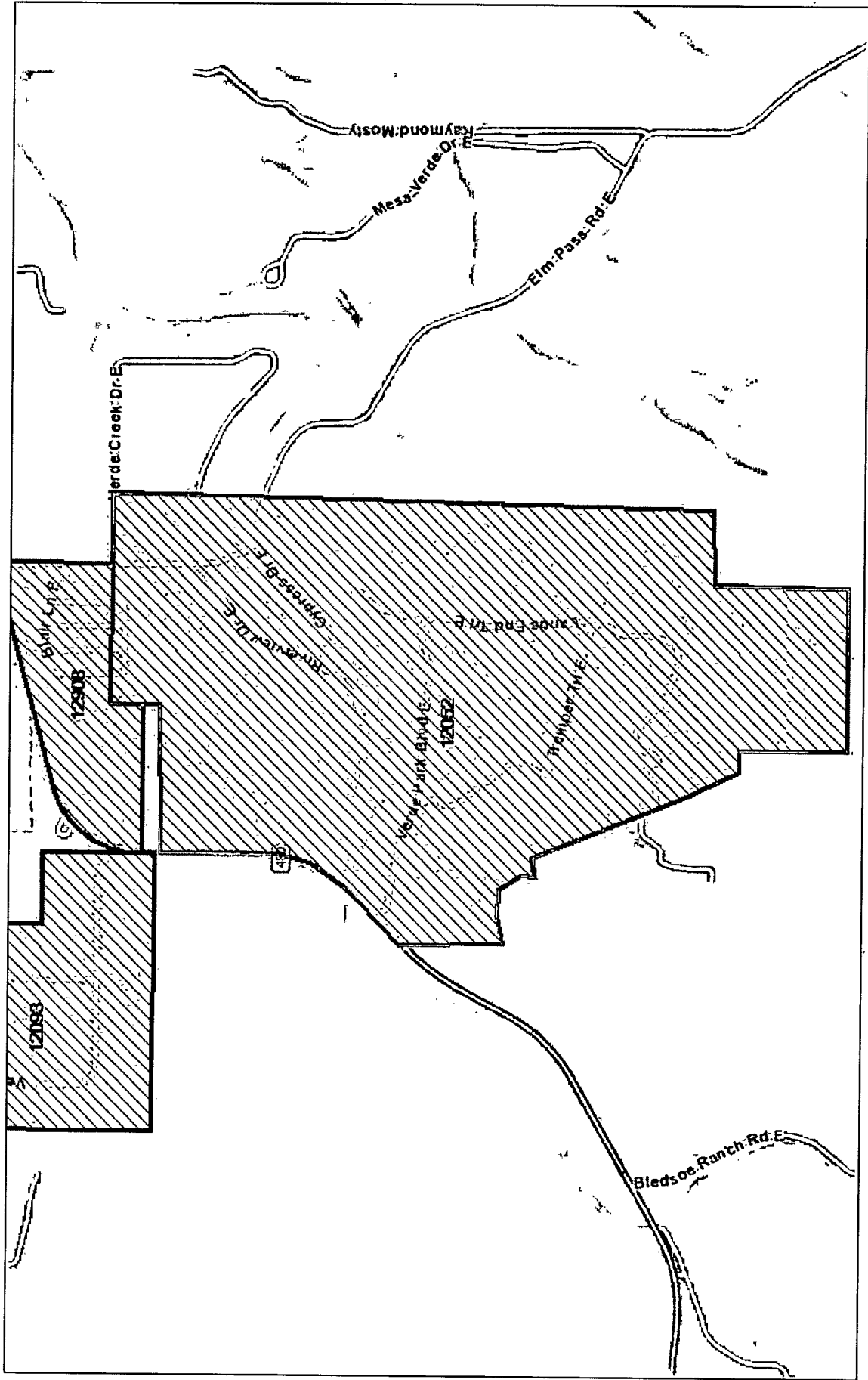
 Water CCN Service Areas

TxDOT Counties



Sources: Esri, HERE, DeLorme, USGS, Intermap, INCREMENT P, NRCan, Esri Japan, METI, Esri China (Hong Kong), Esri Korea, Esri (Thailand).

Verde Park PWS 1330027



October 26, 2016

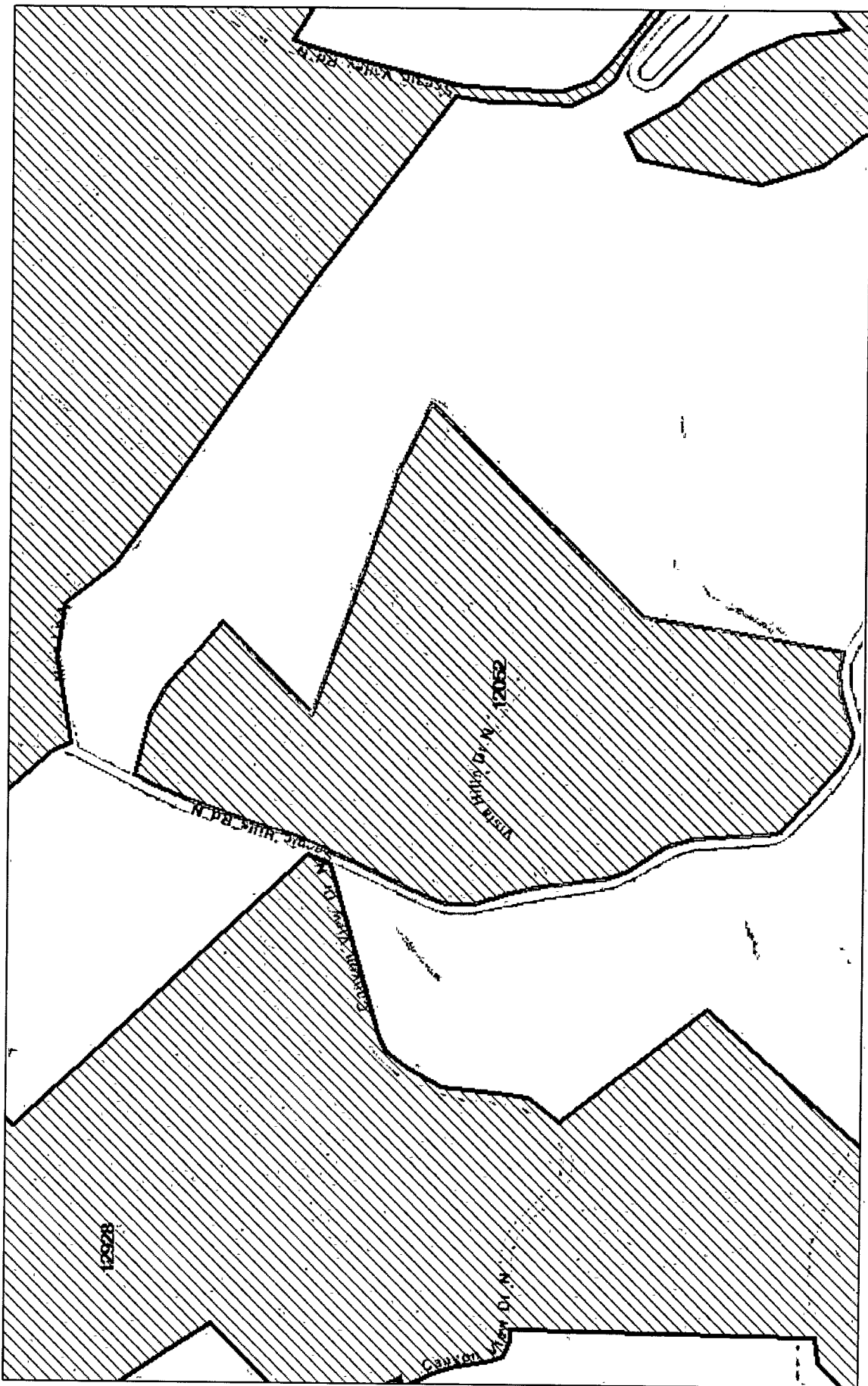
Water CCN Service Areas

TxDOT Counties


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Sources: Esri, HERE, DeLorme, USGS, Intermap, INCREMENT P, NRCan, Esri Japan, METI, Esri China (Hong Kong), Esri Korea, Esri (Thailand).

Vista Hills PWS 1330169



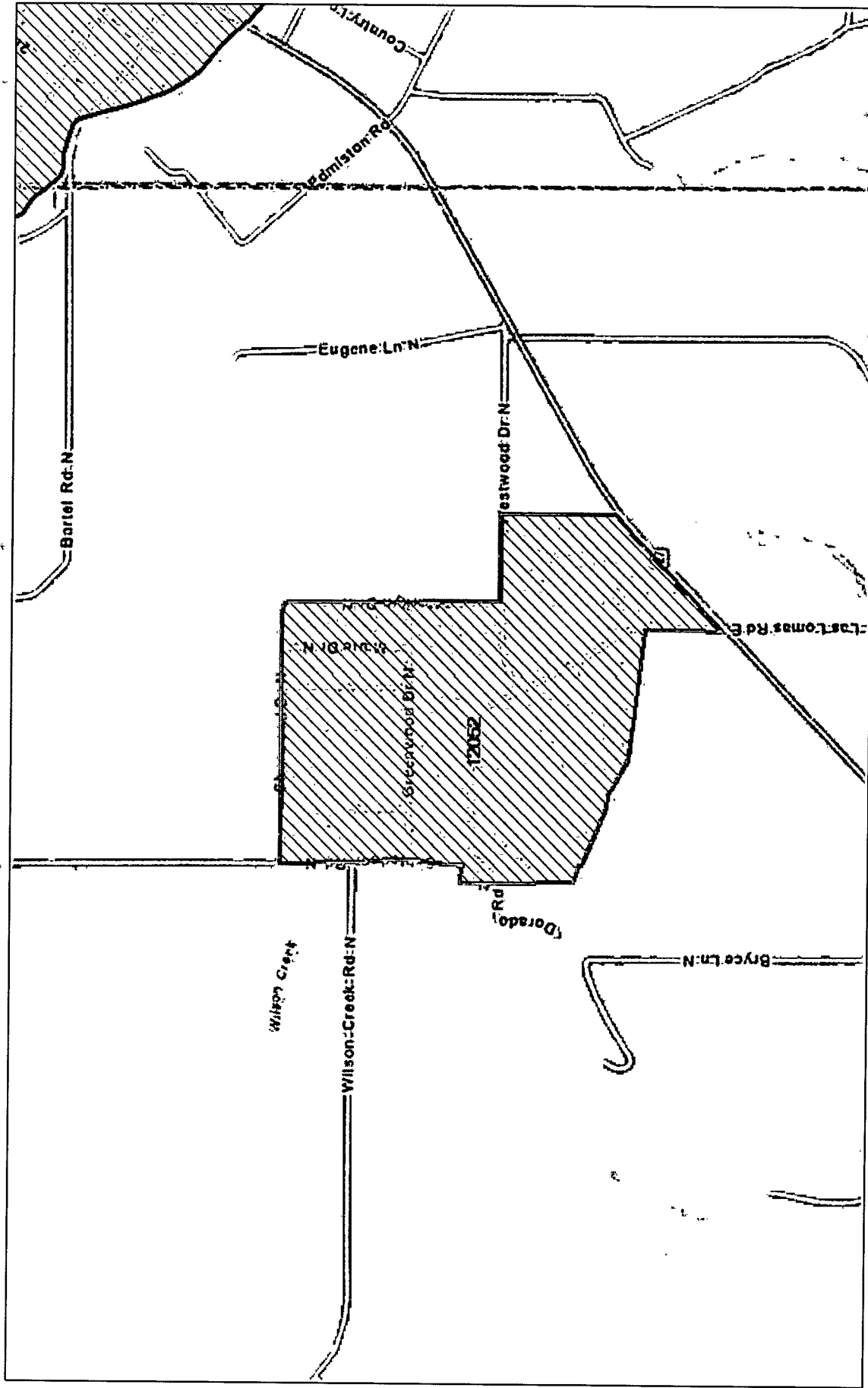
October 26, 2016

 Water CCN Service Areas
TxDOT Counties

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Sources: Esri, HERE, DeLorme, USGS, Intermap, INCREMENT P, NRCan, Esri Japan, METI, Esri China (Hong Kong), Esri Korea, Esri (Thailand),

Westwood Water System PWS 1330015

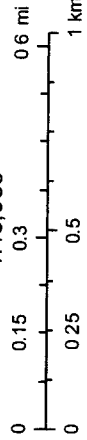


October 27, 2016

Water CCN Service Areas

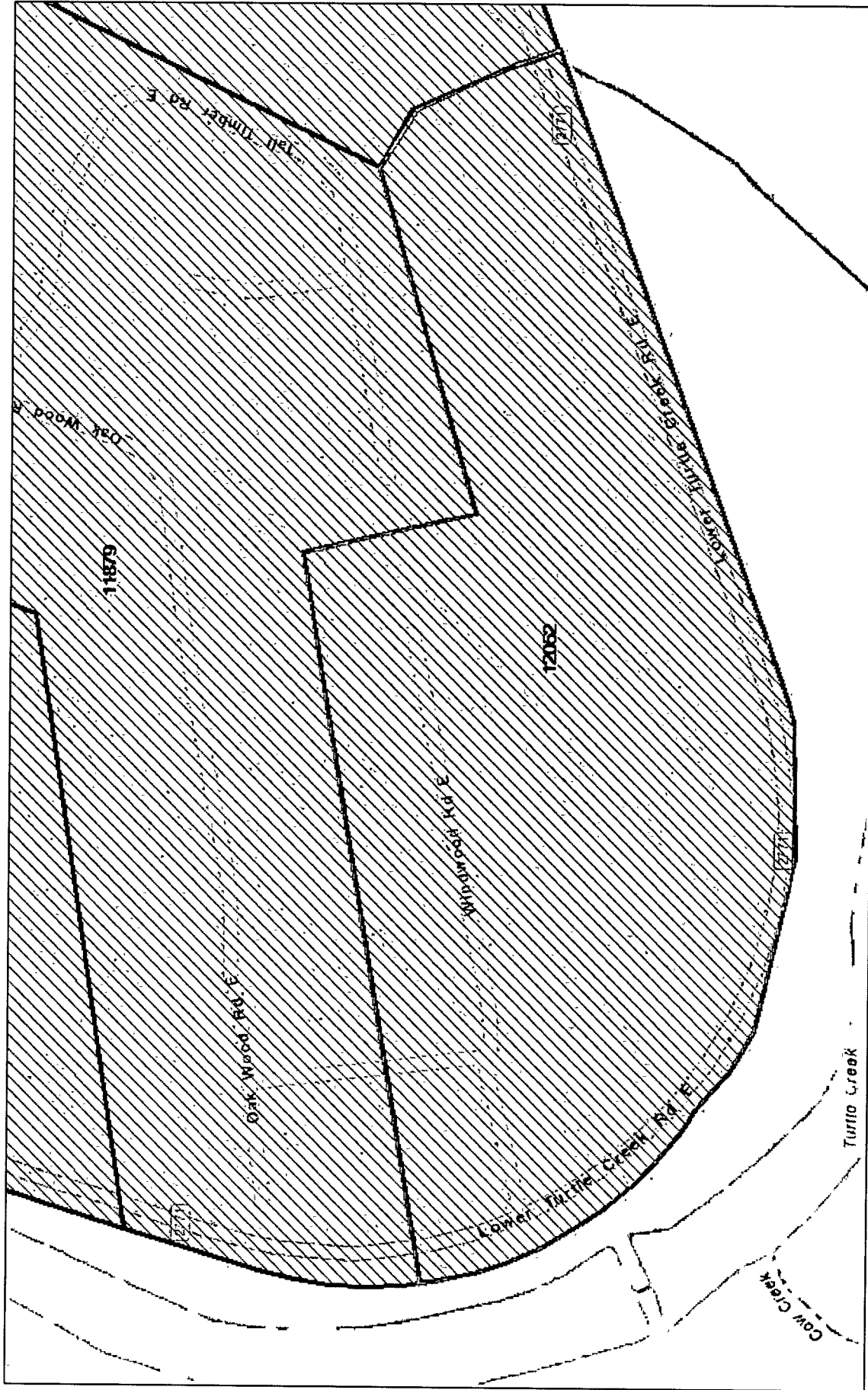
TxDOT Counties

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Sources: Esri, HERE, DeLorme, USGS, Intermap, INCREMENT P, NRCan, Esri, Japan, METI, Esri China (Hong Kong), Esri Korea, Esri (Thailand)

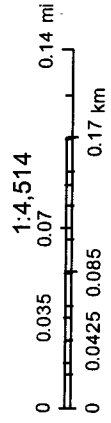
Windwood PWS 1330141



October 26, 2016

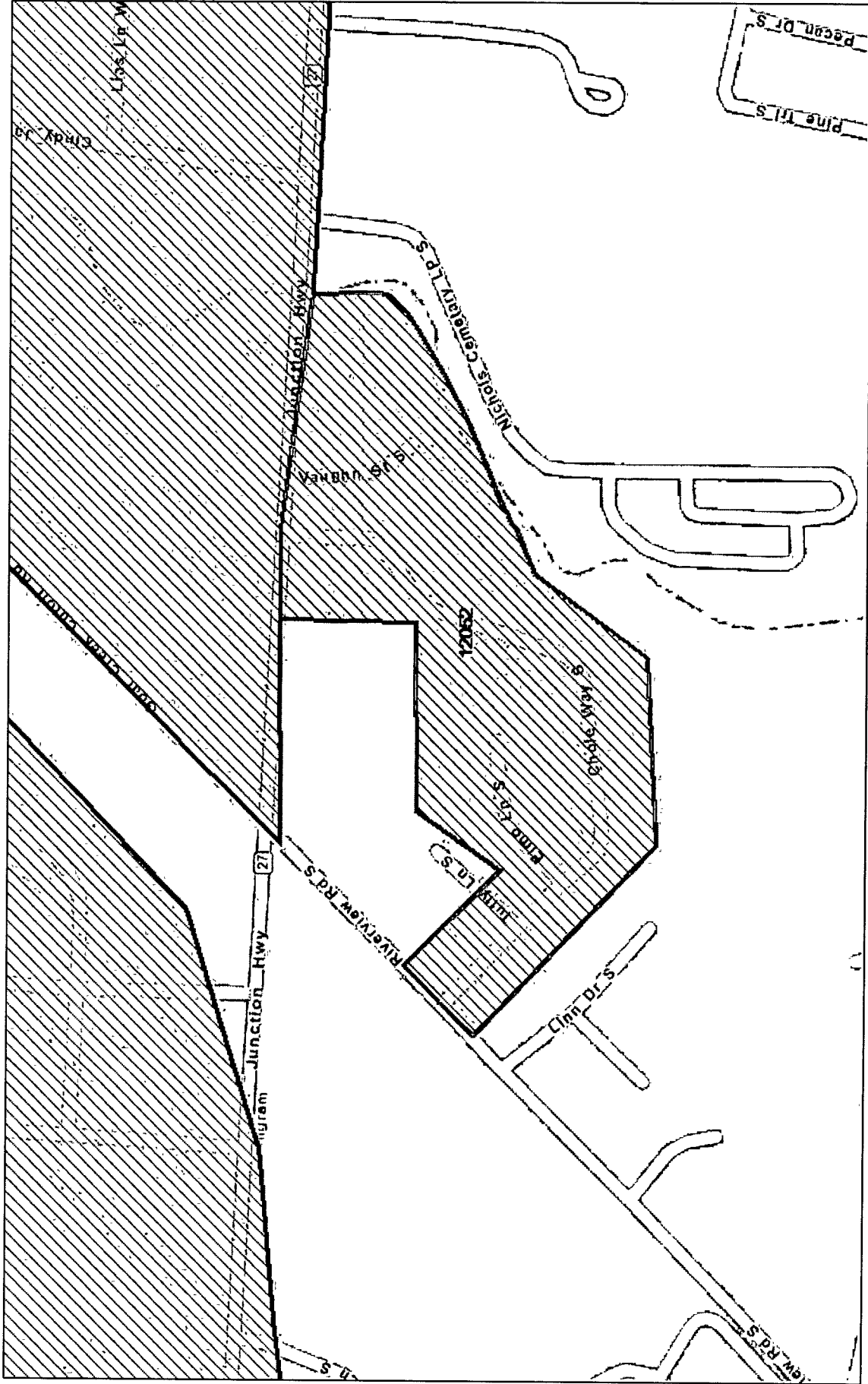
Water CCN Service Areas

TxDOT Counties



Sources: Esri, HERE, DeLorme, USGS, Intermap, INCREMENT P, NRCan, Esri Japan, METI, Esri China (Hong Kong), Esri Korea, Esri (Thailand).

Woodhaven MHP PWS 1330024

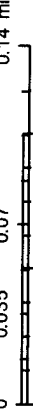


October 26, 2016

Water CCN Service Areas

TxDOT Counties

1:4,514



Sources: Esri, HERE, DeLorme, USGS, Intermap, INCREMENT P, NRCan, Esri Japan, METI, Esri China (Hong Kong), Esri Korea, Esri (Thailand).

APPENDIX I

i

UNANIMOUS WRITTEN CONSENT
OF THE BOARD OF DIRECTORS OF
WIEDENFELD WATER WORKS, INC.

The undersigned, constituting all of the directors of Wiedenfeld Water Works, Inc. a Texas corporation (the "Company"), take the following action by written consent in lieu of a meeting, in accordance with the Texas Business Organizations Code and the bylaws of the Company, is executed as of October 24, 2016.

WHEREAS, with respect to the Company, the Company desires to transfer all of its Assets (listed hereto as Exhibit A) and Certificates of Convenience and Necessity (the "CCN") to SWWC Utilities, Inc. doing business as Water Services, Inc. (the "Transfer"); and

WHEREAS, the Transfer of said Assets and CCNs will be subject to the regulatory approval of the Public Utility Commission of Texas ("PUC") and such Transfer will be permitted hereunder if and only if such PUC and debt holder approval is received, if such debt holder approval is required.

NOW, THEREFORE, BE IT RESOLVED, that the Board of Directors hereby approves the Transfer as stated herein subject to the prior debt holder and regulatory approval of PUC.

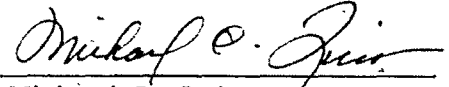
FURTHER RESOLVED, that each of the Appropriate Officers of the Company (listed hereto in Exhibit B) be, and each hereby is, authorized in the name and on behalf of the Company to execute and deliver approval of the Transfer of the Assets and CCNs of the Company to SWWC Utilities, Inc. doing business as Water Services, Inc.

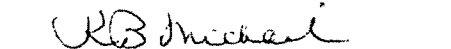
NOW, THEREFORE, BE IT RESOLVED, that the appropriate officers of the Company be, and each of them hereby is, authorized and directed, for and on behalf of the Company, to execute all documents and take such further action as they may deem necessary, appropriate or advisable to affect the purposes of each of the foregoing resolutions.

NOW, THEREFORE, BE IT RESOLVED, that the Board of Directors hereby approves the Transfer as stated herein subject to the regulatory approval of PUC.

IN WITNESS WHEREOF, the undersigned have executed this Unanimous Action by Written Consent as of the date first written above.

WIEDENFELD WATER WORKS, INC. DIRECTORS:


Michael O. Quinn


Kirk Michael

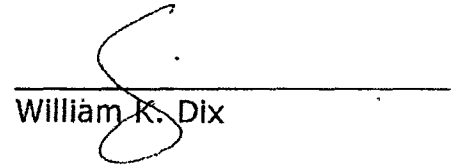

William K. Dix

EXHIBIT A

Wiedenfled Water Works, Inc. Assets and CCNs

WIEDENFELD WATER WORKS, INC. CCN No. 12052
LIST OF ASSETS

CEDAR SPRINGS PWS No. 1330019

Land
Fencing 6' chain link
Well 5" 420 ft
Well meter 2"
Building - wood and masonry
Hydro Tank 1500 gal
Hydro Tank 120 gal
Air compressor
Ground Storage - 22,000 gal bolted steel
Booster pumps and motors
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 43

CENTER POINT PWS No. 1330007

Land
Fencing 6' chain link
Well 8" 500 ft
Well meter 2"
Building - wood
Building - masonry
Hydro Tank 550 gal
Hydro Tank 969 gal
Air compressor
Ground Storage - 5,250 gal. f/g
Ground Storage - 4,000 gal conc.
Ground Storage - 4,000 gal conc.
Booster pumps and motors
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 54
1 1/2" meter and service - 1

HERITAGE PARK PWS No. 1330080

Land
Fencing 8' chain link
Well 6" 540 ft
Well meter 1 1/2"
Building - masonry
Hydro Tank 525 gal
Hydro Tank 310 gal
Air compressor
Ground Storage - 10,000 gal
Booster pumps and motors
Chlorination system
Distribution system - 2" and 3" PVC
5/8" x 3/4" meters and services - 27

HILLS AND DALES PWS No. 1330030

Land
Fencing 6' chain link
Well 7" 495 ft
Well meter 1 1/2"
Well 6" 800 ft
Well meter 2"
Building - wood
Building - masonry
Hydro Tank 300 gal
Hydro Tank 350 gal
Hydro Tank 525 gal
Air compressors
Ground Storage - 22,000 gal bolted steel
Ground Storage - 10,000 gal
Ground Storage - 15,000 gal
Chlorination system
Distribution system - 2", 3", 4", and 6" PVC
5/8" x 3/4" meters and services - 73

OAK RIDGE ESTATES PWS No. 1330134

Land
Fencing 6' chain link
Well 6" 415 ft
Well meter 2"
Well 8" 700 ft
Well meter 2"
Building - wood
Hydro Tank 900 gal
Hydro Tank 950 gal
Air compressor
Ground Storage - 14,000 gal bolted steel

Ground Storage - 3 - 5,000 gal plastic
Booster pumps and motors
Chlorination system
Distribution system - 3" PVC
5/8" x 3/4" meters and services - 42

PLATTEN CREEK PWS No. 1300035

Land
Fencing 6' chain link
Well 7" 405 ft
Well meter 1 1/2"
Building - wood
Hydro Tanks 2 - 550 gal
Air compressor
Ground Storage - 12,000 gal bolted steel
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 37

ROCKY CREEK PWS No. 1630038

Land
Fencing 8' chain link
Well 8" 1,000 ft
Well meter 1 1/2"
Well 8" 400 ft
Well meter 2"
Building - wood. masonry
Hydro Tank 1250 gal
Air compressor
Ground Storage - 16,000 gal bolted steel
Ground Storage - 42,500 gal bolted steel
Booster pumps and motors
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 39

SOUTHERN HILLS PWS No. 1330128

Land
Fencing 6' and 8' chain link
Well 7" 585 ft
Well meter
Well 8" 960 ft
Well meter 2"
Building - masonry
Hydro Tank 1500 gal
Air compressor
Ground Storage - 56,000 gal bolted steel
Booster pumps and motors
Chlorination system
Distribution system - 2", 3", 4", and 6" PVC
Distribution system - hydrants

MONTEBELLO - (connected to Southern Hills)

Land
Fencing 6' chain link
Well 5" 420 ft
Well meter 2"
Building - wood
Hydro Tanks - 2 bladder tanks
Air compressor
Ground Storage - 8,000 gal bolted steel
Booster pumps and motors
Chlorination system
Distribution system - 3", 4", and 6" PVC

SILVER CREEK - (connected to Southern Hills)

Land
Fencing 6' chain link
Well 8" 565 ft
Well meter 2"
Building - masonry
Hydro Tank 2 - 550 gal
Air compressor
Ground Storage - 20,000 gal conc
Ground Storage - 20,000 gal conc
Booster pumps and motors
Chlorination system
Distribution system - 2", 3", 4", and 6" PVC

5/8" x 3/4" meters and services - 287 combined

VERDE PARK PWS No. 1330027

Land
Fencing 8' chain link
Well 8" 520 ft
Well meter 2"
Building - masonry
Building - wood
Hydro Tank 525 gal
Hydro Tank 900 gal
Air compressor
Ground Storage - 56,000 gal bolted steel
Ground Storage - 2- 6,000 gal
Booster pumps and motors
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 69

VISTA HILLS PWS No. 1330169

Land
Fencing 6' chain link
Well 6 5/8" 620 ft
Well meter 1 1/2"
Building - masonry
Hydro Tank 960 gal
Hydro Tank 900 gal
Air compressor
Ground Storage - 5,000 gal
Ground Storage - 4,000 gal
Booster pumps and motors
Chlorination system
Distribution system - 2", 3", 4", and 6" PVC
5/8" x 3/4" meters and services - 13

WESTWOOD OAKS PWS No. 1330015

Land
Fencing 6' chain link
Well 5" 310 ft
Well meter 2"
Well 8" 400 ft
Well meter 2"
Building - masonry
Hydro Tank 2500 gal
Hydro Tank 900 gal
Air compressor
Ground Storage - 42,000 gal bolted steel
Ground Storage - 6,250 f/g
Chlorination system

Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 108

WINDWOOD OAKS PWS No. 1330141

Land
Fencing 6' wood
Well 6" 414 ft
Well meter 1 1/2"
Building - masonry
Hydro Tank 2 - 315 gal
Air compressor
Ground Storage - 10,000 gal
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 20

WOODHAVEN MHP PWS No. 1330024

Land
Fencing 6' chain link
Well 8" 360 ft
Well meter 2"
Building - masonry
Building - wood
Hydro Tank 315 gal
Hydro Tank 960 gal
Air compressor
Ground Storage - 5,600 gal
Ground Storage - 4,700 gal
Booster pumps and motors
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 31

GENERAL PLANT

Computer - Dell-Inspiron 560
Truck - 2011 Ford Ranger

EXHIBIT B

Appropriate Officers of the Company

Michael O. Quinn	President
Kirk Michael	Chief Financial Officer
Charles Profflet	Managing Director
William K. Dix	Vice President, General Counsel & Secretary
Kirk Michael	Treasurer

UNANIMOUS WRITTEN CONSENT
OF THE BOARD OF DIRECTORS OF
SWWC UTILITIES, INC.

The undersigned, constituting all of the directors of SWWC Utilities, Inc. a Delaware corporation (the "Company"), take the following action by written consent in lieu of a meeting, in accordance with the General Corporation Law of Delaware and the bylaws of the Company, is executed as of October 24, 2016.

WHEREAS, with respect to the Company's affiliate Wiedenfeld Water Works, Inc. ("WWW"), the Company desires to transfer all Assets (listed hereto as Exhibit A) and Certificates of Convenience and Necessity (the "CCN") of WWW to the utility doing business as Water Services, Inc. (the "Transfer"); and

WHEREAS, the Transfer of said WWW Assets and CCNs will be subject to the regulatory approval of the Public Utility Commission of Texas ("PUC") and such Transfer will be permitted hereunder if and only if such PUC and debt holder approval is received, if such debt holder approval is required.

NOW, THEREFORE, BE IT RESOLVED, that the Board of Directors hereby approves the Transfer as stated herein subject to the prior debt holder and regulatory approval of PUC.

FURTHER RESOLVED, that each of the Appropriate Officers of the Company (listed hereto in Exhibit B) be, and each hereby is, authorized in the name and on behalf of the Company to execute and deliver approval of the Transfer of the Assets and CCNs of WWW to the Company's utility doing business as Water Services, Inc.

NOW, THEREFORE, BE IT RESOLVED, that the appropriate officers of the Company be, and each of them hereby is, authorized and directed, for and on behalf of the Company, to execute all documents and take such further action as they may deem necessary, appropriate or advisable to affect the purposes of each of the foregoing resolutions.

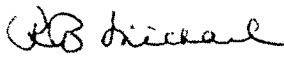
NOW, THEREFORE, BE IT RESOLVED, that the Board of Directors hereby approves the Transfer as stated herein subject to the regulatory approval of PUC.

IN WITNESS WHEREOF, the undersigned have executed this Unanimous Action by Written Consent as of the date first written above.


SWWC UTILITIES, INC. DIRECTORS:



Michael O. Quinn



Kirk Michael



William K. Dix

EXHIBIT A

Wiedenfeld Water Works, Inc. Assets and CCNs

WIEDENFELD WATER WORKS, INC. CCN No. 12052
LIST OF ASSETS

CEDAR SPRINGS PWS No. 1330019

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Well meter 2"
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Hydro Tank 120 gal
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Ground Storage - 22,000 gal bolted steel
Booster pumps and motors
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 43

CENTER POINT PWS No. 1330007

Land
Fencing 6' chain link
Well 8" 500 ft
Well meter 2"
Building - wood
Building - masonry
Hydro Tank 550 gal
Hydro Tank 969 gal
Air compressor
Ground Storage - 5,250 gal. f/g
Ground Storage - 4,000 gal conc.
Ground Storage - 4,000 gal conc.
Booster pumps and motors
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 54
1 1/2" meter and service - 1

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Land
Fencing 8' chain link
Well 6" 540 ft
Well meter 1 1/2"
Building - masonry
Hydro Tank 525 gal
Hydro Tank 310 gal
Air compressor
Ground Storage - 10,000 gal
Booster pumps and motors
Chlorination system
Distribution system - 2" and 3" PVC
5/8" x 3/4" meters and services - 27

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Land
Fencing 6' chain link
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Well meter 1 1/2"
Well 6" 800 ft
Well meter 2"
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Hydro Tank 900 gal
Hydro Tank 950 gal
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Ground Storage - 14,000 gal bolted steel

Ground Storage - 3 - 5,000 gal plastic
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Well 8" 400 ft
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Building - wood. masonry
Hydro Tank 1250 gal
Air compressor
Ground Storage - 16,000 gal bolted steel
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Land
Fencing 6' and 8' chain link
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Well 8" 960 ft
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5/8" x 3/4" meters and services - 287 combined

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Land
Fencing 8' chain link
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Well meter 2"
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Hydro Tank 900 gal
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Ground Storage - 2- 6,000 gal
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Hydro Tank 900 gal
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Ground Storage - 4,000 gal
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Land
Fencing 6' chain link
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Well 8" 400 ft
Well meter 2"
Building - masonry
Hydro Tank 2500 gal
Hydro Tank 900 gal
Air compressor
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Land
Fencing 6' wood
Well 6" 414 ft
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Air compressor
Ground Storage - 10,000 gal
Chlorination system
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5/8" x 3/4" meters and services - 20

WOODHAVEN MHP PWS No. 1330024

Land
Fencing 6' chain link
Well 8" 360 ft
Well meter 2"
Building - masonry
Building - wood
Hydro Tank 315 gal
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Air compressor
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Ground Storage - 4,700 gal
Booster pumps and motors
Chlorination system
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5/8" x 3/4" meters and services - 31

GENERAL PLANT

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Truck - 2011 Ford Ranger

EXHIBIT B

Appropriate Officers of the Company

Michael O. Quinn	Chief Executive Officer & President
Kirk Michael	Chief Financial Officer
Charles Profilet	Vice President & Managing Director
William K. Dix	Vice President & Secretary
Kirk Michael	Treasurer