

Control Number: 46494



Item Number: 1

Addendum StartPage: 0



#### Application for Sale, Transfer, or Merger of a Retail Public Utility

Pursuant to Chapter 13.251 of the Texas Water Code

# Docket Number: **46494**

(this number will be assigned by the Public Utility Commission after your application is filed)

7 copies of the application, including the original, along with one copy of the portable electronic storage medium (such as CD or DVD) containing the GIS data shall be filed with

Public Utility Commission of Texas
Attention: Filing Clerk
1701 N. Congress Avenue
P.O. Box 13326
Austin, Texas 78711-3326

No later than seven days after filing the application for the boundary change, provide a copy of each paper map and a portable electronic storage medium (such as CD, flash drive or DVD) containing complete and identical data to the portable electronic storage medium submitted above to

Texas Natural Resources Information System 1700 N. Congress Ave, Room B40 Austin, Texas 78701

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		Part A – General Info	rmation		
*RN#	See list in Appendix A *CN#	600650725	* (PRIOR TCEQ	ID numbers)	
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r	roposed action of application (che le of All Portion of tl	· · · · · · · · · · · · · · · · · · ·	s) under CCN No.:	Secretary and in	4.38
<b>}</b>	cquisition	· ·	s) under CCN No.:	-0 - 1 - 1	#
Le	ease/Rental .				
X Tra	ansfer of X All Portion of	1	water service area –	<del></del>	THE CONTROL OF
If only	, a partian of a system or cartificat	<u> </u>	sewer service area –		v the area
	y a portion of a system or certificated odivision involved:	eu service area is affec	ted by this transac	don, please specify	y tile areas
72.7	The second second				
3				The state of the s	
and to	O: 4	and the second section of the second section is a section of the s	The same of the sa	a company of the comp	,
Ot	btain a CCN for the transferee (pu	chaser) – indicate if pu	rchaser.will take th	ne seller's CCN	
× Ar	mend the transferee's CCN No.:	1/1/106/ SWWC	Utilities; Inc. dba	Water Services;	Inc.
× M	erge or consolidate public utilities	Park and the second	A Dimeter - Comment of the comment		
X Ca	ancel CCN of the transferor (seller)	12052Wieder	nfeld Water Work	s, Inc. 注:注:	
2. Pr	oposed effective date of this trans	•			
•		(Must be at leas	t 120 days after prop	oer notice is provide	d)
		al a			
		ŧ			
3	Part B – Curr	ent Service Provider	or Seller Informa	tion	
197	Questions 3 through 5 apply to th	e transferor (current s	ervice provider or	seller)	
3.	For the current CCN holder or se	rvica 'nrovidor placca ir	adicato:		
٥.	A. Name: Wiedenfeld Water Wor		idicate.		4546.500
		(Individual, Corporation			
o is a(n)	:of Individual X Corpo	ration WSC	HOA of POA	Other	247 - V
	B. Utility Name (if different than	n above):	也是一种。	1984 . 3 33 . W. C.	A 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7
	Address: 12535 Reed Road, Sugar	Land TX 77478	Telepl	none: (AC) (281) 20	7-5800 🛴
	C. Contact person. Please provi	de information about t	the person to be co	ontacted regarding	this
	application. Indicate if this p	erson is the owner, ope	erator, engineer, <u>a</u>	ttorney or account	ant.
	me: Lambeth Townsend		Title: A	attorney (1)	THE KIN
Aḋdre	ess: 816 Congress Ave, Ste. 1900; Au	stin, TX 78701 元	Telephone: (AC)	512) 322-5830 👼 🐍	To the state of th
	Sale Merger Transfer (Previous TCE of <b>23</b> 9/1/2014	Q Form 10516)			
•	7				

Fax: (512) 472-0532	Email: Itownsend@lglawfirm.com
4. About the last rate increase for the system or facil transferred:	ities being' ,
A. What was the effective date of the last rate increase?	2/20/2015
R Was notice of this increase provided to the Dul	blic Litility Commission of Toyas (commission or DLIC)
or a predecessor regulatory authority?	blic Utility Commission of Texas (commission or PUC)
No X Yes-Application/Docket Number: Docket 4	3242 Date 09/14/2014
5. Please provide a list of all customers affected by this	s transaction who have deposits held by the transferor
or seller utility, if any, and include the following info	
Name and Address of Utility Customer	Date of Amount of Amount of Unpaid
	Deposit Deposit Interest on Deposi
See Attached List Appendix B	The second of th
	The state of the s
The state of the s	The state of the s
The state of the s	Land the second of the second
and the state of t	and the second of the second o
and not be self-under a self-under	And the Property of the second
Part C – Purchaser or Tra	nsteree Information
Overtions Calmered at the first of	,
Questions 6 through 16 refer to the transferee or p	•
6. For the person or entity acquiring the facilities and/or	
Applicant: SWWC Utilities, Inc. dba Water Services, Inc.	
	ation, or Other Legal Entity)
Utility Name:	
Utility Address: 12535 Reed Road, Sugar Land TX 77	t than above)
Othicy Address. [3/2333 Reed Road, Sugar-Land, 17 77	410 July 18 Production of the State of the S
Fax: (281) 207-5940 Email: gfreitag@swwc.c	om Telephone (AC): (281) 207-5800
CCN Numbers held prior to the filing of this applicat	ion: 11106 20 10 10 10 10 10 10 10 10 10 10 10 10 10
7. Check the appropriate box and provide information	regarding the legal status of the transferee
applicant:	
Home or Property Owners Association	
Partnership; attach copy of partnership agre	ement .
· · · · · · · · · · · · · · · · · · ·	orded with the Office of the Secretary of State, for
	orded with the Office of the Secretary of States for
Non-profit, member owned, member-con Water Sewer Service Corporation); provid	trolled Cooperative Corporation (Article 1434(a) e charter number:

PUCT Sale Merger Transfer (Previous TCEQ Form 10516)
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	unty
joti	her (please explain):
	•
ı If the don	olicant is an <i>Individual</i> or sole proprietorship, provide the following information. If not
	question.
Nan	
Addr	
Telephoi	
retephol	ie (Ac).
If the app	olicant is other than an <i>Individual</i> , provide the following information regarding the off
	of the legal entity applying for the transfer. You must complete either question 8 or o
-	ever applies to the transferee applicant.
•Name:	Michael O. Quinn, - Telephone (AC): (281) 207-5800
Address:	12535 Reed Road, Sugar Land, TX 77478
Position:	Chief Executive Office, President Ownership % (if applicable): 0.00%
,	
•Name:	Charles W. Profilet, Jr. (281) 207-5800
Address:	12535 Reed Road, Sugar Land, TX 77478
Position:	Vice President, Managing Director
•Name:	Kirk Michael (281) 207-5800
Address:	12535 Reed Road, Sugar Land, TX 77478
Position:	Chief Financial Officer, Treasurer. Ownership % (if applicable): 0.00%
	William K Dix Telephone (AC): (281) 207-5800
•Name:	Telephone (Feb.)
Address:	12535 Reed Road, Sugar Land, TX 77478
Position:	Vice President, Secretary Ownership % (if applicable): 0.00%
- N I	199 30 San
•Name:	Telephone (AC):
Address:	Ownership % (if applicable): 0.00%
	Ownership % (if applicable): 0.00%
Position:	Telephone (AC):
•Name:	「「「「「「「「「「「」」」」」「「「「」」」「「」」「「」」「「」」「「」

- Attach additional sheet(s) if necessary -

• Important:
• If the applicant is a for-profit corporation, please provide a copy of the corporation's
"Certification of Account Status" from the State Comptroller Office. This "Certification of
Account Status" can be obtained from:

See Appendix C

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### Texas Comptroller of Public Accounts , P. O. Box 13528, Capitol Station Austin, Texas 78711

1-800-252-5555

• If the applicant is an Article 1434a water supply or sewer service corporation or other nonprofit corporation, please provide a copy of the Articles of Incorporation and By-Laws.

10.	Contact person. Please provide information about the person to be contacted regarding this
	application. Indicate if this person is the owner, operator, engineer, attorney or accountant.

Name:	Lambeth Townsend	Title:	Attorney
Address:	816 Congress Ave, Ste. 1900, Austin, TX 78701	Telephone	(AC): (512) 322-5830
Fax #	(512) 472-0532.	Email	Itownsend@lglawfirm.com
Relations	nip to the applicant: Attorney 🛴 🔭 👙 🚉 🐍	ع بر م <sup>و</sup> ع	

- IF THERE ARE MORE THAN TWO PARTIES INVOLVED IN THIS TRANSACTION, PLEASE ATTACH SHEETS PROVIDING THE INFORMATION REQUIRED IN QUESTION 6 THROUGH QUESTION 10 FOR EACH PARTY
- 11. Please respond to each of the following questions. Attach additional sheets if necessary.
  - A. Describe the experience and qualifications of the applicant to provide adequate utility service to the requested area

SWWC Utilities, Inc. (SWWC) has owned and operated Wiedenfeld Water Works (WWW) since March 2016. SWWC and its Texas affiliates are the second largest IOU in Texas and have the resources and structure to successfully provide quality service to customers of WWW.

В.	Has the applicant acquiring the CCN or facilities or an affiliated interest of the applicant been under
	enforcement action by the PUC, TCEQ, Texas Department of Health (TDH), the Office of the Attorney
	General (OAG) or the Environmental Protection Agency (EPA) in the past for noncompliance with
	rules, orders or State Statutes? X Yes No

If yes, please attach copies of any correspondence with these regulatory agencies concerning these enforcement actions and describe any actions and efforts to comply with those requirements. Attach additional sheets if needed.

There are no enforcement actions for SWWC d/b/a Water Services, Inc. or WWW. See Appendix D for a summary of pending actions against Monarch Utilities I; LP, an affiliate of SWWC.

C. Describe the source and availability of funds required to make the planned or required improvements, if any, to meet minimum requirements of the TCEQ and PUC and ensure continuous and adequate service.

SWWC has more than adequate capitalization and will use a variety of sources including investors' equity, line of credit, and internally generated funds.

<ul> <li>D. Describe the anticipated impact of this transaction on the quality of utility anticipated changes in the quality of service.</li> </ul>	service and explain any
SWWC has already been operating WWW since it acquired the utility in early 2016 thr There will be no change in daily customer service and operations will not be affected. In change, the transfer will be seamless to the customers.	
E. How will the transaction serve the public interest?	- Control of the cont
Customer service and operations will not be changed. There will be improved admini SWWC and there will be fewer regulatory filings.	strative eniciencies within
12. Please describe the nature of the proposed transaction:	
The proposed transaction is an inter-company transfer of WWW assets to SWWC Ut Services, through an inter-company accounting entry. This is not a purchase of the a	
13. If the transferee applicant is an Investor Owned Utility (IOU) and will be un the PUC, please provide the following information. Water supply or sewer political subdivisions of the state should mark this section N/A:	_
A. • Total Purchase Price:	
<ul> <li>Total Original Cost (as recorded on books of seller or merging ent</li> <li>Accumulated Depreciation as of the proposed effective date of the transaction:</li> </ul>	
Contributions in Aid of Construction;	
- Specific surcharges approved by TCEQ or	The state of the s
PUC:	The state of the s
- Revenues from explicit customer agreements:	
- Developer Contributions (please explain):	
- Other Contributions (please explain):	
Total Contributions in Aid of Construction	,
• Net Book Value: \$ 1,711,000.00	
Assets being transferred are listed in Exhibit A to Appendix I.	

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	ø	If the Original Cost or any of the above items has been established in a rate case proceeding by the PUC, the TWC or the TCEQ, please provide the Application/Docket Number and date	
		Application/Docket Number: PUC Docket 43242 Date: 7/28/2016	
	<b>G</b>	If the applicant is not under the rate jurisdiction of the TCEQ, only the purchase price and information related to Contributions in Aid of Construction is required.	
		e provide any other information concerning the nature of the transaction you believe d be given consideration if not explained elsewhere in the application. [attach additional sheet(s) if necessary]:	
•	parent con WWW into will be con will be tran	ilities, Inc. purchased the stock of Wiedenfeld Water Works, Inc. (WWW) in 2016 and also is the npany of SWWC Utilities Inc. dba Water Services, Inc. (Water Services). This application is to merge of Water Services. Daily operations of the WWW systems will not be affected. The assets of WWW inbined with the assets of Water Services on the books of SWWC Utilities, Inc. Customer accounts insferred to Water Services - including customer deposits where appropriate. No change to the ervice area boundaries of either Water Services or WWW is requested.	•
C.		Complete the following proposed entries listed below as shown in books of purchasing (or	
		surviving) company. Additional entries may be made; the following are suggested only, and	
		not intended to pose descriptive limitations.	
		Utility Plant in Service: \$ 2,827,000.00	
		Plant Acquisition Adjustment:	
	<b>&gt;</b>	Extraordinary Loss on Purchase:	
		Accumulated Depreciation of Plant: \$ 1,116,000.00	
		Cash:	
		Notes Payable:	
		Mortgage Payable:	
		Others (please list):	
		As the purchaser, I understand that it is my responsibility in any future rate proceeding to provide written evidence and support for the original cost and installation date of all facilities used and useful for providing utility service.	es
<i>*</i>		Purchaser's Initials: GHF Date: 10/27/2016	
14.	Please custom	FOR SWWC UTILITIES, INC. indicate the proposed effect of this transaction on the rates to be charged to the affected pers:	
X		stomers will be charged the same rates as they were charged before the transaction.	
	Some	All customers will be charged different rates than they were charged before the transaction.	
	Γ Sale Merg <b>7</b> of <b>23</b> 9/1	ger Transfer (Previous TCEQ Form 10516) 1/2014	

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					-		
7	.:		*				
					or municipal t of this trans	4	
			-		-		
Other. Pl	ease explain:	:					
At some po		nsfer of water	r facilities, SW	/WC d/b/a Wa	ter Services, In	ic. will file a co	onsolidated ra
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available		iter utility da			ed transactio nt's licensed		
,	in the second se	The second secon				T4n	The state of the s
		l Technical ir	nformation f	or the acquir	ing entity.		
nancial, M	anagerial and			ţ.			
nancial, M	anagerial and			ş			
SWWC has affiliates ha OU in the s	owned and opve been succe	ssfully operat as the neces	ting in Texas f sary Financial	or many years	f WWW in Mar and collective and Technical	ly are the seco	ond largest

### Part D – Historical Financial Information

HISTORICAL BALANCE SHEETS	CURRENT YEAR (A)	A-1 YEAR	A-2 YEAR	A-3 YEAR	A-4 YEAR	A-5 YEA
CURRENT ASSETS						
Cash				-		
Accounts Receivable	14	,				
Inventories			<u> </u>			
Income Tax Receivable			See Appendix	F		
Other						
Total						-
FIXED ASSETS				·		
Land						
Collection/Distribution System			+			
Buildings				,		
Equipment .						
Other						
Less: Accum. Depreciation or Reserves			, ,			
Total						
TOTAL ASSETS						
CURRENT LIABILITIES						
Accounts Payable						
Notes Payable, Current						
Accrued Expenses						
Other						
TOTAL						
LONGTERM LIABILITIES						
Notes Payable, Long-term						
Other						
TOTAL LIABILITIES					-	
OWNER'S EQUITY						
Paid in Capital						
Retained Equity					,	
Other					-	
Current Period Profit or Loss						
TOTAL OWNER'S EQUITY	-					
TOTAL LIABILITIES AND EQUITY						,,
WORKING CAPITAL				*********	,	
CURRENT RATIO				ď		
DEBT TO EQUITY RATIO EQUITY TO TOTAL				,		
ASSETS .						

HISTORICAL INCOME STATEMENT	CURRENT YEAR (A)	A-1 YEAR	A-2 YEAR	A-3 YEAR	A-4 YEAR	A-5 YEAF
METER NUMBER						
Existing Number of Taps						
New Taps Per Year						
Total Meters at Year End			See A	ppendix F		
METER REVENUE						
Fees Per Meter .					7	
Cost Per Meter	• ,					
Operating Revenue Per Meter						
GROSS WATER REVENUE						
Fees .	,					
Other						
Gross Income						
OPERATING EXPENSES						
General & Administrative					1	
Interest						
Other						
NET INCOME	•					

HISTORICAL EXPENSE DETAIL	CURRENT YEAR (A)	A-1 YEAR	A-2 YEAR	A-3.YEAR	A-4 YEAR	A-5 YEA
GENERAL/ADMINISTRATIVE						
EXPENSES						
Salaries						
Office Expense						
Computer Expense				<u></u>		
Auto Expense		Se	ee Appendix F			
Insurance Expense						
Telephone Expense						
Utilities Expense						
Depreciation Expense	a					
Property Taxes	,					
Professional Fees						
Other		,				
Total						
% Increase Per Year						
OPERATIONAL EXPENSES						
Salaries				-		
Auto Expense						
Utilities Expense						
Depreciation Expense	, .				, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
Repair & Maintenance						*
Supplies		1	<u> </u>			
Other						
Total						
% Increase Per Year						
ASSUMPTIONS						
Interest Rate/Terms				-		1
Utility Cost/gal.	,					-
Depreciation Schedule						r
Other						,
		I				

Part E -	Projected	Informat	ion

#### **PROJECTED BALANCE SHEETS** START UP YEAR 1 YEAR 3 YEAR 4 YEAR 5 YEAR 2 **CURRENT ASSETS** Cash Accounts Receivable Inventories See Appendix F Income Tax Receivable Other **Total** FIXED ASSETS Land Collection/Distribution System **Buildings** Equipment Other Less: Accum. Depreciation or Reserves Total TOTAL ASSETS **CURRENT LIABILITIES** Accounts Payable Notes Payable, Current Accrued Expenses Other Total LONGTERM LIABILITIES Notes Payable, Long-term Other TOTAL LIABILITIES **OWNER'S EQUITY** Paid in Capital Retained Equity Other Current Period Profit or Loss TOTAL OWNER'S EQUITY TOTAL LIABILITIES AND EQUITY WORKING CAPITAL **CURRENT RATIO DEBT TO EQUITY RATIO**

.

**EQUITY TO TOTAL ASSETS** 

#### PROJECTED INCOME STATEMENT

	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR 5	TOTAL
METER NUMBER						
Existing Number of Taps				-		2 ,
New Taps Per Year		See	Appendix F			
Total Meters at Year End				u.		
METER REVENUE						
Fees Per Meter		* ,				
Cost Per Meter						
Operating Revenue Per Meter			*		4 4	
GROSS WATER REVENUE						
Fees		*				
Other	`					
Gross Income	•	,		all a	*	
OPERATING EXPENSES						
General & Administrative						
Interest						
Other .				ş		
NET INCOME						

#### **PROJECTED EXPENSE DETAIL**

,	YEAR 1	YEAR-2	YEAR 3	YEAR 4	YEAR 5.	TOT
GENERAL/ADMINISTRATIVE EXPENSES						
Salaries .			٠			
Office Expense					7	
Computer Expense						
Auto Expense		Se	e Appendix F			
Insurance Expense			*0			
Telephone Expense						
Utilities Expense			•	,e		
Depreciation Expense .						
Property Taxes			,			
Professional Fees						
Other					,	
Total						
% Increase Per Year	0.00%	0.00%	0.00%	0.00%	0.00%	C
OPERATIONAL EXPENSES						
Salaries			•	,	•	
Auto Expense						
Utilities Expense				<u>.</u>		
Depreciation Expense						
Repair & Maintenance						
Supplies						
Other						
Total .					я	
% Increase Per Year	0.00%	0.00%	0.00%	0.00%	0.00%	. 0
:ASSUMPTIONS						
Interest Rate/Terms	,					
Utility Cost/gal.			•			
Depreciation Schedule						
Other						

# PROJECTED SOURCES AND USES OF CASH STATEMENTS

	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR 5	TOT
SOURCES OF CASH						
Net Income						
Depreciation (If Funded)						-
Loan Proceeds		Se	ee Appendix	F ~		
Other			,			
Total Sources			•			
USES OF CASH						
Net Loss				*	*	
Principle Portion of Pmts.						
Fixed Asset Purchase						
Reserve						
Other			\$	*		
Total Uses			•			
NET CASH FLOW		O	, ,			ä
DEBT SERVICE COVERAGE						
Cash Available for Debt	-					
SERVICE (CADS)						
Net Income (Loss)						
Depreciation, or Reserve Interest						
Total		, ,				
REQUIRED DEBT SERVICE (RDS)						
Principle Plus Interest -						
DEBT SERVICE COVERAGE RATIO						
CADS Divided by RDS	r		. \$			

Please answer questions 17 through 22 on a different sheet for each physically Distinct sy transferred or acquired.	ystem being
17. A. For Water Systems. TCEQ Public Water System Identification Number: 13	3 0 0 1
Date of last inspection: 04/26/2016 Cedar Spi	rings
B. For Wastewater Systems:	•
-TCEQ Discharge Permit Number: W Q	
18. A. Are any improvements required to meet TCEQ or PUC Standards?	ase explain:
Extend wellhead casing to a minimum of 18 inches above the floor within the seale enclosure.	ed well
B. Is there a moratorium on new connections? Yes X No. If yes, please explain:	
	50 50 50 50 50 60 60
C. Provide details of each required major capital improvement to correct the deficiencies ar TCEQ or PUC standards (attach additional sheets if necessary):	nd meet the
	Estimated Cost
	CONTROL OF THE PROPERTY OF THE
THE CONTROL OF THE PROPERTY OF	TOTAL DESCRIPTION OF THE PROPERTY AND PARTY AN
19. Does the system being transferred operate within the city limits of a municipality or within boundaries? Yes X No  If yes, indicate the number of customers within the city limits or district boundaries:  Water Sewer  'Attach copy of franchise agreement or consent letter from the city or district.	district
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	you currently purchase Water Sewer	e water or sewer treatment of Purchased on a	capacity from another source? Yes X No Regular Seasonal Emergency Basis
	Source:		% of total supply: 0.00%
3	,	, , , , ,	•
		ting connections to be effect	
Wate	, <del></del>		Sewer
	-Non Metered '	-2"meter	-Residential Connection
43	-5/8" or 3/4" meter	-3" meter	-Commercial Connection
	-1" meter	-4" meter	-Industrial Connection .
	-1 1/2" meter	-Other	-Other
	Total Water Connecti	ions:	Total Sewer Connections
	<del>-</del>	5% of its capacity based on ps are being taken to addre	TCEQ's minimum requirements? Yes No
S	Service area not expected	to grow significantly. A capac	ity exception report has been filed with TCEQ.
· , L			2

Name	Class	`License#
See Appendix G · · · · · · · · · · · · · · · · · ·	的 医结束性 经主义的 计图点中心的 黑江 图	第127年,第128日 · 中国 · 中
The state of the s	A DESCRIPTION OF THE RESERVE TO	<b>建基本公司基本公司的</b>
	<b>国的国际公司</b> ,1975年1975年1975年1975年1975年1975年1975年1975年	子 B 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
The same has been the same	1. 2000年4月,少年4月年1月	· 一种
The tribute of the second	据最短时间的" <b>我</b> 们的这些一种中央是	The second of the second

- 24. Attach the following maps with each copy of the application: See Appendix H
  - a. One small scale map clearly showing affected service area with enough detail to accurately locate the area if the application is for the transfer of all or a portion of a CCN.
  - b. One large scale map showing the proposed service area boundaries being sold, transferred, or merged and, if available, the existing and proposed facilities. Color coding should be used to differentiate existing from proposed facilities. Facilities and service area boundaries should be shown with such exactness that they can be located on the ground. If transferring area not currently in a CCN or a portion of an existing CCN area please attach the following hard copy maps with each copy of the application:
    - 1. A general location map delineating the proposed service area with enough detail to accurately locate the proposed area within the county.
    - 2. A map showing only the proposed area by:
      - metes and bounds survey certified by a licensed state or registered professional land i. surveyor; or
      - projectable digital data with metadata (proposed areas should be in a single record įii. and clearly labeled, data disk should be included); or
      - following verifiable natural and man-made landmarks, or iii.
      - a copy of recorded plat map with metes and bounds.
    - 3. A written description of the proposed service area.

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Please answer questions 17 through 22 on a different sheet for each physicall transferred or acquired.	y Distinct	system being
17. A. For Water Systems. TCEQ Public Water System Identification Number:	1 3	3 0 0 0 7
Date of last inspection: 06/22/2016	ͺ C€	enter Point
B. For Wastewater Systems:		
-TCEQ Discharge Permit Number: W QName of Permitee: -Date of application to transfer Discharge Permit submitted: -Date of application to transfer Discharge Permit approved by TC	☐- ☐	
18. A. Are any improvements required to meet TCEQ or PUC standards?	o. If yes, p	please explain:
	,	
B. Is there a moratorium on new connections? Yes X No. If yes, plea	ıse explair	1:
		V H V H
C. Provide details of each required major capital improvement to correct the de TCEQ or PUC standards (attach additional sheets if necessary):	eficiencies	and meet the
Description of the Required Improvement Schedule to Co	omplete	Estimated Cost
		WALL TO SEE A
THE THE STATE OF T	FILL SEE	PRELICATION
	PARTY PARTY	位 即位 医温度
19. Does the system being transferred operate within the city limits of a municipality boundaries? Yes X No  If yes, indicate the number of customers within the city limits or district boundaries. Sewer  Attach copy of franchise agreement or consent letter from the city or district.	·,	in district
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	you currently purchase Water Sewer	watei	r or sewer treatmen Purchased on a			asis
	Source:				% of total supply: 0.00%	
					,	
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21. <b>Wat</b> e	List the number of exis	ting co	nnections to be eff	tected by thi	Sewer	
wau	-Non Metered		-2"meter		-Residential Connection	
54		+7 .	-3" meter		-Commercial Connection	
2	-1" meter		-4" meter	\$ ·	-Industrial Connection	
	-1.1/2" meter		-Other	300	-Other	
	Total Water Connect	ions:	· · · · · · · · · · · · · · · · · · ·	3	Total Sewer Connections	
			•			
	as the system reached 8 please explain what ste				s minimum requirements? Yes X pacity issues:	N

Name		Class	License#		
See Appendix G	THE THE WAY IN THE PERSON OF T	1820年1920年 新华 产 巴克斯拉	The Park of The Address		
		CHARLE TO COMPANY	LE AND AND THE PROPERTY OF THE PARTY OF THE		
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7 :	A CONTRACTOR OF THE PROPERTY O	THE COLUMN THE	ESTABLISHED STREET		
		is tecté			

- See Appendix H 24. Attach the following maps with each copy of the application:
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      - following verifiable natural and man-made landmarks, or iii.
      - a copy of recorded plat map with metes and bounds.
    - 3. A written description of the proposed service area.

PUCT Sale Merger Transfer (Previous TCEQ Form 10516) Page 17-of 23 9/1/2014

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Pleas trans	se answer questions 17 through 22 on a differ ferred or acquired.	ent sheet fo	or each physically	Distinct	system being	
17. A. F	or Water Systems. TCEQ Public Water Systems	em Identifi	cation Number:	1 3	3 0 0 8	Ι
t k	Date of last inspection: 11/17/2015			H	eritage Park	
В.	For Wastewater Systems:					
	-TCEQ Discharge Permit Number: -Name of Permitee: -Date of application to transfer Discharge Discharge Permit Number: -Date of application to transfer Discharge			Q: **	The state of the s	<u> </u>
18. A. Are standar	any improvements required to meet TCEQ ords?	r PUC [	Yes No.	If yes, p	ease explain:	
B. Is th	ere a moratorium on new connections?	Yes X	No. If yes, please	explain:	, s	
C. Prov	vide details of each required major capital imp EQ or PUC standards (attach additional sheets	provement if necessa	to correct the deficery):	ciencies a	and meet the	
Desc	cription of the Required Improvement	,	Schedule to Com	plete	Estimated Cos	 st
	是一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个	<b>之前</b> 并从14名			SALES COMES	*
y		12.000	加工学业学、本学	<b>医翻题</b>		<u>.</u> "
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bounda	e system being transferred operate within the uries? Yes X No  indicate the number of customers within the Water Sewer				'district	
⇔ Attach	a copy of franchise agreement or consent lette	er from the	city or district.			

PUCT Sale Merger Transfer (Prèvious TCEQ Form 10516)
Page 16 of 23 - 9/1/2014
20

	Water Sewer		urchased on a-	Regul	rom anoth ar S	Seasonal	Eme	ergency B	asis
•	Source: .				%	of total supply	y: 0.00	%, .	
			į			•			•
						•			
21. •	List the number of exis	ting conne	ections to be effe	ected by th	s transact	ion.	·		
Wate	er				Sewer				
	-Non Metered	2	"meter		-Residen	tial Connection	on		
27	-5/8" or 3/4" meter	-3	" meter	i,	-Comme	rcial Connect	ion		•
	-1" meter	-4	" meter	. 3	-Industria	al Connection	1		
	-1 1/2" meter	-O	ther.		-Other				
•	Total Water Connect	ions:	*	· 7.	Total Sev	ver Connection	ons		ř
വ	as the system reached 8						?	Yes 🗶	Ď.No
	please explain what ste	ps are bei	ng taken to addi	ess the cap	acity issue	es:			

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

ياسور	Name	•		Class			Lic	ense#	
See Appendix G	明香 化二二二二二二二二二二二二二二二二二二二二二二二二二二二二二二二二二二二二	Description of the second	THE SHAPE OF THE S	THE THEFT	Bi-Silver St		1.4.	<b>第二十九日</b>	N. A.
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Sy n n	<b>"这些"的"数"类。</b>	. RECEIPT	Part of the second					N. T. E. K.	A ARREST
	-h	S. Carrier . Marie Bridge	Sur .	The same		\$, · F.	1 16	A LANGE	D 474

- 24. Attach the following maps with each copy of the application: See Appendix H
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PUCT Sale Merger Transfer (Previous TCEQ Form 10516)

Please answer questions 17 through 22 on a different sheet for each physic transferred or acquired.	ally Distinct system being
17. A. For Water Systems. TCEQ Public Water System Identification Number	er: 1 3 3 0 0 0 3 0
	Hills and Dales
Date of last inspection: 04/26/2016	vi N
B. For Wastewater Systems:	
-TCEQ Discharge Permit Number: W Q	- 4
-Name of Permitee:	
-Date of application to transfer Discharge Permit submitted:	a to the first of the first
-Date of application to transfer Discharge Permit approved by	TCEQ:
	1
18. A. Are any improvements required to meet TCEQ or PUC Yes standards?	No. If yes, please explain:
B. Is there a moratorium on new connections? Yes X No. If yes, p	lease explain:
C. Provide details of each required major capital improvement to correct the TCEQ or PUC standards (attach additional sheets if necessary):	deficiencies and meet the
Description of the Required Improvement Schedule to	Complete Estimated Cost
ALTERNATION AND AND AND AND AND AND AND AND AND AN	Kately be keeper
	等。 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1
19. Does the system being transferred operate within the city limits of a municip boundaries? X No	•
If yes, indicate the number of customers within the city limits or district bo Water Sewer	oundaries:
Attach copy of franchise agreement or consent letter from the city or distric	et.
PUCT Sale Merger Transfer (Previous TCEQ Form 10516) Page 16 of 23 9/1/2014	

_]	Water Sewer	Purchased on a	Regu	lar Seasonal	Emergency
•	Source:			% of total supply:	0.00%
	* ·	4	•		-
	·			-	•
	List the number of exi	sting connections to be eff	ected by th	is transaction.	
	er ,			Sewer	
·	-Non Metered	-2"meter	(.)	-Residential Connection	
73	-5/8" or 3/4" meter	-3" meter		-Commercial Connection	n ' '
	-1" meter	-4" meter		-Industrial Connection	
	-1 1/2" meter	- Other	7,4	-Other	* .
_	Total Water Connec	tions: A A CAN THE DIR. Y.		Total Sewer Connection	s
	•	35% of its capacity based of the capacity ba	_	-	Yes
		Jan Maria Maria	*		age of the Contract

Name	Class	License#
See Appendix G		HALL TIME TO SEE SEEN
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	CALEDIANASIES CASTAC	THE REPORT OF THE PARTY OF THE
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    - 3. A written description of the proposed service area.
- PUCT Sale Merger Transfer (Previous TCEQ Form 10516)
- Page **17-of 23** 9/1/2014

. 11. 101 water bystems. Teleq I done water bystem.	n Identification Number:	1 3 3 0 1	3 4
Date of last inspection: 04/26/2016		Oak Ridge	Estate
B. For Wastewater Systems:	•		
-TCEQ Discharge Permit Number: -Name of Permitee: -Date of application to transfer Discharge-Date of Date of Dat		CEQ:	The state of the s
A. Are any improvements required to meet TCEQ or standards?	PUC Yes X	No. If yes, please explain	n:
		The state of the s	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
B. Is there a moratorium on new connections?	Yes X No. If yes, ple	ase explain:	
C. Provide details of each required major capital impr		eficiencies and meet the	e
TCEO or PUC standards (attach additional sheets)	Schedule to C	omplete Estimated	Cost
TCEQ or PUC standards (attach additional sheets)  Description of the Required Improvement		CONTRACTOR SECURITION AND ASSESSMENT CONTRACTOR OF THE PARTY OF THE PA	AT CASE
	THE WILLIAM	THE PARTY OF THE P	Task Jille
Description of the Required Improvement			THE STATE OF
		MEAN COLLEGE CHECK SECTION	
Description of the Required Improvement	city limits of a municipal	ity or within district	

PUCT Sale Merger Transfer (Previous TCEQ Form 10516)
Page 16 of 23 9/1/2014
23

24

	Water Sewer		Purchased on a	Regul	ar Seasonal Eme	rgency Basis
•	Source:				% of total supply: 0.00%	6
	*		**************************************			
21.	List the number of exist	ting co	nnections to be effec	ted by th		
Wate	er				Sewer	
	-Non Metered	•	-2"meter		-Residential Connection	
42	-5/8" or 3/4" meter	-	-3" meter	(3)	-Commercial Connection	4
	-1" meter	4° ','3	-4" meter	1,1	-Industrial Connection	
	-1 1/2" meter		-Other	7	-Other	
	Total Water Connecti	ons:	· · · · · · · · · · · · · · · · · · ·	. 7	Total Sewer Connections	
yes,	please explain what ste	ps are	being taken to addres	ss the cap		Yes N
S	ervice area not expected	to grov	significantly. A capaci	ity exception	on report has been filed with TCEC	<b>2.</b>

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class *	License#		
See Appendix G	<b>"是是":"这种理解,这一种</b>	THE PROPERTY OF THE PARTY OF TH		
THE RESERVE OF THE PARTY OF THE	<b>化工产</b> 编引系统数据系统	<b>2.45.1613.1614.1614.1614.161</b> 3.		
19.14 。14.12 <b>32</b> 5551900.4892.4846	BUSINETT CRIEK	THE RESIDENCE OF THE PARTY OF		
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and the state of t	THE SECTION AND ADDRESS AND	St.		

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PUCT Sale Merger Transfer (Previous TCEQ Form 10516)

Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.	
17. A. For Water Systems. TCEQ Public Water System Identification Number: 1 3 0 0 0 3	Ŀ
Date of last inspection: 04/26/2016 Platten Creek	
B. For Wastewater Systems:	
-TCEQ Discharge Permit Number: W Q	i i
18. A. Are any improvements required to meet TCEQ or PUC standards?  Yes No. If yes, please explain:	
B. Is there a moratorium on new connections? Yes X No. If yes, please explain:	
C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):	
Description of the Required Improvement Schedule to Complete Estimated Co	
THE REPORT OF A SECOND OF THE PROPERTY OF THE	***
	**************************************
19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes No  If yes, indicate the number of customers within the city limits or district boundaries:  Water Sewer	
Attach copy of franchise agreement or consent letter from the city or district.	

	Water Sewer	Purchased on a	Regul	, <del></del>	es [X]No mergency Basis
•	Source:			% of total supply: 0.0	00%
•					ı
		ng connections to be effec	ted by th	is transaction.	
Wate	er .		are 1	Sewer-	
	-Non Metered	-2"meter	c.	-Residential Connection	,
37	-5/8" or 3/4" meter	-3" meter	F 17	-Commercial Connection	
	-1" meter	-4" meter	<b>1</b>	-Industrial Connection	
	-1 1/2" meter	-Other		-Other	
	Total Water Connectio			Total Sewer Connections	
	•	% of its capacity based on a sare being taken to address	_		Yes X No
			, J-	Five state of the	

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

, Name	Class	License#
See Appendix G	PERSONAL VALUE AND	學學的學術學學學學學學學學
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		<b>医外侧侧侧侧侧侧侧侧侧侧侧</b>
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    - 3. A written description of the proposed service area.

PUCT Sale Merger Transfer (Previous TCEQ Form 10516)

13	Please answer questions 17 through 22 on a different sheet f transferred or acquired.	or each physically Distinct	system being
17.	A. For Water Systems. TCEQ Public Water System Identif	ication Number: 1 6	3, 01 0 3
	Date of last inspection: 06/22/2016	Ro	ocky Creek
,	B. For Wastewater Systems:	ş	67
	-TCEQ Discharge Permit Number: W Q -Name of Permitee: -Date of application to transfer Discharge Permi	t approved by TCEQ:	A Company of the Comp
18.	A. Are any improvements required to meet TCEQ or PUC standards?	Yes No. If yes, p	olease explain:
÷	B. Is there a moratorium on new connections? Yes	No. If yes, please explain	
	C. Provide details of each required major capital improvemen TCEQ or PUC standards (attach additional sheets if necess	t to correct the deficiencies	and meet the
	Description of the Required Improvement	Schedule to Complete	Estimated Cost
	SOLA TENENT AND VALUE OF THE PROPERTY OF THE P		LANCE OF
	THE PROPERTY OF THE PARTY OF THE PARTY.	T. Mark Mark His	17.160.W L-182
19.	Does the system being transferred operate within the city limit boundaries? X No		in district
	If yes, indicate the number of customers within the city limit Water Sewer	s or district boundaries:	
G	Attach copy of franchise agreement or consent letter from the	e city or district.	

		wer	Purchased		Regul	om another source ar Seasonal	Em	ergency E	3asis
•	Source:		, .		.*.	% of total su	pply: 0.00	0%.	
i di								æ	
	List the number	of existing co	onnections to	be effecte	d by thi				
<u> Vate</u>	er					Sewer			4,
	-Non Metered		-2"meter			-Residential Conne	ection	•	
39	-5/8" or 3/4" m	eter	-3" meter			-Commercial Conr	ection ·		*
	-1" meter		-4" meter			-Industrial Connec	tion		
-	-1 1/2" meter		-Other			-Other			
•	Total Water C	onnections:		1		Total Sewer Conne	ections		
	as the system rea please explain v					minimum requirem acity issues:	ents?	Yes, 🗾	No
_ [		ı		o <sub>k</sub>	٠.	i i			

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Name	Class	License#
See Appendix G	The state of the s	DESERT 14 99 12 15 15 15 15 15 15 15 15 15 15 15 15 15
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	<b>建筑设施,以外的发生。由此,</b>	Charles of the second second

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PUCT Sale Merger Transfer (Previous TCEQ Form 10516)
Page 17-of-23- 9/1/2014
28

Please answer	er questions 17 through racquired.	22 on a differen	t sheet for	each physically	Distinct	system be	ing .
17. A: For Wate	er Systems. TCEQ Pub	lic Water System	Identifica	tion Number:	1 3	3 0 1	.  2'   8
Ι	Date of last inspection:	07/25/2016	~/P		So	uthern H	ills
B. For Wa	stewater Systems:					3	
	TCEQ Discharge Perm-Name of Permitee:  Date of application to a part of	transfer Discharg			EQ: 15-15		"支癣
18. A. Are any im standards?	provements required to	meet TCEQ or I	PUC	Yes X	. If yes, p	lease expl	ain:
	and the same of th		ANY TOTAL				
B. Is there a m	oratorium on new conr	nections?	/es ×1	No. If yes, pleas	e explain		
	ails of each required m UC standards (attach a				ficiencies	and meet	the
	of the Required Impro	vement		Schedule to Co	mplete	Estimate	d Cost
For the Park I Fred			Tar F		TOOK, NOT AND THE	1,000 B	3 Va
				A second state of the same and	11.	Europe Transmit	44
boundaries?	m being transferred operation  Yes X No  Ite the number of custon	,	•	•		n district	
Wate	r Sewer				iatios.		
Attach copy	of franchise agreement	or consent letter	from the c	ity or district.			

	Water Sewer		Purchased on a	Regul	ar Seasonal	Em	ergency Ba
	Source:				% of total sup	oply: 0.00	)%
	ş				*		
,,,					,		
•	List the number of exist	sting co	nnections to be ef	fected by th	s transaction.	<u> </u>	
ate	er				Sewer		
	-Non Metered		-2"meter	18	-Residential Conne	ction	4
287	-5/8" or 3/4" meter		-3" meter		-Commercial Conn	ection	
	-1" meter	·	-4" meter	· ·	-Industrial Connect	ion	
	-1 1/2" meter	- ·	-Other	·	-Other	<del></del>	
	Total Water Connect	tions:	** ,	5:	Total Sewer Conne	ctions	
	as the system reached & please explain what st		* •	-	•	ents?	Yes X
es,						• '	

. Name .	Class	License#			
See Appendix G	<b>能源的图片。1888年,李色馨</b>	CHICACONSTITUTE CONTRACTOR IN A			
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E. S.	<b>经的证据下现1940的移移</b>	<b>第四、四、四、四、四、四、四、四、四、四、四、四、四、四、四、四、四、四、四、</b>			
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      - iii. following verifiable natural and man-made landmarks, or
      - iv. a copy of recorded plat map with metes and bounds.
    - 3. A written description of the proposed service area.

PUCT Sale Merger Transfer (Previous TCEQ Form 10516)
Page 17-of 23- 9/1/2014

Please answer questions 17 through 22 on a different sheet fo transferred or acquired.	or each physically Distinct	system being
17. A. For Water Systems. TCEQ Public Water System Identification.  Date of last inspection: 04/26/2016	<u></u>	3 0 0 2 7 erde Park
B. For Wastewater Systems:		
-TCEQ Discharge Permit Number: W Q -Name of Permitee: -Date of application to transfer Discharge Permit -Date of application to transfer Discharge Permit		
18. A. Are any improvements required to meet TCEQ or PUC standards?	Yes No. If yes, p	blease explain:
B. Is there a moratorium on new connections? Yes	No. If yes, please explain	
C. Provide details of each required major capital improvement TCEQ or PUC standards (attach additional sheets if necessa		and meet the
Description of the Required Improvement	Schedule to Complete	<b>Estimated Cost</b>
A CONTRACTOR OF AN AND AND	THE ALLESS AND A	C. T. H. T. W. T.
A CHARLEST MAN I TO THE SERVER OF THE SERVER	CERTAIN A	
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19. Does the system being transferred operate within the city limits boundaries? Yes No  If yes, indicate the number of customers within the city limits Water Sewer		in district
Attach copy of franchise agreement or consent letter from the	city or district.	
en e	*-1	
PUCT Sale Merger Transfer (Previous TCEQ Form 10516) Page 16 of 23 9/1/2014 31		2

• Source:					% of total supply: 0.0	00%
,	•	•	•			,
21. List the number of exis	ting co	nnections to be	effected by	thi		*
Water -Non Metered		-2"meter			-Residential Connection	<u> </u>
69 -5/8" or 3/4" meter	-	-3" meter		ا 4	-Commercial Connection	
-1" meter	+	-4" meter		.J	-Industrial Connection	
-1 1/2" meter		-Other	ŧ,	7	-Other	
Total Water Connect	ions:	4 , 4r 8			<b>Total Sewer Connections</b>	
0. Has the system reached 8 yes, please explain what ste	ps are	being taken to ac	ldress the c	ap	acity issues:	,
Service area not expected	to grov	v significantly. A ca	ipacity excel	, Ďne	on report has been filed with TC	EU.

· Name	Class	License#
See Appendix G See Appendix G See Appendix G See See See See See See See See See S	HERE IN THE PARTY OF THE PARTY	的表示。这么同时的特殊是在
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PUCT Sale Merger Transfer (Previous TCEQ Form 10516)
Page 17-of-23 9/1/2014

•	•
Please answer questions 17 through 22 on a different sheet for each physically Distinct system being transferred or acquired.	
17. A. For Water Systems. TCEQ Public Water System Identification Number: 1 3 3 0 1 6	Ţ
Date of last inspection: 11/17/2015 Vista Hills	
B. For Wastewater Systems:	
-TCEQ Discharge Permit Number: W Q	
18. A. Are any improvements required to meet TCEQ or PUC Standards?	
B. Is there a moratorium on new connections?, Yes X No. If yes, please explain:	
	•
C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ or PUC standards (attach additional sheets if necessary):	
Description of the Required Improvement Schedule to Complete Estimated Cos	st
	7
THE REPORT OF THE PERSON OF TH	7
19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? Yes X No  If yes, indicate the number of customers within the city limits or district boundaries:  Water Sewer	
Attach copy of franchise agreement or consent letter from the city or district.	

PUCT Sale Merger Transfer (Previous TCEQ Form 10516)
Page 16-of-23 9/1/2014
33

	Water Sewer	Purchased on a [	Reg	ula	ar Seasonal E	mergeno	y Basi	S
•	Source:		1, 1	,	% of total supply: 0.0	00% 🤲		•
·			•					
21.	List the number of existi	ng connections to be eff	fected by t	his	s transaction.		•P	
Wate	er		1		Sewer	•		
	-Non Metered	-2"meter		4	-Residential Connection			
13	-5/8" or 3/4" meter	-3" meter	3	12	-Commercial Connection			
	-1" meter	-4" meter		32	-Industrial Connection			
	-1 1/2" meter	-Other	4		-Other		,	
***	Total Water Connection	ons:		1.	Total Sewer Connections			
	as the system reached 85° please explain what step					Yes	<b>X</b>	No
	<del>.</del>		The state of the s				, 2, 1,	

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name	Class	License#
See Appendix G	T. C. SALVEY OF THE SALVEY	<b>经验的证据的证据的证据的</b>
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    - 3. A written description of the proposed service area.

PUCT Sale Merger Transfer (Previous TCEQ Form 10516)

## Part F – TCEQ Public Water or Sewer System Information

	ase answer questions 17 through 22 on a different sheet asferred or acquired.	for each physically Distinct	system being
17. A.	For Water Systems. TCEQ Public Water System Identif	ication Number: 1 3	3 0 0 1 5
	Date of last inspection: 03/24/2016	^ W	estwood Oaks
В.	For Wastewater Systems:		
	-TCEQ Discharge Permit Number: W C -Name of Permitee: -Date of application to transfer Discharge Perm -Date of application to transfer Discharge Perm		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	re any improvements required to meet TCEQ or PUC lards?	Yes No. If yes, p	lease explain:
	The market of the second of th	The state of the s	
B. Is	there a moratorium on new connections? Yes	No. If yes, please explain	:
	ovide details of each required major capital improvements CEQ or PUC standards (attach additional sheets if necess		and meet the
D	escription of the Required Improvement	Schedule to Complete	Estimated Cost
	The state of the s	AL WALLEY TO SERVED TO SER	WY WEST TO
1	Marie Control of the		DYVIII Y
1.45.5 12.46.50	Miles Maria Carlo	<b>尼克部之外。海伊斯</b> 列	I TANDARA
bou	the system being transferred operate within the city limited aries? Yes X No.  Tes, indicate the number of customers within the city limited water Sewer	•	in district
t F Att	ach copy of franchise agreement or consent letter from th	e city or district.	

PUCT Sale Merger Transfer (Previous TCEQ Form 10516)
Page 16:of 23 9/1/2014
35

20. Do you currently purchase  Water Sewer	e water or sewer treatment capacit  Purchased on a Re	-	,	es X No mergency Basis		
• Source:			% of total supply: 0.			
•	<b>4</b>					
	sting connections to be effected by	thi	· · · · · · · · · · · · · · · · · · ·			
Water		1,5	Sewer	***		
-Non Metered	্রেট্র -2"meter		-Residential Connection	• • • • • • • • • • • • • • • • • • • •		
108 -5/8" or 3/4" meter	经运输 -3" meter		-Commercial Connection			
-1" meter	13 € 4" meter	7	-Industrial Connection	-		
-1 1/2" meter	-Other	N. IS	-Other			
Total Water Connect	tions:	[4]	<b>Total Sewer Connections</b>	k		
20. Has the system reached 85% of its capacity based on TCEQ's minimum requirements? Yes N If yes, please explain what steps are being taken to address the capacity issues:						
22 Listaba nama ali anna	, , , , , , , , , , , , , , , , , , ,	41	4 - 21 1 21 1 - 6 - 41 -			

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

Name .	Class	License#
See Appendix G	W. T. C.	
	BUTTON AND DE LI	E WEEK TO BE WITH
TWENT THE PROPERTY OF THE PARTY	MARKET WELLS	
AND THE PROPERTY OF THE PARTY O		
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PUCT Sale Merger Transfer (Previous TCEQ Form 10516)

## Part F – TCEQ Public Water or Sewer System Information

Please answer questions 17 through 22 on a different sheet for each ph transferred or acquired.	ysically Distinct	system being
17. A. For Water Systems. TCEQ Public Water System Identification Nu Date of last inspection: 03/24/2016		3 0 1 4 1 Iwood Óaks
B. For Wastewater Systems:		
-TCEQ Discharge Permit Number: W QName of Permitee: -Date of application to transfer Discharge Permit submitte -Date of application to transfer Discharge Permit approved		in the second of
18. A. Are any improvements required to meet TCEQ or PUC standards?	No. If yes, p	lease explain:
		, , ,
B. Is there a moratorium on new connections? Yes X No. If ye	es, please explain	÷ ., *
C. Provide details of each required major capital improvement to correc TCEQ or PUC standards (attach additional sheets if necessary):	t the deficiencies	and meet the
	le to Complete	Estimated Cost
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	the second of	BILLY FIRE
145-14 14 14 14 14 14 14 14 14 14 14 14 14 1	<b>建筑</b>	E MEXICAL S
19. Does the system being transferred operate within the city limits of a mur boundaries? Yes X No  If yes, indicate the number of customers within the city limits or district Water Sewer		n district
Attach copy of franchise agreement or consent letter from the city or d	istrict.	
PUCT Sale Merger Transfer (Previous TCEQ Form 10516) Page 16-of-23- 9/1/2014 37		

Water	Sewer	P	urchased on a			om another source ar Seasonal		Eme	ergency	Bas
• Sour	rce:		1			% of total su	ipply:	0.00	%	
	*		ı						f	
List th	e number of <u>exis</u>	ting conne	ections to be ef	fected by t	hi	s transaction.				
iter					Ä	Sewer				
-Non	Metered :	-2	"meter	Ì	<u>*</u>	-Residential Conn	ection			
20 -5/8"	or 3/4" meter	3	" meter			-Commercial Con	nection	1	· ·	
-1" m	eter	-4	" meter			-Industrial Connec	tion			
-1 1/2	2" meter	-C	Other	1	1/4	-Other				
	al Water Connect		N - 2 - 12 **	• 5	12	Total Sewer Conn	ections	3		
	system reached 8 e explain what ste		• •	-		minimum requiren acity issues:	ents?		Yes [	X
	. 1					1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		14 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		* 12 i3

-	Name -	,	Class	*	License#
See Appendix G	The party of the same	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	第二次引入特别生产的原	7	BELLEVIA DE L'ALTER DE
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PUCT Sale Merger Transfer (Previous TCEQ Form 10516)

- Page **17**-of-**23**- 9/1/2014

## Part F – TCEQ Public Water or Sewer System Information

17. A. For Water Systems. TCEQ Public Water System Identific	eation Number: 1 3	3 0 0 2 4
Date of last inspection: 03/24/2016	We	oodhaven MHP
B. For Wastewater Systems:		*
-TCEQ Discharge Permit Number: W Q -Name of Permitee: -Date of application to transfer Discharge Permit solution to transfer Discharge Permit solutions.		A for M
18. A. Are any improvements required to meet TCEQ or PUC standards?	Yes No. If yes, p	lease explain:
B. Is there a moratorium on new connections? Yes	No. If yes, please explain	:
	34 34	ritt
C. Provide details of each required major capital improvement t TCEQ or PUC standards (attach additional sheets if necessar		and meet the
TCEQ or PUC standards (attach additional sheets if necessar Description of the Required Improvement	ry): Schedule to Complete	and meet the  Estimated Cost
TCEQ or PUC standards (attach additional sheets if necessar	ry): Schedule to Complete	م <u>د</u>
TCEQ or PUC standards (attach additional sheets if necessar Description of the Required Improvement	ry): Schedule to Complete	Estimated Cost
TCEQ or PUC standards (attach additional sheets if necessar Description of the Required Improvement	ry): Schedule to Complete	Estimated Cost
TCEQ or PUC standards (attach additional sheets if necessar Description of the Required Improvement	ry): Schedule to Complete  A variable of a municipality or within	Estimated Cost

PUCT Sale Merger Transfer (Previous TCEQ Form 10516)
Page 16 of 23 9/1/2014
39

	you currently purchase Water Sewer		Purchased on a	capacity f		s [X]No ergency Basis
•	Source:		,		% of total supply: 0.00	9%
0.1			, i c	. 11 . 1'		
	List the number of exis	ting con	nections to be effe	cted by thi		<del></del>
Wate	-Non Metered		-2"meter	\^ <u>`</u>	-Residential Connection	
31						
	-5/8" or 3/4" meter		-3" meter	——	-Commercial Connection	
	-1" meter		-4" meter		-Industrial Connection	
	-1 1/2" meter	•	-Other	દેવ	-Other	,
1	Total Water Connecti	ons:	*** . * ** .	7 a 3	Total Sewer Connections	,
	as the system reached 8 please explain what ste		,	-	minimum requirements? acity issues:	Yes X No
		.,		to the state of		£ ***, 4

23. List the name, class, and license number of the operator(s) that will be responsible for the system:

. Name	Class	License#
See Appendix G	PERSONAL PROPERTY.	MART LOCK TO THE SALES
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PUCT Sale Merger Transfer (Previous TCEQ Form 10516)
Page 17-of 23- 9/1/2014

## Part G - Oaths and Notices

OATH FOR SELL	ER OR FORMER SERVICE PROVIDER		
STATE OF	Texas	·	
COUNTY OF	Fort Bend	<u>.                                    </u>	
sale, lease, rental (indicate relations) representative of a familiar with the do and, that all such st other parties are m		and authorized to file and verify such a complied with all the requirements cor with respect to applicant are true and	orks, Inc. , or other authorized application, am personally atained in the application; correct. Statements about
required under Sec	have provided to the purchaser or transferee tion 13,301(j) and copies of any outstanding O hission of Texas, or Attorney General and have de.	rders of the Texas Commission on Envi	ronmental Quality, the
		Charles W Profelet O	4
		AFFIANT (Utility's Authorized R	
	form is any person other than the sole owner ttorney must be enclosed.	, partner, officer of the Applicant, or its	s attorney, a properly
SUBSCRIBED AND S	WORN TO BEFORE ME, a Notary Public in and of October , 20 [[05]]	for the State of Texas, this	
SEAL		· St. Hou	a.
	SILVIA MARLENI ALVAREZ Notary Public, State of Texas My Commission Expires November 20, 2016	NOTARY PUBLIC IN A STATE OF TE	
		PRINT OR TYPE NAME OF NOTARY  MY COMMISSION EXPIRES	11/20/2010

One copy of this page must be submitted for each utility involved in this transaction.

### OATH FOR PURCHASER OR ACQUIRING ENTITY

STATE OF	, Texas	_
COUNTY OF	Fort Bend	_
i, Charles W.	Profilet, Jr.	, being duly sworn, file this application for
	<del></del>	resident, SWWC Utilities, Inc.
representative of personally familial the application; ar correct. Statemer	applicant); that, in such capacity, I am qualified a r with the documents filed with this application, nd, that all such statements made and matters se	tnership, title as officer of corporation, or other authorized and authorized to file and verify such application, am and have complied with all the requirements contained in set forth therein with respect to applicant are true and and belief. I further state that the application is made in resently before the Commission.
Environmental Qu	ality, the Public Utility Commission of Texas or the	n any outstanding orders of the Texas Commission on the Attorney General which have been issued to the system dministrative penalties or other enforcement actions if I
		Charles w Profilers.
t		AFFIANT (Utility's Authorized Representative)
	is form is any person other than the sole owner, must be enclosed.	partner, officer of the Applicant, or its attorney, a properly verific
Applicant represer	nts that all other parties to this transaction have	been furnished copies of this completed application.
SUBSCRIBED AND day	SWORN TO BEFORE ME, a Notary Public in and for of October , 20 16 ,	or the State of Texas, this
SEAL ·	SILVIA MARLENI ALVAREZ Notary Public, State of Texas	MAluace
	My Commission Expires November 20, 2016	NOTARY PUBLIC IN AND FOR THE STATE OF TEXAS
	•	Silvia Marleni Alvarez
,	15	MY COMMISSION EXPIRES 11/20/20

One copy of this page must be submitted for each utility involved in this transaction.

Docket	No.	

### Notice to Current Customers, Neighboring Systems, and Cities

### WIEDENFELD WATER WORKS, INC. NOTICE OF INTENT TO TRANSFER WATER FACILITIES AND CERTIFICATE OF CONVENIENCE AND NECESSITY (CCN) NO. 12052, IN KENDALL, KERR, AND MEDINA COUNTIES, TEXAS TO SWWC UTILITIES, INC. dba WATER SERVICES, INC., CCN NO. 11106.

To:	•			Date N	otice Mailed	:	, 201 <sup>°</sup>
	(Name of Customer, Neighb	oring Sys	tem, or City)	<del></del>			
	(Address)	3					
	(City	State	Zip)				•
	VC Utilities, Inc. dba Water chaser's or Transferee's Nan		Inc. 12535 I (Address		Sugar Land ty) (State		77478 Code)
	ubmitted an application with fer water service area under:		•	- ,	,		•
	denfeld Water Works, Inc. er's or Transferor's Name)	12	535 Reed Road (Address)	l Sugar (City)	Land, TX (State) (	Zip Co	77478 ode)
13.30 Sprin	transfer is scheduled to take, 01). The transaction and the ngs Mobile Home Village, on Estates, Platten Creek, Roc	transfer o	of the CCN inc int, Heritage I	ludes the fo ark, Hills a	llowing subound Dales, N	livisio: Ionteb	ns: Cedar ello, Oak

The areas subject to this transaction are:

#### **KENDALL COUNTY:**

PLATTEN CREEK WATER is located approximately 6.4 miles north of Sisterdale and is bounded on the west by FM 1376 and the back property lines along Platten Creek Road and Whitworth Drive. Total area is approximately 242.8 acres.

Hills, Westwood Park, Windwood Oaks, and Woodhaven Mobile Home Park,

#### **KERR COUNTY:**

CEDAR SPRINGS MOBILE HOME VILLAGE is located approximately 2 miles west of Ingram and is generally bounded by Highway 39 on the south, Lakeshore Trail on the west, Ingram Lake RV Park Road on the north, and White Oak Street West on the east. Total area is approximately 22.2 acres.

CENTER POINT is located approximately 0.5 miles north of downtown Center Point and is generally bounded by the Guadalupe River on the south, Bowlin Drive East and Depot Alley on

the west, Highway 27 on the north, and San Antonio Street on the east. Total area is approximately 93 acres.

HERITAGE PARK is located approximately 2 miles east of Center Point and is generally bounded by Burney Road North on the west and Total area is approximately 212 acres.

HILLS and DALES is located approximately 5 miles north of Ingram and is bounded on the south by Highway 39, on the west by Spring Lakes Parkway West, and Cypress Parkway West on the north. Total area is approximately 188 acres.

OAK RIDGE ESTATES is located approximately 0.25 miles east of Center Point and is bounded by Stoneleigh Road on the south. Total area is approximately 21.6 acres.

SOUTHERN HILLS is located approximately 4 miles south of Kerrville and is generally bounded by Highway 16 (Medina Highway) on the south, West Creek and Madrona Drive on the west, and Calcote Road and Nixon Lane on the north and east. Total area is approximately 1,349 acres.

VERDE PARK ESTATES is located approximately 1 mile south of Center Point, and is generally bounded on the west by Highway 480, on the north by Verde Creek Drive and Austin Lane East, and on the east by Elm Park Road. Total area is approximately 490 acres.

VISTA HILLS is located approximately miles from Kerrville and is bounded by Scenic Hills road on the west and south and generally bounded by Scenic Valley Road on the east. Total area is approximately 92.3 acres.

WESTWOOD is located approximately 0.5 miles west of Comfort and is generally bounded by Schadoer Road on the west, Sherwood road on the north, Marilyn Drive North on the east, and on the south by Highway 27. Total area is approximately 220 acres.

WINDWOOD OAKS SUBDIVISION is located approximately south of Kerrville on Hwy 2771 and is generally bounded by Turtle Creek on the west and south, and by Oak Wood Road East on the north. Total area is approximately 48 acres.

WOODHAVEN MOBILE HOME PARK is located approximately 1 mile east of Ingram and generally bounded by Riverview Road on the west, Linn Drive South on the south, Nichols Cemetary LP on the south and east, and Highway 27 on the north. Total area is approximately 17.3 acres.

#### **MEDINA COUNTY:**

ROCKY CREEK SUBDIVISION is located approximately miles southwest of Medina Lake and is generally bounded by County Road 265 on the north, County road 266 on the west, and county Road 271 on the east. Total area is approximately 310 acres.

This transaction will have the following effect on the current customers' rates and services: Current customer rates will remain the same, service quality will remain the same, and SWWC Utilities, Inc. service policies and fees will remain the same.

Affected persons may file written protests and/or request a public hearing within 30 days of this notice.

To request a hearing, you must:

- (1) state your name, mailing address and daytime telephone number;
- (2) state the applicant's name, application number or another recognizable reference to this application;
- (3) the statement "I/we request a public hearing";
- (4) write a brief description of how you, the persons you represent, or the public interest would be adversely affected by the proposed transaction and transfer of the CCN; and
- (5) state your proposed adjustment to the application or CCN which would satisfy your concerns and cause you to withdraw your request for a hearing.

Only those persons who submit a written request to be notified of a hearing will receive notice if a hearing is scheduled.

Persons who wish to intervene or comment should file a request in writing within thirty (30) days from the date of this publication or notice with:

Filing Clerk
Public Utility Commission of Texas
1701 North Congress Avenue
P.O. Box 13326
Austin, Texas 78711-3326

A public hearing will be held only if a legally sufficient hearing request is received or if the Commission on its own motion requests a hearing. Only those individuals who submit a written hearing request or a written request to be notified if a hearing is set will receive notice if a hearing is scheduled.

If a public hearing is requested, Commission will not transfer the CCN and will forward the application to the State Office of Administrative Hearings (SOAH) for a hearing. If no settlement is reached and an evidentiary hearing is held, the SOAH will submit a recommendation to the Commission for final decision. If an evidentiary hearing is held, it will be a legal proceeding similar to a civil trial in state district court.

Si desea informacion en Espanol, puede llamàr al 1-888-782-8477						
•	*					
Utility Representative						
•						

Utility Name

# **APPENDIX A**

#### WIEDENFELD WATER WORKS, INC.

# TCEQ CN NUMBER 600650725

PWS ID Number	TCEQ RN Number	Regulated Entity Name (TCEQ)	County
1330019	RN101215291	CEDAR SPRINGS MOBILE HOME VILLAGE	KERR
1330007	RN101241511 **	CENTER POINT	KERR
1330080	RN102680717	HERITAGE PARK WATER SERVICE	KERR
1330030 .	RN101213296	HILLS AND DALES	KERR
1330134	RN101284438	OAK RIDGE ESTATES WATER SYSTEM	KERR
1300035	RN101206829	PLATTEN CREEK WATER SYSTEM	KENDALL
1630038	RN102676459	ROCKY CREEK SUBDIVISION WATER SYSTEM	MEDINA
1330128	RN101224384	SOUTHERN HILLS	KERR
1330027	RN101210961	VERDE PARK ESTATES .	KERR
1330169	RN105915359	VISTA HILLS	KERR
1330015	RN101285476	WESTWOOD WATER SYSTEM	KERR
1330141	RN102681996	WINDWOOD OAKS WATER SYSTEM	KERR
1330024	RN101266070	WOODHAVEN MOBILE HOME PARK	KERR

## **APPENDIX B**

pendix b

Cont. Acct	Reaso	n :	Start Date	Return	Crcy	Req.	Amt.	,P	ayment	Status		Descript.
1000068286	REQD		4/7/2016	10/7/2017	USD	\$	50,00	\$	50.00		4	Paid
1000068621	REQD		5/13/2016	11/13/2017	USD	\$	50.00	\$	50.00		4	Paid
1000068995	REQD		5/20/2016	11/20/2017	USD	\$	50.00	\$	50.00		4	Paid
1000068346	REQD		4/14/2016	10/14/2017	USD	\$	50.00	\$	50.00		4	Paid
1000068439	REQD		5/19/2016	11/19/2017	USD	\$	50.00	\$	50.00		4	Paid
1000068440	REQD		5/20/2016	11/20/2017	USD	\$	50.00	\$	50.00		4	Paid
1000068907	REQD		6/3/2016	12/3/2017	USD	\$	50.00	\$	50.00		4	Paid
1000068550	REQD		, 5/5/2016	11/5/2017	USD	\$	50.00	\$	50.00	-# 3	4 :	Paid
1000068585	REQD		6/3/2016	12/3/2017	USD	\$	50.00	\$	50.00		4	Paid
1000069203	REQD		. 6/7/2016	12/7/2017	USD	\$	50.00	\$	50.00		4	Paid
1000068624	REQD		5/11/2016	. 11/11/2017	USD	\$	50.00	\$	50.00		4	Paid
1000068720	REQD		5/12/2016	11/12/2017	USD	\$	50.00	\$	50.00		4	Paid
1000068623	REQD		5/16/2016	11/16/2017	USD	\$	50.00	\$	50.00	1	4	Paid
1000068633	REQD		5/7/2016	11/7/2017	USD	\$	50.00	\$	50.00	*,.	4	Paid
1000068657	REQD		6/20/2016	12/20/2017	USD	\$	50.00	\$	50.00		4	Paid
1000068905	REQD	•	4/17/2016	10/17/2017	USD	\$`	50.00	\$	50.00		4	Paid
1000069174	REQD		6/30/2016	12/30/2017	USD	\$	50.00	\$	50.00		4	Paid
1000069162	REQD		7/1/2016	1/1/2018	USD	\$	50.00	\$	50.00		4	Paid
1000069403	REQD		6/7/2016	12/7/2017	USD	\$	50.00	\$	50.00		4	Paid
1000069178	REQD		3/3/2016	11/5/2017	USD	\$	50.00	\$	50.00		4	Paid
1000069293	REQD		5/13/2016	11/13/2017	USD,	\$	50.00	\$	50.00	•	4	Paid
1000070009	REQD		7/5/2016	1/5/2018	USD	\$	50.00	\$	50.00		4	Paid
1000070239	REQD	+	9/16/2016	3/16/2018	USD	\$	50.00	\$	50.00		4	Paid
1000070125	REQD	*	7/20/2016	1/20/2018	USD	\$	50.00	\$	50.00		4	Paid
1000070322	REQD		8/26/2016	2/26/2018	USD	\$	50.00	\$	50.00		4	Paid
1000070234	REQD		8/23/2016	2/23/2018	USD	\$	50.00	\$	50.00		4	Paid
1000070160	REQD		8/12/2016	2/12/2018	USD	\$	,50.00	\$	50.00		4	Paid
1000070696	REQD		8/10/2016	2/10/2018	USD	\$ ·	50.00	\$	50.00		4	Paid
1000070720	·REQD		9/19/2016	3/19/2018	USD	\$	50.00	\$	50.00	•	4	Paid
1000070904	REQD		7/29/2016	1/29/2018	USD	\$	50.00	\$	50.00		4	Paid
1000070782	REQD	•	9/7/2016	3/7/2018	USD	\$	50.00	\$	50.00		4	Paid
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1000071230	"REQD		9/8/2016	3/8/2018	USD	\$	50.00	\$	50.00		4	Paid
1000071313	REQD		9/9/2016	3/9/2018	USD	\$	50.00	\$	50.00		4	Paid
1000067214	CONV		11/14/2008	9/15/2017	USD	\$	50.00	\$	50.00	•	4	• Paid
1000067216.	CONV		9/4/2014	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067217	CONV		5/12/2014	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067223	CONV	•	9/10/2012	9/15/2017	USD	\$	50.00	\$	50.00		4 '	Paid
1000067236	CONV	4.	9/11/2015	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067239	CONV		2/25/2015	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067244	CONV		. 2/11/2016	9/15/2017	USD	\$	•50:00	\$	50.00		4	Paid
1000067253	CONV		2/3/2011	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067257	CONV		9/2/2014	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067258	CONV		12/3/2014	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067264	CONV	'3	10/24/2013	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067274	CONV		7/2/2009	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067298	CONV	•	1/13/2014	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid

Cont. Acct	Reason	Start Date	Return	Crcy	-	. Amt.		yment	Status		Descript.
1000067299	CONV <sup>1</sup>	6/3/2015	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067313	CONV	10/27/2009	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067314	CONV	12/11/2014	9/15/2017		\$	50.00	\$.	50.00		4	Paid
1000067367	CONV	7/16/2012	9/15/2017	USD	\$	50.00	\$	50.00		4	. Paid
1000067368	CONV	6/30/2014	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067381	CONV	4/26/2011	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067382	CONV '	8/31/2015	9/15/2017		_	50.00	`\$	50.00		4	Paid
1000067394	CONV	9/24/2014	9/15/2017	USD	\$	50.00	\$,	50.00		4	Paid
1000067401	CONV	2/5/2008	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067410	CONV	10/5/2012	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067415	CONV	10/3/2012	9/15/2017	ÙSD	<b>.</b> . \$	50.00	\$	50.00	, 27	4	Paid
1000067418	CONV	12/4/2013	9/15/2017	USD	\$	50.00	\$	50.00	•	4	Paid
1000067421	CONV	11/25/2015	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067423	CONV	6/29/2015	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067430	CONV	5/27/2014	9/15/2017	USD	\$	50.00	\$	50.00		4	· Paid
1000067437	CONV	7/21/2015	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067438	CONV	1/7/2010	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067451	CONV	11/30/2015	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000069378	REQD	6/9/2016	12/9/2017	USD	\$	50.00	\$	50.00		4	Paid
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1000067462	CONV	3/12/2012	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000071644	REQD	10/12/2016	4/12/2018	USD	\$	50.00	\$	50.00		4	, Paid
1000067467	CONV	6/1/2015	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067470	CONV	4/7/2015		9	\$	50.00	\$	50.00		4	Paid
1000068967	REQD	7/27/2016	1/27/2018		\$	50.00	\$	50.00		4`	` Paid
1000067472	CONV	7/12/2013	9/15/2017.	4	\$	50.00	\$	50.00	***	4	Paid
1000067492	CONV	1/21/2010	9/15/2017		\$	50.00		50.00		4	Paid
1000067505	CONV	10/1/2014	9/15/2017		\$	50.00	\$	50.00		4	Paid
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1000067509	CONV	7/31/2015	9/15/2017		\$	50.00	\$	50.00		4	Paid
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1000067521	CONV	4/17/2014	9/15/2017		\$		\$	50.00		4	Paid
1000067523	CONV	6/17/2015	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067527	CONV	5/12/2015	9/15/2017		\$		\$	50.00		4	Paid
1000067534	CONV	7/3/2014	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067547	CONV	7/18/2008	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067563	CONV	12/29/2010	9/15/2017	,	\$	50.00	\$	50.00		4	Paid
1000067565	CONV	4/28/2014	9/15/2017		\$	50.00	\$	50.00		4	Paid
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1000067574	CONV	1/27/2016	9/15/2017		\$		\$	50.00		4	Paid
1000067577	CONV	• ' '	9/15/2017		\$	50.00	\$	50.00	,	4	Paid
1000067588	CONV	1/25/2011	9/15/2017		\$	50.00	\$,	50.00		4	Paid
1000067591	CONV	8/10/2015	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067592	CONV	10/6/2015	9/15/2017				\$	50.00		4	Paid
1000067599	CONV	10/1/2013	9/15/2017		\$		\$	50.00		4	c Paid
1000067602	CONV	8/7/2014	9/15/2017		\$		\$	50.00		4	Paid
1000067603	CONV	4/2/2015	9/15/2017		\$		\$ '	50.00		4	Paid
1000067604	CONV	10/6/2015	9/15/2017		\$		\$.,	50.00		4	Paid
	JU.11	10, 0, 2013	3, 13, 2011		<b>Y</b>	55.55	Ψ.,	50.00	•	•	, ald

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Cont. Acct	Reason	Start Date	Return	Crcy	Req.	Amt.	F	Payment	Status		Descript.
1000067605	CONV	10/2/2015	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
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1000067621	CONV	6/30/2015	9/15/2017	USD	\$	<sup>1</sup> 50.00	\$	50.00		4	Paid
1000067622	CONV	5/29/2014	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067624	CONV	5/6/2013	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067626	CONV	6/29/2015	9/15/2017	USD	\$,	50.00	¹\$	50.00		4	Paid
1000067634	CONV	6/15/2015	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067637	CONV	5/14/2015	9/15/2017	USD	<sup>‡</sup> \$	50.00	\$	50.00		4	Paid
1000067642	CONV .	10/21/2015	9/15/2017	USD,	\$	50.00	\$	50.00		4	Paid
1000067663	CONV	4/28/2010	9/15/2017	USD <sup>1</sup>	\$	50.00	\$	50.00		4	Paid
1000067673	CONV	2/12/2004	9/15/2017	USD	\$	- 50.00	\$	50.00		4	Paid
1000067677	CONV	3/3/2015	9/15/2017	USD	<b>*</b> \$	50.00	\$	50.00		4	Paid
1000067708	CONV	11/28/2006	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067715,	*CONV	9/3/2012	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067719	CONV	11/22/2010	9/15/2017	USD	\$	50.00	\$	√50.00		4	Paid
1000067725	CONV	10/28/2014	9/15/2017	USD	\$	50.00	\$	50.00		4	' Paid
1000067731	CONV	11/25/2013	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067737	CONV	3/7/2014	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067754	CONV	5/23/2011	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000071155	REQD	8/4/2016	2/4/2018	USD	\$	50.00	\$	50.00		4	Paid
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1000067787	CONV	3/9/2012	9/21/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067797	CONV	12/16/2015	9/15/2017	USD	\$	50.00	\$	50.00		4	" Paid '
1000067803	CONV	11/7/2012	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067804	CONV	6/12/2015	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067808	CONV	4/2/2015	9/15/2017		\$	·50.00	\$	50.00		4	Paid
1000067818	CONV	12/14/2015	9/21/2017		\$	50.00	\$	50.00	*	4	Paid
1000067820	CONV	8/31/2015	9/15/2017		\$	50.00	\$	50.00		4	Paid *
1000067824	CONV	9/18/2015	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067827	CONV	11/3/2010	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067834	CONV	3/25/2015	9/15/2017	USD	\$	50.00	\$	50.00		4	Paid
1000067835	CONV	10/22/2013	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067841	CONV	12/14/2015	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067850	CONV	2/9/2016	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067852	CONV	4/18/2013	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067853	CONV	12/15/2015	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000071657	REQD	10/11/2016	4/11/2018		\$	50.00	\$	50.00		4	Paid
1000067859	CONV	2/27/2013	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067860	CONV	7/3/2014	9/15/2017		\$	50.00	\$	50.00		4	Paid
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1000067887	CONV	2/18/2015	9/15/2017		\$	50.00	\$	50.00	4	4	Paid
1000067891	CONV	8/14/2014	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067898	CONV	3/24/2015	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067904	CONV	8/31/2015	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067909	CONV	10/28/2014	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067911	CONV	8/7/2015	9/15/2017		\$	50.00	\$	50.00		4	Paid
1000067916	CONV	5/14/2013	9/15/2017	•	\$	.50.00	\$	*50.00		4	Paid
1000067923	CONV	6/18/2014	9/15/2017 (		\$		\$	50.00		1	Paid
		•	-								

Cont. Acct	Reason	Start Date	Return	Crcy	Req	. Amt.	P	ayment	Status	Descript.
1000067926	CONV	8/6/2015	9/15/2017	USD	\$	50.00	\$	50.00	4	Paid
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1000067952	CONV	1/7/2016	9/21/2017	USD	\$	50.00	\$	* 50.00	4	Paid
1000067955	CONV	9/24/2014	, 9/15/2017	USD	\$	50.00	\$	50.00	4	Paid
1000067958	CONV	1/5/2016	9/15/2017	USD	\$	50.00	\$	50.00	4	Paid '
1000067963	CONV	8/29/2013	9/15/2017	USD	\$	50.00	\$	50.00	4	Paid
1000067966	CONV	2/22/2010	9/15/2017	USD	\$	50.00	\$	50.00	4	Paid '
1000067993	CONV	2/13/2015	9/21/2017	USD	\$	50.00	\$	50.00	4	Paid
1000067998	CONV	3/3/2015	9/15/2017	USD	\$	50.00	\$	50.00	4	Paid
1000068009	CONV	8/4/2014	9/15/2017	USD	\$	50.00	\$	50.00	4	Paid
1000068012	CONV	2/25/2015	9/15/2017	USD	\$	50.00	\$	50.00	· 4	Paid
1000068018	CONV	11/2/2009	9/15/2017	ÙSD	\$	50.00	\$	50.00	4	Paid
1000068019	CONV.	2/9/2016	9/21/2017	USD	\$	50.00	\$	50.00	4	Paid
1000068025	CONV	4/2/2015	9/15/2017	USD	\$	50.00	\$	50.00	* 4	Paid
1000068031	CONV	12/21/2010	.9/15/2017	USD	\$	50.00	\$	50.00	` 4	Paid
1000068038	CONV	9/9/2013	9/15/2017	USD	\$	50.00	\$	50.00	4	Paid
1000068045	CONV	« 4/26/2010 °	9/15/2017	USD	\$	50.00	\$	50.00	4	Paid
1000068047	CONV:	12/5/2014	9/15/2017	USD	\$	50.00	\$	50.00	4	Paid
1000068051°	REQD	8/24/2016	2/24/2018	USD	\$	50.00	\$	50.00	4	Paid
1000071151	RĘQD	9/6/2016	3/6/2018	USĎ.	\$	50.00	\$	50.00	. 4	Paid

# **APPENDIX C**



#### **Franchise Tax Account Status**

As of: 09/29/2016 16:06:04 PM

#### This Page is Not Sufficient for Filings with the Secretary of State

#### **SWWC UTILITIES, INC.**

**Texas Taxpayer Number** 12602872199

12535 REED RD ATTN: TAX DEPT (FSC) SUGAR Mailing Address

LAND, TX 77478-2837

Right to Transact Business in Texas ACTIVE

State of Formation DE

Effective SOS Registration Date 06/19/2007

Texas SOS File Number 0800832416

Registered Agent Name CORPORATION SERVICÉ COMPANY DBA CSC - LAWYERS INCO

Registered Office Street Address 211 E. 7TH STREET SUITE 620 AUSTIN, TX 78701

# **APPENDIX D**,

Monarch Utilities I, L.P.

ACTIVE COMPLIANCE ORDERS

	1	<del>,</del>	<del>'</del>	T	•	<del></del>
STATUS	Feasibility study completed 10/30/2014. Interconnect \$1,404 deferred pending plans with neighboring PWS approved by TCEQ on compliance by 1095 day deadline [11/24/2015. Interconnect under construction and expected to be operational by late 2016.	Wholesale supplier (City of Rhome) is supplying water that is exceeding the MCL. We have installed aerators in our storage tank to reduce the concentration. System remains out of compliance to date.	As of Q3 2016, we are compliant with the MCL. We will submit Certification of Compliance to TCEQ in October 2016.	Notification procedures have been updated to cover future public notification processes. System has been in compliance with the total coliform rule for the past 6 months. We will submit Certification of Compliance to TCEQ in October 2016.	Engineering plans have been submitted and approved by TCEQ. Plant construction began in October 2016. Certification of Compliance will be submitted to TCEQ in October 2016.	Wholesale supplier (Red River Authority) is supplying water that is exceeding the MCL. We are working with the supplier to reduce the concentration. System remains out of compliance to date.
ADMINISTRATIVE PENALTY	\$1,404 deferred pending compliance by 1095 day deadline	\$276	\$351.	\$1,205	\$1,971 and \$492 deferred contingent on satisfactory compliance with the Order.	\$172
COMPLIANCE ACTIONS (e)	Submit feasibility study within 185 days and achieve compliance within 1095 days	Implement public notifications within 30 days and achieve compliance within 365 days.	Achieve compliance within 365 days of date of order	Begin complying with applicable coliform monitoring requirements and provide water that meets the provisions regarding microbial contaminants, implement notification procedures, and submit documentation of compliance within 225 days after effective date of Order.	Within 30 days of the effective date of the Ovder inlitate enginnering and financial plans for expansion and/or upgrade to facilities. Within 45 days of effective date of the Order submit documentation.	Within 365 days after the effective date return to compliance with the locational running annual average MCL for HAA5.
ALLEDGED VIOLATIONS (d)	Failure to comply with MCL for Radium 226-Radium 228, Failure to comply with Gross Alpha Particle activity	Failure to comply with MCL of 0.080 mg/l for total trihalomethanes. Based on the locational running annual average.	Cherokee Shores Water mg/l for total trihalomethanes. Supply PWS No. Based on the locational running annual average.	Failure to comply with the acurte MCL for microbial contaminants and failure to provide public notification and copy of the notification to the Executive Director.	Failure to obtain authorization from the TCEQ to commence construction of additional facilities when the facility reached 90% of permitted daily average flow for three consecutive months. Failure to prevent the discharge of untreated wastewter into or adjacent to the waters of the state.	Failure to comply with MCL of 0.060 mg/l for haloacetic acids for Stage 2 disinfection byproducts based on the locational running annual average.
REGULATED ENTITY (TCEQ)	RN102987856 Pinwah Pines	5/26/2016 Aurora Vista PWS No. 2490051	Cherokee Shores Water Supply PWS No. 1070206	8/19/2016 Falcon Grest Addition PWS No. 1260076	Holiday Villages of Livingston WW	Ridgecrest Addition, PWS No. 0910035
DATE (b)	7/2/2014	5/26/2016	8/3/2016	8/19/2016	8/29/2016	10/5/2016
AGREED ORDER (a)	Docket 2014-0068-PWS-E	Docket 2016-0124-PWS-E	Docket 2016-0183-PWS-E	Docket 2016-0866-PWS-E	Docket 2016-1048-MWD-E	Docket 2016-0428-PWS-E
Line	٠ .	N r	•	f .	^	v ç

# **APPENDIX E**

## Wiedenfeld Water Works, Inc. CCN 12052

#### **Neighboring Entities to be Provided Notice**

Shalako Estates and Canyon Springs CCN 12685

Kerr County Water Systems LLC CCN 13166

Aqua Texas Inc. CCN 12902

Aqua Utilities CCN 11157

Ingram Oaks Company Inc. CCN 13134

City of Kerrville CCN 12928

Wood Trails Water Supply CCN 11880

Mary Meade Water Company CCN 11570

Kerrville South Water Company CCN 11484

Woods WSC CCN 11879

Fremont Water Company CCN 11821

Hill River Water Works CCN 12939

Verde Hills WSC CCN 12093

Kendali County WCID 1 CCN 10685

Sherwood Mt Pisgah WSC CCN 13050

Yancy WSC CCN 11464

**Kendall County** 

**Kerr County** 

Medina County

City of Kerrville

**Headwaters Groundwater Conservation District** 

**Cow Creek Groundwater Conservation District** 

Medina County Groundwater Conservation District

**Edwards Aquifer Authority** 

## **APPENDIX F**

Confidential Documents
to be submitted separately

# **APPENDIX G**

#### TX UTILITIES OPERATOR'S CERTIFICATIONS

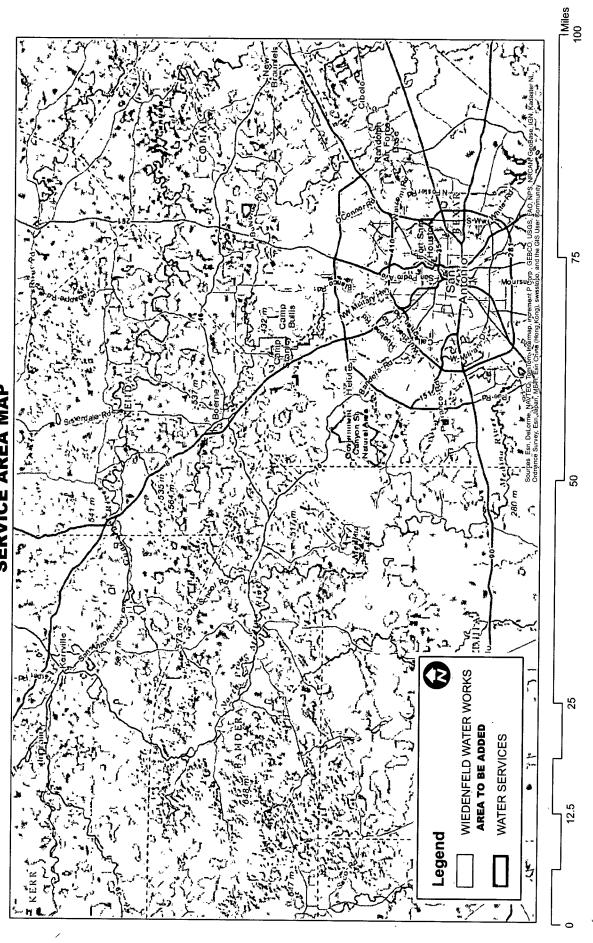
Employees available for SWWC Utilities, Inc. dba Water Services, Inc. and Wiedenfeld Water Works, Inc.

as of September 1, 2016

EMPLOYEE		CERTIFICATE
NAME	CERTIFICATIONS	NUMBER
Amezquita, Albert	Texas Surface Water Certificate - B	WS0008829
Barry, Chuck	Texas Surface Water Certificate - C	WS0010562
Barry, Chuck	Texas Wastewater Certificate - C	WW0044841
Cartagena, Colin	Texas Water Certificate - D	WO0036860
Cartagena, Colin	Texas Wastewater Certificate - D	WW0054290
Cortez, Dominic	Texas Ground Water Certificate - C	WG0014467
Delao, Ernest	Texas Water Certificate - D	WO0037711
Delao, Ernest	Texas Wastewater Certificate - B	WW0025973
Hernandez, Matthew	Texas Water Certificate - D	WO0037376
Jackson, Randall W	Texas Water Certificate - D	WO0026769
Jackson, Randall W	Texas Wastewater Certificate - D	WW0049446
Ledesma, Amado Jr.	Texas Water Certificate - D	WO0036863
Maldonado, Daniel	Texas Water Certificate - A	WO0033139
Maldonado, Daniel	Texas Wastewater Certificate - C	WW0053457
Maldonado, Daniel	Customer Service Inspector	CI0004833
Moreno, Benjamin	Texas Ground Water Certificate - C	WG0011550
Moreno, Benjamin	Texas Wastewater Certificate - D	WW0046714
Rios, Juan C	Texas Ground Water Certificate - B	WG0014827
Rios, Juan C	Texas Wastewater Collection Operator I	WW0049405
Rios, Juan C	Texas Wastewater Certificate - D	WW0052411
Ruiz, Manuel	Texas Water Certificate - D	WO0036865
Ruiz, Manuel	Texas Wastewater Certificate - B	WW0025031
Williford, Tim	Texas Wastewater Certificate - A	WW0047685
Williford, Tim	Texas Water Certificate - A	WO0032204

# **APPENDIX H**

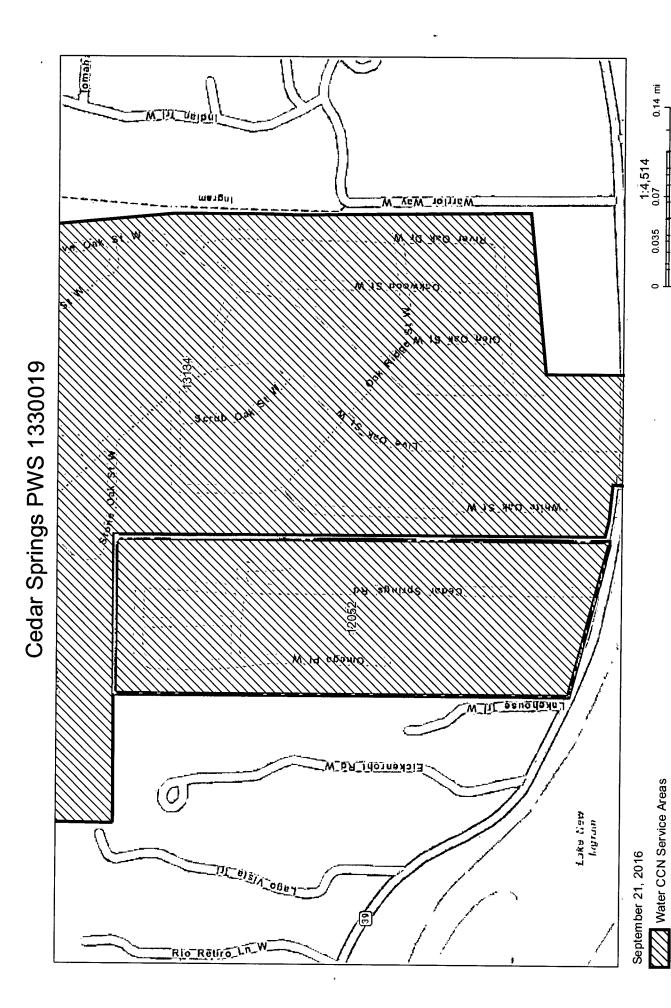
WATER SERVICES, INC. - CCN 11106 **SERVICE AREA MAP** 



Sources Esr, HERE, DeLorme, USGS, Intermap, increment P Corp., NRCAN, Esri Japan, METI, Esn China (Hong Kong), Esn (Thaland),

0.17 km

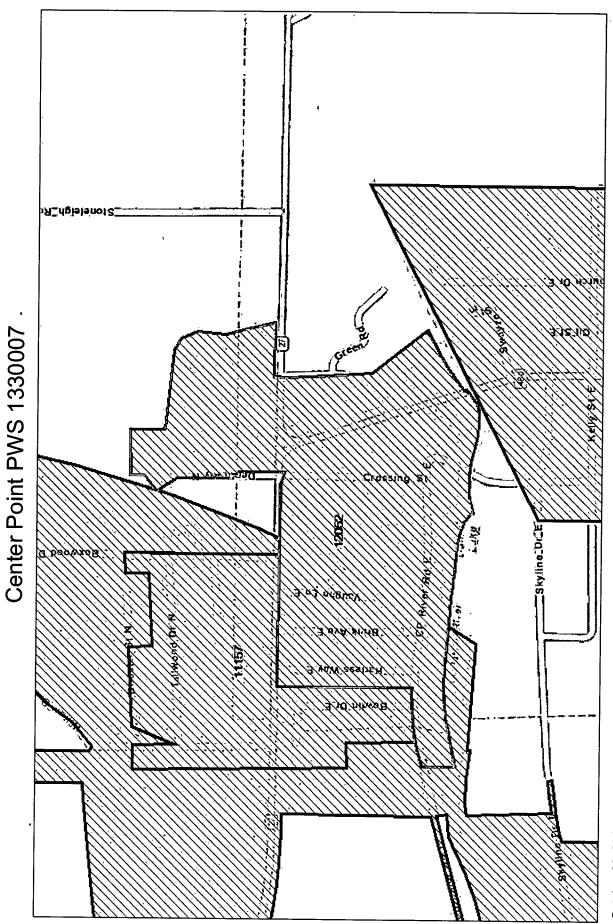
0.0425 0.085



0.3 mi

1:9,028 0.15 Sources Esn, HERE, DeLorme, USGS, Intermap, INCREMENT P, NRCan, Esn Japan, METI, Esn China (Hong Kong), Esn Korea, Esn (Thailand),

0 0.075

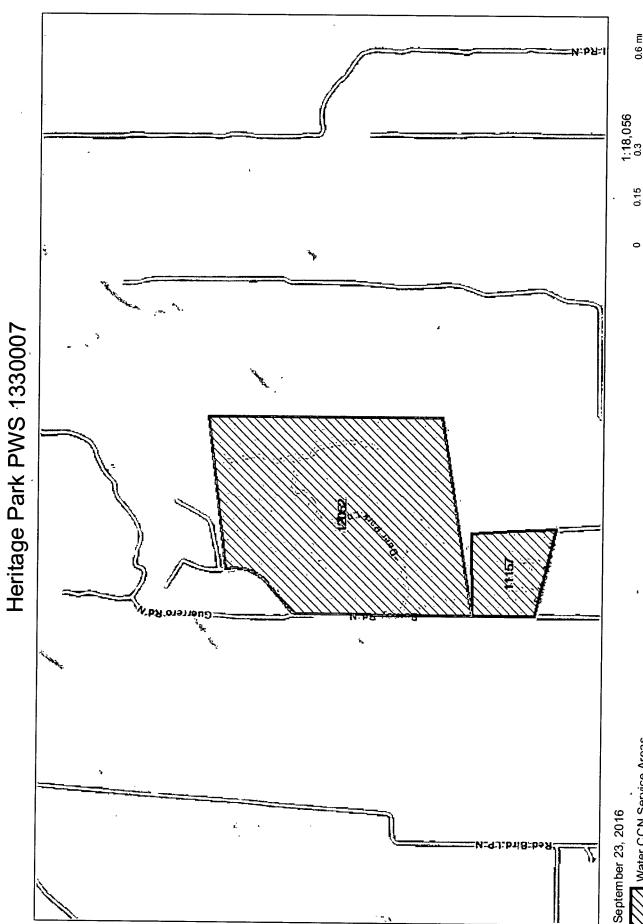


October 26, 2016

Water CCN Service Areas

Sources. Esrl, HERE, Det.orne, USGS, Internap, increment P. Corp., NRCAN, Esn Japan, METI, Esn Chna (Hong Kong), Esn (Thailand),

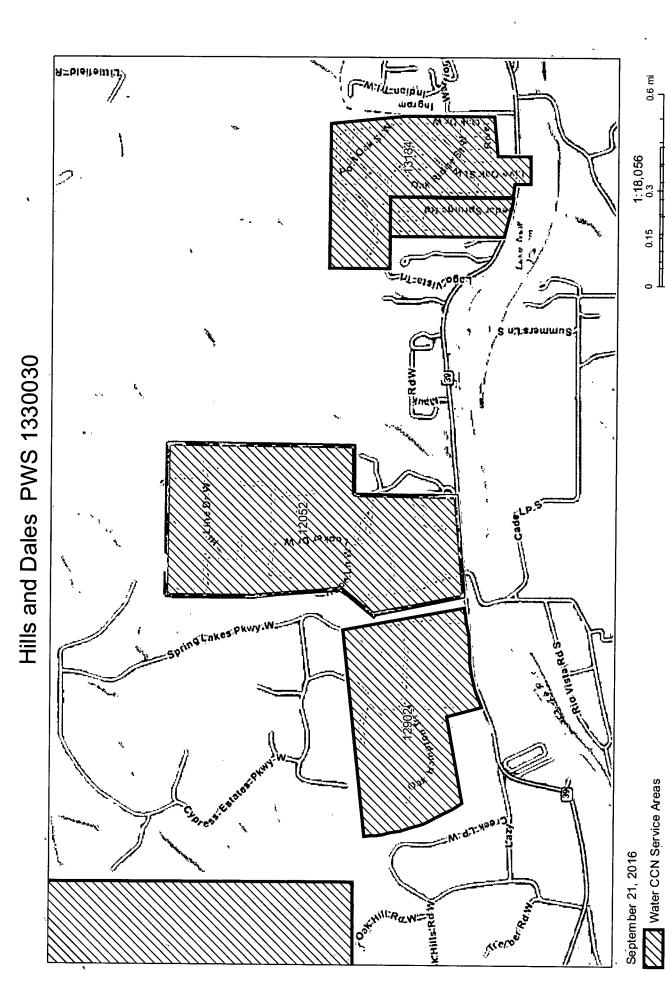
0.15



September 23, 2016

Water CCN Service Areas

0.175 0.35



Oak Ridge, PWS 1330134

Sources Esri, HERE, DeLorme, USGS, Internap, increment P Corp., NRCAN, Esn Japan, METI, Esn Chna (Hong Kong), Esn (Thailand), 70

0.14 mi

1:4,514 0.07

0 0.035 0.0

Water CCN Service Areas TxDOT Counties

1:9,028

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Water CCN Service Areas TxDOT Counties

September 21, 2016

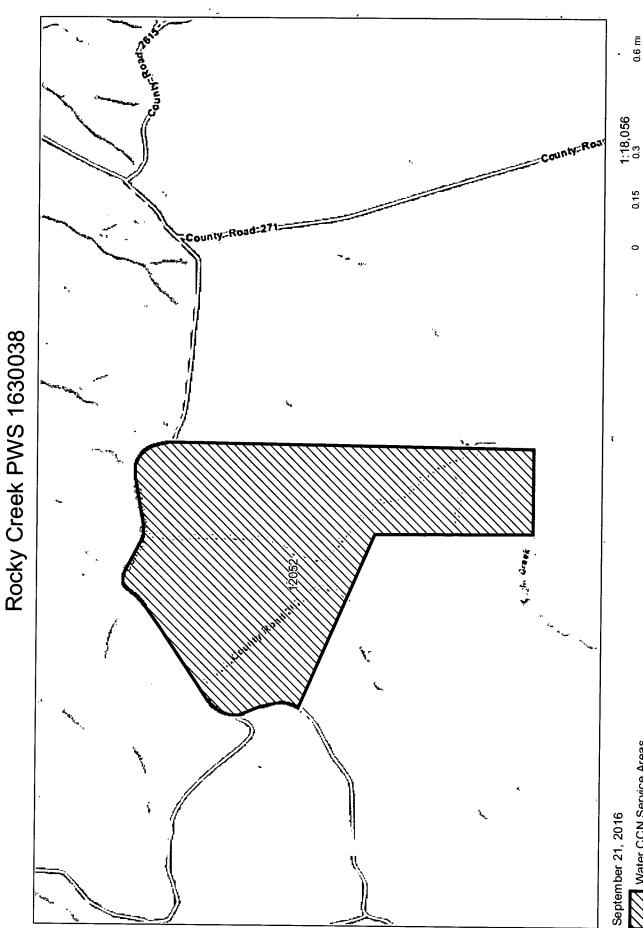
71

Sources Esri, HERE, DeLorme, USGS, Intermap, increment P Corp., NRCAN, Esn Japen, METI, Esn China (Hong Kong), Esn (Thailand),

Sources Esn, HERE, DeLorme, USGS, Intermap, increment P Corp., NRCAN, Esn Japan, METI, Esn China (Hong Kong), Esn (Thaland),

0.6 mi

0.15



September 21, 2016

Water CCN Service Areas TxDOT Counties

73 Sourcès Esri, HERE, DeLorme, USGS, Intermap, INCREMENT P, NRCan, Esri Japan, METI, Esri China (Hong Kong), Esri Korea, Esri (Thailand),

0.3

Water CCN Service Areas TxDOT Counties

74

Sources, Esn, HERE, Det.orme, USGS, Intermap, INCREMENT P, NRCan, Esn Japan, METI, Esn Chna (Hong Kong), Esn Korea, Esn (Thaitand)

0 6 mi

1:18,056· 0.3

Water CCN Service Areas

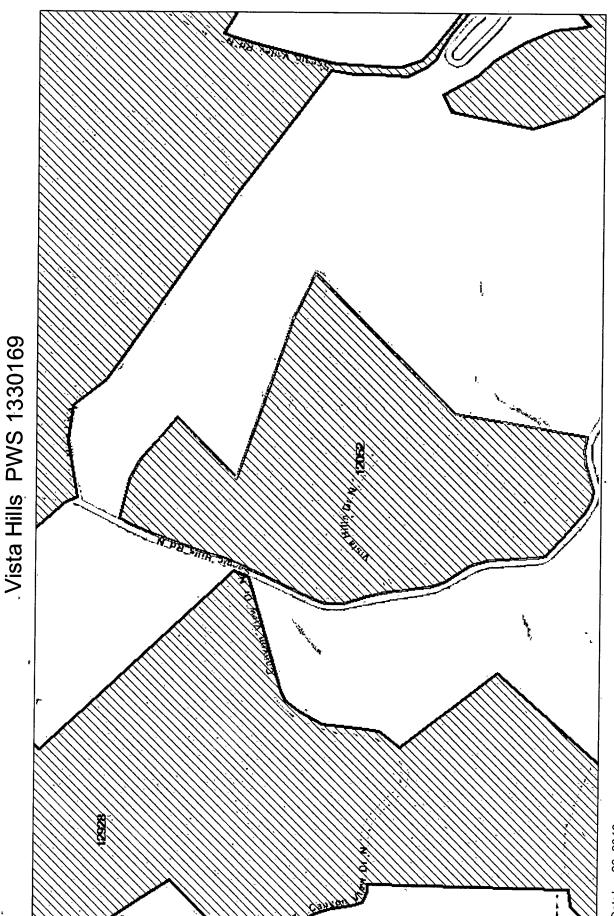
TxDOT Counties

0.3 mi

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October 26, 2016

Water CCN Service Areas

TxDOT Counties

9/

77

Sources Esri, HERE, DeLorme, USGS, Intermap, INCREMENT P, NRCan, Esri Japan, METI, Esri Chria (Hong Kong), Esri Korea, Esri (Thailand),

0.14 mi

1:4,514 0.07

0 0.035

Water CCN Service Areas TxDOT Counties

October 26, 2016

78 Sources Esn, HERE, DeLorme, USGS, Intermap, INCREMENT P, NRCan, Esr Japan, METI, Esn China (Hong Kong), Esri Korea, Esn (Thailand),

0.14 mi

0 0425 0.085 0.035

Water CCN Service Areas TxDOT Counties

# **APPENDIX I**

## UNANIMOUS WRITTEN CONSENT OF THE BOARD OF DIRECTORS OF-WIEDENFELD WATER WORKS, INC.

The undersigned, constituting all of the directors of Wiedenfeld Water Works, Inc. a Texas corporation (the "Company"), take the following action by written consent in lieu of a meeting, in accordance with the Texas Business Organizations Code and the bylaws of the Company, is executed as of October 24, 2016.

**WHEREAS**, with respect to the Company, the Company desires to transfer all of its Assets (listed hereto as <u>Exhibit A</u>) and Certificates of Convenience and Necessity (the "CCN") to SWWC Utilities, Inc. doing business as Water Services, Inc. (the "Transfer"); and

WHEREAS, the Transfer of said Assets and CCNs will be subject to the regulatory approval of the Public Utility Commission of Texas ("PUC") and such Transfer will be permitted hereunder if and only if such PUC and debt holder approval is received, if such debt holder approval is required.

**NOW, THEREFORE, BE IT RESOLVED,** that the Board of Directors hereby approves the Transfer as stated herein subject to the prior debt holder and regulatory approval of PUC.

**FURTHER RESOLVED**, that each of the Appropriate Officers of the Company (listed hereto in <u>Exhibit B</u>) be, and each hereby is, authorized in the name and on behalf of the Company to execute and deliver approval of the Transfer of the Assets and CCNs of the Company to SWWC Utilities, Inc. doing business as Water Services, Inc.

**NOW, THEREFORE, BE IT RESOLVED,** that the appropriate officers of the Company be, and each of them hereby is, authorized and directed, for and on behalf of the Company, to execute all documents and take such further action as they may deem necessary, appropriate or advisable to affect the purposes of each of the foregoing resolutions.

**NOW, THEREFORE, BE IT RESOLVED,** that the Board of Director's hereby approves the Transfer as stated herein subject to the regulatory approval of PUC.

IN WITNESS WHEREOF, the undersigned have executed this Unanimous Action by Written Consent as of the date first written above.

# WIEDENFELD WATER WORKS, INC. DIRECTORS:

Michael O. Quinn

Kirk Michael

William K. Dix

# EXHIBIT A

Wiedenfeld Water Works, Inc. Assets and CCNs

## WIEDENFELD WATER WORKS, INC. CCN No. 12052 LIST OF ASSETS

### **CEDAR SPRINGS PWS No. 1330019**

Land

Fencing 6' chain link

Well 5" 420 ft

Well meter 2"

Building - wood and masonry

Hydro Tank 1500 gal

Hydro Tank 120 gal

Air compressor

Ground Storage - 22,000 gal bolted steel

Booster pumps and motors

Chlorination system

Distribution system - 2", 3", and 4" PVC

5/8" x 3/4" meters and services - 43

## **CENTER POINT PWS No. 1330007**

Land

Fencing 6' chain link

Well 8" 500 ft

Well meter 2"

Building - wood

Building - masonry

Hydro Tank 550 gal

Hydro Tank 969 gal

Air compressor

Ground Storage - 5,250 gal. f/g

Ground Storage - 4,000 gal conc.

Ground Storage - 4,000 gal conc.

Booster pumps and motors

Chlorination system

Distribution system - 2", 3", and 4" PVC

5/8" x 3/4" meters and services - 54

1 1/2" meter and service - 1

### **HERITAGE PARK PWS No. 1330080**

Land

Fencing 8' chain link

Well 6" 540 ft

Well meter 1 1/2"

**Building - masonry** 

Hydro Tank 525 gal

Hydro Tank 310 gal

Air compressor

Ground Storage - 10,000 gal

Booster pumps and motors

Chlorination system

Distribution system - 2" and 3" PVC

5/8" x 3/4" meters and services - 27

### HILLS AND DALES PWS No. 1330030

Land

Fencing 6' chain link

Well 7" 495 ft

Well meter 1 1/2"

Well 6" 800 ft

Well meter 2"

**Building - wood** 

Building - masonry

Hydro Tank 300 gal

Hydro Tank 350 gal

Hydro Tank 525 gal

Air compressors

Ground Storage - 22,000 gal bolted steel

Ground Storage - 10,000 gal

Ground Storage - 15,000 gal

Chlorination system

Distribution system - 2", 3", 4", and 6" PVC

5/8" x 3/4" meters and services - 73

### **OAK RIDGE ESTATES PWS No. 1330134**

Land

Fencing 6' chain link

Well 6" 415 ft

Well meter 2"

Well 8" 700 ft

Well meter 2"

Building - wood

Hydro Tank 900 gal

Hydro Tank 950 gal

Air compressor

Ground Storage - 14,000 gal bolted steel

Ground Storage - 3 - 5,000 gal plastic Booster pumps and motors Chlorination system Distribution system - 3" PVC 5/8" x 3/4" meters and services - 42

### **PLATTEN CREEK PWS No. 1300035**

Land
Fencing 6' chain link
Well 7" 405 ft
Well meter 1 1/2"
Building - wood
Hydro Tanks 2 - 550 gal
Air compressor
Ground Storage - 12,000 gal bolted steel
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 37

### **ROCKY CREEK PWS No. 1630038**

Land
Fencing 8' chain link
Well 8" 1,000 ft
Well meter 1 1/2"
Well 8" 400 ft
Well meter 2"
Building - wood. masonry
Hydro Tank 1250 gal
Air compressor
Ground Storage - 16,000 gal bolted steel
Ground Storage - 42,500 gal bolted steel
Booster pumps and motors
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 39

### **SOUTHERN HILLS PWS No. 1330128**

Land

Fencing 6' and 8' chain link

Well 7" 585 ft

Well meter

Well 8" 960 ft

Well meter 2"

Building - masonry

Hydro Tank 1500 gal

Air compressor

Ground Storage - 56,000 gal bolted steel

Booster pumps and motors

Chlorination system

Distribution system - 2", 3",4", and 6" PVC

Distribution system - hydrants

### **MONTEBELLO - (connected to Southern Hills)**

Land

Fencing 6' chain link

Well 5" 420 ft

Well meter 2"

Building - wood

Hydro Tanks - 2 bladder tanks

Air compressor

Ground Storage - 8,000 gal bolted steel

Booster pumps and motors

Chlorination system

Distribution system - 3", 4", and 6" PVC

### **SILVER CREEK - (connected to Southern Hills)**

Land

Fencing 6' chain link

Well 8" 565 ft

Well meter 2"

**Building - masonry** 

Hydro Tank 2 - 550 gal

Air compressor

Ground Storage - 20,000 gal conc

Ground Storage - 20,000 gal conc

Booster pumps and motors

Chlorination system

Distribution system - 2", 3", 4", and 6" PVC

5/8" x 3/4" meters and services - 287 combined

#### **VERDE PARK PWS No. 1330027**

Land

Fencing 8' chain link

Well 8" 520 ft

Well meter 2"

Building - masonry

Building - wood

Hydro Tank 525 gal

Hydro Tank 900 gal

Air compressor

Ground Storage - 56,000 gal bolted steel

Ground Storage - 2-6,000 gal

Booster pumps and motors

Chlorination system

Distribution system - 2", 3", and 4" PVC

5/8" x 3/4" meters and services - 69

### VISTA HILLS PWS No. 1330169

Land

Fencing 6' chain link

Well 6 5/8" 620 ft

Well meter 1 1/2"

Building - masonry .

Hydro Tank 960 gal

Hydro Tank 900 gal

Air compressor

Ground Storage - 5,000 gal

Ground Storage - 4,000 gal

Booster pumps and motors

Chlorination system

Distribution system - 2", 3",4", and 6" PVC

5/8" x 3/4" meters and services - 13

### WESTWOOD OAKS PWS No. 1330015

Land

Fencing 6' chain link

Well 5" 310 ft

Well meter 2"

Well 8" 400 ft -

Well meter 2"

Building - masonry

Hydro Tank 2500 gal

Hydro Tank 900 gal

Air compressor

Ground Storage - 42,000 gal bolted steel

Ground Storage - 6,250 f/g

Chlorination system

Distribution system - 2", 3", and 4" PVC 5/8" x 3/4" meters and services - 108

### WINDWOOD OAKS PWS No. 1330141

Land
Fencing 6' wood
Well 6" 414 ft
Well meter 1 1/2"
Building - masonry
Hydro Tank 2 - 315 gal
Air compressor

Ground Storage - 10,000 gal

Chlorination system

Distribution system - 2", 3", and 4" PVC 5/8" x 3/4" meters and services - 20

### **WOODHAVEN MHP PWS No. 1330024**

Land

Fencing 6' chain link

Well 8" 360 ft

Well meter 2"

**Building - masonry** 

Building - wood

Hydro Tank 315 gal

Hydro Tank 960 gal

Air compressor

Ground Storage - 5,600 gal

Ground Storage - 4,700 gal

Booster pumps and motors

Chlorination system

Distribution system - 2", 3", and 4" PVC

5/8" x 3/4" meters and services - 31

### **GENERAL PLANT**

Computer - Dell-Inspiron 560 Truck - 2011 Ford Ranger

# EXHIBIT B

# **Appropriate Officers of the Company**

Michael O. Quinn

President,

Kirk Michael

Chief Financial Officer

Charles Profilet 6

Managing Director

William K. Dix

Vice President, General Counsel &

Secretary

Kirk Michael

Treasurer

### UNANIMOUS WRITTEN CONSENT OF THE BOARD OF DIRECTORS OF SWWC UTILITIES, INC.

The undersigned, constituting all of the directors of SWWC Utilities, Inc. a Delaware corporation (the "Company"), take the following action by written consent in lieu of a meeting, in accordance with the General Corporation Law of Delaware and the bylaws of the Company, is executed as of October 24, 2016.

WHEREAS, with respect to the Company's affiliate Wiedenfeld Water Works, Inc. ("WWW"), the Company desires to transfer all Assets (listed hereto as <a href="Exhibit A">Exhibit A</a>) and Certificates of Convenience and Necessity (the "CCN") of WWW to the utility doing business as Water Services, Inc. (the "Transfer"); and

WHEREAS, the Transfer of said WWW Assets and CCNs will be subject to the regulatory approval of the Public Utility Commission of Texas ("PUC") and such Transfer will be permitted hereunder if and only if such PUC and debt holder approval is received, if such debt holder approval is required.

**NOW, THEREFORE, BE IT RESOLVED,** that the Board of Directors hereby approves the Transfer as stated herein subject to the prior debt holder and regulatory approval of PUC.

**FURTHER RESOLVED,** that each of the Appropriate Officers of the Company (listed hereto in <u>Exhibit B</u>) be, and each hereby is, authorized in the name and on behalf of the Company to execute and deliver approval of the Transfer of the Assets and CCNs of WWW to the Company's utility doing business as Water Services, Inc.

**NOW, THEREFORE, BE IT RESOLVED,** that the appropriate officers of the Company be, and each of them hereby is, authorized and directed, for and on behalf of the Company, to execute all documents and take such further action as they may deem necessary, appropriate or advisable to affect the purposes of each of the foregoing resolutions.

**NOW, THEREFORE, BE IT RESOLVED,** that the Board of Directors hereby approves the Transfer as stated herein subject to the regulatory approval of PUC.

IN WITNESS WHEREOF, the undersigned have executed this Unanimous Action by Written Consent as of the date first written above.

# **SWWC UTILTITIES, INC. DIRECTORS:**

Michael O. Quinn/

Kirk Michael

William K. Dix

# **EXHIBIT A**

Wiedenfeld Water Works, Inc. Assets and CCNs

## WIEDENFELD WATER WORKS, INC. CCN No. 12052 **LIST OF ASSETS**

### **CEDAR SPRINGS PWS No. 1330019**

Land

Fencing 6' chain link

<sup>a</sup> Well 5" 420 ft

Well meter 2" \*

Building - wood and masonry

Hydro Tank 1500 gal

Hydro Tank 120 gal

Air compressor

Ground Storage - 22,000 gal bolted steel

Booster pumps and motors

Chlorination system

Distribution system - 2", 3", and 4" PVC

5/8" x 3/4" meters and services - 43

### **CENTER POINT PWS No. 1330007**

Land

Fencing 6' chain link

Well 8" 500 ft

Well meter 2"

Building - wood

Building - masonry

Hydro Tank 550 gal

Hydro Tank 969 gal

Air compressor

Ground Storage - 5,250 gal. f/g

Ground Storage - 4,000 gal conc.

Ground Storage - 4,000 gal conc.

Booster pumps and motors

Chlorination system

Distribution system - 2", 3", and 4" PVC

5/8" x 3/4" meters and services - 54

1 1/2" meter and service - 1

#### **HERITAGE PARK PWS No. 1330080**

Land

Fencing 8' chain link

Well 6" 540 ft

Well meter 1 1/2"

Building - masonry

Hydro Tank 525 gal

Hydro Tank 310 gal

Air compressor

Ground Storage - 10,000 gal

Booster pumps and motors

Chlorination system

Distribution system - 2" and 3" PVC

5/8" x 3/4" meters and services - 27

### HILLS AND DALES PWS No. 1330030

Land

Fencing 6' chain link

Well 7" 495 ft

Well meter 1 1/2"

Well 6" 800 ft

Well meter 2"

**Building - wood** 

Building - masonry

Hydro Tank 300 gal

Hydro Tank 350 gal

Hydro Tank 525 gal

Air compressors

Ground Storage - 22,000 gal bolted steel

Ground Storage - 10,000 gal

Ground Storage - 15,000 gal

Chlorination system

Distribution system - 2", 3", 4", and 6" PVC

5/8" x 3/4" meters and services - 73

### **OAK RIDGE ESTATES PWS No. 1330134**

Land

Fencing 6' chain link

Well 6" 415 ft

Well meter 2"

Well 8" 700 ft

Well meter 2"

Building - wood

Hydro Tank 900 gal

Hydro Tank 950 gal

Air compressor

Ground Storage - 14,000 gal bolted steel .

Ground Storage - 3 - 5,000 gal plastic Booster pumps and motors Chlorination system Distribution system - 3" PVC 5/8" x 3/4" meters and services - 42

### **PLATTEN CREEK PWS No. 1300035**

Land
Fencing 6' chain link
Well 7" 405 ft
Well meter 1 1/2"
Building - wood
Hydro Tanks 2 - 550 gal
Air compressor
Ground Storage - 12,000 gal bolted steel
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 37

#### **ROCKY CREEK PWS No. 1630038**

Land
Fencing 8' chain link
Well 8" 1,000 ft
Well meter 1 1/2"
Well 8" 400 ft
Well meter 2"
Building - wood. masonry
Hydro Tank 1250 gal
Air compressor
Ground Storage - 16,000 gal bolted steel
Ground Storage - 42,500 gal bolted steel
Booster pumps and motors
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and services - 39

#### **SOUTHERN HILLS PWS No. 1330128**

Land

Fencing 6' and 8' chain link

Well 7" 585 ft

Well meter

Well 8" 960 ft

Well meter 2"

Building - masonry

Hydro Tank 1500 gal

Air compressor

Ground Storage - 56,000 gal bolted steel

Booster pumps and motors

Chlorination system

Distribution system - 2", 3",4", and 6" PVC

Distribution system - hydrants

### MONTEBELLO - (connected to Southern Hills),

Land

Fencing 6' chain link

Well 5" 420 ft

Well meter 2"

Building - wood

- Hydro Tanks - 2 bladder tanks

Air compressor

Ground Storage - 8,000 gal bolted steel

Booster pumps and motors

Chlorination system

Distribution system - 3", 4", and 6" PVC

### SILVER CREEK - (connected to Southern Hills)

Land

Fencing 6' chain link

Well 8" 565 ft

Well meter 2"

Building - masonry

Hydro Tank 2 - 550 gal

Air compressor

Ground Storage - 20,000 gal conc

Ground Storage - 20,000 gal conc

Booster pumps and motors

Chlorination system

Distribution system - 2", 3", 4", and 6" PVC

5/8" x 3/4" meters and services - 287 combined

### **VERDE PARK PWS No. 1330027**

Land

Fencing 8' chain link

Well 8" 520 ft

Well meter 2",

**Building - masonry** 

Building - wood

Hydro Tank 525 gal

Hydro Tank 900 gal

Air compressor

Ground Storage - 56,000 gal bolted steel

Ground Storage - 2-6,000 gal

Booster pumps and motors

Chlorination system

Distribution system - 2", 3", and 4" PVC

5/8" x 3/4" meters and services - 69

### VISTA HILLS PWS No. 1330169

Land

Fencing 6' chain link

Well 6 5/8" 620 ft

Well meter 1 1/2"

Building - masonry

Hydro Tank 960 gal

Hydro Tank 900 gal

Air compressor

Ground Storage - 5,000 gal

Ground Storage - 4,000 gal

Booster pumps and motors

Chlorination system

Distribution system - 2", 3",4", and 6" PVC

5/8" x 3/4" meters and services - 13

### **WESTWOOD OAKS PWS No. 1330015**

Land

Fencing 6' chain link

Well 5" 310 ft

Well meter 2"

Well 8" 400 ft

Well meter 2"

Building - masonry

Hydro Tank 2500 gal

Hydro Tank 900 gal

Air compressor

Ground Storage - 42,000 gal bolted steel

Ground Storage - 6,250 f/g

Chlorination system

Distribution system - 2", 3", and 4" PVC 5/8" x 3/4" meters and services - 108

### WINDWOOD OAKS PWS No. 1330141

Land
Fencing 6' wood
Well 6" 414 ft
Well meter 1 1/2"
Building - masonry
Hydro Tank 2 - 315 gal
Air compressor
Ground Storage - 10,000 gal
Chlorination system
Distribution system - 2", 3", and 4" PVC
5/8" x 3/4" meters and sérvices - 20

### **WOODHAVEN MHP PWS No. 1330024**

Land
Fencing 6' chain link
Well 8" 360 ft
Well meter 2"
Building - masonry
Building - wood
Hydro Tank 315 gal
Hydro Tank 960 gal
Air compressor
Ground Storage - 5,600 gal
Ground Storage - 4,700 gal
Booster pumps and motors
Chlorination system
Distribution system - 2", 3", and 4" PVC

### **GENERAL PLANT**

Computer - Dell-Inspiron 560 Truck - 2011 Ford Ranger

5/8" x 3/4" meters and services - 31

### **EXHIBIT B**

# **Appropriate Officers of the Company**

Michael O. Quinn Chief Executive Officer & President

Kirk Michael Chief Financial Officer

Charles Profilet Vice President & Managing Director

William K. Dix Vice President & Secretary

Kirk Michael Treasurer